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## One-Pot Synthesis of 3-Phenacylphthalides

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**Abstract:** Acid catalyzed condensation of phthalaldehydic acid (**1**) with aromatic methyl ketones (**2**) providing 3-phenacylphthalides (**3**) is described.

**Keywords:** One-pot synthesis, 3-phenacylphthalides, phthalaldehydic acid

3-aryl phthalides are widely accepted synthons for the elaboration to polycyclic compounds. 3-phenacylphthalides, i.e., 3-[2-Oxo-2-phenyl-ethyl 3H isobenzofuran 1-one], and its derivatives are used as softening agents.<sup>[1]</sup> These phthalides are easily converted into a) 3-phenacylidene phthalides, known for their plant growth regulatory activity<sup>[2,3]</sup> b) 3-alkylidene phthalides, known for their biological importance,<sup>[4]</sup> and c) 3-styryl phthalides which are used as color formers for heat and pressure-sensitive recording materials.<sup>[5]</sup> The literature survey revealed that 3-phenacylphthalides are synthesized by reacting 2-bromo benzaldehyde with 1,3 dicarbonyl compounds under catalytic<sup>[6]</sup> conditions in the presence of alkali.<sup>[2,7]</sup> The method to obtain 3-phenacylphthalides in one step was not reported yet. Recently we reported that the reaction of phthalaldehydic acid with various aromatic methyl ethers in the presence of TFA provided 3-aryl phthalides in good yields.<sup>[8]</sup> This observation led us to explore the reaction of phthalaldehydic acid (**1**) with acetophenone which gave 3-phenacylphthalide (**3a**) in 69% yield. Substituted acetophenones (**2b–2f**) and phthalaldehydic acid gave

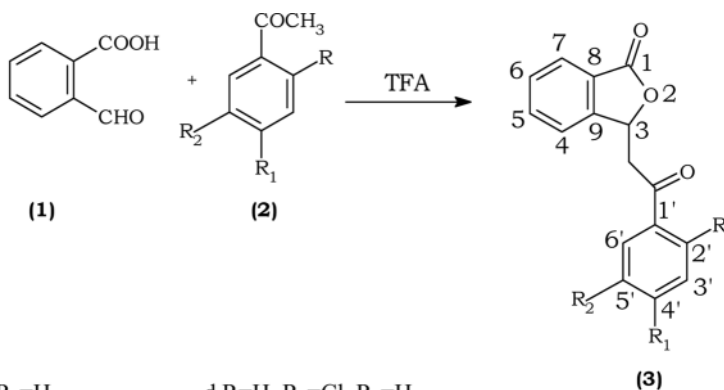
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products (**3b–3f**) which were fully characterized by  $^1\text{H}$  NMR,  $^{13}\text{C}$  NMR, IR and elemental analysis.



a  $\text{R}=\text{R}_1=\text{R}_2=\text{H}$

d  $\text{R}=\text{H}$ ,  $\text{R}_1=\text{Cl}$ ,  $\text{R}_2=\text{H}$

b  $\text{R}=\text{H}$ ,  $\text{R}_1=\text{CH}_3$ ,  $\text{R}_2=\text{H}$

e  $\text{R}=\text{H}$ ,  $\text{R}_1=\text{NO}_2$ ,  $\text{R}_2=\text{H}$

c  $\text{R}=\text{H}$ ,  $\text{R}_1=\text{OCH}_3$ ,  $\text{R}_2=\text{H}$

f  $\text{R}=\text{OH}$ ,  $\text{R}_1=\text{H}$ ,  $\text{R}_2=\text{CH}_3$

## EXPERIMENTAL

### General Procedure for the Synthesis of 3-Phenacylphthalides

A solution of phthalaldehydic acid (**1**) (3.3 mmol), aromatic methyl ketones (**2a–2f**) (3.3 mmol), in trifluoroacetic acid (13 mmol) was refluxed and monitored by TLC. The reaction mixture was cooled and was poured over crushed ice. The solid was filtered and recrystallized using hexane: ethyl acetate (80:20).

**3-Phenacylphthalide (3a):** 69% yield; M.P.  $145^\circ\text{C}$ ;  $^{19}\text{F}$  IR (KBr); 1772, 1679  $\text{cm}^{-1}$ ,  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 300 MHz); data  $\delta$  3.4 (dd,  $J = 17.3$  Hz, 7.3 Hz, 1H), 3.8 (dd,  $J = 17.6$  Hz, 5.57 Hz, 1H), 6.19 (t,  $J = 6.7$  Hz, 1H), 7.4–7.8 (m, 6H), 7.9 (m, 3H).  $^{13}\text{C}$  NMR ( $\text{DMSO}-d_6$ , 200 MHz); data 43.61 ( $-\text{CH}_2-$ ), 78.00 ( $\text{C}_3$ ), 123.74–137.0 ( $\text{C}_4-\text{C}_9$ ,  $\text{C}_{1'}-\text{C}_{6'}$ ), 170.71 (lactone carbonyl), 197.30 ( $-\text{CO}-$ ). Anal. Calcd. for  $\text{C}_{16}\text{H}_{12}\text{O}_3$ : C, 76.12; H, 4.76. Found: C, 76.02; H, 4.70.

**3-(4-Methyl phenacyl)phthalide (3b)** 68% yield; M.P.  $149^\circ\text{C}$ ; IR (KBr); 1762, 1674  $\text{cm}^{-1}$ ;  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 300 MHz); data  $\delta$  2.5 (s, 3H), 3.4 (dd,  $J = 17.6$  Hz, 7.61 Hz, 1H), 3.8 (dd,  $J = 17.6$  Hz, 5.6 Hz, 1H), 6.22 (t,  $J = 6.7$  Hz, 1H), 7.25–7.35 (m, 2H), 7.5–7.8 (m, 3H), 7.9 (m, 3H).  $^{13}\text{C}$  NMR ( $\text{DMSO}-d_6$ , 200 MHz); data 22.0 ( $-\text{CH}_3$ ), 43.31 ( $-\text{CH}_2-$ ), 78.17 ( $\text{C}_3$ ), 123.73–135.27 ( $\text{C}_4-\text{C}_9$ ,  $\text{C}_{1'}$ ,  $\text{C}_{2'}$ ,  $\text{C}_3$ ,  $\text{C}_5$ , and  $\text{C}_{6'}$ ), 145.10 ( $\text{C}_{4'}$ ), 170.85 (lactone carbonyl), 196.83 ( $-\text{CO}-$ ). Anal. Calcd. for  $\text{C}_{17}\text{H}_{14}\text{O}_3$ : C, 76.69; H, 5.2. Found: C, 76.60; H, 5.13.

**3-(4-Methoxy phenacyl)phthalide (3c):** 81% yield; M.P. 120°C<sup>3</sup>; IR (KBr); 1760, 1666 cm<sup>-1</sup>; <sup>1</sup>H NMR (CDCl<sub>3</sub>, 300 MHz); data δ 3.35 (dd, J = 17.6 Hz, 7.6 Hz, 1H), 3.75 (dd, J = 17.6 Hz, 5.6 Hz, 1H), 3.83 (s, 3H), 6.18 (t, J = 5.6 Hz, 1H), 6.95 (d, 2 H), 7.65 (m, 3H), 7.95 (m, 3H). <sup>13</sup>C NMR (CDCl<sub>3</sub>, 200 MHz); data 43.61 (-CH<sub>2</sub>), 55.96 (-OCH<sub>3</sub>), 77.84 (C<sub>3</sub>), 114.35 (C<sub>3'</sub>, C<sub>5'</sub>), 123.35–134.69 (C<sub>4</sub>–C<sub>9</sub>, C<sub>1'</sub>, C<sub>2'</sub>, and C<sub>6'</sub>), 164.44 (C<sub>4'</sub>), 170.65 (lactone carbonyl), 194.82 (-CO-). Anal. Calcd. for C<sub>17</sub>H<sub>14</sub>O<sub>4</sub>: C, 72.34; H, 4.96. Found: C, 72.14; H, 4.88.

**3-(4-Chlorophenacyl)phthalide (3d):** 77% yield; M.P. 146°C; IR (KBr); 1751, 1681 cm<sup>-1</sup>; <sup>1</sup>H NMR (CDCl<sub>3</sub>, 300 MHz) data δ 3.38 (dd, J = 17.6 Hz, 7.0 Hz, 1H), 3.76 (dd, J = 17.6 Hz, 5.86 Hz, 1H), 6.16 (t, J = 6.5 Hz, 1H), 7.44–7.72 (m, 5H), 7.88–7.96 (m, 3H). <sup>13</sup>C NMR (DMSO-*d*<sub>6</sub>, 200 MHz); data 43.67 (-CH<sub>2</sub>), 77.88 (C<sub>3</sub>), 123.75–139.50 (C<sub>4</sub>–C<sub>9</sub> and C<sub>1'</sub>–C<sub>6'</sub>), 170.65 (lactone carbonyl), 196.36 (-CO-). Anal. Calcd. for C<sub>16</sub>H<sub>11</sub>O<sub>3</sub>Cl: C, 67.01; H, 3.83. Found: C, 66.97; H, 3.78.

**3-(4-Nitrophenacyl)phthalide (3e):** 86% yield; M.P. 210°C; IR (KBr); 1747, 1689 cm<sup>-1</sup>; <sup>1</sup>H NMR (CDCl<sub>3</sub>, 300 MHz); data δ 3.46 (dd, J = 17.6 Hz, 6.4 Hz, 1H), 3.78 (dd, J = 17.6 Hz, 6.5 Hz, 1H), 6.17 (t, J = 6.5 Hz, 1H), 7.55–7.72 (m, 3H), 8.10 (m, 3H) 8.40 (d, J = 8 Hz, 2H). <sup>13</sup>C NMR (DMSO-*d*<sub>6</sub>, 200 MHz); data 44.20 (-CH<sub>2</sub>), 77.68 (C<sub>3</sub>), 123.76–135.25 (C<sub>4</sub>–C<sub>9</sub>, C<sub>2'</sub>, C<sub>3'</sub>, C<sub>5'</sub>, and C<sub>6'</sub>), 141.51 (C<sub>1'</sub>), 151.62 (C<sub>4'</sub>) 170.62 (lactone carbonyl), 196.66 (-CO-). Anal. Calcd. for C<sub>16</sub>H<sub>11</sub>NO<sub>5</sub>: C, 64.65; H, 3.7. Found: C, 64.58; H, 3.68.

**3-(2-Hydroxy-5-methylphenacyl)phthalide (3f):** 56% yield; M.P. 132–135°C; IR (KBr); 1755.1 cm<sup>-1</sup>; <sup>1</sup>H NMR (CDCl<sub>3</sub>, 300 MHz); data δ 2.3 (s, 3H), 3.48 (dd, J = 17.6 Hz, 6.7 Hz, 1H), 3.82 (dd, J = 17.6 Hz, 6.1 Hz, 1H), 6.21 (t, 6.7 Hz, 1 Hz, 1H), 7.45–7.80 (m, 5H), 8 (d, J = 7.9 Hz, 2H), 12 (s, 1H). <sup>13</sup>C NMR (DMSO-*d*<sub>6</sub>, 200 MHz); data s20.73 (-CH<sub>3</sub>), 45.11 (-CH<sub>2</sub>), 77.78 (C<sub>3</sub>), 118.38–137.7 (C<sub>4</sub>–C<sub>9</sub>, C<sub>1'</sub>, C<sub>3'</sub>, C<sub>4'</sub>, C<sub>5'</sub>, and C<sub>6'</sub>), 159.17 (C<sub>2'</sub>), 170.63 (lactone carbonyl), 201.92 (-CO-). Anal. Calcd. for C<sub>17</sub>H<sub>14</sub>O<sub>4</sub>: C, 72.34; H, 4.96. Found: C, 72.28; H, 4.90.

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