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Tetrahedron Letters 46 (2005) 3831-3834

Tetrahedron Letters

A new synthesis of 4-oxygenated β -carboline derivatives by Fischer indolization^{\approx}

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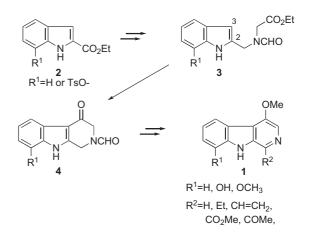
Received 3 December 2004; revised 26 March 2005; accepted 29 March 2005 Available online 14 April 2005

Abstract—A new and short synthetic route to the 4-methoxy- β -carboline skeleton is described. The route involves Fischer indolization of enehydrazine of 1-tosylpiperidine-3,5-dione and successive acetalization–elimination for aromatization of 1,2,3,4-tet-rahydro-2-tosyl-9*H*- β -carbolin-4-one. This method is efficiently applicable to synthesis of the benzene-part substituted 4-oxygenated β -carboline derivatives.

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Recently, many 4-methoxy- β -carboline alkaloids (1) have been isolated³ and some of them have been found to be biologically active⁴ (cytotoxicity, ^{4a} anti-HIV,^{4b} anti-malaria,^{4c} suppression of iNOS,^{4d} antimicrobial activity^{4e}). We have developed^{5–8} a general synthetic method of 1, starting from ethyl indole-2-carboxylates (2) as shown in Scheme 1. On the other hand, synthesis of 4-oxygenated β -carboline from a 3-substituted indole derivative via Pictet–Spengler and Bischler–Napieralski reactions has so far been unsuccessful.⁵

Now, we are interested in a variety of benzene-part substituted 4-methoxy- β -carbolines (10) (Table 3) from the viewpoint of their biological activities. Therefore, a new convenient synthetic method for the benzene-part substituted 4-methoxy- β -carbolines is required. To this end, we envisaged that the Fischer indolization starting from a variety of substituted phenylhydrazines (5) was feasible. On the other hand, Chen et al. reported⁹ the synthesis of 1,2,3,4-tetrahydro- β -carbolin-4-ones from 1-benzylpiperidine-3,5-dione (6a)¹⁰ with aniline derivatives via Pd-mediated C–C bond formation under stoichiometric conditions,^{9a} followed by a catalytic reaction.^{9b} We believe that our method is a more conve-



Scheme 1.

nient and general synthetic method for benzene-part substituted 4-methoxy- β -carbolines (10).

First, we tried to prepare the phenylhydrazone of 1-benzylpiperidine-3,5-dione (**6a**), but the reaction gave only tarry products, probably owing to the basic property of **6a** (Table 1). A tosyl group was chosen as an *N*-protecting group for the substrate (**6b**)¹⁰ because the sulfonyl group stabilizes the amino group with a stronger electron-withdrawing property. Thus, the hydrochlorides of phenylhydrazines (**5a**–**e**) were reacted with 1-tosylpiperidine-3,5-dione (**6b**, 1 mol equiv) in the presence of sodium acetate (2 mol equiv) in acetic acid.

Keywords: Fischer indolization; β -carboline; Indole; Acetalization; Aromatization.

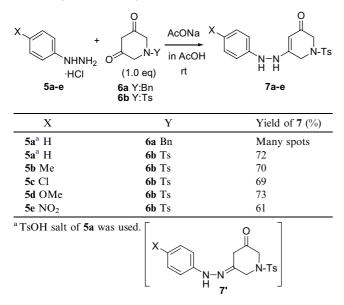
[☆]See Ref. 1.

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Table 1. Synthesis of enchydrazine (7)



The reaction proceeded at room temperature to give the product in good yields as shown in Table $1.^{23,27}$ The structures of the product were found to be the enehydrazines **7a**–e, tautomers of the corresponding phenylhydrazone (**7**'). The enehydrazine structure was known to be the first intermediate in the Fischer indolization of phenylhydrazones.¹¹

The Fischer indolization of the enehydrazines **7a–e** was carried out under various conditions. The classical conditions for Fischer indolization (HCl–MeOH, TsOH in benzene, etc.) gave poor results, whereas the reaction with excess of BF₃·OEt₂ in 1,1,2,2-tetrachloroethane at 120 °C gave a better result as shown in Table 2.^{24,28}

Table 2. Fischer indolization of enchydrazine (7)

| 7a- | $\mathbf{e} \qquad \frac{BF_3 \cdot OEt_2}{I120 °C}$ | X | O N-Ts H 8a-d | |
|---------------------------|--|----------|-------------------------|----|
| Х | BF ₃ ·OEt ₂ | Time (h) | Yield (%) | |
| | (mol equiv) | | 8 | 9 |
| 7a H | 27 | 1.5 | 84 | 19 |
| 7b Me | 20 | 0.3 | 64 | 32 |
| 7c Cl | 20 | 2 | 66 | 12 |
| 7d OMe | 20 | 0.3 | 28 (X=OMe) 11 (X=OH) | |
| 7d OMe | None ^a | 0.4 | 43 | |
| 7e NO ₂ | 20 | | Decomp. | |
| Reflux in (C | | | -Ts | |

Table 3. Synthesis of 4-methoxy- β -carboline (10)

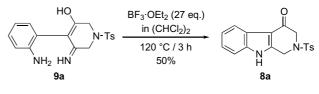
| 8a-d | (MeO) ₂ CMe ₂ BF ₃ ·OEt ₂ (20 mol equiv) in (CHCl ₂) ₂ 120 °C | OMe X N H 10a-d | |
|-------------|--|-----------------------------|--|
| Х | Time (h) | Yield of 10 (%) | |
| 8a H | 0.2 | 92 | |
| 8b Me | 0.7 | 84 | |
| 8c Cl | 0.3 | 86 | |
| 8d OMe | 0.8 | 94 | |

The reactions of these enchydrazines (**7a–c**) gave the target 1,2,3,4-tetrahydro- β -carbolin-4-ones (**8a–c**) in good yields with the second intermediate¹¹ (9) of the Fischer indolization as a by-product. For the methoxy derivative **7d**, yield of the target product (**8d**) was only 28% and a de-methylated compound (**8**, X=OH) was obtained in 11% yield as well. However, the thermal Fischer indolization condition¹² of **7d** gave a reasonable 43% yield of **8d**. The nitro derivative (**7e**) was not cyclized under any examined conditions, due to the inactiveness of the aromatic ring by the electron-withdrawing effect of the nitro group.

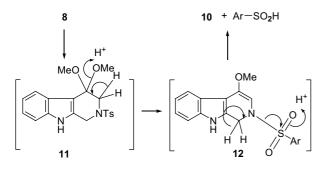
As compound (9) should be the second intermediate in the Fischer indole synthesis, compound (9a) was treated under the same conditions as the Fischer indolization. The target 1,2,3,4-tetrahydro- β -carbolin-4-one (8a) was obtained in 50% yield (Scheme 2). Compound (8) thus obtained was treated with dimethoxypropane and excess of BF₃·OEt₂ in 1,1,2,2-tetrachloroethane to give 4-methoxy- β -carboline (10) directly with unexpected removal of the *N*-tosyl group in good yield as shown in Table 3.^{25,29} In this reaction, we obtained *p*-toluenesulfinic acid from the reaction mixture and identified it as the methyl ester in the NMR spectrum.

The mechanism of this successive acetalization and elimination for aromatization of **8** is proposed in Scheme 3. The key step should be the reductive β -elimination of the tosyl group as *p*-toluenesulfinic acid with the intramolecular oxidative–reductive aromatization under acidic condition.

There are some reports^{13,14} for aromatization toward the pyridine ring by β -elimination of the tosyl group under basic conditions. However, the reductive elimination of the tosyl group to sulfinic acid is not described in their papers. We previously reported⁶ that the aromati-







Scheme 3.

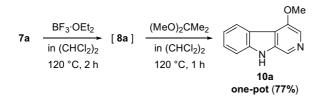
zation of the *N*-formyl derivative (4) to 4-methoxy- β carboline (10a), required chloranil as an oxidative agent. In the present route, the intermediate (12) was inevitably aromatized with reductive elimination of sulfonic acid without an oxidative agent or air oxidation under acidic condition.¹⁵

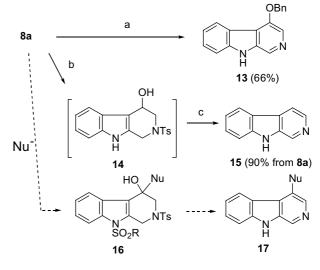
The condition for this acetalization–elimination reaction was similar to the Fischer indolization condition. Thus, the one-pot reaction of **7a** to **10a** was carried out. Dimethoxypropane was added to the reaction mixture after the Fischer indolization of the enehydrazine (**7a**) was conducted, and then the mixture was heated at 120 °C for 1 h (Scheme 4).²⁶

The reaction gave the target 4-methoxy- β -carboline (10a) in good yield (77%) as the two separate operations. The conversion of 4-methoxy- β -carboline (10a) to the natural products (1) was already reported⁶ by us.

Cook and co-workers^{15c} reported the synthesis of 4-benzyloxy- β -carboline (13), but their yield was 36% starting from 2-benzoyl-4-oxo-1,2,3,4-tetrahydro- β -carboline (corresponding to 8a). By applying this acetalization–elimination reaction to compound 8a, we succeeded in the synthesis of compound 13 in good yield (66%) using dibenzyloxypropane^{15c} instead of dimethoxypropane. This compound (13) should be an important synthetic intermediate to 4-hydroxy- β -carboline alkaloids.¹⁶ Furthermore, the Fischer product (8a) was converted to unsubstituted β -carboline (15) in 90% yield by the reduction of the C⁴-carbonyl group using NaBH₄, followed by reductive elimination on treatment with HCl/MeOH at room temperature for 1 h (Scheme 5).

From these experiments, it is expected that the Fischer product (8) becomes the key intermediate for the general synthesis of various 4-substituted β -carbolines. The 4-carbonyl group of 8 must be modified variously by





Scheme 5. Reagents and conditions: (a) $(BnO)_2CMe_2$, $BF_3\cdotOEt_2$ (20 mol equiv) in $(CHCl_2)_2$, 120 °C; (b) NaBH₄ in $CHCl_3$ –MeOH, rt, 16 h; (c) HCl in MeOH, rt, 1 h.

nucleophilic addition, leading to the synthesis of 4-substituted β -carbolines such as 4-amino (17, Nu = NHR),^{15a,17,18} 4-aralkyl (17, Nu = alkyl or aryl),^{19–22} and 4-thio (17, Nu = SR) derivatives. These synthetic applications and a precise study of the desulfination mechanism of the *N*-tosyl group of this reaction are now in progress.

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- 23. General procedure for preparation of 7 from 5: To a suspended solution of 1-(*p*-toluenesulfonyl)-piperidine-3,5-dione (6b) (4.0 mmol) and NaOAc (8.0 mmol) in AcOH (10 mL) was added a solution of phenylhydrazine hydrochloride (5) (2.0 mmol) in AcOH (10 mL) at 0 °C. Then the mixture was stirred at rt for 0.3–2 h. The reaction mixture was poured into saturated aqueous NaHCO₃, and then extracted with EtOAc. The organic layer was washed with brine, dried over anhydrous Na₂SO₄, and evaporated

under reduced pressure. The residual solid was purified by column chromatography on silica gel using CH_2Cl_2 -EtOAc (1:1) as a solvent system to afford the product (7) shown in Table 1.

- 24. General procedure for preparation of 8 from 7: To a stirred solution of phenylhydrazone (7) (0.20 mmol) in 1,1,2,2-tetrachloroethane (4.0 mL), borontrifluoride etherate (4.0 mmol) was added at 0 °C under argon atmosphere, and then the reaction mixture was heated to 120 °C for 0.2–3 h. The reaction mixture was poured into saturated aqueous NaHCO₃, and extracted with EtOAc. The organic layer was washed with brine, dried over anhydrous MgSO₄, and evaporated under reduced pressure. The residual solid was purified by column-chromatography on silica gel using CH₂Cl₂–EtOAc (1:1) as a solvent system to afford the product (8) shown in Table 2.
- 25. General procedure for preparation of **10** from **8**: To a stirred solution of 1,2,3,4-tetrahydro-β-carbolin-4-one (**8**) (0.050 mmol) in 1,1,2,2-tetrachloroethane (1.0 mL), dimethoxypropane (0.40 mmol), and borontrifluoride etherate (1.0 mmol) were added at 0 °C under argon atmosphere, and then the reaction mixture was heated to 120 °C for 0.2–0.8 h. The reaction mixture was poured into saturated aqueous NaHCO₃, and extracted with EtOAc. The organic layer was washed with brine, dried over anhydrous MgSO₄, and evaporated under reduced pressure. The residual solid was purified by column-chromatography on silica gel using CH₂Cl₂–MeOH (10:1) as a solvent system to afford the product (**10**) shown in Table 3.
- 26. One-pot procedure for **10a** from **7a**: To a stirred solution of compound (**7a**) (38.6 mg, 0.108 mmol) in 1,1,2,2tetrachloroethane (2.0 mL), borontrifluoride etherate (0.36 mL, 2.9 mmol) was added at 0 °C under argon atmosphere, and then the reaction mixture was heated to 120 °C for 2 h. To the reaction mixture, dimethoxypropane (0.2 mL, 1.6 mmol) was added at 120 °C, and heated at 120 °C for 1 h. The reaction mixture was worked up as described above to afford the product (**10a**, 16.5 mg) in 77% yield.
- 27. Data for selected compounds: 5-[(4-chloro-phenyl)hydrazono]-1-(*p*-toluenesulfonyl)-piperidin-3-one (7c): mp 190–191 °C. ¹H NMR (DMSO- d_6) δ : 2.39 (3H, s), 3.62 (2H, s), 4.10 (2H, s), 4.83 (1H, s), 6.59 (2H, d, J = 8.8), 7.17 (2H, d, J = 8.8), 7.45 (2H, d, J = 8.3), 7.62 (2H, d, J = 8.3), 8.04 (1H, br s), 9.14 (1H, br s). IR max (KBr) cm⁻¹: 3345, 3255, 1595.
- 28. 6-Chloro-1,2,3,4-tetrahydro-2-(*p*-toluenesulfonyl)-9*H*-β-carbolin-4-one (8c): mp 248–250 °C. ¹H NMR (DMSO-*d₆*) δ: 2.15 (3H, s), 3.98 (2H, s), 4.78 (2H, s), 7.18 (2H, d, *J* = 8.3), 7.22 (1H, dd, *J* = 8.8 and 2.0), 7.50 (1H, d, *J* = 8.8), 7.54 (2H, d, *J* = 8.3), 7.66 (1H, d, *J* = 2.0), 12.16 (1H, br s). IR max (KBr) cm⁻¹: 3388, 1660.
- 29. 6-Chloro-4-methoxy-β-carboline (**10c**): mp 265–267 °C. ¹H NMR (DMSO-*d*₆) δ: 4.17 (3H, s), 7.54 (1H, dd, J = 8.8 and 2.0), 7.63 (1H, d, J = 8.8), 8.14 (1H, d, J = 2.0), 8.14 (1H, br s), 8.63 (1H, br s), 11.86 (1H, br s). IR max (KBr) cm⁻¹: 3447.