Ruthenium-Catalyzed Stereoselective Intramolecular Carbenoid C–H Insertion for β - and γ -Lactam Formations by Decomposition of α -Diazoacetamides

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ABSTRACT



An operationally simple catalytic system based on $[RuCl_2(p-cymene)_2]$ was developed for stereoselective cyclization of α -diazoacetamides by intramolecular carbenoid C–H insertion, and β -lactams were produced in excellent yields and >99% *cis*-stereoselectivity. The Ru-catalyzed reactions can be performed without the need for slow addition of diazo compounds and inert atmosphere. With α -diazoanilides as substrate, the carbenoid insertion was directed selectively to aromatic C–H bond leading to γ -lactam formation (>95% yield).

Transition metal-catalyzed intramolecular carbenoid C–H insertion by decomposition of α -diazocarbonyl compounds constitutes a powerful strategy for construction of carbocyclic and heterocyclic compounds.¹ A notable example is the dirhodium(II,II) carboxylate-catalyzed decomposition of α -diazoacetamides for stereoselective preparation of β - and γ -lactams,^{1b–e} which are prevalent structures in natural products and many pharmaceuticals.² However, apart from rhodium, few transition metal complexes are known to exhibit comparable reactivities for catalytic carbenoid C–H insertion reaction.³

The use of ruthenium complexes as catalysts for stereoselective C–C bond formation is receiving current attention.⁴ We previously showed that ruthenium porphyrins⁵ are effective catalysts for cyclization of tosylhydrazones via intramolecular carbenoid C–H insertion to afford *cis*disubstituted dihydrobenzofurans and β -lactams in excellent yields and *cis*-stereoselectivity.⁶ With an objective to develop

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^{*a*} Reaction conditions: A mixture of diazo compound (0.1 mmol) and $[RuCl_2(p-cymene)]_2$ (0.5 mol %) was stirred in toluene at 70 °C in an open atmosphere unless otherwise noted. ^{*b*} Isolated yield. ^{*c*} Yields determined by ¹H NMR analysis of the crude reaction mixture. ^{*d*} Reaction performed under N₂ atmosphere. ^{*e*} [RuCl₂(*p*-cymene)]₂ loading = 2.5 mol %.

enantioselective carbenoid reactions, the rather laborious procedure required for structural modification of the porphyrin ligands prompted us to search for some nonporphyrin-based ruthenium systems that would be more amenable to structural variation. In developing operationally simple and practical protocols for catalytic carbenoid transformations, we now report that $[RuCl_2(p-cymene)]_2$ can mediate catalytic intramolecular carbenoid C-H insertion reactions by decomposition of α -diazoacetamides. The β -lactam products were obtained in >90% yield with remarkable stereoselectivity (>99% cis). There are extensive reports describing [RuCl₂(*p*-cymene)]₂ as a catalyst for a variety of reactions such as transfer hydrogenation,7a,b alkene metathesis,7c,d aerobic oxidation,7e and alkene cyclopropanation.7f-h As yet, however, examples for [RuCl₂(*p*-cymene)]₂-catalyzed carbenoid C-H insertion are unprecedented in the literature.

Treatment of *N*-*p*-chlorobenzyl-*N*-*tert*-butyl- α -ethoxycarbonyl- α -diazoacetamide (**1a**, 0.1 mmol) with [RuCl₂(*p*cymene)]₂ (0.5 mol %) in toluene (10 mL) at 70 °C under an argon atmosphere afforded *N*-*tert*-butyl-*cis*-1-ethoxycarbonyl-2-*p*-chlorophenyl- β -lactam (**2a**) in quantitative yield after 0.5 h (Table 1, entry 1). No *trans-\beta*-lactam product was detected by ¹H NMR analysis of the crude mixture. The stereochemistry of the *cis-\beta*-lactam was established by ¹H NMR spectroscopy.

Without $[RuCl_2(p-cymene)]_2$ as catalyst, no β -lactam formation was observed and the starting **1a** was quantitatively recovered. It is noteworthy that the *cis*-stereoselectivity observed in this work is comparable to that for the ruthenium porphyrin-catalyzed aryl tosylhydrazone cyclizations. According to the literature, dirhodium-catalyzed decompositions of α -diazoacetamides are known to favor *trans-\beta*-lactam formation.⁸

Presumably, the β -lactam formation is mediated by a reactive ruthenium carbene species, which undergoes carbenoid insertion to the benzylic C–H bond. In this work, when [RuCl₂(*p*-cymene)]₂ was reacted with diphenyldiazomethane (4 equiv) in toluene at 70 °C under nitrogen, complete decomposition of the diazo compounds resulted affording tetraphenylethylene in 83% yield. However, attempts to isolate the putative ruthenium carbene complex were futile. Previously, Nishiyama and co-workers reported that [RuCl₂(*p*-cymene)]₂ reacted with vinyl diazoacetate to generate a π -allyl ruthenium complex, which was structurally characterized by X-ray crystallography.⁹

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According to earlier reports,^{5,7f-h,10} slow addition of α -diazo compounds and inert atmosphere were often necessary for ruthenium-catalyzed carbenoid transformations. The slow addition procedure is to avoid/minimize the diazo coupling reaction. In this work, we found that the [RuCl₂-(*p*-cymene)]₂-catalyzed intramolecular carbenoid C–H insertion reaction could be performed without using the slow addition procedure or an inert atmosphere. For example, heating a mixture of **1a** (0.1 mmol) and [RuCl₂(*p*-cymene)]₂ (0.5 mol %) at 70 °C in open atmosphere (i.e., without Ar/N₂ protection) furnished *cis*- β -lactam in quantitative yield within 0.5 h (Table 1, entry 1). No diazo coupling products (fumarate/maleate) were detected by ¹H NMR analysis.

Employing the reaction conditions: Ru (1 mol %), toluene, 70 °C, other ruthenium complexes such as [Ru^{II}(TTP)(CO)] [H₂TPP = *meso*-tetrakis(*p*-tolyl)porphyrin], [Ru^{II}(salen)-(PPh₃)₂] [salen = *N*,*N'*-bis(2,4-dibromosalicyclidene)-1,2cyclohexanediamine)], [Ru^{II}(6,6'-Cl₂-bpy)₂(H₂O)₂] (CF₃SO₃)₂ (6,6'-Cl₂-bpy = 6,6'-dichloro-2,2'-bipyridine),¹¹ [Ru^{II}(PPh₃)₂-Cl₂], and [Ru(COD)Cl₂]_n (COD = 1,8-cyclooctadiene) failed to effect catalytic cyclization of **1a** with complete recovery of the starting material. Under an inert atmosphere, [Cp*RuCl₂]₂ (Cp* = pentamethylcyclopentadienyl) was found to catalyze cyclization of **1a** to give *cis*-lactam **2a** exclusively in 96% yield (NMR) within 2 h. However, when the identical reaction was conducted in an open atmosphere, the *cis*-lactam product was obtained in only 62% yield at 80% substrate conversion after 5 h of reaction.

In this work, common solvents such as toluene, CHCl₃, CH₂Cl₂, acetone, EtOAc, and THF could be utilized without prior treatment for the cyclization of **1a** with >95% yields and complete *cis*-selectivity being attained in most cases (see the Supporting Information). However, when DMF, CH₃-CN, and MeOH were used as solvent, no substrate conversion was observed within 3 h.

The scope of the [RuCl₂(*p*-cymene)]₂-catalyzed intramolecular carbenoid C–H insertion has been explored and the results are depicted in Table 1. Analogous to **1a**, other *N-para*-Y-substituted benzyl-*N-tert*-butyl α -diazoacetamides [Y = H (**1b**), OMe (**1c**)] were converted to the corresponding *cis-* β -lactams (99% NMR yields) under the Ru-catalyzed conditions (entries 2 and 3). Even so, the catalytic reaction of α -diazoketone **1d** was found to give *trans*-lactam **2d** exclusively in quantitative yield (entry 4). With *N*,*N*diisopropyl substituted α -diazoacetamide **1e** as substrate, the Ru-mediated carbenoid insertion was directed to the methine (tertiary) C–H bond furnishing β -lactam **2e** in 89% isolated yield (entry 5). No γ -lactam due to insertion at the primary C–H bond was detected by ¹H NMR analysis. The observed reactivity preference (i.e., tertiary C–H > primary C–H

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bonds) is similar to the related systems with $[Rh_2(CH_3CO_2)_4]$ as catalyst.⁸

Using **1f** as substrate and $[\text{RuCl}_2(p\text{-cymene})]_2$ as catalyst (0.5 mol %), a mixture of *trans*- γ -lactam **3** (51%) and *cis*- β -lactam **2f** (15%) was produced after 16 h of reaction (Table 1, entry 6).¹² Again, no *trans*- β -lactam product was detected by ¹H NMR analysis of the crude reaction mixture. The *trans*-stereochemistry of γ -lactam **3** was established by a 2D-NOESY NMR study (see the Supporting Information).

With $[\text{RuCl}_2(p\text{-cymene})]_2$ (2.5 mol %) in toluene at 70 °C for 2 h, α -diazoacetamide **1g** containing a benzyl and a phenylethylene group underwent intramolecular carbenoid C–H insertion reaction to afford *trans-* γ -lactam **4** and *cis*- β -lactam **2g** in 53 and 28% yield, respectively (Table 1, entry 7). Similar results were obtained when $[\text{Rh}_2(\text{CH}_3\text{CO}_2)_4]$ was employed as a catalyst (0.1 mol %) in CH₂Cl₂ at reflux under N₂. Assuming metal-carbenoids are being generated as active intermediates, the formation of γ - and β -lactams can be explained by the presence of two reactive conformations as depicted in Scheme 1.¹³

We also explored carbenoid insertion into aromatic C–H bonds.¹⁴ When α -diazoanilides **1h** and **1i** were treated with [RuCl₂(*p*-cymene)]₂ (2.5 mol %) in toluene at 70 °C for 16 h, effective carbenoid C–H insertion into the *p*-methoxy-phenyl group was observed, and γ -lactams **5** and **6** were isolated in 97 and 92% yields respectively (Table 1, entries 8 and 9). However, using [Rh₂(CH₃CO₂)₄] as catalyst (CH₂-Cl₂ at reflux, 16 h), the analogous reactions yielded *trans*- β -lactams (57% for **1h**; 87% for **1i**) and γ -lactams (43% for **1h**; 15% for **1i**).

For the Ru-catalyzed reaction of α -diazoanilides, complete substrate consumption was observed within 2 h based on TLC monitoring. However, ¹H NMR analysis of the reaction mixtures revealed a complicated spectrum. This finding suggested that decarboxylation of the putative α -ethoxycarbonyl γ -lactam may involve several undefined chemical

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species. Nevertheless, heating the reaction mixtures for an additional 14 h yielded the γ -lactams exclusively in quantitative yields based on NMR analysis.

The regioselectivity observed in the Ru-catalyzed aromatic C-H insertion reactions (Scheme 2) can be explained by the putative ruthenium carbenoid preferring to react via conformer A rather than conformer B due to reduced nonbonded interactions between the alkyl chain and the carbonyl group.

The asymmetric synthesis of β -lactams has been a subject of extensive investigation.^{1,15} In this work, we have examined enantioselective cyclization of α -diazoacetamides catalyzed by [RuCl₂(*p*-cymene)]₂ in the presence of a chiral pyridine bis(oxazoline) ligand L* (Scheme 3). Earlier work by Nishiyama and co-workers showed that chiral [RuCl₂(L*)-(C₂H₄)] complexes are effective catalysts for enantioselective alkene cyclopropanations.^{7f}

Treatment of **1a** with $[RuCl_2(p-cymene)]_2$ (5 mol %) and L* (10 mol %) in toluene at 70 °C for 72 h produced *trans*-



β-lactam **2a** exclusively in 80% isolated yield. By means of ¹H NMR analysis with Eu(hfc)₃ as shift reagent, the optical purity of *trans*-**2a** was determined to be 50% ee (Scheme 3). Identical results (*trans*-**2a**: 72% yield, 53% ee) were obtained when treating **1a** with [RuCl₂(L*)(C₂H₄)] as catalyst. Using the "[RuCl₂(*p*-cymene)]₂ + L*" protocol, reactions of other diazoacetamides **1b** and **1c** furnished the corresponding β-lactams in moderate enantioselectivities (41% ee for *trans*-**2b** and 53% ee for *trans*-**2c**; see Table S2, Supporting Information). Yet, the cyclization of **1c** also gave the *cis*-β-lactam as a minor product (8% isolated yield), and the optical purity of the *cis*-**2c** was determined to be 55% ee (Table S2, Supporting Information). The factors governing the stereo- and enantioselectivities for the present Rucatalyzed carbenoid C–H insertion are under investigation.

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Supporting Information Available: Detail experimental procedures and characterization data. This material is available free of charge via the Internet at http://pubs.acs.org.

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