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The first catalytic enantioselective α -sulfenylation of deconjugated butyrolactams has been developed using dimeric cinchona alkaloids as the catalyst in water-enriched reaction medium. Highly substituted and densely functionalized γ -lactams, bearing a quaternary stereogenic center, are produced with up to 99.5:0.5 er. The applicability of the same catalyst system for enantioselective α -selenylation and formal vinylogous γ hydroxylation of deconjugated butyrolactam has also been described.

Introduction

y-Lactams and their derivatives are structural motifs often found in a wide variety of natural products and are of principal interests in medicinal chemistry.¹ Therefore, enantioselective construction of structurally diverse γ -lactams remain a subject of general interest. 2-Silyloxypyrroles² and N-Boc α , β unsaturated γ -butyrolactam³ are the two most commonly employed building blocks for enantioselective synthesis of γ -lactams. In spite of their widespread utility, the methods involving the former suffer from narrow substrate scope while the applicability of the latter is limited to the synthesis of γ-monosubstituted γ-lactams. The corresponding γ -monosubstituted α , β -unsaturated butyrolactams (Scheme 1A), owing to their considerably lower acidity, have not found application in catalytic enantioselective synthesis until a very recent report by Maruoka and co-workers.⁴ Although the problem of low acidity of γ -monosubstituted conjugated butyrolactams has been addressed by the Maruoka group using a fairly strong base under phase-transfer catalysis, the scope of this vinylogous Michael reaction is restricted to γ -aryl or heteroarvl substituted butvrolactams.⁴

In the domain of butenolides – the oxo-analogs of γ -lactams, a similar problem has been tackled through the introduction of γ -substituted β , γ -unsaturated butyrolactones (deconjugated butenolides) as a highly reactive class of nucleophiles (Scheme 1A).⁵ A wide range of catalytic enantioselective reactions involving deconjugated butenolides have been reported during the past few years.⁶ In contrast, the corresponding deconjugated butyrolactams, although reported in the literature,⁷ have rarely been used as nucleophile.⁴ In

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fact, enantioselective reactions involving deconjugated butyrolactams remain sporadic.⁸

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In analogy with deconjugated butenolides, we postulated that enolization of butyrolactam should be possible under the influence of a Brønsted basic tertiary amine catalyst. The resulting pyrrolyl dienolate could then react with an electrophile in a regiodivergent manner either through α - or γ -position (Scheme 1B). This strategy holds the potential of generating highly substituted and densely functionalized γ -lactams containing a quaternary stereogenic center. Controlling regioselectivity would obviously be the key since a mixture of α - and γ -addition products would be of little value.

Prevalence of sulfur-containing compounds in many synthetic drugs and bioactive natural products⁹ inspired the development of numerous enantioselective C–S bond forming

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ARTICLE

reactions.¹⁰ However, to the best of our knowledge, sulfenylation of butyrolactams has never been reported, let alone an enantioselective variant.¹¹ Herein we report the first example of the use of deconjugated butyrolactams as nucleophile in catalytic enantioselective carbon–heteroatom bond forming transformations (Scheme 1B).

Results and discussion

At the outset of our investigation, sulfenylation of tetrasubstituted β , γ -unsaturated butyrolactam **1a** with N-(phenylsulfanyl)succinimide (2a) was selected as the model reaction (Table 1). Our initial focus was on the Brønsted basic catalysts, employed earlier for various enantioselective reactions of deconjugated butenolides by our group and others.^{5,6} Bifunctional tertiary amino(thio)ureas and squaramides, although displayed decent catalytic activity for the sulfenylation of 1a, failed to induce appreciable level of enantioselectivity.¹² Nevertheless, we were delighted to observe that in all these cases, α -sulfenylation product was formed exclusively without a trace of γ -addition. This regioselectivity trend stands in sharp contrast to those reported earlier for deconjugated butenolides, where γ -addition predominates.^{5,6,13} While modest enantioselectivity

Table 1 Optimization of reaction conditions: effect of water				
$\begin{array}{c} \text{EtO}_2\text{C} \\ \text{Me} \\ \text{Me} \\ \text{B}_n \\ 1a \\ (1.0 \text{ equiv}) \end{array} + \begin{array}{c} \text{N-SPh} \\ \text{N-SPh} \\ \text{Solvent} \\ (0.13 \text{ M}) \\ 25 \text{ °C} \end{array} + \begin{array}{c} \text{EtO}_2\text{C} \\ \text{Me} \\ \text{Me} \\ \text{N-SPh} \\ \text{Me} \\ \text{Me} \\ \text{N-SPh} \\ \text{Solvent} \\ (0.13 \text{ M}) \\ \text{Solvent} \\ (1.3 \text{ M}) \\ \text{Solvent} \\ \text{Solvent} \\ \text{Solvent} \\ (0.13 \text{ M}) \\ \text{Solvent} \\ Solv$				
$(QD)_2PHAL: R = CH=CH_2$				
Entry	/ Catalyst	Solvent	t/hª	er ^b
1	None	CH ₂ Cl ₂	48 ^c	-
2	quinidine	CH ₂ Cl ₂	72	62.5:37.5
3	(OD) ₂ PHAL	CH ₂ Cl ₂	24	88:12
	(
4	(QD)₂PHAL	PhMe	96	93.5:6.5
4 5	(QD)₂PHAL (QD)₂PHAL	PhMe t-BuPh	96 96	93.5:6.5 96.5:3.5
4 5 6	(QD) ₂ PHAL (QD) ₂ PHAL (DHQD) ₂ PHAL	PhMe t-BuPh t-BuPh	96 96 96	93.5:6.5 96.5:3.5 93.5:6.5
4 5 6 7	(QD) ₂ PHAL (QD) ₂ PHAL (DHQD) ₂ PHAL (QD) ₂ PHAL	PhMe t-BuPh t-BuPh t-BuPh t-BuPh/H ₂ O (1:1)	96 96 96 36	93.5:6.5 96.5:3.5 93.5:6.5 96.5:3.5
4 5 6 7 8	(QD)₂PHAL (QD)₂PHAL (DHQD)₂PHAL (QD)₂PHAL (QD)₂PHAL (QD)₂PHAL	PhMe t-BuPh t-BuPh t-BuPh/H ₂ O (1:1) t-BuPh/H ₂ O (3:1)	96 96 36 72	93.5:6.5 96.5:3.5 93.5:6.5 96.5:3.5 97:3
4 5 6 7 8 9	(QD) ₂ PHAL (QD) ₂ PHAL (DHQD) ₂ PHAL (QD) ₂ PHAL (QD) ₂ PHAL (QD) ₂ PHAL (QD) ₂ PHAL	PhMe t-BuPh t-BuPh t-BuPh/H ₂ O (1:1) t-BuPh/H ₂ O (3:1) t-BuPh/H ₂ O (1:3)	96 96 36 72 36	93.5:6.5 96.5:3.5 93.5:6.5 96.5:3.5 97:3 97:3
4 5 7 8 9 10	(QD) ₂ PHAL (QD) ₂ PHAL (DHQD) ₂ PHAL (QD) ₂ PHAL (QD) ₂ PHAL (QD) ₂ PHAL (QD) ₂ PHAL (QD) ₂ PHAL	PhMe t-BuPh t-BuPh t-BuPh/H ₂ O (1:1) t-BuPh/H ₂ O (3:1) t-BuPh/H ₂ O (1:3) t-BuPh/H ₂ O (1:9)	96 96 36 72 36 12	93.5:6.5 96.5:3.5 93.5:6.5 96.5:3.5 97:3 97:3 96.5:3.5
4 5 7 8 9 10 11 ^d	(QD) ₂ PHAL (QD) ₂ PHAL (DHQD) ₂ PHAL (QD) ₂ PHAL (QD) ₂ PHAL (QD) ₂ PHAL (QD) ₂ PHAL (QD) ₂ PHAL (QD) ₂ PHAL	PhMe t-BuPh t-BuPh t-BuPh/H ₂ O (1:1) t-BuPh/H ₂ O (3:1) t-BuPh/H ₂ O (1:3) t-BuPh/H ₂ O (1:9) t-BuPh/H ₂ O (1:9)	96 96 36 72 36 12 24	93.5:6.5 96.5:3.5 93.5:6.5 96.5:3.5 97:3 97:3 96.5:3.5 98:2
4 5 7 8 9 10 11 ^d 12 ^e	(QD) ₂ PHAL (QD) ₂ PHAL (DHQD) ₂ PHAL (QD) ₂ PHAL	PhMe t-BuPh t-BuPh t-BuPh/H ₂ O (1:1) t-BuPh/H ₂ O (3:1) t-BuPh/H ₂ O (1:3) t-BuPh/H ₂ O (1:9) t-BuPh/H ₂ O (1:9)	96 96 36 72 36 12 24 48	93.5:6.5 96.5:3.5 93.5:6.5 96.5:3.5 97:3 97:3 96.5:3.5 98:2 97.5:2.5
4 5 6 7 8 9 10 11 ^d 12 ^e 13	(QD) ₂ PHAL (QD) ₂ PHAL (DHQD) ₂ PHAL (QD) ₂ PHAL	PhMe t-BuPh t-BuPh t-BuPh/H ₂ O (1:1) t-BuPh/H ₂ O (3:1) t-BuPh/H ₂ O (1:3) t-BuPh/H ₂ O (1:9) t-BuPh/H ₂ O (1:9) t-BuPh/H ₂ O (1:9) H ₂ O	96 96 36 72 36 12 24 48 6	93.5:6.5 96.5:3.5 93.5:6.5 96.5:3.5 97:3 97:3 96.5:3.5 98:2 97.5:2.5 96:4
4 5 6 7 8 9 10 11 ^d 12 ^e 13 14	(QD) ₂ PHAL (QD) ₂ PHAL (DHQD) ₂ PHAL (QD) ₂ PHAL	PhMe t-BuPh t-BuPh t-BuPh/H ₂ O (1:1) t-BuPh/H ₂ O (3:1) t-BuPh/H ₂ O (1:3) t-BuPh/H ₂ O (1:9) t-BuPh/H ₂ O (1:9) H ₂ O Brine	96 96 36 72 36 12 24 48 6 12	93.5:6.5 96.5:3.5 93.5:6.5 96.5:3.5 97:3 97:3 96.5:3.5 98:2 97.5:2.5 96:4 97:3

^{*a*} Time required for complete consumption of **1a**. ^{*b*} Enantiomeric ratio (er) was determined by HPLC analysis on a chiral stationary phase. ^{*c*} No conversion of **1a**. ^{*d*} Reaction at 0.1 M concentration. ^{*e*} Reaction at 0.05 M concentration.

was observed with quinidine as the catalyst in CH_2Cl_2 at 25 °C, 10 mol% of its dimer $(QD)_2PHAL$ furnished the product with significantly improved er under the same reaction conditions (entries 2-3). A comprehensive solvent survey revealed *tert*butylbenzene (*t*-BuPh) as the optimal in terms of enantioselectivity, even though the reaction rate suffered drastically (entry 5). In an attempt to address the sluggish rate of this sulfenylation process, we speculated the use of water as a co-solvent which is known to accelerate certain organic reactions through "hydrophobic hydration".¹⁴ Additionally, the stoichiometric by-product succinimide may be removed from the organic phase because of its high water solubility.

The use of a 1:1 mixture of t-BuPh and water as the reaction medium was indeed found to enhance the rate of the reaction considerably without affecting the enantioselectivity, and led to complete conversion of **1a** within 36 h (entry 7). This effect appeared to be more prominent in water-enriched media, and a 1:9 ratio of t-BuPh/water turned out to be the optimal with respect to both rate and enantioselectivity (entry 10). On performing the reaction on 0.1 M initial concentration, an improved enantioselectivity (98:2 er) was observed within a reasonable time scale (entry 11). However, further dilution showed a deleterious influence on both reaction rate and er (entry 12). It is interesting to note that the sulfenylation reaction proceeds even more rapidly in aqueous medium¹⁵ and also in brine while maintaining high level of enantioselectivity (entries 13-14). The rate deceleration in antihydrophobic aqueous LiClO₄ is often used as an indication for hydrophobic hydration effect.¹⁴ The sulfenylation reaction, when conducted in saturated aqueous LiClO₄ solution, required 80 h for completion as opposed to 6 h in water and 12 h in brine (cf. entry 15 with entries 13-14). These observations tentatively point to the possible hydrophobic hydration effect operative for this sulfenylation reaction in water-enriched media.

The scope and limitations of this sulfenylation protocol was then tested under the catalyst and reaction conditions optimized for 1a and 2a (Table 1, entry 11). As shown in Table 2A, an array of butyrolactams having α -substituent with diverse steric and electronic demands were well accommodated to deliver the α -sulfenylated products generally with high yields and excellent enantioselectivities. These substituents include benzylic (3aa-ia) as well as linear (3ja) and branched (3la) aliphatics. However, α -isobutyl containing butyrolactam (3k) remained unreacted under our reaction conditions, presumably due to increased steric crowding near the reaction site. Our protocol was amenable to different aromatic sulfenyl donors (2b-f), furnishing products with good to high yields and excellent enantioselectivities (Table 2B). The aliphatic sulfenyl donors (2g-h) were found to be unreactive under our reaction conditions and hence mark a current limitation of our protocol. Deconjugated butyrolactams bearing various substituents at the γ -position (1m-p), on ester (1q) as well as on nitrogen were also tolerated and afforded the products generally in good yields and with moderate to high er (Table 2C). In fact, the product derived from N-unprotected butyrolactam (3ta) was also obtained in high vield, albeit with moderate er.

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The single crystal X-ray diffraction analysis of 3aa confirmed its absolute configuration (Table 2A).¹⁶ The configurations of the other products were assigned the same by analogy.



^a Reactions were carried out on a 0.1 mmol scale. Yields correspond to the isolated yield. Er was determined by HPLC analysis on a chiral stationary phase. PMB = p-methoxybenzyl. DMB = 2,4-dimethoxybenzyl.

The scalability of this protocol is demonstrated by conducting the reaction between 1a and 2a in 1.0 mmol scale using water as the reaction medium (Scheme 2). The product 3aa, which was initially formed with 96.5:3.5 er, could be recrystallized to deliver essentially enantiopure product.

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ARTICLE

An important aspect of a catalytic enantioselective protocol is to provide access to both product enantiomers. To our delight, the opposite product antipode ent-3aa was formed in 89% yield and with 97:3 er using the related pseudoenantiomeric quinine dimer (Q)₂PHAL as the catalyst under otherwise standard reaction conditions (Scheme 2).



Scheme 2 "On water" sulfenylation in mmol scale and access to enantiomeric product.

We reasoned that the catalyst and reaction conditions developed for the enantioselective sulfenylation reaction (Table 2) might be suitable for an analogous α -selenylation of deconjugated butyrolactams. However, the instability of the related reagent N-(phenylseleno)succinimide 4 in water forced us to use t-BuPh as the reaction medium. Under these modified conditions, the α -selenyl butyrolactam **5** was formed in 72% yield and with 94.5:5.5 er (Scheme 3). A single recrystallization from *n*-hexane/CHCl₃ not only led to nearly enantiopure 5, but also allowed us to establish its absolute configuration through X-ray diffraction analysis.¹⁶ The same sense of stereoinduction observed for sulfenylation and selenylation points to a mechanistic resemblance of these two reactions.



Having successfully developed the protocols for catalytic enantioselective α -sulferightion and α -selenglation of deconjugated butyrolactams, we turned our attention to demonstrate the synthetic usefulness of the respective products. The α -selenyl butyrolactam 5, upon exposure to mCPBA in a mixture of CH₂Cl₂ and water, rapidly rearranged to a γ -hydroxylated α , β -unsaturated butyrolactam **6** with retention of configuration, as confirmed by X-ray diffraction analysis (Scheme 4A).¹⁶ This enantiospecific heteroatom transposition reaction most likely proceeds via the oxidation of the allylic selenide moiety, embedded in the butyrolactam framework, to the corresponding selenoxide (7) followed by a

ARTICLE

[2,3]-sigmatropic rearrangement.¹⁷ It is possible to perform this reaction in a one-pot manner starting from 1a, when the product (6) was formed in 66% yield with comparable enantioselectivity as the stepwise route. This process represents а formal enantioselective vinylogous γ -hydroxylation of deconjugated butyrolactam – a hitherto unknown transformation. Notably, γ-hydroxy γ-lactams represent the core structure of numerous natural products.¹⁸ Even though sulfides are known to undergo the same type of rearrangement,¹⁹ the similar oxidation conditions, when applied to α -sulfenyl butyrolactam **3aa**, led to sulfone **8** in high yield, without any trace of hydroxylation product (Scheme 4B).



Conclusions

In conclusion, deconjugated butyrolactams have been applied for the first time as nucleophile in catalytic enantioselective carbon-heteroatom bond forming transformations. Catalyzed by dimeric cinchona alkaloids in a water-enriched reaction media, this α -sulfenylation protocol delivers highly substituted and densely functionalized γ -lactams, bearing a guaternary stereogenic center, in moderate to high yields and generally with high enantioselectivities. Substantial rate acceleration was observed in water-enriched or aqueous media, possibly due to hydrophobic hydration effect. The suitability of the same catalyst system for enantioselective α -selenylation and formal vinylogous γ -hydroxylation of deconjugated butyrolactam has also been demonstrated.

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