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*J. Agric. Food Chem.*, **Just Accepted Manuscript** • DOI: 10.1021/jf505922b • Publication Date (Web): 11 Feb 2015

Downloaded from <http://pubs.acs.org> on February 18, 2015

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1 **Synthesis and quantitative analysis of plasma-targeted metabolites**  
2 **of catechin and epicatechin**

3

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24

25 **ABSTRACT:** Grape seed polyphenolic extract (GSPE) rich in the flavan-3-ols (+)-catechin and  
26 (-) epicatechin beneficially modulates Alzheimer's Disease phenotypes in animal models. The  
27 parent molecules in the extract are converted to a series of methylated and glucuronidated  
28 derivatives. To fully characterize these metabolites, and establish a robust quantitative assay of  
29 their levels in biological fluids, we have implemented a partial synthetic approach utilizing  
30 chemical methylation followed by enzymatic glucuronidation. Liquid chromatography/time-of-  
31 flight mass spectrometry (LC-TOF-MS) and nuclear magnetic resonance (NMR) spectroscopy  
32 were used to assign unequivocal structures to the compounds. An analytical method using solid-  
33 phase extraction and LC-MS/MS in selective reaction monitoring mode (SRM) was validated for  
34 their quantitation in plasma. These studies provide a basis for improvements in future work on  
35 the bioavailability, metabolism and mechanism of action of metabolites derived from dietary  
36 flavan-3-ols in a range of interventions.

37

38 **KEYWORDS:** *catechin, glucuronosylation, O-methylation, phase II metabolites, semi-*  
39 *synthesis, structure determination, two-site validation*

40

## 41 ■ INTRODUCTION

42 Plant derived polyphenolic compounds possess diverse biological activities, and some have been  
43 reported to show anti-tumor, anti-oxidant, anti-inflammatory, or anti-microbial activities (1-3).  
44 Previous work from our laboratories has shown that polyphenolic compounds from multiple  
45 sources, including a specific grape seed polyphenolic extract (GSPE), are capable of improving

46 cognitive functions and reducing brain neuropathology in animal models of Alzheimer's Disease  
47 through multiple mechanisms (4, 5). At least a part of this effect may be mediated through  
48 interference with aggregation of  $\beta$ -amyloid peptides into neurotoxic, soluble high-molecular  
49 weight species (5).

50 GSPE is a complex mixture of proanthocyanidins (PACs, both oligomeric and polymeric)  
51 and their monomeric units consisting of the flavan-3-ols (+)-catechin (C) and (-)-epicatechin  
52 (EC) (6). The flavan-3-ol units are assembled into the various types of oligomers and polymers  
53 through C4→C8 or C4→C6 interflavan bonds, and the individual units in the polymer can also  
54 be esterified with gallic acid. The monomeric units, rather than the oligomers or polymers, are  
55 bioavailable and therefore candidates for being the active components in the GSPE as regards  
56 amelioration of Alzheimer's Disease symptoms (4). These components, in the form of  
57 glucuronidated and/or methylated phase II metabolites, reach the brain at a concentration of 300-  
58 400 nM after 10 days of repeated dosing (4).

59 While knowledge of all metabolites found in plasma may be of broader significance for  
60 understanding the therapeutic effects of GSPE on a range of disease phenotypes, mechanistic  
61 studies require knowledge of the exact structures of metabolites that accumulate in brain or other  
62 tissue. To initiate such studies, we have previously implemented a semi-synthetic approach to  
63 generate sufficient quantities of flavan-3-ol metabolites for unequivocal identification. We  
64 demonstrated that recombinant human glucuronosyltransferases of the UGT1A and UGT2B  
65 families can efficiently glucuronidate EC or 3'-O-methyl-EC in vitro, suggesting a method for  
66 the semi-synthesis of brain-targeted flavan-3-ol metabolites (7). Of twelve enzymes tested,  
67 UGT1A9 was the most efficient, and this enabled us to generate 3'-O-methyl-EC-5-O-  
68 glucuronide to 50% overall yield (7).

69 In the present study, we have extended the above approach, in combination with chemical  
70 methylation of C and EC with iodomethane, to generate authentic standards of the C and EC  
71 metabolites found in the plasma and brains of rats treated with GSPE. The structures of the  
72 metabolites were rigorously identified, and we present a robust, validated protocol for the  
73 measurement of the levels of these compounds in animal fluids.

74

## 75 ■ MATERIALS AND METHODS

76 **Materials.** UDP-glucuronosyl transferase enzymes (recombinant or as mouse  
77 microsomes), buffers and UDP-glucuronic acid were purchased from BD Biosciences (San  
78 Diego, CA). (-)-Epicatechin, (+)-catechin, DBU (1,8-diazabicyclo[5.4.0]undec-7-ene) and  
79 iodomethane were from Sigma Aldrich, St Louis, MO. 3'- and 4'-*O*-methyl-epicatechin were  
80 purchased from Nacalai USA, Inc. (San Diego, CA).

81 **Chemical Methylation of C/EC.** (Epi)catechin (1 g) was dissolved in 240 mL  
82 dimethylformamide in a 1 L bottle, and the bottle saturated with nitrogen gas for 5 min. DBU  
83 (14 mL) and iodomethane (300 mL) were then added, mixed well, and the solution re-saturated  
84 with nitrogen gas for 30 s. The bottle was capped and placed at 40 °C in the dark for 3 h. The  
85 reaction was then quenched by the addition of 400 mL methanol with mixing. The solution was  
86 dried under nitrogen and re-suspended in HPLC grade methanol for HPLC analysis and  
87 purification.

88 **Large Scale Purification of Methylated C/EC.** Methylated (epi)catechins were  
89 purified on a large scale using a Biotage Isolera flash chromatography system equipped with a 10  
90 g SNAP C18 cartridge at a flow rate of 18 mL/min, monitoring at 280 nm with a UV/Vis  
91 detector. The sample in methanol was added to the column via a preloading concentrator disc.

92 Solvent A was milli-Q water, and solvent B was HPLC grade acetonitrile. The column was  
93 equilibrated at 5% B, and the gradient for elution was as follows: isocratic at 5% B for 12  
94 column volumes (CV) or 180 mL; from 5% B to 13% B in 48 CVs or 720 mL; from 13% B to  
95 100% B in 4 CVs or 60 mL; then washed in 100% B for 20 CVs or 300 mL. Fractions were  
96 collected in 16 CV or 240 mL fractions.

### 97 **Glucuronidation of EC and Methyl-C/EC and Purification of the Products.**

98 The glucuronidation reaction was performed at room temperature, and the mixture consisted of  
99 210  $\mu\text{L}$  purified water, 40  $\mu\text{L}$  UGT Reaction Mix Solution A (containing UDP-glucuronic acid,  
100 BD Biosciences), 100  $\mu\text{L}$  UGT Reaction Mix Solution B (containing  $\text{MgCl}_2$ , Tris-HCl buffer and  
101 alamethicin, BD Biosciences), 10  $\mu\text{L}$  of 20 mM (epi)catechin derivative, and 120  $\mu\text{L}$  human  
102 UGT1A9 (for EC and methyl-EC) or pooled male mouse liver microsomes (5 mg protein per  
103 mL) (for C and methyl-C). After 6 h, an additional 40  $\mu\text{L}$  of UGT Reaction Mix Solution A, 40  
104  $\mu\text{L}$  of Reaction Mix Solution B, 120  $\mu\text{L}$  UGT1A9 or mouse microsomes, and 10  $\mu\text{L}$  of 20 mM  
105 (epi)catechin derivative were added. Then, at 12 h, a further 40  $\mu\text{L}$  UGT Reaction Mix Solution  
106 A and 120  $\mu\text{L}$  UGT1A9 or mouse microsomes were added. The reactions were stopped after 24  
107 h by the addition of 400  $\mu\text{L}$  of methanol containing 3% of 85% phosphoric acid. The solvent was  
108 removed under nitrogen gas, and the aqueous fractions were desalted using a Waters Sep-Pak  
109 Plus C18 cartridge. The cartridge was first washed with 10 mL methanol, followed by 20 mL  
110 water. The sample (5 mL) was loaded onto the cartridge, then washed twice with 5 mL water,  
111 and finally eluted twice with 5 mL methanol. The sample was dried under nitrogen and then re-  
112 suspended in a small volume of methanol for HPLC purification. Product peaks were partially  
113 purified on the analytical HPLC and the solvent removed under nitrogen gas. The aqueous  
114 fractions were then acidified to 1% phosphoric acid final concentration, and desalted using a

115 Waters Sep-Pak Plus C18 cartridge. The methanol was dried under nitrogen and the fractions  
116 analyzed for comparison to products found in the plasma of mice/rats fed the monomer enriched  
117 GSPE fraction. All samples were dissolved in 100  $\mu$ L acidified water and acetonitrile (4:1, v/v),  
118 and further analyzed by HPLC coupled to LC-TOF-MS (see below).

119 **HPLC for Analysis and Purification of C/EC Metabolites.** HPLC analysis and  
120 purification of (epi)catechin derivatives were performed on an Agilent HP1200 HPLC,  
121 monitoring at 280 nm. The HPLC with ChemStation software version B.02.01.SRI was equipped  
122 with a G1322A degasser, a G1311A quaternary pump, a G1367B autosampler, a G1316A  
123 thermostatic column compartment, and a G1315C diode array detector. A Varian Metasil 5 Basic  
124 C18 250 x 4.6 mm column was used for analytical and micro-preparative HPLC on the Agilent  
125 HPLC system. Analytical and preparative HPLC were performed using the following gradient:  
126 isocratic at 5% B for 5 min; 5% B to 10% B in 5 min; 10% B to 17% B in 15 min; 17% B to 23%  
127 B in 5 min; then 23% B to 50% B in 35 min. Alternatively, a shorter method was used which  
128 retained the same gradient except that the last step gradient was from 23% B to 28% B in 5 min,  
129 reducing the run time by 30 min. Solvent A was 1% phosphoric acid in MilliQ water, and solvent  
130 B was HPLC grade acetonitrile. Flow rate was 1 mL/min.

### 131 **Solid Phase Extraction (SPE) of C and EC Metabolites from Rodent**

132 **Plasma.** Rodent plasma samples were obtained from a previous pharmacokinetic study using  
133 GSPE (4) that received approval from the Animal Care and Use Committee at Purdue  
134 University. Plasma aliquots from control animals (no GSPE) and treated animals (with GSPE)  
135 that were collected at sacrifice were thawed from -80  $^{\circ}$ C storage and quickly pooled separately at  
136 Purdue University. These control and GSPE plasma samples were then re-aliquoted into  
137 individual vials and re-frozen at -80  $^{\circ}$ C until analysis. For target assessment purposes, typical

138 plasma was diluted fivefold with blank plasma to produce low dose plasma. Blank, low, and  
139 normal plasma samples were then used for analytical validation at Purdue University and a  
140 parallel set of samples was sent to the University of North Texas for cross-lab validation.

141 Samples of frozen plasma were thawed at room temperature, and 300  $\mu\text{L}$  of acidified saline  
142 (9g NaCl in 1 L 0.1% v/v formic acid/ $\text{H}_2\text{O}$ ) were added to 200  $\mu\text{L}$  of plasma with vortex mixing.  
143 A SPE cartridge (30 mg Oasis HLB column, Waters Co, Milford, MA), was activated by  
144 addition of 1 mL MeOH followed by 1 mL DI  $\text{H}_2\text{O}$ , after which the plasma/saline mixture was  
145 loaded. The loaded SPE column was first washed with 1 mL 1.5 M formic acid, followed by 1  
146 mL 95:5 v/v water: MeOH, and the eluate discarded. Metabolites were then eluted with 2 mL  
147 0.1% v/v formic acid/MeOH.

148 Extraction recovery was assessed in a pilot experiment by spiking 10  $\mu\text{L}$  of ethyl gallate  
149 stock solution (10  $\mu\text{M}$ ) into freshly thawed plasma samples prior to extraction and analysis.  
150 Extraction recovery was found to be  $96.0 \pm 3.1\%$ . Following validation of recovery, ethyl gallate  
151 was used as a daily internal standard (10  $\mu\text{L}$  of stock 10  $\mu\text{M}$  solution) added to the eluates from  
152 solid phase extraction prior to samples being dried under vacuum ( $<50$  mm Hg) at 37  $^\circ\text{C}$ . The  
153 residues were re-suspended in 160  $\mu\text{L}$  0.1% v/v formic acid/ $\text{H}_2\text{O}$  + 40  $\mu\text{L}$  0.1% v/v formic  
154 acid/acetonitrile, sonicated for 10s, vortex mixed for 10s, then transferred to centrifuge tubes  
155 which were centrifuged at 18,000  $g$  for 5 min to remove any particulates. The clear supernatants  
156 were carefully transferred to 300  $\mu\text{L}$  HPLC vials for analysis.

157 The ability of this extraction method to account for potential matrix effects was assessed by  
158 comparing the response of individual metabolite standards in the presence or absence of blank  
159 plasma extracted matrix. Briefly, standards of C and its major metabolites in GSPE rodent  
160 plasma at 50, 100, and 500 nM were resuspended either in pure solvent (160  $\mu\text{L}$  0.1% v/v formic

161 acid/H<sub>2</sub>O + 40 μL 0.1% v/v formic acid/acetonitrile -Standard) or in resolubilized blank plasma  
162 extracted by SPE (Standard+Matrix). By comparison of response between standards injected  
163 with or without plasma matrix an estimate of matrix effects was established by using the  
164 following equation: % matrix effect (% ME) = (peak area of standard with matrix – peak area of  
165 pure standard)/(peak area of pure standard) x 100 (8).

## 166 **NMR Analysis**

167 NMR spectroscopy was performed using a Bruker Avance DRX 500 MHz spectrometer  
168 equipped with a cryoprobe. The metabolites were dissolved in CD<sub>3</sub>OD (99.96% atom D; Sigma,  
169 St. Louis, MO) and then transferred to a 5 mm Shigemi tube (Wilmad Glass, Vineland, NJ).

## 170 **HPLC/MS Analysis of C/EC Metabolites from Rodent Plasma.**

171 *1. LC-TOF-MS for unknown metabolite characterization.* Separation was achieved on an  
172 Agilent 1100 HPLC system using a Waters XBridge BEH shield RP-C18 XP (2.1 x 100 mm 2.5  
173 μm) column following a 2 μm frit filter. The column and autosampler were maintained at 40 °C  
174 and 10 °C, respectively. Mobile phase A was 0.1% v/v formic acid/water and B was 0.1% v/v  
175 formic acid/acetonitrile. A linear gradient for elution was used at a constant flow rate of 0.26  
176 mL/min. Initial conditions 5% B; 0-12 min: 5-15% B; 12-18 min: hold at 15% B; 18-24 min: 15-  
177 40% B; 24-30 min: 40-50% B; 30-31 min: 50-5% B; 31-36 min: hold at 5% B to reset gradient.  
178 Injection volume was set to 10 μL. Mass spectra of flavan-3-ol metabolites were obtained using  
179 an Agilent 6200 time-of-flight (TOF) mass spectrometer, with negative mode electrospray  
180 ionization, using a mass range of 100-1000 m/z and acquisition rate of 1.4 spectra/s. Source  
181 parameters were as follows: gas temperature = 350 °C, drying gas = 9 L/min, nebulizer pressure  
182 = 35 psi, capillary potential = 3500 V, fragmentor voltage = 145 V, skimmer voltage = 60 V, and  
183 OCT 1 RF V<sub>pp</sub> = 250 V.

184       2. *LC-MS/MS for metabolite quantification.* Separation was achieved on an Agilent 1200  
185 HPLC system as described in the previous section, except for the gradient conditions as follows:  
186 initial conditions 10% B; 0-9 min: 10-35% B; 9-10 min: 35-65% B; 10-12 min: 65-10% B; 12-16  
187 min: hold at 10% B to reset gradient. Analytes were quantified using tandem mass spectrometry  
188 (MS/MS) with an Agilent 6460 triple quadrupole with electrospray ionization (ESI) in negative  
189 mode using selected reaction monitoring (SRM) mass transitions. Collision energy was 17 eV.  
190 Acquisition MRMs were: C/E, 289 → 245 m/z; C/E glucuronide, 465 → 289 m/z; methyl C/E  
191 glucuronide, 479 → 303 m/z; ethyl gallate, 197 → 169 m/z. All mass transitions used a dwell  
192 time of 200 ms and fragmentor voltage of 135 V. Source parameters were as follows: gas  
193 temperature = 350 °C, gas flow = 11 L/min, nebulizer pressure = 30 psi, sheath gas temperature  
194 = 350 °C, sheath gas flow = 11 L/min, capillary potential = 3500 V, and nozzle voltage = 1000  
195 V. Intraday repeatability was assessed by extracting and analyzing pooled plasma  $n = 5$  times.  
196 Interday reproducibility was determined across  $n = 5$  days using aliquot pooled plasma.  
197 Repeatability and reproducibility were determined as relative coefficient of variation (% CV) as  
198 calculated by standard deviation/mean x 100. Limit of detection (LOD) was defined as  $S/N = 3$   
199 and limit of quantification (LOQ) as  $S/N = 5$ . Both values were obtained by producing serial  
200 dilutions of parent non-metabolized compounds and metabolites. Data are presented as mean ±  
201 standard deviation. Signal to noise (S/N) ratio was calculated using MassHunter software using  
202 the peak-to-peak method.

203       3. *Second site validation.* Samples were analyzed using a Waters Xevo-TQ mass  
204 spectrometer interfaced with a Waters Acquity UPLC system. Sample preparation and LC  
205 parameters were according to the original protocol above. Mass spectrometry source parameters  
206 were as follows: ESI, negative mode; capillary voltage, 2 kV; source temperature, 150 °C; sheath

207 gas flow, 800 L/h; desolvation temperature, 400 °C; cone voltage, 28 V. Acquisition MRMs were:  
208 C/EC glucuronide, 456 → 289 m/z, collision energy 22; C/EC methyl glucuronide, 479 → 303  
209 m/z, collision energy 22; ethyl gallate, 197 → 169 m/z, collision energy 16. Dwell time was 200  
210 ms.

211

## 212 ■ RESULTS AND DISCUSSION

### 213 **Metabolites of Flavan-3-ols in Rat Plasma and Strategy for Generation of**

214 **Standards.** Multiple metabolites derived from the flavan-3-ols C (2,3-*trans*) and EC (2,3-*cis*)  
215 are found in mouse or rat plasma following the feeding of monomer enriched GSPE. LC-MS  
216 analysis has indicated that these compounds are primarily glucuronides of C/EC or methyl-C/EC  
217 (4) (Figure 1A,B). One of the most abundant metabolites in plasma was previously identified by  
218 micro NMR as 3'-*O*-methyl-EC-5-*O*-β-D-glucuronide (Figure 1C) (7), and we have developed a  
219 semi-synthetic route to this compound (7). Because of the low levels of the metabolites, and the  
220 need to develop a robust method for their quantitation, we decided to develop semi-synthetic  
221 routes to the remaining compounds.

222 Our strategy for generation of standards of the other C/EC metabolites found in rat plasma  
223 was to: 1) synthesize methyl derivatives of C and EC; 2) subject the (methylated) C and EC to  
224 enzymatic glucuronidation; 3) compare LC-MS mobility and fragmentation pattern of the  
225 synthetic compound to those of the metabolites in plasma; 4) scale up the synthesis of  
226 compounds that match those in plasma and confirm their structures by NMR.

227 **Methylation of C and EC.** Although 3'- and 4'-*O*-methyl-EC are commercially  
228 available, they are expensive (synthetic 4'-*O*-methyl-EC currently costs over 1,000 Euros per  
229 mg; tebu-bio, Le Perray-en-Yvelines, France). We have previously explored the methylation of

230 EC using catechol-*O*-methyltransferase from porcine liver (7); this leads predominantly to the 3'-  
231 *O*-methyl derivatives. Although this method was effective (and is a useful approach if it is  
232 desired to incorporate radiolabel in the methyl group through incubation with labeled methyl  
233 donor S-adenosyl-L-methionine), it is also relatively expensive, and we therefore investigated  
234 the cheaper alternative of chemical methylation of the core flavan-3-ols with iodomethane, using  
235 previously established procedures (9). This approach leads to generation of multiple methylated  
236 products from both C and EC (Figure 2), with the more complex multi-methylated products  
237 constituting a larger proportion of the reaction products with longer incubation times. However,  
238 because the different products and isomers can easily be separated by preparative HPLC, and  
239 because of the low cost of the starting materials, this method proved effective for generation of  
240 3'- and 4'-*O*-mono-methyl derivatives of both C and EC, suitable for subsequent glucuronidation.  
241 The methylated EC derivatives were authenticated by comparison to the commercial standards.  
242 Standards are not available for the methyl C derivatives; identity was first inferred based on the  
243 retention times of the corresponding EC derivatives, and subsequently confirmed (for the 3'-*O*-  
244 methyl derivative) by NMR of the resulting glucuronidated products (see below). Optimization  
245 of reaction conditions, particularly reaction time, resulted in maximum yields of 3'-*O*-methyl-  
246 C/EC of around 20%. The methylated compounds were purified by chromatography using a  
247 Biotage Isolera system.

248 **Glucuronidation of (Methyl)C/EC, and Matching of Synthetic Compounds**  
249 **to Metabolites in Plasma.** Human recombinant glucuronosyltransferase UGT1A9, in the  
250 presence of UDP-glucuronic acid, converts EC to a number of products, the major one being the  
251 3'-*O*-glucuronide (a compound not found in the plasma of rats or mice fed GSPE), with minor  
252 amounts of the 5-*O*-glucuronide (a minor metabolite in the plasma) (7). 3'-*O*-Methyl-EC is

253 efficiently converted by UGT1A9 to 3'-*O*-methyl-EC-5-*O*-glucuronide (a major plasma  
254 metabolite). The other compounds in plasma appear to be derivatives of C. We therefore  
255 investigated conditions (reaction times, enzyme and substrate concentrations and  
256 supplementation of reaction mixtures with additional enzyme/substrate) for the optimal  
257 glucuronidation of methylated and non-methylated C by mammalian glucuronosyltransferases  
258 and UDP-glucuronic acid, as previously described for EC (7). In contrast to EC, we found that  
259 pooled male mouse microsomes were more efficient at glucuronidation of C and its derivatives  
260 than was recombinant UGT1A9, resulting in two major products initially named CG14 and  
261 CG18 based on their approximate retention times by HPLC (Supplemental Figure 1A). CG14  
262 and CG18 were further purified by preparative HPLC (Supplemental Figure 1B). Both  
263 compounds possessed a molecular ion of 465, and matched peaks in the plasma of rats fed  
264 GSPE: CG14 was a minor component (Supplemental Figure 1C), whereas CG18 corresponded to  
265 the second most abundant metabolite (Supplemental Figure 1D).

266 In a similar manner, glucuronidation of (presumed) 3'-*O*-methyl-C by mouse microsomes in  
267 the presence of UDP-glucuronic acid led to the formation of multiple products of which two, of  
268 retention time 19 and 24 min and molecular ions of 479 (MCG19 and MCG24) matched  
269 metabolites in the rat plasma. Glucuronidation products of (presumed) 4'-*O*-methyl-C did not  
270 match any of the compounds found in plasma. Figure 3 summarizes the matches between the  
271 synthetic (methyl)-Cs and plasma-derived metabolites, and indicates the diagnostic major  
272 fragmentation products of the molecular ions.

273 **Structural characterization of C and EC metabolites.** We have previously reported  
274 the structural characterization of 3'-*O*-methyl-EC-5-*O*-glucuronide (7). To elucidate the  
275 structures of CG14, CG18, MCG19 and MCG24, 2D NMR experiments using HSQC

276 (heteronuclear single quantum coherence spectroscopy), HMBC (heteronuclear multiple bond  
277 correlation spectroscopy), and NOESY (nuclear Overhauser effect spectroscopy) were  
278 performed. The spectral data (chemical shifts) for the four compounds are shown in Table 1, and  
279  $^1\text{H}$ , HSQC and HMBC, and NOESY data are shown in Supplemental Figures 2 to 8. The  
280 glucuronic acid moiety was assigned to the 7 position on (+)-C for CG-14 and MCG-19 based on  
281 the presence of a HMBC cross peak between the anomeric proton (H-1'') and C-7 (Supplemental  
282 Figure 7). The assignment on CG-18 and MCG-24 was to the 5 position due to a HMBC cross  
283 peak between H-1'' and C-5 (Supplemental Figure 4). The methyl group was assigned to the 3'  
284 position on MCG-19 and MCG-24 due to a NOESY cross peak between H-2' and the methoxy  
285 group, confirming the identity of the precursor as 3'-O-methyl-C (Supplemental Figure 8). Figure  
286 4 summarizes the structures of the four C metabolites, and its caption provides further  
287 description of how the positions of methylation and glucuronidation were assigned.

288 Several previous studies have reported the presence of PAC metabolites in blood plasma  
289 after feeding animals with PA preparations or foods rich in PACs (10-13). The metabolites were  
290 partially identified as sulfonated, glucuronidated and/or methylated derivatives of EC and C (11,  
291 14-16), with most studies focusing on the EC derivatives. Definitive structures, particularly with  
292 respect to the position of glucuronidation, were not systematically assigned for many of the  
293 biologically relevant metabolites. The present systematic approach to the synthesis and  
294 identification of predominant plasma-derived metabolites of flavan-3-ols present in plasma of  
295 rodents dosed with GSPE provides a blueprint for the development of improved methods for the  
296 evaluation of bioavailability of plant polyphenols, as well as a source of standard target  
297 molecules for evaluating modes of action.

298 **A Cross-Validated Analytical Method for Determining Plasma Levels of**  
299 **C and EC Metabolites.** LC-MS using an Agilent 6460 Triple Quadrupole system was  
300 selected as the analytical method to determine the levels of C and EC metabolites in samples of  
301 plasma from rats fed GSPE, due in part to the sensitive and selective nature of this method as  
302 well as the broad application of this method in the literature (17-19). Initially, the linear dynamic  
303 range for each molecule (C, EC, two C-glucuronides, two methyl-C-glucuronides and one  
304 methyl-EC -glucuronide) was determined for concentrations ranging over 4 orders of magnitude  
305 (pM- $\mu$ M), with lowest concentrations in the 250-650 pM range. The linear dynamic range was  
306 observed to be excellent (Table 2) and provides the ability to quantify these compounds across a  
307 range of concentrations typical in biological fluids and tissues. Metabolites were extracted from  
308 plasma by solid phase extraction as described in Materials and Methods. Measurement of effects  
309 of matrix and plasma concentration (Supplemental Table 1), and intraday and interday variability  
310 (Supplemental Tables 2 and 3) revealed the method to be highly robust and efficient at limiting  
311 ion suppression or other matrix effects commonly reported, but rarely accounted for, in analysis  
312 of biological samples (20-22). As expected, there was a greater matrix effect (ME) at lower  
313 concentrations. The % ME when measuring C ranged from -7.84% at 500 nM to -20.7% at 50  
314 nM. The ME for MCG24 ranged from -13.5% at 500 nM to -23.0% at 50 nM. Finally, the ME  
315 for CG18 ranged from -13.1% at 500 nM to -15.7% at 50 nM. Since metabolites appear in rodent  
316 plasma at approximately 300-400 nM after repeated dosing of GSPE, using the SPE procedure  
317 results in underestimating metabolites by about 13%.

318 The Horwitz ratio (HorRat), a well-recognized normalized performance parameter that  
319 provides a measure of laboratory precision, was calculated for individual metabolites based on  
320 the equations described by Horwitz and Albert (23). HorRat values ranging from 0.13 to 1.29

321 indicated the high reproducibility of this method. While lower values of the HorRat (0.13-0.21)  
322 do fall outside of the generally accepted range, it is important to note that these were for minor  
323 metabolites (*C-7-O-glucuronide*, *3'-O-Me-C-7-O-glucuronide* and *3'-O-Me-EC-7-O-*  
324 *glucuronide*). Comparatively, values for major metabolites identified in this method, (*C-5-O-*  
325 *glucuronide*, *3'-O-Me-C-5-O-glucuronide*) were 1.29 and 0.51 respectively. Overall these data  
326 are consistent with that of a highly reproducible analytical method.

327 Calculated LOD (defined as 3:1 signal:noise) ranged from 1.03-3.41 fmol on column for  
328 metabolites of C and EC compared to 55.5 and 48.4 pmol on column for the parent compounds C  
329 and EC, respectively. This suggest the potential for more efficient ionization of metabolites  
330 relative to parent compounds. These values translated to LOQs of 2.1-10.6 fmol on-column or  
331 ~11-53 fmol per mL of plasma for metabolites.

332 Finally, the analyses were repeated at a second site, using the same column and separation  
333 parameters, but a different mass spectrometer (see Materials and Methods). The C and EC  
334 metabolites in the plasma were resolved by HPLC with very similar profiles to those recorded  
335 previously (compare Supplemental Figure 9 and Figure 3). Calibration with authentic standards  
336 resulted in absolute values and standard deviations that closely matched the results from the first  
337 site analyses, with the exception of MCG24 (*3'-O-methyl-C-5-O-glucuronide*) which was  
338 determined based on calibration with the corresponding *7-O-glucuronide* (Supplemental Table  
339 4). It was observed that the C and EC metabolites were unstable in thawed plasma samples, as  
340 recently reported elsewhere (24), such that reproducible results were only obtained if the samples  
341 were injected onto the HPLC within a few hours. Samples left at room temperature were almost  
342 totally degraded after 3 days.

343 **Advantages and Disadvantages of the Present Approach.** Alternative  
344 approaches for identifying metabolites of flavan-3-ols in biological fluids have either generated  
345 standard compounds by chemical synthesis (9), or attempted to identify the compounds directly  
346 from the fluids (14-16, 25); most of these previous approaches have only unequivocally  
347 identified a sub-set of the metabolites present. A recent study has reported the chemical synthesis  
348 and full characterization of a complete range of EC glucuronides and sulfates (24). Such  
349 compounds will be of great value for future studies on bioavailability, although their chemical  
350 synthesis is complex, requiring, as starting point, the availability of a complete series of  
351 orthogonally protected EC derivatives.

352 The present study has combined a semi-synthetic/biosynthetic scheme with matching of  
353 compounds to authentic metabolites. Although the glucuronosyl transferase enzymes are not  
354 completely regiospecific, their mammalian origin means that they can generate physiologically  
355 relevant metabolites, and the matching of synthetic compounds to plasma metabolites prior to  
356 final purification means that only those metabolites that are physiologically relevant are pursued.  
357 Furthermore, an enzymatic strategy has advantages for the facile introduction of radiolabel, for  
358 example in the methyl (from S-adenosyl-L-methionine) or glucuronide (from UDP-glucuronic  
359 acid) moieties, should labeled compounds be required for mechanism of action studies or  
360 analytical internal standards. The limiting steps from a cost perspective are the price of the  
361 enzymes, which cannot be recycled, and the cost of UDP-glucuronic acid. Now that standards of  
362 all glucuronidated metabolites are available for subsequent identification of products, this  
363 problem could be circumvented by a total biological synthesis using recombinant enzymes  
364 expressed in *E. coli*, as we have previously shown for synthesis of flavonol and isoflavone  
365 glucosides (26). It should be noted that the present work does not address the sulfated

366 derivatives that also arise from mammalian metabolism of flavan-3-ols, particularly in humans  
367 (27).

368

369 **■ASSOCIATED CONTENT**

370 \* Supporting Information

371 Supplemental Figure 1. Glucuronidation of catechin by mouse microsomes in the presence of  
372 UDP-glucuronic acid, and comparison of major products to metabolites of catechin in plasma  
373 from rats fed GSPE.

374 Supplemental Figure 2. <sup>1</sup>H-NMR spectrum of catechin glucuronide CG-18.

375 Supplemental Figure 3. <sup>1</sup>H-NMR spectrum of methyl catechin glucuronide MCG-24.

376 Supplemental Figure 4. HSQC and HMBC spectra of CG-18 and MCG-24.

377 Supplemental Figure 5. <sup>1</sup>H-NMR spectrum of methyl catechin glucuronide MCG-19.

378 Supplemental Figure 6. <sup>1</sup>H-NMR spectrum of catechin glucuronide CG-14.

379 Supplemental Figure 7. HSQC and HMBC spectra of CG-14 and MCG-19.

380 Supplemental Figure 8. NOESY spectra of methylcatechin glucuronide MCG-19.

381 Supplemental Figure 9. Chromatographic separation and identification of C/EC metabolites for  
382 each mass transition in the second site validation experiment.

383 Supplemental Table 1. Target assessment (plasma dilutions) and matrix effects for quantitation  
384 of catechin and epicatechin metabolites in rat plasma by LC-MS.

385 Supplemental Table 2. Intraday variability for quantitation of catechin and epicatechin  
386 metabolites in rat plasma by LC-MS, n = 5.

387 Supplemental Table 3. Interday variability for quantitation of catechin and epicatechin  
388 metabolites in rat plasma by LC-MS. Experiments were performed over 5 days, n = 17.

389 Supplemental Table 4. Quantitation of catechin and epicatechin metabolites in rat plasma (n  
390 mol/l) by LC-MS in the second site validation experiment, n = 5.

391 This material is available free of charge via the Internet at <http://pubs.acs.org>.

392

393

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399

##### 400 **Funding**

401 This work was supported by National Institutes of Health grant 5 PO1 AT004511-05

402 Administrative Supplement to GMP, and by the University of North Texas and Purdue

403 University. BWR is supported by National Science Foundation grant DGE-1333468.

404

405

#### 406 ■ABBREVIATIONS USED

407 GSPE, grape seed polyphenol extract; PAC, proanthocyanidin; C, (+)-catechin; EC, (-)-

408 epicatechin; DBU, 1,8-diazabicyclo[5.4.0]undec-7-ene; UGT, uridine diphosphate

409 glycosyltransferase; CV, column volume; SPE, solid phase extraction; DI, deionized; LC-TOF-

410 MS, liquid chromatography- time of flight mass spectrometry; NMR, nuclear magnetic

411 resonance; SRM, selective reaction monitoring; HSQC, heteronuclear single quantum coherence

412 spectroscopy; HMBC, heteronuclear multiple bond correlation spectroscopy; NOESY, nuclear  
413 Overhauser effect spectroscopy; LOD, limit of detection; LOQ, limit of quantitation.

414

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494

495 **■FIGURE CAPTIONS**

496

497 **Figure 1.** Flavan-3-ol derivatives in rodent plasma. **A, B.** Representative mass spectra of  
498 unknown metabolites in rodent plasma obtained on a LC/TOF system with a recording range of  
499 100-1000 m/z. The mass spectrum of **A** is consistent with the mass of methyl-C/EC glucuronide,  
500 while that of **B** is consistent with C/EC glucuronide. **C.** Structure of 2,3-*cis*-flavan-3-ols.

501 Epicatechin: R1 = R2 = R3 = OH; 3'-O-methyl-epicatechin-5-O- $\beta$ -D-glucuronide: R1 =  
502 glucuronic acid; R2 = OMe; R3 = OH.

503

504 **Figure 2.** O-Methylation of epicatechin (A) and catechin (B) by reaction with iodomethane.  
505 HPLC traces show the multiple products formed, with the 3'- and 4'-O-methyl derivatives  
506 identified by comparison to authentic standards.

507

508 **Figure 3.** Chromatographic separation and identification of C/EC metabolites for each mass  
509 transition. Peak identification: (a) CG14, C-glucuronide; (b) CG18, C-glucuronide; (c) MCG24,  
510 3'-O-methyl-C-glucuronide; (d) MCG19, 3'-O-methyl-C-glucuronide; (e) 3'-O-methyl-EC-5-O-  
511 glucuronide.

512

513 **Figure 4.** Structures assigned to CG14, CG18, MCG19 and MCG24. The glucuronic acid moiety  
514 was able to be assigned to the 7 position on (+)-catechin for CG-14 and MCG-19 based on the  
515 presence of a HMBC cross peak between the anomeric proton (H-1'') and C-7. The chemical  
516 shift of C-7 was determined by a HMBC cross peak between H-8 and C-7. Further support of  
517 this connectivity was provided by the absence of a cross peak between H-1'' and C-5 (chemical  
518 shift determined by a cross peak between H-4 and C-5). The assignment on CG-18 and MCG-24  
519 was to the 5 position due to a HMBC cross peak between H-1'' and C-5. The methyl group was  
520 assigned to the 3' position on MCG-19 and MCG-24 due to a NOESY cross peak between H-2'  
521 and the methoxy group. HMBC:  $\longrightarrow$  NOESY:  $\leftarrow - \rightarrow$

522

523 **TABLES**

524 **Table 1.** NMR spectral data (chemical shifts) for CG14, CG18, MCG19 and MCG24.

525

526 **Table 2.** Linear dynamic ranges for the quantitation of (methyl)catechin and

527 (methyl)epicatechin glucuronides by LC-MS/MS.

528

529

530

CG-14 Position	Chem. Shift (ppm)		MCG-19 Position	Chem. Shift (ppm)		MCG-24 Position	Chem. Shift (ppm)		CG-18 Position	Chem. Shift (ppm)	
	<sup>1</sup> H	<sup>13</sup> C		<sup>1</sup> H	<sup>13</sup> C		<sup>1</sup> H	<sup>13</sup> C		<sup>1</sup> H	<sup>13</sup> C
2	4.59	82.55	2	4.63	82.54	2	4.62	83.04	2	4.59	82.53
3	3.97	68.17	3	4.01	68.29	3	4.13	66.49	3	4.05	66.31
4a	2.87	28.04	4a	2.90	30.88	4a	2.61	27.08	4a	3.00	28.35
4b	2.53	---	4b	2.54	---	4b	2.17	---	4b	2.60	---
6	6.25	96.94	6	6.22	96.94	6	6.34	97.49	6	6.27	96.76
8	6.15	96.81	8	6.14	96.59	8	6.00	98.08	8	6.01	97.97
2'	6.83	114.86	2'	6.97	111.46	2'	6.96	111.61	2'	6.81	114.83
5'	6.71	120.27	OMe	3.84	55.83	OMe	3.83	56.40	5'	6.70	115.75
6'	6.76	115.62	5'	6.79	115.42	5'	6.77	115.97	6'	6.75	115.50
1''	4.83	102.06	6'	6.83	121.44	6'	6.85	121.21	1''	4.84	102.23
2''	3.45	74.23	1''	4.84	102.03	1''	4.82	102.81	2''	3.48	74.43
3''	3.44	77.27	2''	3.48	74.06	2''	3.50	74.60	3''	3.48	77.43
4''	3.53	72.98	3''	3.43	74.42	3''	3.49	77.94	4''	3.55	73.12
5''	3.77	76.16	4''	3.56	72.65	4''	3.55	73.71	5''	3.81	76.57
			5''	3.87	76.13	5''	3.69	76.59			

Table 1

Compound	Range	Regression line	Linearity (R <sup>2</sup> )	LOQ (S/N=5) MOC	LOD (S/N=3) MOC
C	5nM-50μM	$y = 7E+09x + 528.31$	0.9997	138.9 pmol	55.5 pmol
EC	5nM-50μM	$y = 7E+09x + 689.79$	0.9997	106.4 pmol	48.4 pmol
3'OMe-EC-5-O-glucr	250pM-2.5μM	$y = 5E+11x - 3699.8$	0.9998	2.05 fmol	1.07 fmol
CG18	500pM-10μM	$y = 4E+11x + 403.99$	0.9999	10.6 fmol	3.41 fmol
CG14	500pM-10μM	$y = 6E+11x - 16601$	0.9998	3.68 fmol	1.2 fmol
MCG19	650pM-10μM	$y = 2E+11x + 12580$	0.9990	5.80 fmol	2.80 fmol
MCG24	500pM-20μM	$y = 4E+11x - 31920$	0.9994	4.70 fmol	1.03 fmol

Table 2

Figure 1

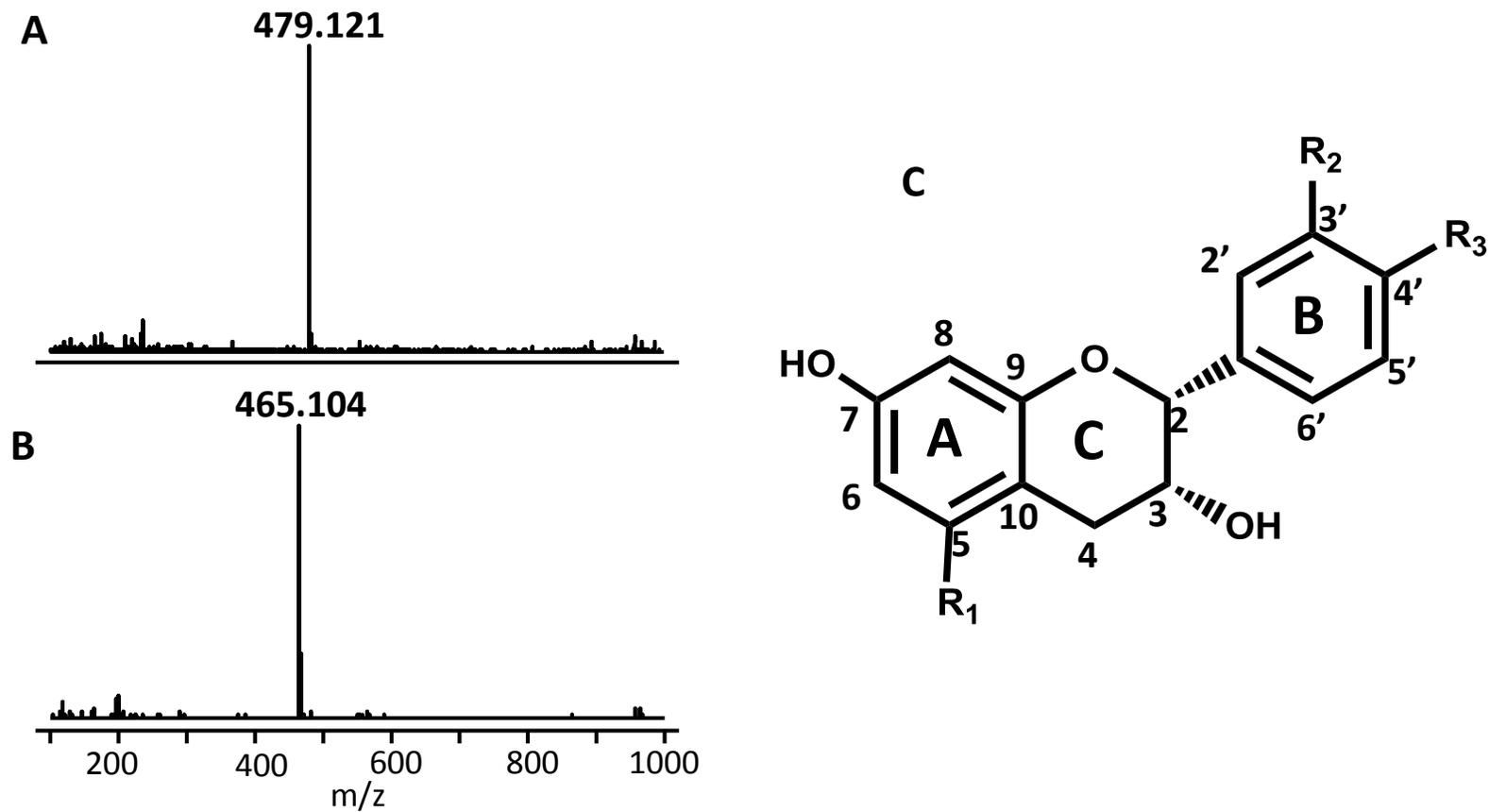
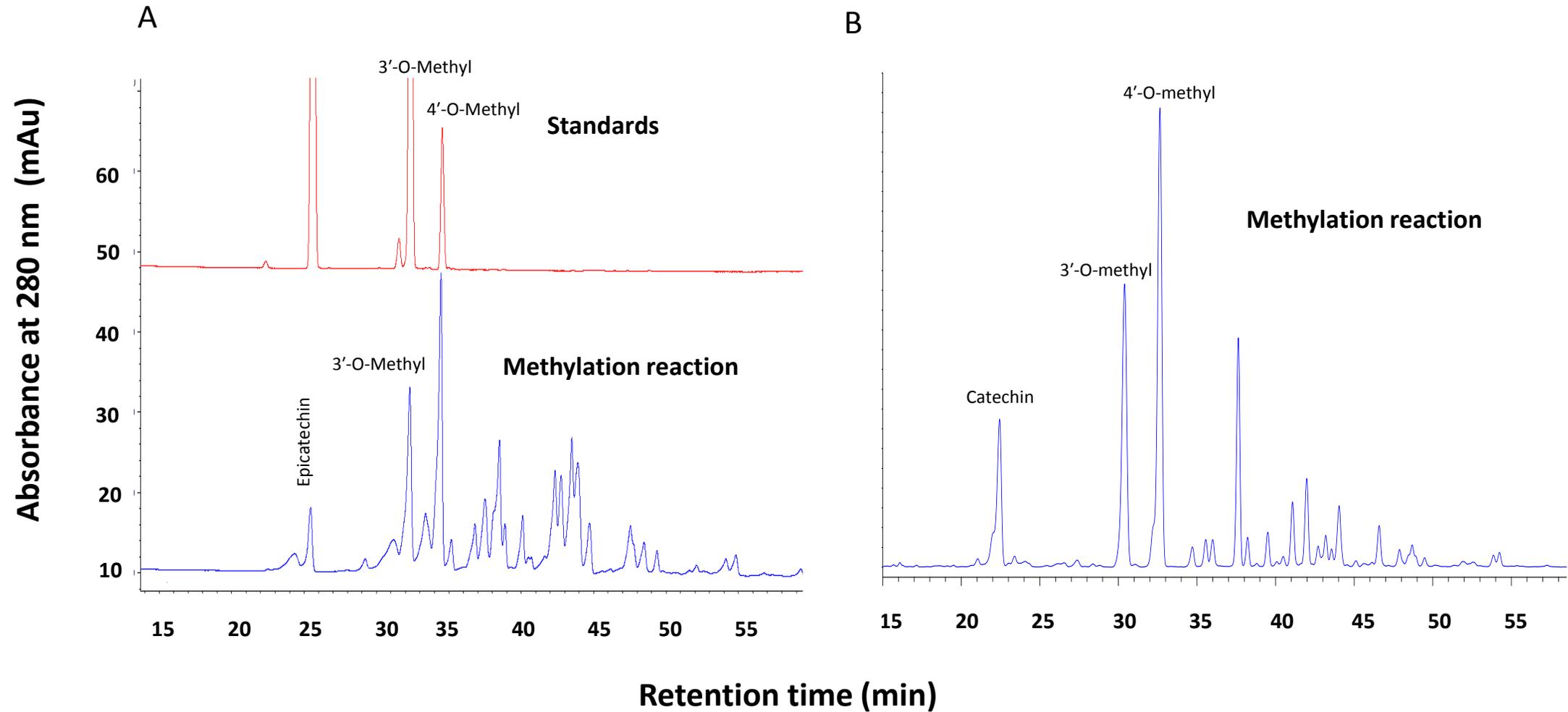


Figure 2



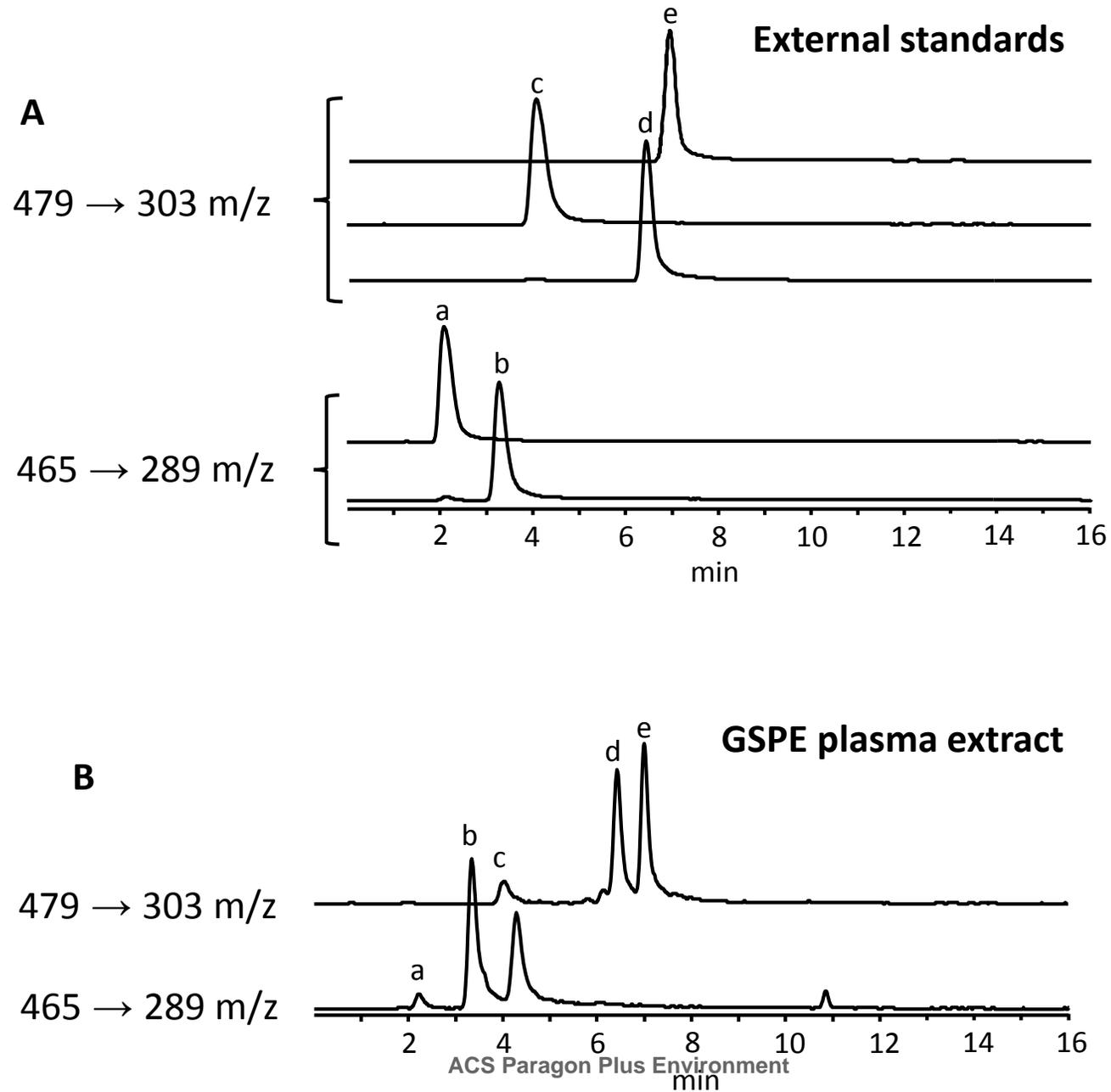
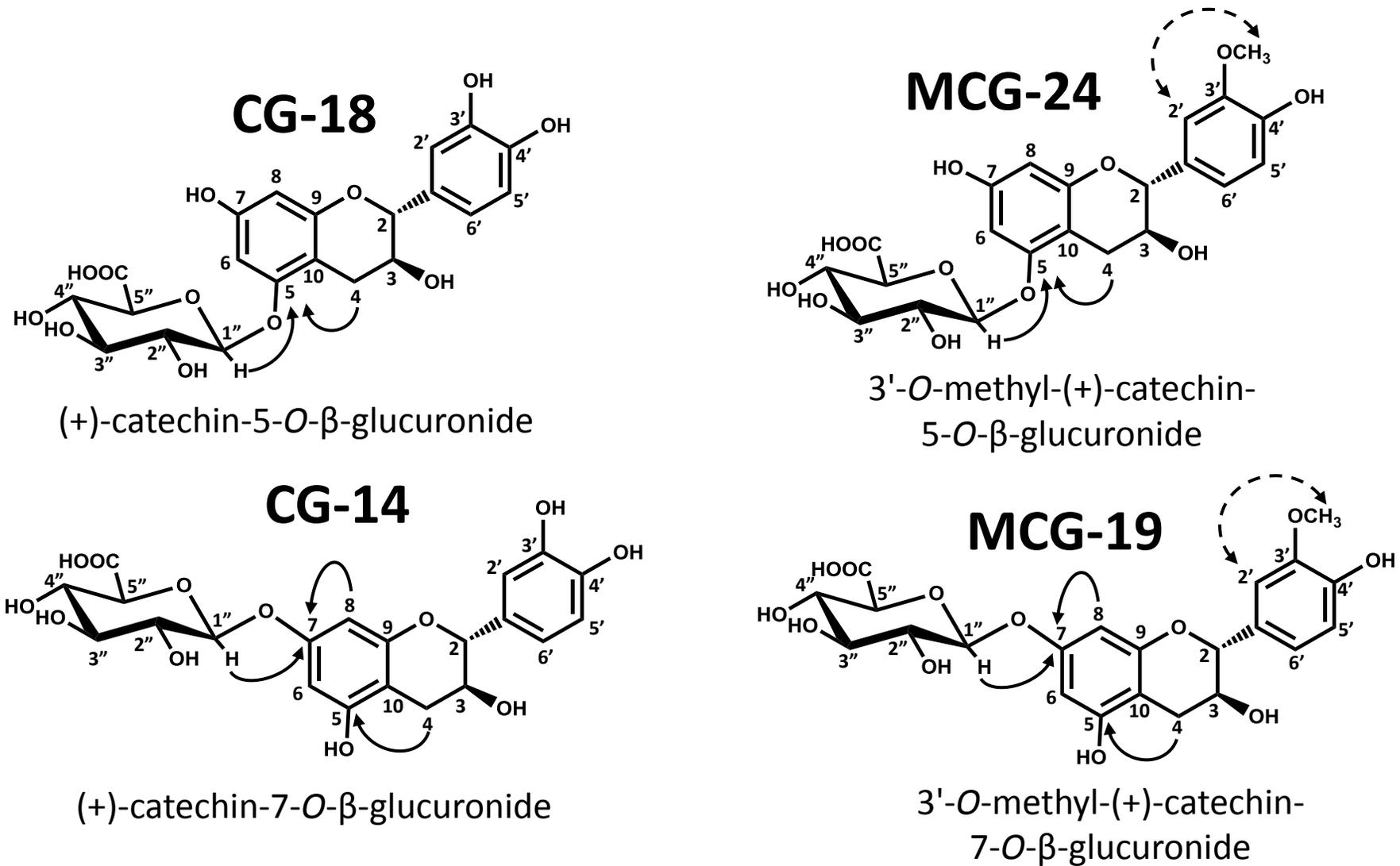
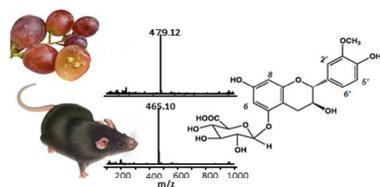


Figure 4





338x190mm (96 x 96 DPI)