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Reinvestigation of the Vilsmeier-Haack Formylation of Triphenylamine

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Abstract: Two previously claimed results on the Vilsmeier-Haack formylation of triphenylamine were demonstrated to be in error and the unambiguous reproducible procedures were developed to prepare 4-formyltriphenylamine (3) and 4,4'-diformyltriphenylamine (4), respectively, in good yields.

Considerable effort has been made in the last decade in the synthesis of nonlinear optical (NLO) chromophores and their incorporation into a polymer matrix to develop organic polymer-based electro-optic materials.2 NLO chromophore molecules generally have a longconjugated system which contains an electron-donating group (e.g., N,N-dimethylamino) on one end and an electron-accepting group (e.g., tricyanovinyl) on the other. Replacement of dialkylamino donors in chromophores with diarylamino functionalities has been shown to improve the thermal stability dramatically. 2b,3 In an effort to seek better nonlinear optical and thermally robust structures, we designed a novel class of chromophore compounds having the diphenylamino group as an electron donator, such as 1 and 2. Our synthetic approaches to chromophores 1 and 2 required 4-formyltriphenylamine (3) as a key intermediate. In this Letter, we report the results of our reinvestigation of the Vilsmeier-Haack formylation of triphenylamine, which was intended to prepare the requisite aldehyde 3.

There existed two inconsistent reports in the literature regarding the formylation of triphenylamine by the Vilsmeier-Haack reagents (POCl₃/DMF). Wilson⁴ first claimed in an early patent that treatment of triphenylamine with 8.2 equiv of POCl₃ in DMF gave tris(4-formylphenyl)amine (5) as the sole product, but Walter and his coworkers⁵ later reported that Wilson's procedure led to only 4-formyltriphenylamine (3) and, even with longer reaction time and greater excess of the reagents, no trialdehyde 5 was produced.

With these ambiguous results in mind, we reinvestigated this formylation at first using 2 equiv of $POCl_3$ in DMF at 70 °C for 18 h. Two products, 3 and 4, were unexpectedly detected and isolated in 71% and 23% yields, respectively. Their structures were confirmed by 1H and ^{13}C NMR, IR, and elemental analyses. 6 By repeating Wilson's procedure, 7 we observed that the predominant product was 4,4'-diformyltriphenylamine (4), not the previously reported trialdehyde 5 or monoaldehyde 3.

Attempts were then made to develop the respective practical procedures for the preparation of aldehydes 3 and 4. We found that treatment of triphenylamine with almost an equal equivalent of POCl₃ in DMF at 95-100 °C for 20 h provided the monoaldehyde 3 in excellent yield (94%). In this case, excess reagent (POCl₃) should be avoided as it resulted in the formation of the dialdehyde 4 to some extent. The dialdehyde 4 as the expected product was prepared in 71% yield along with a small amount of 3 (3%) when Ph₃N was treated with 10.5 equiv of POCl₃ at 95-100 °C for 6 h. Treatment with less excess of POCl₃ increased the amount of the byproduct monoaldehyde 3. This result of disubstitution appears to back up the proposed mechanism that the position of the equilibrium involved in the POCl₃/DMF system may favor the formation of the mixed amide-phosphorodichloridate cationic anhydride considered as the more electrophilic reacting species when an excess of POCl₃ is used. ¹⁰

Direct preparation of the trialdehyde 5 from triphenylamine under the Vilsmeier-Haack conditions was also explored. However, this compound (5)¹¹ was obtained in only 18% isolated yield together with the dialdehyde 4 as the main product (40%) by using a large excess of POCl₃ (40 equiv) and prolonging heating time (48 h, 95-100 °C). The low yield of 5 apparently suggested that the intermediate(s), leading to the dialdehyde 4 after workup, may not be sufficiently electron-rich and may, therefore, retard further electrophilic substitution with the POCl₃/DMF system.

In summary, the present work demonstrated two previously reported results on the Vilsmeier-Haack formylation of triphenylamine to be in error and found practical conditions for the preparation of aldehydes 3 and 4 in good yields. Synthesis of chromophore compound 1 and its analogues, starting with the key monoaldehyde 3, is currently underway. 12

References and Notes

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- (6) 4-Formyltriphenylamine (3): a pale yellow solid; mp 129-131 °C; $R_f 0.35$ (EtOAc-hexanes, 1:5); $^1 \text{H}$ NMR (400 MHz, CDCl₃) 89.82 (s, 1 H, CHO), 7.70 (d, J = 8.7 Hz, 2 H), 7.36 (t, J = 7.7-8.2 Hz, 4 H), 7.21-7.17 (m, 6 H), 7.04 (d, J = 8.7 Hz, 2 H); ^{13}C NMR (100 MHz, CDCl₃) 8189.94 (CHO), 153.32 (C_q), 146.58 (C_q), 132.45 (C_q), 130.89 (CH), 129.37 (CH), 127.51 (CH), 126.25 (CH), 120.54 (CH); IR (KBr) 1690, 1591, 1492, 1335, 1308, 1229, 1157 cm⁻¹. Anal. cacld for C₁₉H₁₅NO: C, 83.49; H, 5.53; N, 5.13. Found: C, 83.49, H, 5.57; N, 5.15.
 - 4,4'-Diformyltriphenylamine (4): a yellow solid; mp 141-143 °C; R_f 0.54 (EtOAc-hexanes, 1:2); 1 H NMR (400 MHz, CDCl₃) δ 9.85 (s, 2 H, CHO), 7.77 (d, J = 8.7 Hz, 4 H), 7.41 (t, J = 7.6-8.0 Hz, 2 H), 7.30 (m, 1 H), 7.22-7.19 (m, 2 H), 7.20 (d, J = 8.6 Hz, 4 H); 13 C NMR (100 MHz, CDCl₃) δ 190.88 (CHO), 152.42 (C_q), 145.92 (C_q), 131.89 (C_q), 131.69 (CH), 130.55 (CH), 127.46 (CH), 126.86 (CH), 123.64 (CH); IR (KBr) 1703, 1591, 1512, 1499, 1335, 1295, 1223, 1177, 834 cm⁻¹. Anal. cacld for C₂₀H₁₅NO₂: C, 79.71; H, 5.02; N, 4.65. Found: C, 79.63, H, 5.07; N, 4.63.
- (7) We treated 2 g (8.15 mmol) of Ph₃N with DMF (2.62 mL, 33.49 mmol, 4.1 equiv) and POCl₃ (6.32 mL, 67.12 mmol, 8.2 equiv) when repeating Wilson's procedure.

- (8) Procedure for 3: To a mixture of Ph₃N (3 g, 12.23 mmol) and DMF (40 mL) at 0 °C was added POCl₃ (1.2 ml, 12.87 mmol, 1.05 equiv) dropwise with stirring. The resulting mixture was stirred at 95-100 °C under nitrogen for 20 h when TLC analysis showed that no starting material existed. The mixture was then cooled to room temperature, poured into ice-water (150 mL), and neutralized with 4 M NaOH solution. The solid was collected, washed with water, and dried. Purification by column chromatography (silica gel, EtOAc-hexanes, 1:5) afforded monoaldehyde 3 (3.14 g, 94%).
- (9) Procedure for 4: To a mixture of Ph₃N (1 g, 4.08 mmol) and DMF (8 mL) at 0 °C was added POCl₃ (4 ml, 42.91 mmol, 10.5 equiv) dropwise with stirring. The resulting mixture was stirred at 95-100 °C under nitrogen for 6 h, followed by similar workup. Purification by column chromatography (silica gel, EtOAchexanes, 1:4) afforded dialdehyde 4 (875 mg, 71%) along with 3 (33 mg, 3%).
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- (11) Tris(4-formylphenyl)amine (5): a deep yellow solid; mp 230- 232 °C; R_f 0.36 (EtOAc-hexanes, 1:2); ¹H NMR (400 MHz, CDCl₃) δ 9.90 (s, 3 H, CHO), 7.81 (d, J = 8.6 Hz, 6 H), 7.29 (d, J = 8.6 Hz, 6 H); ¹³C NMR (100 MHz, CDCl₃) δ 190.83 (CHO), 151.59 (C_q), 133.01 (C_q), 131.68 (CH), 119.80 (CH); IR (KBr) 1703, 1598, 1578, 1512, 1321, 1282, 1223, 1177, 828 cm⁻¹. Anal. cacld for C₂₁H₁₅NO₃: C, 76.58; H, 4.59; N, 4.25. Found: C, 76.69, H, 4.65; N, 4.28.
- (12) Financial support of this research by NASA is greatly appreciated.