Jan. 5, 1953

If the volume of the former solution is V' and that of the Similarly, we may write latter is V'', it is evident that

$$\begin{aligned} N'_{\rm B} &= f'_{\rm B} N_{\rm A} / V', \ N''_{\rm B} = f''_{\rm B} N_{\rm A} / V'', \ N'_{\rm P} + N'_{\rm e} = \\ f'_{\rm t} N_{\rm A} / V', \ N''_{\rm P} + N''_{\rm e} = f''_{\rm t} N_{\rm A} / V'' \end{aligned} \tag{7}$$

where f'_t and f'_B denote, respectively, the molar fraction of *trans*-1,4-dichlorocyclohexane and that of benzene in the former solution, f''_t and f''_B have, respectively, the same meaning concerning the latter, and N_A is the Avogadro number. By combining equations (6) and (7), we may write

$$X_{\rm P}'' = I_{\rm P}' f_{\rm B}' f_{\rm t}'' / I_{\rm P}'' f_{\rm B}'' f_{\rm t}$$
(8)

where X'_{P} and X''_{P} are given by the relations (9)

 $X_{\rm P}^{\prime}/$

$$X'_{\rm P} = N'_{\rm P}/(N'_{\rm e} + N'_{\rm P}), X''_{\rm P} = N''_{\rm P}/(N''_{\rm e} + N''_{\rm P})$$
(9)

$$X'_{\rm e}/X''_{\rm e} = I'_{\rm e}f'_{\rm B}f''_{\rm t}/I''_{\rm e}f''_{\rm B}f'_{\rm t}$$
(10)

where X'_{e} and X''_{e} denote the similar fractions as represented in equation (9), and it is evident that

$$X_{\rm e} + X'_{\rm P} = X''_{\rm e} + X''_{\rm P} = 1 \tag{11}$$

From equations (8), (10) and (11) we can calculate the values for X'_{e} , X'_{P} , X''_{e} and X''_{P} . The values for X'_{P} and X''_{P} thus obtained are, respectively, 0.58 and 0.66. Therefore, by using equation (1) the value for S_{e}/S_{p} is estimated to be 2.0.

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[CONTRIBUTION FROM THE LABORATORIO DE QUÍMICA ORGANICA, FACULTAD DE CIENCIAS EXACTAS, FISICA Y NATURALES]

Spectra of Azlactones. I. Azlactones Derived from Substituted Benzaldehydes and Hippuric and Nitrohippuric Acids

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The absorption spectra of a series of azlactones obtained by condensation of hippuric acid, o-, m- and p-nitrohippuric acids, with benzaldehyde and substituted benzaldehydes, have been determined in acetic acid solution up to $255 \text{ m}\mu$. All the azlactones show a band of high intensity, each with a maximum varying with substitution, at $346-430 \text{ m}\mu$. In some azlactones, a second, less intense band, with a maximum at $256-310 \text{ m}\mu$ is found. It is absent in azlactones where a nitro group is placed ortho or meta, in phenyls R_1 or R_2 (Formula I). Some correlations, between the position of the first maximum in the absorption spectra and the substituents, are described.

The azlactones, obtained by condensation of aromatic aldehydes with hippuric acid by the Plöchl-Erlenmeyer reaction, contain a conjugate system of two double bonds with two end phenyl nuclei, R_1 and R_2 (I). The fact that the azlactones can be easily prepared and that a wide variation of substitution in one or both phenyls is a possibility, makes this class of compounds particularly favorable for the study of the influence of substituents on the absorption spectra.

The first reports on the absorption spectra of the azlactones are those of Asahina1 whose data are very difficult to interpret because in many cases the data are given only graphically. Recently, Carter and Hinman² reported the ultraviolet absorption spectrum of one azlactone, the 2-phenyl-4p-methoxybenzal-5-oxazolone (No. 13), while Bennett and Niemann³ reported the absorption spectra in the ultraviolet and the visible of 2-phenyl-4p-fluorobenzal oxazolone and of 2-phenyl-4-m-fluorobenzal oxazolone. Both Asahina and Carter found a band with a maximum at about 250-260 $m\mu$. At longer wave lengths Bennett and Niemann found a stronger band with a maximum at about 360-390 m μ . More recently Schueler and Wang⁴ determined the absorption spectra of 28 azlactones and except for a few cases, found two maxima, at shorter wave lengths than other workers, one at about 220–232 m μ , the other at 284–323 m μ .

The authors have determined the absorption spectra for 71 azlactones in acetic acid solution

(1) T. Asahina, Bull. Chem. Soc. Japan, 4, 202 (1929); 5, 354 (1930).

(2) H. M. Carter and J. W. Hinman, J. Biol. Chem., 178, 403 (1949).

(3) E. L. Bennett and C. Niemann, THIS JOURNAL, 72, 1803 (1950). (4) F. W. Schueler and S. C. Wang, ibid., 72, 2220 (1950); Schueler and C. Hanna, ibid., 78, 3528 (1951).

(Table I, Part A) and for 5 of the above mentioned azlactones in absolute ethanol (Table I, Part B).

Unfortunately, owing to the instability of the azlactones derived from the nitrohippuric acids, in dilute ethanol solutions and to their insolubility in other less absorbing solvents, the authors determined the spectra of the azlactones studied in acetic acid solution, limiting the observations up to 255 The spectra of some of the azlactones derived mμ. from hippuric acid, in ethanol (No. 1, 13, 24, 28 and 48), showed in each case that the maxima were not displaced by changing the solvent. Slight variations in the value of ϵ were observed.

The spectrum of all azlactones showed an intense absorption band, with a maximum at about 361-430 m μ , varying with substitution. In some cases a second less intense band, with a maximum at 256-310 m μ was found. This same band was definitely absent, in azlactones where a nitro group is placed in ortho or meta position, in one or both phenyls R1 and R2.

The authors' experimental data for 12 of the above mentioned 71 azlactones does not agree with that of Schueler and Wang.⁴ In each case the maximum is always displaced toward the longer wave lengths and are located in the same region as reported by Carter and Hinman² and by Bennett and Niemann.³

In the case of the particular azlactone studied by Carter and Hinman² the authors were able to check their data (see Table I, Part B, No. 13)

For most of the azlactones studied by Schueler and Wang⁴ and the authors, the latter found a positive difference of about 74-77 m μ between their data and that reported by Schueler and Wang⁴ for the maximum present at longer wave lengths, and TABLE I

		I ABLE I					
	Spectra of Azla	CTONES OF TYPE R	.ıCH==C	-N			
			co	[∥] CR₂			
		I					
			ö				
	Azlactones	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~		lax. I		ax. II	
No.	Rı	Ri Durit A. Turrit	mμ	€ × 10 ⁻¹	mμ	e × 10⁻₽	
		Part A. In aceti	c acid				
1	C ₆ H ₅ -	C ₆ H ₆ -	361^a	37.6	259	15.6	
2	C ₆ H ₅ -	0-NO2C6H4-	365	26.6	•••	••	
3	C ₆ H ₅ -	$m-NO_2C_6H_4-$	364	33.2	• • •	••	
4	C ₆ H ₅ -	p-NO ₂ C ₆ H ₄ -	381	33.0	279	9.0	
5	o-CH3OC6H4-	C ₆ H ₅ -	386	31.0	256	13.6	
6	o-CH2OC6H4-	o-NO2C6H4-	396	23.6		••	
7	o-CH3OC6H4-	$m-NO_2C_6H_4-$	393	26.6		••	
8	o-CH ₂ OC ₆ H ₄ -	p-NO₂C₀H₄-	409	28.0	283	8.5	
9	m-CH ₃ OC ₆ H ₄ -	C ₆ H ₅ -	366°	29.5	259	14.9	
10	m-CH ₃ OC ₆ H ₄ -	o-NO2C8H4-	373	22.0			
11	m-CH ₃ OC ₆ H ₄ -	$m-NO_2C_4H_4-$	366	29.0			
12	m-CH3OC6H4-	$p-NO_2C_6H_4-$	387	25.3	284^{c}	13.6	
13	p-CH2OC6H4-	C ₆ H ₅ -	383	39.2	259	16.4	
14	p-CH3OCsH4-	0-NO2C6H4-	394	32.4		••	
15	p-CH3OC6H4-	m-NO ₂ C ₆ H ₄ -	392	40.4		• •	
16	p-CH ₂ OC ₆ H ₄ -	p-NO2C6H4-	411	33.8	297	13.0	
17	m-CH3COOC6H4-	C ₆ H ₅ -	361	37.0	260	15.5	
18	m-CH ₃ COOC ₆ H ₄ -	m-NO ₂ C ₆ H ₄ -	363	31.6			
19	m-CH3COOC6H4-	p-NO2C6H4-	379	29.8	279	12.2	
20	p-CH ₃ COOC ₆ H ₄ -	C_6H_5-	365"	38.2	261	15.6	
21	p-CH ₃ COOC ₆ H ₄ -	0-NO2C6H4-	368	28.0			
22	p-CH ₃ COOC ₆ H ₄ -	$m - NO_2C_6H_4 -$	368	33.4			
23	p-CH ₃ COOC ₆ H ₄ -	$p - NO_2C_5H_4 -$	385	32.6	282	14.0	
$\frac{1}{24}$	2,3-(CH ₃ O) ₂ C ₆ H ₃ -	C ₆ H ₅ -	367'	36.3	259	13.1	
25	2,3-(CH ₃ O) ₂ C ₆ H ₃ -	0-NO2C6H4-	371	26.2			
26	$2,3-(CH_3O)_2C_6H_5$	$m - NO_2C_6H_4 -$	371	31.0			
27	$2,3-(CH_2O)_2C_6H_3-$	$p-NO_2C_6H_4-$	388	30.6	285	10.8	
28	$3,4-(CH_{3}O)_{2}C_{6}H_{3}-$	$C_{\bullet}H_{5}-$	396	34.3	265 264	17.9	
20 29	$3,4-(CH_3O)_2C_6H_3-$	0-NO2C6H4-	414	30.2			
30	$3,4-(CH_3O)_2C_6H_3-$	m-NO ₂ C ₆ H ₄ -	408	33.0	•••	• •	
31	$3,4-(CH_{3}O)_{2}C_{6}H_{3}-$	$p-NO_2C_6H_4-$	426	33.8	308	13.0	
32	$3-C_2H_5O-4-CH_3OC_6H_3-$	$C_{6}H_{5}$ -	399	34.2	264	16.6	
33	$3-C_{2}H_{5}O-4-CH_{3}OC_{6}H_{3}-$	0-NO2C6H4-	415	29.0			
34	3-C2H5O-4-CH3OC6H3-	m-NO2C6H4-	412	31.5	•••	• •	
35	3-C ₂ H ₅ O-4-CH ₃ OC ₆ H ₃ -	$p - NO_2C_6H_4 - p - $	428	29.6	309	11.8	
36	$4-C_2H_5O-3-CH_3OC_4H_3-$	C_6H_5-	399	35.2	309 264	17.2	
37 38	4-C ₂ H ₅ O-3-CH ₃ OC ₆ H ₃ - 4-C ₂ H ₆ O-3-CH ₃ OC ₆ H ₃ -	0-NO2C6H4- m-NO2C6H4-	$415 \\ 412$	29.6 32.7	•••	••	
39	4-C ₂ H ₅ O-3-CH ₃ OC ₆ H ₃ -	$p-NO_2C_6H_4-$	430	30.0	30 9	 11.3	
40	$3,4-(C_2H_5O)_2C_6H_3-$	$p = NO_2 \subset g = 1_4 = C_8 H_5 = C_8$	401	31.4	265	17.8	
40 41		0-NO ₂ C ₆ H ₄ -	401 417	29.2			
$41 \\ 42$	$3,4-(C_2H_5O)_2C_6H_3-$					••	
42 43	$3,4-(C_2H_5O)_2C_6H_3-$ $3,4-(C_2H_5O)_2C_6H_3-$	$m - NO_2C_6H_4 -$	414	32.0	200		
	$3,4-(C_2H_5O)_2C_6H_3-$ $3,4-CH_2O_2C_6H_3-$	$p-NO_2C_6H_4-$	432	29.8	309	12.3	
$\frac{44}{45}$	$3,4-CH_2O_2C_6H_3-$ $3,4-CH_2O_2C_6H_3-$	C6H5- 0-NO2C6H4-	$\frac{394}{405}$	33.4 28.2	264	18.8	
40 46			403		• • •	••	
40 47	3,4-CH2O2C6H3 3,4-CH2O2C6H3	$m \cdot NO_2C_6H_4$		$\frac{32.8}{29.4}$			
47	$3,4-CH_2O_2C_6H_3-$ $3,4,5-(CH_3O)_3C_6H_2-$	<i>p</i> -NO₂C ₆ H₄− C ₆ H₅−	$421 \\ 385$	29.4 28.2	$308 \\ 286$	11.8	
48 49	$3,4,5-(CH_3O)_3C_6H_2-$ $3,4,5-(CH_3O)_3C_6H_2-$	0-NO2C6H4-				8.8	
49 50	$3,4,5-(CH_3O)_3C_6H_2-$ $3,4,5-(CH_3O)_3C_6H_2-$	$m - NO_2C_6H_4$	400 397	25.7 28.8	• • •	• •	
50 51	$3,4,5-(CH_3O)_3C_6H_2-$ $3,4,5-(CH_3O)_3C_6H_2-$	$p-NO_2C_6H_4-$	413	28.8 28.0	310	14.2	
$51 \\ 52$	4-CH ₃ COO-3-CH ₃ OC ₆ H ₂ -	$p-NO_2C_6H_4-$ C ₆ H ₄ -	413 372 ⁹	$\frac{28.0}{34.8}$	259	14.2 16.0	
52 53	4-CH ₃ COO-3-CH ₃ OC ₆ H ₃ -	0-NO2C6H4-	372- 382	27.0			
53	4-CH ₃ COO-3-CH ₂ OC ₆ H ₂ -	$m - NO_2C_6H_4$ -	382 378	27.0 29.4	•••	••	
5 4 55	4-CH3COO-3-CH3OC6H3-	$p - NO_2C_6H_4 - p - $	395	29.4 28.6	287	13.2	
56 56	3-CH ₃ COO-4-CH ₃ OC ₆ H ₃ -	C6H5-	380 [*]	39.4	259	$13.2 \\ 17.2$	
57	3-CH3COO-4-CH3OC6H3-	0-NO2C6H4-	391	30.0			
58	3-CH ₃ COO-4-CH ₃ OC ₆ H ₃ -	<i>m</i> -NO ₂ C ₆ H ₄ -	388	34.6	•••	••	
00		We have a contraction of the	0.00	01.0	• • •	• •	

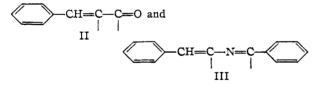
Azlactones Max, II Max, I							
No.	R ₁	R	mμ	• × 10-+	mμ	• × 10-4	
59	3-CH;COO-4-CH;OC;H;-	p-NO2C4H4-	407	33.8	296	12.4	
60	o-NO2CeH4-	C ₄ H ₅	360	24.2			
61	0-NO2C4H4-	o-NO2C6H4-	353	19.0			
62	0-NO2C+H4-	$m - NO_2C_4H_4 -$	355	22.0			
63	0-NO2C6H4-	p-NO₂C₀H₄-	364	24.4	261	14.5	
64	$m-NO_2C_6H_4-$	C ₆ H ₆ -	359 '	33.3	•••	••	
65	$m-NO_2C_4H_4-$	o-NO2C6H4-	346 ^{<i>i</i>}	24.5	•••	••	
66	$m-NO_2C_4H_4-$	m-NO ₂ C ₄ H ₄ -	359 *	27.6			
67	$m-NO_2C_4H_4-$	p-NO ₂ C ₆ H ₄ -	361	29.6	271	16.0	
68	$p-NO_2C_6H_4-$	C ₆ H ₅ -	376	36.6	271	11.8	
69	$p-NO_2C_6H_4-$	o-NO2C4H4-	373	29.8	•••		
70	$p-NO_2C_4H_4-$	$m - NO_2C_6H_4 -$	373	34.8			
71	$p-NO_2C_6H_4-$	<i>p</i> -NO ₂ C ₆ H ₄	383	36.6	278	9.8	
		Part B. In absolute	ethanol ¹				
1	C ₆ H ₅ -	C ₆ H ₅ -	3 60 ^{<i>m</i>}	38.7	259	15.0	
15	p-CH ₃ OC ₆ H ₄	C ₆ H ₅ -	383	40.0	259"	17.3	
24	2,3-(CH ₃ O) ₂ C ₆ H ₃ -	C ₆ H ₅ -	367°	37.2	259	12.6	
28	3,4-(CH ₃ O) ₂ C ₄ H ₃ -	C _s H _s -	398	32.4	264	16.4	
48	3,4,5-(CH ₃ O) ₃ C ₆ H ₂ -	C ₆ H ₅ -	385	26.0	286 °	7.5	

TABLE I (Continued)

^a A.M. (another maximum) at 378 mµ; $\epsilon \times 10^{-3} 27.5$. ^b A.M. at 383 mµ; $\epsilon \times 10^{-3} 24.3$. ^c A.M. at 270 mµ; $\epsilon \times 10^{-3} 27.3$. ^c A.M. at 382 mµ; $\epsilon \times 10^{-3} 28.6$. ^c A.M. at 378 mµ; $\epsilon \times 10^{-3} 27.3$. ^c A.M. at 382 mµ; $\epsilon \times 10^{-3} 28.6$. ^c A.M. at 384 mµ; $\epsilon \times 10^{-3} 27.3$. ^c A.M. at 382 mµ; $\epsilon \times 10^{-3} 28.6$. ^c A.M. at 384 mµ; $\epsilon \times 10^{-3} 27.3$. ^c A.M. at 382 mµ; $\epsilon \times 10^{-3} 28.6$. ^c A.M. at 384 mµ; $\epsilon \times 10^{-3} 27.3$. ^c A.M. at 382 mµ; $\epsilon \times 10^{-3} 29.2$; 246 mµ; $\epsilon \times 10^{-3} 14.1$; 240 mµ; $\epsilon \times 10^{-3} 13.0$. ^c A.M. at 252 mµ; $\epsilon \times 10^{-3} 16.0$. For this azlactone Carter and Hinman² give the following maxima in the ultraviolet: 251 mµ; $\epsilon \times 10^{-3} 16.0$; 259.5 mµ; $\epsilon \times 10^{-3} 17.0$, and a shoulder at 280 mµ. ^c A.M. at 386 mµ; $\epsilon \times 10^{-3} 37.2$. ^c A.M. at 254 mµ; $\epsilon \times 10^{-3} 11.4$.

a positive difference of 24–30 m μ for the maximum located at shorter wave lengths.⁵

The origin of the absorption spectrum of the azlactones is discussed by Schueler and Wang.⁴ They pointed out that two different conjugated systems are present in these compounds



and suggested that the chromophore responsible for the spectrum is most likely system (II).

The authors do not agree with this interpretation by Schueler and Wang⁴ which was reasoned from a comparison of their data with the spectra of α,β unsaturated ketones.⁶

Since the authors found experimentally that the maxima of the azlactones are displaced to longer wave lengths than those reported by Schueler and Wang⁴ and since they therefore no longer agree with the maxima for the α,β -unsaturated ketones, the principal argument for attributing an important part in determining the absorption spectra of the azlactones to the conjugated system II is invalid.

On the other hand, the spectra of diphenylbutadiene (λ_{max} 324 m μ), dibenzalazine (λ_{max} 300 m μ)⁷ and cinnamalaniline (λ_{max} 300 m μ),⁸ with a conjugate system similar to that present in the azlactones

(5) The authors checked their spectrophotometer as described in the Experimental Part and their figures are correct to the accuracy of the apparatus.

(6) A. L. Wilds, L. W. Beck, W. J. Close, C. Djerassi, J. A. Johnson, T. L. Johnson and C. H. Shunk, THIS JOURNAL, 69, 1985 (1947).

(7) R. D. Radulescu and V. Alexa, Ber., 64, 2230 (1931).

(8) L. Ferguson and G. E. K. Branch, THIS JOURNAL, 66, 1467 (1944).

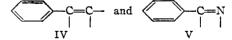
(III) each have a maximum at a wave length nearer to the maximum of the comparable azlactone (No. 1), than to the related α,β -unsaturated ketones.

In the opinion of the authors the conjugated system (III) is responsible for the first absorption band of the azlactones. The fact that the first maximum in the azlactones is displaced to longer wave lengths than in the simpler compounds mentioned can be explained by the replacement of carbon by a nitrogen atom in the butadiene system⁹ or to cross conjugation with the keto group present in the oxazolone ring.¹⁰

It is possible that this band with a maxima between 361 and 430 m μ according to the substituent is superimposed on another less intense band, because in some azlactones, another maximum of less intensity is found at longer wave lengths (No. 1, 9, 17, 20, 24, 32, 56, 65 and 66).

The second, less intense band, found in some azlactones with a maxima between 256 and 310 m μ appears to be produced by partial chromophores in the sense of Lewis and Calvin.¹¹

Partial chromophores in the azlactones are, for instance, the unsaturated ketone structure (II) and structures such as



The authors believe that chromophores (IV) and (V) are responsible for the second maxima. The (9) L. K. Evans and A. E. Gillam, J. Chem. Soc., 565 (1943), have found that in simple aliphatic compounds, the system C=C=C=N absorbs at longer wave lengths, than the system C=C=C=C.

(10) A. Radulescu, Ber., 64, 2243 (1931), found that dibenzalacetone with a keto group, conjugated with two carbon-to-carbon double bonds, has a λ_{max} 330 m μ .

(11) G. N. Lewis and M. Calvin, Chem. Revs., 25, 311 (1939).

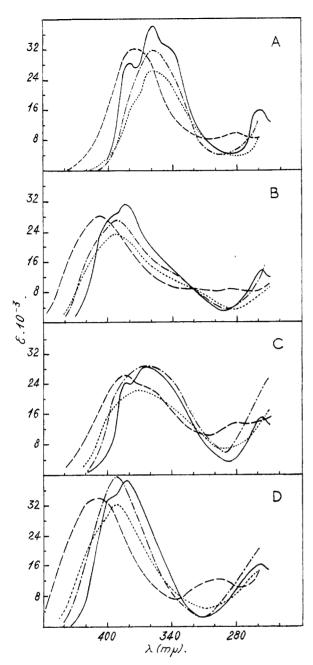


Fig. 1.—Azlactones (I) No. 1–16: A, $R_1 = C_6H_{5^-}$; B, $R_1 = o-CH_3OC_6H_{4^-}$; C, $R_1 = m-CH_3OC_6H_{4^-}$; D, $R_1 = CH_3OC_6H_{4^-}$, $R_2 = C_6H_{5^-}$, $R_2 = o-NO_2C_6H_{4^-}$, $R_2 = m-NO_2C_6H_{4^-}$,; $R_2 = m-NO_2C_6H_{4^+}$,; $R_2 = p-NO_2C_6H_{4^-}$.

 α,β -unsaturated ketone structure (II) can be eliminated because the spectra of such ketones⁶ exhibit maxima that are about 30 m μ from the second maxima of the corresponding azlactones. Whereas the absorption spectra of styrene¹² (structure IV) exhibit a maximum at 244 m μ and Grammaticakis¹³ reports that oxime and imines derived from substituted benzaldehydes (structure V) exhibit maxima at 250–275 m μ , giving a difference from the second maximum of the corresponding azlactones of about 10 m μ .

(12) M. Pestemer, T. Langer and F. Manchen, Monatsh., 68, 326 (1936).

(13) P. Grammaticakis, Bull. soc. chim. France, 8, 427 (1941).

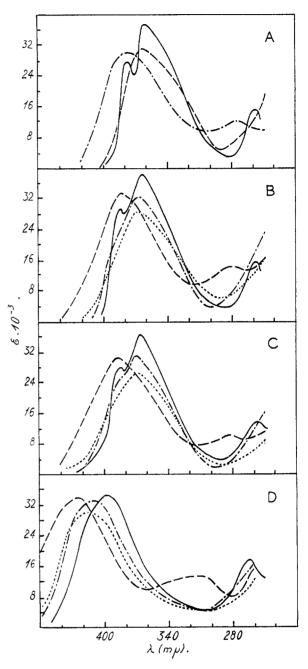
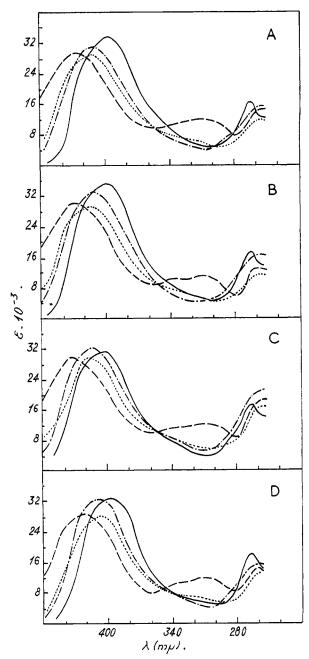


Fig. 2.—Azlactones (I) No. 17–31: A, $R_1 = m$ -CH₃-COOC₆H₄-; B, $R_1 = p$ -CH₃COOC₆H₄-; C, $R_1 = 2,3$ -(CH₃O)₂C₆H₃-; D, $R_1 = 3,4$ -(CH₃O)₂C₆H₃-, $R_2 = C_6$ H₅-,; $R_2 = o$ -NO₂C₆H₄-,; $R_2 = m$ -NO₂-C₆H₄,....; $R_2 = p$ -NO₂C₆H₄-,

This second band is also found in the spectra of some compounds, with a conjugated system of two double bonds, with two end phenyls, as in cinnamalaniline.⁸

Influence of Substitution in Phenyl R_1 .—If the unsubstituted azlactone (No. 1) is used as a reference compound, it can be seen from the data in Table I that the introduction of one CH₃O-, *p*-NO₂- or *p*-CH₃COO- group into phenyl R_1 produces a bathochromic shift in the first maximum, whereas a *m*-CH₃COO- group gives no change, and a *m*-NO₂- or an *o*-NO₂- gives hypsochromic shifts. The order of



activities as measured by the value of the displacement of the maximum is o-CH₃O- > p-CH₃O- > p-NO₂- > m-CH₃O- > p-CH₃COO- > H = m-CH₃COO- > m-NO₂- > o-NO₂-.

Whilst it is difficult to discuss in detail the reasons for the activity of each group, it can be seen that groups with a high mesomeric effect (CH₃O₋, NO₂-) when placed at positions ortho or para, where this effect can work through the conjugated system of the azlactone, should have the highest activity. The o-NO₂- is an exception because a hypsochromic shift is produced. This must be the result of the ortho effect, which has been described in

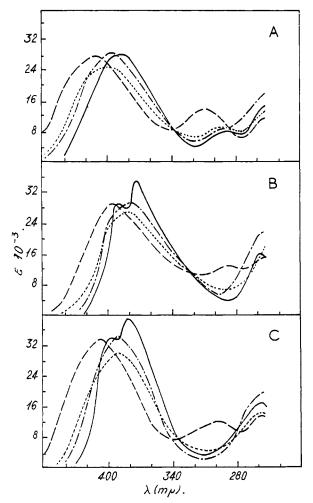


Fig. 4.—Azlactones (I) No. 48–59: A, $R_1 = 3,4,5$ -(CH₄O)₈-C₆H₂-; B, $R_1 = 4$ -CH₂COO-3-CH₈OC₆H₈-; C, $R_1 = 3$ -CH₃-COO-4-CH₂OC₆H₈-; $R_2 = C_6$ H₅-, -----; $R_2 = o$ -NO₂C₆H₄-,; $R_2 = m$ -NO₂C₆H₄-, -----; $R_2 = p$ -NO₂C₆H₄-,

the absorption spectra of aromatic compounds, with an o-NO₂- group.¹⁴

This effect is attributed to steric influences and especially its capacity of decreasing the possibility of coplanarity in the molecules. Another result of this influence is the decreasing intensity of the absorption observed.

Groups with a low mesomeric effect, even when placed at the proper positions (p-CH₃COO-), do not have a high activity. When the mesomeric effect cannot operate, the activity is low (m-CH₃O-, m-CH₃COO-) or even hypsochromic shifts are observed (m-NO₂-).

A second maximum, at lower wave lengths and of less intensity, usually follows the displacement of the first maximum. It is absent when an o-NO₂or m-NO₂- group is present in phenyl R₁.

Similarity in the spectra of ortho and meta substituted compounds have been observed in some cases¹⁵ but the reasons for the absence of the second

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(15) L. Doub and J. M. Vandenbelt, *ibid.*, **71**, 2414 (1949); C. M. Moser and A. I. Kohlenberg, J. Chem. Soc., 804 (1951).

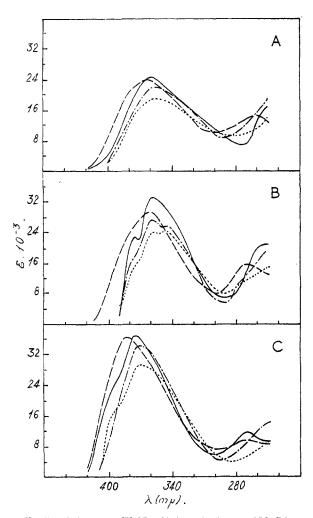


Fig. 5.—Azlactones (I) No. 60–71: A, $R_1 = o$ -NO₂C₆H₄-; B, $R_1 = m$ -NO₂C₆H₄-; C, $R_1 = p$ -NO₂C₆H₄-; $R_2 = C_6$ H₄-,; $R_2 = o$ -NO₂C₆H₄-,; $R_2 = m$ -NO₂C₆H₄-,; $R_2 = p$ -NO₂C₆H₄-,; $R_2 = m$ -NO₂C₆H₄-,

maximum are difficult to state, especially because, owing to the experimental conditions, the spectra could be observed only at 255 m μ .

When two or more substituents of the type indicated above are introduced into phenyl R_1 with one of them in the meta, and the other in either the ortho or para position, a bathochromic shift is always observed; however the intensity of the shift is different if we have an ortho-meta combination or a meta-para combination. In simultaneous ortho and meta substitution, the shift is a low value and comparable to that produced by a meta substitution alone (compare No. 24-27 with No. 5-12). The effect of the weaker group predominates.

When substitution is meta and para, the shift is of almost the same value or higher than with the para substituent alone, and consequently the influence of the meta substituent in depressing the activity of the para group is very low or non-existent (compare No. 28-31 and 44-47 with 9-16; No. 52-55 with 9-12 and 20-23 and No. 56-59 with 13-16 and 17-19).

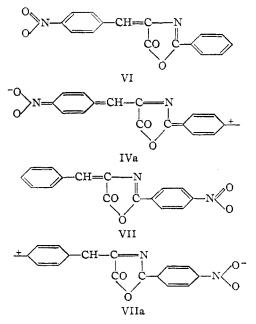
When three CH_3O - groups are present in R_1 , two in meta and one in para, the shift is of the

same order as that produced by a para substitution alone (compare No. 48-51 with 13-17).

The substitution of an C₂H₅O- group for a CH₃Ogroup intensifies the bathochromic shift. This may be the result of the higher inductive effect of the ethyl group and of the higher mesomeric effect of the ethoxyl (compare No. 32–43 with 28–31).

Influence of Substitution in Phenyl R₂.—The only substituent studied was the NO₂- group. The activity of this group is greater when substituted in R₂ than in R₁. When either an o-NO₂- or a m-NO₂group is substituted in R₁, it produces a hypsochromic shift, whereas when the same group is placed in a similar position in R₂, a bathochromic shift is produced (compare No. 60 and 64 with 2 and 3.) The p-NO₂- produces a bathochromic shift when placed in R₁ or R₂, but the value of the shift, in relation to the unsubstituted azlactone, is greater when placed in R₂ than in R₁.

It is difficult to give an explanation for this difference in activities; it may be due to the remaining part of the azlactone molecule. Take for example the case of substitution by a p-NO₂group, it means that although structures (VI) and (VII) are very similar, and that the same is true for structures (VII) and (VIIa), (both of which should make a large contribution in the first excited state of the azlactones) the difference in energies must be higher for the transition VI \rightarrow VIa than for VII \rightarrow VIIa, and consequently compound VI must absorb at shorter wave lengths than compound VII.! When a NO₂- is placed in ortho or para position in R₂ the second maximum is absent, the same as in the case of phenyl R₁.



Influence of Simultaneous Substitution in Phenyls R_1 and R_2 .—This influence was studied with an *o*-, *m*- or *p*-NO₂- present in R_2 , and the indicated series of substituents varied in R_1 .

. When an o-NO₂- group is present in phenyl R₂, the order of activity for the different substituents placed in R₁ is the same as when R₂ is unsubstituted, even though in some cases the differ-

 TABLE II

 PROPERTIES AND ANALYTICAL DATA OF AZLACTONES (I)

		~	Azlactones		Nitrogen, % Calcd. Fou		
No.ª	R ₁	R:	Yield, %	M.p., °C	. Formula	Nitrogen, Calcd.	% Found
2	C₀H₅-	0-NO2C6H4-	43 ^{6,1}	148	$C_{16}H_{10}N_2O_4$	9.52	9.81
3	C ₆ H ₅ -	m-NO ₂ C ₆ H ₄ -	80 ^{5,6}	218	$C_{16}H_{10}N_2O_4$	9.52	9.90
4	C ₆ H ₅ -	p-NO2CeH4-	62 ^{b, f}	229	C ₁₆ H ₁₆ N ₂ O ₄	9.52	9.82
6	0-CH3OC6H4-	o-NO2C+H+-	38 ^{b, A}	191	C ₁₇ H ₁₂ N ₂ O ₅	8.65	8.61
7	0-CH3OC4H4-	$m-NO_2C_6H_4-$	72°,1	232	C ₁₇ H ₁₂ N ₂ O ₅	8.65	8.65
8	o-CH3OC6H4-	p-NO2C4H4-	87 ^{b,h}	248	$C_{17}H_{12}N_2O_5$	8.65	8.69
10	m-CH ₃ OC ₆ H ₄ -	0-NO2C6H4-	53 ^{¢,1}	184	$C_{17}H_{12}N_2O_5$	8.65	8.01
11	m-CH ₂ OC ₆ H ₄	<i>m</i> -NO ₂ C ₆ H ₄ -	78°,1	194	$C_{17}H_{12}N_2O_5$	8.65	8.97
12	m-CH ₃ OC ₆ H ₄ -	p-NO ₂ C ₆ H ₄ -	87°,h	226	C ₁₇ H ₁₂ N ₂ O ₅	8.65	8.98
14	p-CH ₃ OC ₆ H ₄ -	0-NO2C6H4-	38 ^{b, h}	179	$C_{17}H_{12}N_2O_5$	8.65	8.47
15	p-CH3OC6H4-	$m-NO_2C_6H_4-$	72 ^{d,1}	214	$C_{17}H_{12}N_{2}O_{5}$	8.65	8.69
16	p-CH ₃ OC ₆ H ₄ -	<i>p</i> -NO₂C ₆ H ₄ -	86 ^{b, h}	250	$C_{17}H_{12}N_2O_5$	8.65	8.55
18	m-CH ₃ COOC ₆ H ₄ -	•	50°,1	175	$C_{13}H_{12}N_2O_6$	7.95	8.00
19	m-CH ₃ COOC ₆ H ₄	$m - NO_2C_4H_4 -$	75 ^{6,1}	181		7.95	7.98
19 21		p-NO₂C ₆ H ₄ -	36 ^{b, f}		$C_{18}H_{12}N_2O_6$		
21 22	p-CH ₃ COOC ₆ H ₄ -	o-NO ₂ C ₆ H ₄ -	70°,1	178	$C_{18}H_{12}N_2O_6$	7.95	8.05
	p-CH ₃ COOC ₆ H ₄ -	$m - NO_2C_6H_4 -$	70°,* 84°,*	201	$C_{18}H_{12}N_2O_6$	7.95	8.20
23	p-CH ₃ COOC ₆ H ₄ -	$p-NO_2C_6H_4-$		236	$C_{18}H_{12}N_2O_6$	7.95	8.05
25 22	$2,3-(CH_3O)_2C_6H_3-$	0-NO2C6H4-	190,1	145	$C_{18}H_{14}N_2O_6$	7.91	8.22
26	$2,3-(CH_3O)_2C_6H_3-$	m-NO ₂ C ₆ H ₄ -	68°, f	195	$C_{18}H_{14}N_2O_6$	7.91	7.65
27	$2,3-(CH_3O)_2C_6H_3-$	p-NO2C6H4-	$72^{d,f}$	214	$C_{18}H_{14}N_2O_6$	7.91	8.15
29	$3,4-(CH_3O)_2C_6H_5-$	0-NO2C6H4-	34°, ^h	177	C ₁₈ H ₁₄ N ₂ O ₆	7.91	8.26
30	3,4-(CH ₃ O) ₂ C ₆ H ₃ -	m-NO₂C6H₄-	63 ^{b, f}	191	$C_{18}H_{14}N_2O_6$	7.91	8.17
31	3,4-(CH ₃ O) ₂ C ₆ H ₃ -	<i>p</i> -NO₂C6H4−	63 ^{5,1}	267	$\mathbf{C_{18}H_{14}N_2O_6}$	7.91	8.20
33	3-C ₂ H ₅ O-4-CH ₃ OC ₆ H ₃	0-NO2C6H4-	41°, ^h	197	$C_{19}H_{16}N_2O_6$	7.61	7.81
34	$3-C_2H_5O-4-CH_3OC_6H_3-$	m-NO ₂ C ₆ H ₄ -	72 ^{b,h}	199	$C_{19}H_{16}N_2O_6$	7.61	7.94
35	$3-C_2H_5O-4-CH_3OC_6H_3-$	<i>p</i> -NO ₂ C ₆ H ₄ -	67 ^{b, h}	220	C19H16N2O6	7.61	8.03
37	$4-C_2H_5O-3-CH_3OC_6H_3-$	0-NO2C6H4-	33°,*	162	$C_{19}H_{16}N_2O_6$	7.61	8.03
38	4-C ₂ H ₅ O-3-CH ₃ OC ₆ H ₃ -	$m-NO_2C_6H_4-$	69 ^{5,#}	206	$C_{19}H_{10}N_2O_6$	7.61	7.96
39	4-C ₂ H ₅ O-3-CH ₃ OC ₆ H ₃ -	p-NO ₂ C ₆ H ₄ -	73°,*	213	$C_{19}H_{16}N_{2}O_{6}$	7.61	8.05
41	$3,4-(C_2H_5O)_2C_6H_3-$	$o-NO_2C_6H_4-$	34 ^{d, h}	167	$C_{20}H_{18}N_2O_6$	7.33	7.76
42	3,4-(C ₂ H ₅ O) ₂ C ₅ H ₃ -	m-NO ₂ C ₆ H ₄ -	$69^{b,f}$	166	C20H18N2O6	7.33	7.73
43	3,4-(C ₂ H ₅ O) ₂ C ₆ H ₃ -	p-NO2C6H4-	71 ^{6,6}	220	$C_{20}H_{18}N_2O_6$	7.33	7.65
45	3,4-CH2O2C6H3-	o-NO2C6H4-	35 ^{6, A}	188	$C_{17}H_{10}N_2O_6$	8.28	8.57
46	3,4-CH ₂ O ₂ CH ₃ -	m-NO ₂ C ₆ H ₄ -	69°, ^f	196	$C_{17}H_{10}N_2O_6$	8.28	8.28
47	3,4-CH ₂ O ₂ C ₆ H ₃ -	p-NO₂C₀H₄−	71 ^{d,h}	245	$C_{17}H_{10}N_2O_6$	8.28	8.36
49	3,4,5-(CH ₃ O) ₃ C ₆ H ₂ -	0-NO2C6H4-	28 ^{5, *}	209	$C_{17}H_{16}N_{2}O_{7}$	7.29	7.55
50	3,4,5-(CH ₃ O) ₃ C ₆ H ₂ -	m-NO ₂ C ₆ H ₄ -	50°,°	219	$C_{19}H_{16}N_2O_7$	7.29	7.32
51	3,4,5-(CH ₃ O) ₃ C ₆ H ₂ -	p-NO2C6H4-	54 ^{b,h}	230	C19H16N2O7	7.29	7.27
53	4-CH ₃ COO-3-CH ₃ OC ₆ H ₃ -	o-NO2C6H4-	51 ^{•, /}	190	$C_{19}H_{14}N_2O_7$	7.33	7.34
54	4-CH3COO-3-CH3OC6H3-	$m - NO_2C_6H_4 -$	58 ^{•,1}	209	$C_{19}H_{14}N_2O_7$	7.33	7.69
55	4-CH3COO-3-CH3OC6H3-	p-NO2C8H4-	71 ^{b,h}	215	C ₁₉ H ₁₄ N ₂ O ₇	7.33	7.04
57	3-CH ₃ COO-4-CH ₃ OC ₆ H ₃ -	o-NO2C6H4-	21°, ^h	169	C19H14N2O7	7.33	7.79
58	3-CH ₃ COO-4-CH ₃ OC ₆ H ₃ -	$m - NO_2 C_6 H_4$	57 ^{d, f}	182	$C_{19}H_{14}N_2O_7$	7.33	7.77
59	3-CH ₃ COO-4-CH ₃ OC ₆ H ₃ -	p-NO2C6H4-	67°, h	199	C ₁₉ H ₁₄ N ₂ O ₇	7.33	7.70
61	0-NO2C6H4-	0-NO2C6H4-	57 ^{b, f}	210	C15H9N3O6	12.38	12.37
62	0-NO2C6H4-	$m-NO_2C_4H_4-$	36°,f	196	C ₁₆ H ₉ N ₃ O ₆	12.38	12.45
63	0-NO ₂ C ₆ H ₄ -	p-NO2C6H4-	71 ^{4,7}	237	$C_{16}H_9N_3O_6$	12.38	12.34
65	$m - NO_2C_6H_4 -$	0-NO2C6H4-	60 ^{e,f}	188	C ₁₆ H ₉ N ₃ O ₆	12.38	12.46
66	$m - NO_2C_6H_4 - m - NO_2C_6H_4 - m - NO_2C_6H_4 - m - NO_2C_6H_4 - m - m - NO_2C_6H_4 - m - m - m - m - m - m - m - m - m - $	<i>m</i> -NO ₂ C ₆ H ₄ -	830,0	188 243	$C_{16}H_9N_3O_6$	12.38	12.40 12.16
67	$m - NO_2C_4H_4 - m - m - NO_2C_4H_4 - m - m - m - m - m - m - m - m - m - $	p-NO2C6H4-	85 ^{*,1}	$\frac{243}{258}$	$C_{16}H_9N_3O_6$	12.38	12.10 12.02
69	$p-NO_2C_6H_4$	p-NO2C6H4- 0-NO2C6H4-	50 ^{b, f}	208 248	$C_{16}H_{9}N_{3}O_{6}$ $C_{16}H_{9}N_{3}O_{6}$	12.38	12.02
70	$p - NO_2C_6H_4 - p - p - p - p - p - p - p - p - p - $	<i>o</i> -NO ₂ C ₆ H ₄ - <i>m</i> -NO ₂ C ₆ H ₄ -	50"" 72 ^{•,1}	$\frac{248}{251}$	$C_{16}H_{9}N_{3}O_{6}$ $C_{16}H_{9}N_{3}O_{6}$	12.38	12.59 12.56
70	$p-NO_2C_6H_4$	<i>m</i> -NO₂C6H4 <i>p</i> -NO₂C6H4	$60^{b,f}$	291 295	$C_{16}H_9N_3O_6$ $C_{16}H_9N_3O_6$	12.38	12.68
		-					
• Nur	nbers refer to same compounds	as in Table I, • N	veedles. ° l	risms.	Plates. • Pale ye	ellow. / Yellow	. v Yel-

^a Numbers refer to same compounds as in Table I, ^b Needles. ^o Prisms. ^d Plates. ^o Pale yellow. ^f Yellow. ^g Yellow orange. ^h Orange. ⁱ Red.

ences in activity are narrowed. For instance, when an azlactone has a m-CH₃O- group in R₁ (No. 9), its first maximum is at 366 m μ and when a p-NO₂- group is present in R₁ (No. 68), its first maximum is at 376 m μ with a difference of 10 m μ . But when an o-NO₂ group is simultaneously present

in R₂ each azlactone (No. 10 and 69), gives a maximum at the same wave length of $375 \text{ m}\mu$.

This equalization of the shifts results from the interaction of the two groups, o-NO₂- and p-NO₂-, which have the same mesomeric effect and are united through a conjugated system. This inter-

action will be discussed later for a similar case where two p-NO₂ groups one in R₁ the other in R₂ are present.

When the substituent in R_2 is a *m*-NO₂ group, the order of activity for the substituents in R_1 is maintained with two exceptions. The orders *m*-CH₃O- > *p*-CH₃COO- and *o*-NO₂- > *m*-NO₂found when R_2 is unsubstituted are reversed (compare, No. 9 and 20 with 11 and 22 and No. 60 and 64 with 62 and 66.) These inversions are determined by small differences in the first maximum, and no particular reason can be given for determining same.

When a p-NO₂- group is placed in R₂, the order of activities o-CH₃O- > p-CH₃O- and p-NO₂-> m-CH₃O-, found for simple substitution in R₁ are also reversed (compare No. 5 and 13 with 8 and 16, and No. 9 and 68 with 12 and 71). These inversions result from the interaction of the two substituents.

In the azlactones with an o-CH₃O- or a p-CH₃Oin R₁ and a p-NO₂- in R₂, an electron-donating and an electron-attracting substituent are united through a conjugated system of double bonds and phenyls. The positions of the substituents allow for an interaction of their mesomeric effects, through the conjugated system. It is known that substitutions of this type, by action on the π electrons, determine a shift on the absorption maximum to longer wave lengths in relation to the unsubstituted structure.¹⁶

For example, the unsubstituted azlactone has a maximum at 361 m μ (No. 1), which can be displaced to 409 m μ , by introducing a p-NO₂- into R_2 and an o-CH₃O- into R_1 (No. 8); and to 411 $m\mu$ by introducing a *p*-CH₃O- into R₁ (No. 16). The conjugated system *p*-CH₃O-:*p*-NO₂is longer than o-CH₃O-:p-NO₂-, and consequently the azlactone No. 16 has a maximum at longer wave lengths than azlactone No. 8. When there is no p-NO₂- in R₂, the azlactone with an o-CH₈Oin R₁ (No. 5) has a maximum at 386 m μ while with a p-CH₈O- present in R₁ (No. 13), the maximum is at 383 mµ. The difference is small, and one explanation for the greater activity of the o-CH₃Ogroup may be found in the fact that it can transmit its mesomeric effect easier to the remaining conjugated system better than the p-CH₃O-, since it is placed nearer to the phenyl conjugated double bond that relays it to the remaining components of the system.

The conjugated system is shorter in the case of the o-CH₃O- than for the p-CH₃O, but it is evident that the mobility of the π -electrons is greater for

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H. Kuhn, J. Chem. Phys., 16, 840 (1948); Z. Elektrochem., 53, 165 (1949).

the former substitution than for the latter and the maximum of azlactone No. 5 is found at a longer wave length than for azlactone No. 13.

The inversion of activities of groups p-NO₂and *m*-CH₃O-, when the spectra of azlactones with only those substituents in R₁ (No. 9 and 68) are compared with azlactones that have also a p-NO₂- in R₂ (No. 12 and 71), is the result of the low bathochromic effect of the two p-NO₂- in azlactone 71, because they are placed at the ends of the conjugated system.

This partial neutralization of the bathochromic activity of identical substituents, when they are united through a conjugated system, has already been observed.¹⁶ It is a result of their identical mesomeric effect that works in opposed direction and decreases the mobility of the π -electrons.

As a result, azlactone 71 has a maximum at 383 m μ , located at shorter wave lengths than when a m-CH₃O- is present in R₁ and a p-NO₂ in R₂ (No. 12, λ_{max} 387 m μ). The order of activity of the substituents in R₁ is m-CH₃O- > p-NO₂-.

When there is no substituent in R_2 , the p-NO₂group in R_1 exerts a stronger mesomeric effect than the *m*-CH₃O-, and the maximum for the former azlactone (No. 68) is at 376 m μ , while for the latter (No. 9) is at 366 m μ and the order of activity is p-NO₂-> *m*-CH₃O-.

Experimental

Determination of the Absorption Spectra.—The absorption spectra were determined with a Beckman spectrophotometer model DU employing silica cells 1 cm. wide.

The spectrophotometer was checked and correct values found for the sodium line at 589 m μ and α hydrogen line at 656 m μ . Correct values were also obtained for the transmission and absorption of a potassium chromate solution prepared according to Haupt.¹⁷ The spectra were determined in acetic acid and ethanol in 0.00005 M solution.

Preparation of the Azlactones.—All the known azlactones were prepared according to literature data.¹⁸ The azlactones derived from the nitrohippuric acids were new and the following method was used. In a test-tube with a condenser, 1.07 millimoles of the hippuric acid, 0.96 millimole of the aromatic aldehyde, 0.96 millimole of fused sodium acetate and 3 millimoles of acetic anhydride, were well mixed and heated in a boiling water-bath for one hour. After cooling, 2 ml. of 96% ethanol was added to the contents of the test-tube, the mixture left at 5° for 4–6 hours and the precipitate formed filtered, washed with cold ethanol, then with boiling water and again with cold ethanol. The dried precipitate was recrystallized to constant melting point in acetic acid.

The yields, crystalline form, color, melting points and analysis of the new azlactones, are reported in Table II.

BUENOS AIRES, ARGENTINA

(17) G. W. Haupt in "Analytical Absorption Spectroscopy," edited by M. G. Mellon, John Wiley and Sons, Inc., New York, N. Y., 1950, p. 261.

(18) H. E. Carter in "Organic Reactions," Vol. III, edited by R. Adams, John Wiley and Sons, Inc., New York, N. Y., 1946, p. 198.