



[3+2] and [4+1] Cycloaddition Reactions of Fischer Alkoxy(alkenyl)carbene Complexes with Electronically Neutral 1,3-Dienes**

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Dedicated to Professor Julio D. Martín
 on the occasion of his 60th birthday

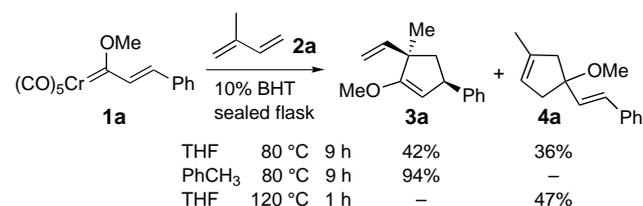
Reactions of the heteroatom-stabilized carbene complexes of group 6 metals with conjugated carbodienes allow for the construction of cyclic products with different ring sizes^[1] that depend upon both the nature of the reaction partners and the reaction conditions.^[2] Electron-deficient 1,3-dienes are known to react when heated with alkoxy(aryl/alkyl)carbene complexes to afford $[2_D+1_C]$ ^[3] cycloadducts with high selectivity.^[4] While analogous reactions with aminocarbene complexes provide a $[4_D+1_C]$ cycloadduct with pentacarbonyl-[(*N,N*-dimethylamino)methylene]chromium^[5] or $[4_D+3_C]$ cycloadducts with molybdenum–amino(alkenyl)carbene complexes.^[6] Electron-rich 1,3-dienes also react with alkoxy(alkenyl)carbene complexes, which undergo a $[4_D+3_C]$ cycloaddition reaction in the case of the chromium derivatives,^[7] and a $[4_D+2_C]$ cycloaddition process when tungsten–carbene complexes are involved.^[8,9] Nevertheless, the corresponding $[4_D+1_C]$ adduct was isolated when a Cr complex was mixed at room temperature with 2-methyl-1,3-dimorpholino-1,3-butadiene.^[7c] In addition, the reaction of alkenylcarbene derivatives with a 1-acceptor-3-donor-substituted 1,3-diene furnished cyclopentenes, which are the $[3_C+2_D]$ adducts of the corresponding carbene ligand to the electron-rich terminal double bond of the silyloxydiene.^[7d,10] Simple 1,3-dienes have been shown to undergo either a thermally selective monocyclopropanation reaction ($[2_D+1_C]$ cycloaddition) with alkoxy(alkyl/aryl)carbene complexes of Mo and Cr,^[11] or a room-temperature Diels–Alder reaction ($[4_D+2_C]$ annulation) with alkoxy(alkenyl)carbene complexes of Cr and W.^[8a,c,12] On the other hand, the photolytic reaction of chromium–alkoxy(alkyl/aryl)carbene complexes with simple cyclic 1,3-dienes produced bicyclic cyclobutanones ($[2_D+1_C+1_{CO}]$ annulation products) with a high degree of selectivity.^[13]

Herein, we report the first examples of $[3_C+2_D]$ or $[4_D+1_C]$ cycloaddition reactions between alkoxy(alkenyl)carbene complexes and electronically neutral 1,3-dienes. The transfer

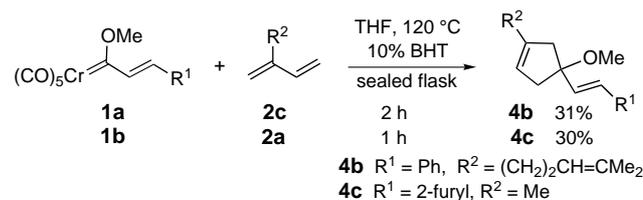
reaction of the carbene ligand as a three-carbon-atom synthon, which seems to be more general than that in which it acts as a one-carbon-atom synthon, took place with total regio- and diastereoselectivity, and high asymmetric induction can be observed when alkenylcarbene complexes derived from (–)-8-phenylmenthol are used.^[14]

In the initial experiment, the standard protocol previously established for the intermolecular cyclopropanation of simple alkenes with alkenylcarbene complexes^[15] was utilized to evaluate the reaction of methoxycarbene complex **1a** with isoprene (**2a**). Accordingly, this reaction was conducted in THF with five equivalents of diene **2a** in the presence of a catalytic quantity (10 mol% with respect to the diene) of 2,6-di-*tert*-butyl-4-methylphenol (BHT).^[16] After heating for 9 h at 80 °C, a nearly equimolar mixture of tetrasubstituted cyclopentene enol ether **3a** and trisubstituted cyclopentene **4a** was obtained (Scheme 1). These structural isomers were easily separated by column chromatography. Compound **3a** was formed as a single diastereoisomer. We observed that performing the reaction in toluene led exclusively to the $[3+2]$ cycloadduct **3a** in high yield, whereas heating the reaction in THF at 120 °C in a sealed flask gave only the $[4+1]$ cycloadduct **4a**, although only in moderate yield. The reactions of other alkenylcarbene complexes with different 1,3-dienes were carried out under both of the above-mentioned reaction conditions to produce the corresponding $[3+2]$ or $[4+1]$ cycloadducts; the results are summarized in both Table 1 and Scheme 2.

The reaction of 3-aryl/heteroaryl- or 3-alkyl-substituted alkenylcarbene–chromium complexes **1a–d** with 1,3-dienes **2a–c** in toluene proceeded smoothly to afford the cyclopentenes **3a–f** diastereoselectively and with high efficiency (Table 1, entries 1–6). The reaction with the structurally analogous molybdenum–carbene complex **1e**, which was conducted at 45 °C, was less efficient (entry 7), while treatment with the corresponding tungsten complex **1f** gave compound **3a** with comparable yield, but required a much longer reaction time (entry 8).^[17] Acid hydrolysis of enol ethers **3a–d** and **f** (where R² is not H) furnished cyclo-



Scheme 1. Illustration of $[3+2]$ and $[4+1]$ cycloaddition reactions.



Scheme 2. $[4+1]$ Cycloaddition reactions.

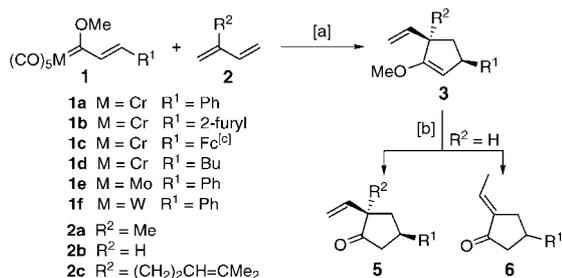
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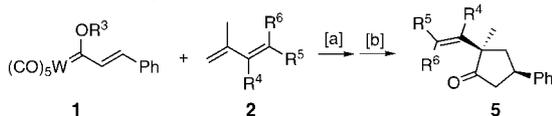
Table 1: [3+2] Cycloaddition reactions of alkenylcarbene complexes with simple 1,3-dienes and their subsequent hydrolysis reactions.



Entry	1	2	t [h] ^[d]	3	Yield [%] ^[e]	5 or 6	Yield [%] ^[f]
1	1a	2a	9	3a	94	5a	70
2	1b	2a	10.5	3b	91	5b	85
3	1c	2a	24	3c	94	5c	90
4	1d	2a	5.5	3d	75 ^[g]	5d	90
5	1a	2b	5	3e	95	6a	86
6	1a	2c	11	3f	86	5e	78
7	1e	2a	24 ^[h]	3a	50 ^[i]		
8	1f	2a	72	3a	80 ^[i]		

[a] PhCH₃, 5 equiv of **2**, 10% BHT (with respect to the diene), 80 °C (bath temperature), sealed flask. [b] 2 N HCl, THF, room temperature, 10–15 min. [c] Fc = ferrocenyl. [d] Reaction time required for complete disappearance of starting carbene complex **1**. [e] Yield obtained from the cycloaddition reaction. [f] Yield obtained after hydrolysis. [g] Crude yield of impure product, which was submitted to hydrolysis without characterization. [h] Reaction temperature = 45 °C. [i] Crude yield.

Table 2: [3+2] Cycloadditions of tungsten–carbene complexes **1f** and **g** with 1,3-dienes **2d–f**.



Entry	1	R ³	2	R ⁴	R ⁵	R ⁶	t [d] ^[c]	5	Yield [%]
1	1f	Me	2d	Me	H	H	2.5	5f	50 ^[d]
2	1g	menthyl ^[e]	2d	Me	H	H	8	5f	60
3	1g	menthyl ^[e]	2e	H	Me	H	2	5g	80
4	1f	Me	2f	H	Me	Me	4	5h	65

[a] PhCH₃, 5 equiv of **2**, 10% BHT (with respect to the 1,3-diene), 80 °C (bath temperature), sealed flask. [b] The crude product was treated with 2 N HCl, THF, room temperature, 10–15 min (for R³ = Me) or 1 h (for R³ = menthyl). [c] Reaction time required for complete disappearance of starting carbene complex **1** at 80 °C. [d] In this experiment compound **7** was also isolated in 25% yield. [e] (–)-Menthol was used to prepare the starting carbene complex **1g**.

pentanones **5a–e** (entries 1–4, 6), while the same hydrolysis reaction of enol ether **3e** (R² = H) occurred with concomitant isomerization of the terminal C=C bond to form 2-ethylidenecyclopentanone **6a** (entry 5). Both compounds **5** and **6** were isolated as unique diastereoisomers.

In addition, we have found that the more-substituted 1,3-dienes **2d** and **e** led to a complicated mixture of products when they were treated with chromium–carbene complex **1a** (5 equiv of **2**, 10% BHT, PhCH₃, 80 °C, 8–21 h). In contrast, tungsten–carbene complexes **1f** and **g** reacted with 1,3-dienes **2d–f** to give the corresponding [3+2] cycloadducts, which after subsequent acid hydrolysis were all isolated as the 2-vinylcyclopentanones **5** as a single diastereoisomer (Table 2). The reaction of methoxycarbene complex **1f** with a disubstituted 1,3-diene, such as 2,3-dimethyl-1,3-butadiene (**2d**),

provided a 2:1 mixture of cyclopentanone **5f** and cyclohexene derivative **7**, respectively (Table 2, entry 1), whereas the analogous reaction with the trisubstituted 1,3-diene **2f** afforded only the corresponding [3+2] adduct **5h** (Table 2, entry 4). Formation of the minor compound **7**, which is the formal [4_D+2_C] cycloadduct/oxidation product, was completely suppressed by using the menthyloxy-carbene complex **1g**, which on treatment with either of the disubstituted 1,3-dienes **2d** or **2e** afforded cyclopentanones **5f** and **g** (Table 2, entries 2 and 3).^[18]

In contrast, the thermal reaction (120 °C in THF) of alkenylcarbene–chromium complexes **1a** and **b** with 1,3-dienes **2a** and **c** led to cyclopentene [4+1] adducts **4b** and **c** with low efficiency. A considerable quantity of unidentified products were obtained in these experiments (Scheme 2).^[19,20] The connectivity of the atoms in **3** and **4** and the stereochemistry of **3**, **5**, **6**, and **7** were ascertained by NMR experiments (including HMQC, HMBC, COSY, and NOESY).

Finally, the possibility to prepare enantiomerically enriched cyclopentanones **5** and **6** was examined using 8-phenylmenthyloxy (alkenyl)carbene–chromium complexes **1h** and **i**.^[14] These chiral complexes reacted with dienes **2a** and **b** to give the [3+2] adducts **3g–i** with variable diastereomeric excesses (Table 3). The most successful result was achieved in the reaction of **1h** and **2b**, in which **3h** was obtained as a diastereomerically pure material. Acid hydrolysis of **3h** led to the isolation of the enantiomerically pure 2-ethylidene-4-phenylcyclopentanone (+)-**6a**. The geometry of (+)-**5** and (+)-**6** was assumed from X-ray analysis of a single crystal of the major enantiomer (+)-**6b**, which exhibits an absolute configuration *R* for the newly created stereogenic center, and confirmed the *E* configuration of the exocyclic C=C bond.^[21]

Formation of products **3** and **4** can be rationalized, according to previous models,^[5,7d,10,17d] by means of a metal-la-Diels–Alder reaction, which presumably occurs after an initial thermally induced dissociation of a CO ligand, followed by reductive elimination of the metal moiety (Scheme 3). The final [3+2] adduct **3** or [4+1] adduct **4** would involve the initial formal addition of the alkenylcarbene complex as a

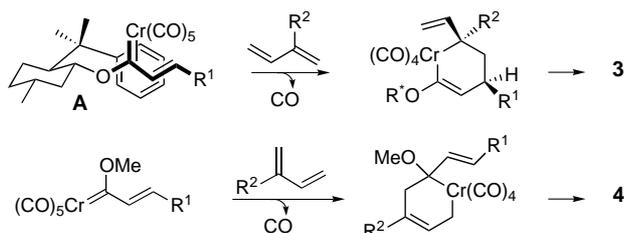
Table 3: Asymmetric [3+2] cycloaddition reactions.^[a]

1	R ¹	2	R ²	3	Yield [%] ^[d]	de [%] ^[e]	5 or 6	Yield [%]	ee [%] ^[f]
1h	Ph	2a	Me	3g	94	74	(+)-5a	73	70
1h	Ph	2b	H	3h	90	>99	(+)-6a	90	>99
1i	Fc	2b	H	3i	95	80	(+)-6b	85	79

[a] R* = 8-Phenylmenthyl; (–)-8-Phenylmenthol was used to prepare carbene complexes **1h** and **i**.

[b] PhCH₃, 10 mol % BHT, 80 °C (bath temperature), sealed flask, 4–24 h. [c] 2 N HCl, THF, room temperature, 1 h. [d] Crude yield. [e] Determined by integration of ¹H NMR spectra of the crude product.

[f] Determined by HPLC analysis on a chiral support.


Scheme 3. Proposed models for the [3+2] and [4+1] cycloaddition reactions.

1-chroma-1,3-diene and as a Cr=C dienophile, respectively. The sense of diastereochemical induction, which is in agreement with previous results,^[14,17d] involves approach of the dienophile to the less-hindered face (front side in model **A**) of the metalladiene.

In conclusion, a general, selective synthesis of enantiomerically enriched alkenyl-substituted cyclopentanones is reported. The process is based on the new annulation of a three-carbon-atom unit of an alkoxy(alkenyl)carbene complex to a two-carbon-atom unit of a simple 1,3-diene. Studies of the scope of these cycloaddition reactions are currently in progress.

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[18] No asymmetric induction was observed in the cycloaddition reactions with the chiral menthylcarbene complex **1g**.

[19] The analogous treatment (10% BHT, THF, 120 °C, 0.5 h) of complex **1a** with **2b** exclusively provided the [3+2] adduct **3d** (75%).

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