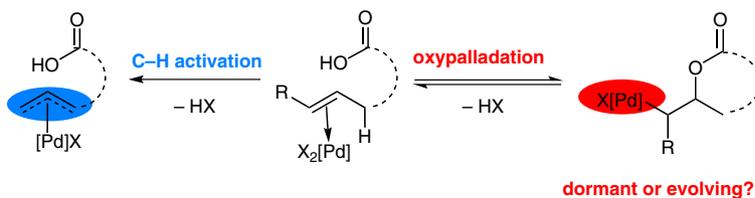


Dichotomous Reaction Pathways for the Oxidative Palladium(II)-Catalyzed Intramolecular Acyloxylation of Alkenes

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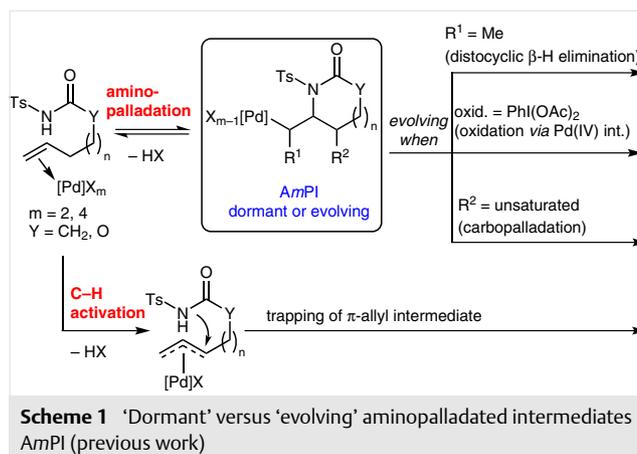
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Abstract This work provides an in-depth investigation of the Pd(II)-catalyzed oxidative cyclization of various alkenoic acids bearing different tethers between the carboxylic acid moiety and the olefin function, showcasing how different mechanistic pathways (oxypalladation or allylic C–H activation) can be operative. The factors biasing toward one or the other of these reactivities are rationally discussed and compared with our recent studies on the Pd(II)-catalyzed intramolecular amination.

Key words palladium(II), C–H activation, oxypalladation, reaction mechanisms, alkenoic acids

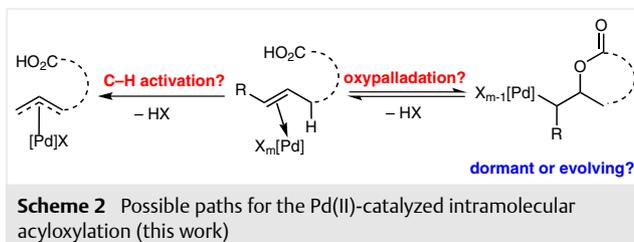
Pd(II)-catalyzed addition of nucleophiles to olefins has emerged as an attractive research domain in the past decades.² However, the mechanism of these transformations is not always clear, since nucleopalladation³ and allylic C–H activation⁴ are two competing pathways sharing the same substrate type as well as reaction conditions. Therefore, we started a study aiming at understanding the detailed mechanisms of Pd(II)-catalyzed oxidative cyclizations.⁵ We recently studied the behavior of unsaturated amine derivatives such as *N*-sulfonyl carbamates and carboxamides under oxidative Pd(II) catalysis [Pd(OAc)₂, White's disulfoxide ligand⁶ and a terminal oxidant such as phenylbenzoquinone (PhBQ) or PhI(OAc)₂ in AcOH⁷] (Scheme 1).

This study indicated that after activation of the unsaturation, two main mechanistic pathways can be operative: namely, aminopalladation, which affords the corresponding cyclic aminopalladated intermediate (*AmPI*). This latter can either evolve along diverse pathways such as distocyclic⁸ β-H elimination,⁹ oxidation by a strong oxidant like PhI(OAc)₂,¹⁰ and carbopalladation (Scheme 1, top), or lay



'dormant'. In this latter case, being the *AmPI* in equilibrium with the substrate,¹¹ C–H allylic activation followed by intramolecular trapping of the transiently generated π-allyl–Pd(II) intermediate⁴ can alternatively become the only observed reactivity (Scheme 1, bottom).

To verify if such dichotomous behavior is common to a broader range of substrates, we decided to extend the above investigation to unsaturated alkenoic acids. Our results are reported in this letter (Scheme 2).



We began our study by using our previous standard reaction conditions, namely: 10 mol% of Pd(OAc)₂, 15 mol% of PhS(O)(CH₂)₂S(O)Ph as the ligand and 1.07 equivalents of PhBQ (conditions A) or 2.1 equivalents of PhI(OAc)₂ (conditions B) as the oxidant. The influence of the nature of the solvent (AcOH, CH₂Cl₂) and of a base (NaOAc) was first investigated in preliminary experiments (see supporting information), the best results being obtained [for both PhBQ and PhI(OAc)₂], in CH₂Cl₂ with one equivalent of NaOAc. Various alkenoic acids were then reacted under these conditions and the results are summarized in Table 1 according to the terminal oxidant used.

First, following conditions A (PhBQ as oxidant), pent-4-enoic acid (**1a**) did not react (Table 1, entry 1), whereas hex-5-enoic acid (**1b**), hept-6-enoic acid (**1c**) and oct-7-enoic acid (**1d**) gave the corresponding vinyl lactones **2b–d** with moderate yields¹² (Table 1, entries 2–4). These results indicate that, under such reaction conditions, a direct allylic acyloxylation occurred.¹³ Carboxylic acids bearing internal alkenes were then tested. (*E*)-Hex-4-enoic acid (**1e**) led to vinyl lactone **2b** in a moderate 49% yield (Table 1, entry 5). However, under the same conditions, (*E*)-pent-3-enoic acid (**1f**) only led to degradation (Table 1, entry 6). Conditions B [PhI(OAc)₂] were next tested. Acetylated lactones **3a,b,f**, and **3f'** were obtained starting from carboxylic acids **1a,b**,

Table 1 Pd(II)-Catalyzed Intramolecular Acyloxylation of Alkenes

Entry	Substrate	Products method A ^a	Products method B ^a
1		– ^b	
2			
3			
4			– ^c
5			
6		– ^c	

^a Isolated yields.

^b Starting material was recovered.

^c Degradation was observed.

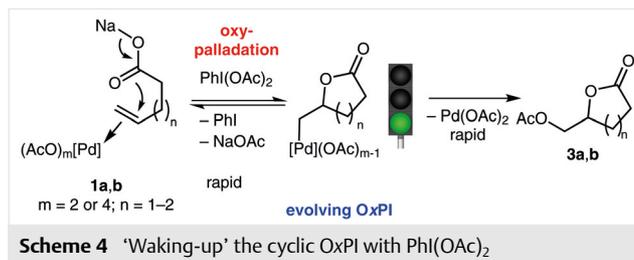
^d Compounds **2b**, **3e** and **3e'** were obtained as inseparable mixtures.

and **1f** (Table 1, entries 1–2 and 6),^{14,15} whereas acids **1c** and **1d** only gave intractable material in CH₂Cl₂ (Table 1, entries 3 and 4) or, in the case of **1c**, diacetoxyated product **3c** in AcOH.¹⁶ Finally, carboxylic acid **1e** gave a mixture of 5-vinyl- (**2b**), 5-styryl- (**3e**) and 5-(1-iodoethyl)- (**3e'**) γ -butyrolactone (Table 1, entry 5).

Similarly to the Pd(II)-catalyzed intramolecular amination, the above results become coherent if we consider the existence of a rapid equilibrium between the substrate and the corresponding cyclic oxypalladated intermediate (OxPI), which can be off-cycle (dormant) or in-cycle (evolving), depending on several factors. Thus, under the reaction conditions of conditions A, due to the impossibility of β -H elimination (forbidden proxicyclic, no external β -H available for distocyclic), the substrates **1b–d** are slowly but irreversibly consumed through an allylic C–H activation path, leading to the corresponding vinyl lactones **2b–d** (Scheme 3).^{4,13} The formation of the four-membered lactone appears highly disfavored, especially if the transient β -allyl intermediate takes place via an inner-sphere mechanism,¹⁷ thus accounting for the absence of reactivity of acid **1a**.

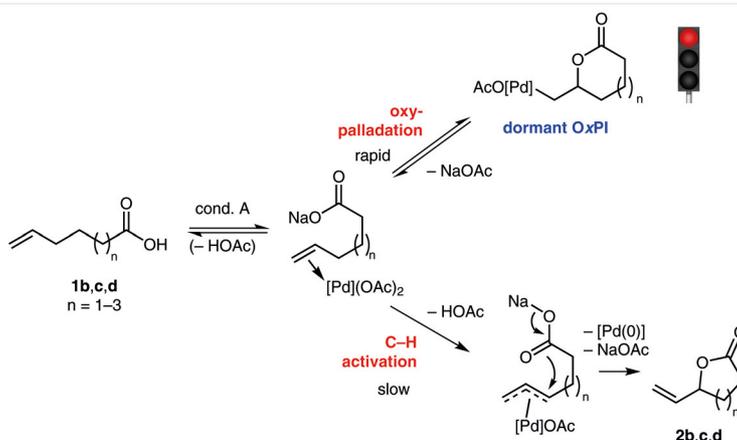
Further information came from the experiments carried out in the presence of PhI(OAc)₂. Indeed, the 'dormant' OxPI intermediate could be 'awakened' by this oxidant, which allowed obtaining the acetoxyated lactones **3a,b** through a double bond oxypalladation–reductive elimination sequence likely involving a Pd(IV)¹⁸ [or dimeric Pd(III)]¹⁹ intermediate (Scheme 4).²⁰ However, only five- or six-membered acetoxyated lactones were isolated, while medium-rings were not obtained. These results lead us to assume that the oxypalladation–reductive elimination process is much faster than the previously observed C–H activation reactivity, and reversibility of the oxypalladation step is lost, be it Pd(II)- or Pd(IV)-catalyzed.

Let us consider now the results obtained for the carboxylic acids, **1e,f**, having an internal double bond. Under conditions A, substrate **1e** provided uneventfully the vinyl lac-

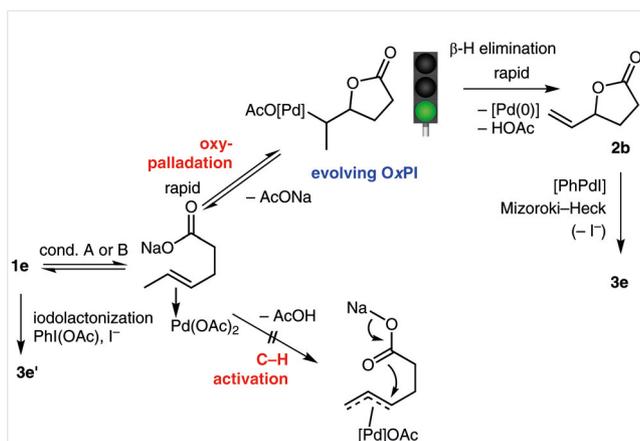


tone **2b**. In this case, both the competing paths (allylic C–H activation–nucleophilic trapping and oxypalladation) may in principle lead to the same product. However, as the disulfoxide ligand is not expected to induce the C–H activation of an internal allylic position in a linear alkene, it follows that the reaction transits through the cyclic OxPI intermediate, which in turn evolves to the final product via a distocyclic β -H elimination (Scheme 5).²¹ The same lactone **2b** is formed from **1e** when using PhI(OAc)₂ as oxidant, too. However, in this case, **2b** is accompanied by 5-styryl- (**3e**) and 5-(1-iodoethyl)- (**3e'**) β -butyrolactone. While **3e** is likely to derive from carbopalladation of **2b** with in situ generated PhPdI,²² **3e'** may result from a (non Pd-catalyzed) PhI(OAc)₂/I⁻ mediated direct iodolactonization of **1e**.²³ As to the evolution of the cyclic OxPI, the distocyclic β -H elimination appears in this case to be faster than the alternative Pd oxidation step.

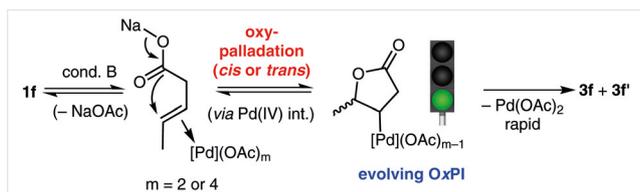
Finally, treatment of **1f** under conditions A only gave degradation products, whereas under conditions B, two separable diastereoisomers **3f** (*trans*) and **3f'** (*cis*) could be isolated. The formation of these compounds is in agreement with an oxidative cyclization passing through a nonselective (*trans* or *cis*) oxypalladation²⁴ and/or a nonselective (reductive elimination type or nucleophilic substitution type)²⁵ oxylation (Scheme 6).²⁶



Scheme 3 Allylic C–H activation of compounds involving a dormant OxPI



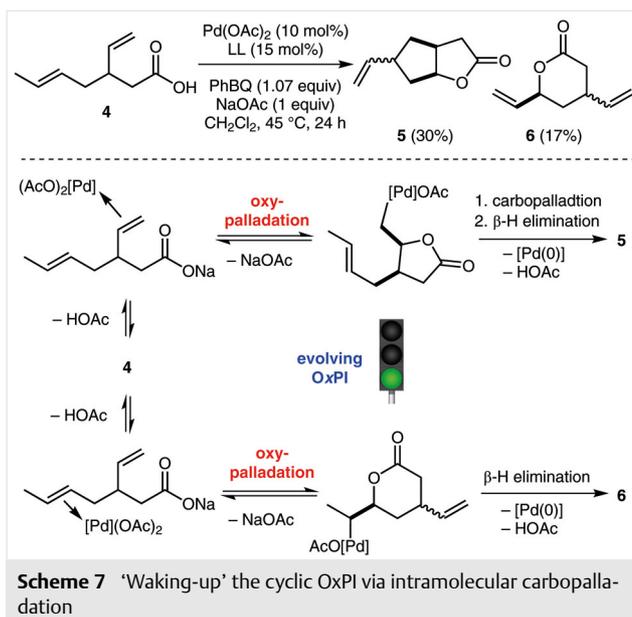
Scheme 5 'Waking-up' the cyclic OxPI via distocyclic β -H elimination, and competition with iodolactonization



Scheme 6 Behavior of carboxylic acid **1f** under conditions B

To further confirm the involvement of a cyclic OxPI, and by analogy with our previous work on the nitrogen series,^{5a} we reasoned that an appropriately placed unsaturation in the substrate could 'awaken' the OxPI without relying on an exogenous parameter such as the replacement of the oxidant. Accordingly, the dienic carboxylic acid **4** was planned as ideal candidate.²⁷ Indeed, when **4** was submitted to conditions A, the predicted domino sequence²⁸ took place, leading to bicyclic γ -lactone **5** together with the some dienic δ -lactone **6** (Scheme 7),²⁹ the latter product coming from an oxypalladation–distocyclic dehydropalladation shunt path (Scheme 5). These results are in full accord with the formation of a latent cyclic OxPI, thereby validating our speculation.

In summary, the present study on oxidative Pd(II)-catalyzed intramolecular acyloxylation well complements our previous work on the intramolecular amination,⁵ providing an unified mechanistic picture of the behavior of a broad range of unsaturated nucleophiles, spanning from alkenoic acids, to *N*-sulfonyl carbamates and carboxamides, as a function of the starting material and the operating conditions (Scheme 8). Thus, after activation of the unsaturation by a Pd(II) or Pd(IV) complex, to give intermediate **I**, a rapid nucleopalladation occurs, leading to a cyclic σ -alkyl–palladium(II) or σ -alkyl–palladium(IV) intermediate **II** (*Am*PI or OxPI). On the one hand, if possible, evolution via distocyclic



Scheme 7 'Waking-up' the cyclic OxPI via intramolecular carbopalladation

β -H elimination takes place affording the final unsaturated product **A** (right, top), or via a carbopalladation–dehydropalladation sequence, leading to bicyclic product **B** (bottom right). Both paths involve the reduction of Pd(II) to Pd(0), whose oxidation by a terminal oxidant (such as a quinone derivative) closes the catalytic cycles. Alternatively, in the presence of a hypervalent iodine(III) reagent a pre- or post-nucleopalladative Pd(II)-to-Pd(IV) oxidation can take place, whose evolution affords the acetoxyated product **C** (right, bottom). On the other hand, if **II** cannot evolve via one of the above paths, **I** is slowly but irreversibly depleted through an allylic C–H activation, and nucleophilic trapping of the thus generated π -allyl–Pd(II) intermediate **IV** leads to the allylated product **D** (left). In this case, due to the reversibility of the nucleopalladation step, intermediate **II** remains an off-cycle species. These studies further confirm the existence of the reversible formation of cyclic nucleopalladated Pd(II) intermediates, which can evolve or lay 'dormant' depending on the reaction conditions and the nature of the substrate.³⁰

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Supporting Information

Supporting information for this article is available online at <http://dx.doi.org/10.1055/s-0035-1560071>.

- (14) Under conditions of method B, but in the absence of a Pd(II) catalyst, this same oxidative lactonization gave the desired compounds in very low yields (less than 10% after 48 h).
- (15) For the conversion of **1a** into **3a** in AcOH in the presence of PhI(OAc)₂ and catalytic TfOH, see: Kang, Y.-B.; Gade, L. H. *J. Am. Chem. Soc.* **2011**, *133*, 3658.
- (16) The protocol in AcOH was not optimized. However, this result is consistent with a prototypical nature of alkene diacetoxylation in the presence of PhI(OAc)₂; see ref. 14.
- (17) (a) Gormisky, P. E.; White, M. C. *J. Am. Chem. Soc.* **2011**, *133*, 12584. (b) Chen, M. S.; Prabakaran, N.; Labenz, N. A.; White, M. C. *J. Am. Chem. Soc.* **2005**, *127*, 6970. (c) Fraunhoffer, K. J.; Prabakaran, N.; Sirois, L. E.; White, M. C. *J. Am. Chem. Soc.* **2006**, *128*, 9032. (d) Ammann, S. E.; Rice, G. T.; White, M. C. *J. Am. Chem. Soc.* **2014**, *136*, 10834.
- (18) According to the present knowledge on this domain, the Pd(II)-to-Pd(IV) oxidation might take place prior to or after (ref. 6b) the oxypalladation step. See: (a) Pilarski, L. T.; Selander, N.; Böse, D.; Szabó, K. *J. Org. Lett.* **2009**, *11*, 5518. (b) Alam, R.; Pilarski, L. T.; Pershagen, E.; Szabó, K. *J. Am. Chem. Soc.* **2012**, *134*, 8778. (c) Check, C. T.; Henderson, W. H.; Wray, B. C.; Eyden, M. J. V.; Stambuli, J. P. *J. Am. Chem. Soc.* **2011**, *133*, 18503.
- (19) Powers, D. C.; Ritter, T. *Nat. Chem.* **2009**, *1*, 302.
- (20) For a recent example of Pd(II)-catalyzed intramolecular acyloxyl-ation-acetoxylation in the presence of PhI(OAc)₂, see: Li, Y.; Song, D.; Dong, V. M. *J. Am. Chem. Soc.* **2008**, *130*, 2962.
- (21) For recent examples of intramolecular oxypalladation followed by β-hydride elimination, see: (a) Takenaka, K.; Akita, M.; Tanigaki, Y.; Takizawa, S.; Sasai, H. *Org. Lett.* **2011**, *13*, 3506. (b) Trend, R. M.; Ramtohl, Y. K.; Stoltz, B. M. *J. Am. Chem. Soc.* **2005**, *127*, 17778. (c) Hayashi, T.; Yamasaki, K.; Mimura, M.; Uozumi, Y. *J. Am. Chem. Soc.* **2004**, *126*, 3036.
- (22) For a similar by-product obtained after a Mizoroki-Heck coupling between in situ generated PhI and alkene, see: (a) Ref 4a. (b) Qu, X.; Sun, P.; Li, T.; Mao, J. *Adv. Synth. Catal.* **2011**, *353*, 1061. (c) Evdokimov, N. M.; Kornienko, A.; Magedov, I. V. *Tetrahedron Lett.* **2011**, *52*, 4327.
- (23) We assume that the Mizoroki-Heck process generates the iodide anion required for the iodolactonization. See: Liu, H.; Tan, C.-H. *Tetrahedron Lett.* **2007**, *48*, 8220.
- (24) McDonald, R. I.; Liu, G.; Stahl, S. S. *Chem. Rev.* **2011**, *111*, 2981.
- (25) Lyons, T. W.; Sanford, M. S. *Chem. Rev.* **2010**, *110*, 1147.
- (26) The *trans* configuration (*erythro*) of **3e** and the *cis* configuration (*threo*) of **3e'** were clearly attributed with the *J*_{3,4} coupling constants in the ¹H NMR spectra, and compared with the data reported in the literature, see: (a) Pakuiski, Z.; Zamojski, A. *Tetrahedron* **1995**, *51*, 871. (b) Tiecco, M.; Testaferri, L.; Tingoli, M.; Bartoli, D. *Tetrahedron* **1990**, *46*, 7139.
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- (28) For a definition of domino sequences in a catalytic transformation, see: (a) Poli, G.; Giambastiani, G. *J. Org. Chem.* **2002**, *67*, 9456. (b) Prestat, G.; Poli, G. *Chemtracts Org. Chem.* **2004**, *17*, 97.
- (29) Given the complexity of the crude ¹H NMR spectrum, we were not able to determinate the diastereomeric ratios for compounds **5** and **6**. Although we did not optimize this domino sequence, the results still confirm our conclusions concerning the involvement of the cyclic OxPI intermediate.
- (30) (a) **General Procedures; Conditions A:** In a sealed tube, under an argon atmosphere, were added the carboxylic acid (1.0 equiv), Pd(OAc)₂ (0.1 equiv), bis-sulfoxide ligand (0.15 equiv), *p*-phenylbenzoquinone (1.07 equiv), NaOAc (1.0 equiv) and CH₂Cl₂ (0.5 M). The tube was sealed and the reaction was allowed to stir at 45 °C. After 24 h, the reaction mixture was filtered on a plug of celite. The filtrate was treated with a sat. aq solution of 5% K₂CO₃ and the aqueous layer was extracted with CH₂Cl₂ (3 ×). The combined organic layers were dried over anhyd MgSO₄, filtered and concentrated under reduced pressure. Purification by flash silica gel column chromatography afforded the desired vinyl lactone. **Analytical Data for 2b:** Yield: 59%; colorless oil. ¹H NMR (300 MHz, CDCl₃): δ = 5.86 (ddd, *J* = 17.1, 10.5, 6.0 Hz, 1 H), 5.33 (dt, *J* = 17.2, 1.2 Hz, 1 H), 5.22 (dt, *J* = 10.5, 1.1 Hz, 1 H), 4.87–4.95 (m, 1 H), 2.50 (ddd, *J* = 8.0, 6.9, 1.1 Hz, 2 H), 2.31–2.46 (m, 1 H), 1.87–2.07 (m, 1 H). These data are in good agreement with those reported in the literature (ref. 11). **Conditions B:** In a sealed tube, under an argon atmosphere, were added the carboxylic acid (1.0 equiv), Pd(OAc)₂ (0.1 equiv), bis-sulfoxide ligand (0.15 equiv), iodobenzene diacetate (2.1 equiv), NaOAc (1.0 equiv) and CH₂Cl₂ (0.5 M). The tube was sealed and the reaction was allowed to stir at 45 °C for 24 h. The mixture was filtered over a small pad of celite. The filtrate was treated with a sat. aq solution of 5% K₂CO₃ and the aqueous layer was extracted with CH₂Cl₂ (3 ×). The combined organic layers were dried over anhyd MgSO₄, filtered and concentrated under reduced pressure. Purification by flash silica gel column chromatography afforded the acetoxyated product. **Analytical Data for 3b:** yield: 41%; yellow oil. ¹H NMR (300 MHz, CDCl₃): δ = 4.45–4.53 (m, 1 H), 4.18 (dd, *J* = 12.0, 3.8 Hz, 1 H), 4.12 (dd, *J* = 12.0, 5.8 Hz, 1 H), 2.30–2.74 (m, 2 H), 2.04 (s, 3 H), 1.70–2.00 (m, 3 H), 1.50–1.71 (m, 1 H). (b) These data are in good agreement with those reported in the literature: Ha, H. J.; Park, Y. S.; Park, G. S. *ARKIVOC* **2001**, (i), 55.