Recognition and Catalysis in Allylic Alkylations

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ABSTRACT



A cavitand outfitted with a chelated palladium atom catalyzes allylic alkylation reactions. Molecular recognition by the cavitand distinguishes between closely related structures and results in subtle substrate specificities.

One goal of modern physical organic chemistry is to merge molecular recognition with chemical catalysis. To that end, synthetic receptors have been furnished with appropriate functional groups,¹ with the idea of placing the functionality of the host near the resident guest. Placing functional groups on concave surfaces is challenging, but progress has been made with inwardly directed carboxyl groups² and porphyrincontaining macrocycles.³ These show high affinities for complementary guests⁴ and accelerate reactions not catalyzed by enzymes.⁵ The synthesis and evaluation of a cavitand bearing a palladium catalyst near the guest site is reported here. The system expresses molecular recognition in its catalytic action.

The specific arrangement draws on the work of Pfaltz et al., who recently described the chiral palladium ligand **5**

(Figure 1).⁶ Palladium-catalyzed reactions of monosubstituted allylic substrates such as **1** or **2** with nucleophiles typically result in linear products (**3**).⁷ In contrast, aryl-substituted allyl acetates (R = Ar) yield predominantly the branched isomer **4** ($Nu^- = HC(CO_2Me)_2^-$) with good regio- and enantio-selectivities when compound **5** is employed as the palladium ligand.

Catalyst precursor *exo*-7 features a diphenyl-substituted oxazoline attached to a cavitand. Such cavitands are capable of binding size- and shape-complementary molecules such as adamantanes.⁸ The geminal phenyl groups of the oxazoline were expected to destabilize η^3 -complex **B** as well as the transition states leading to this isomer (Figure 2). The pathway involving the η^3 -complex **A** should dominate, as the residue R of the substrate is forced into the cavitand. Nucleophilic attack takes place preferentially at the allyl terminus trans to the Pd–P bond in such complexes,⁹ and reaction at the unsubstituted allyl end should be favored.

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Figure 1. Palladium-catalyzed allylic alkylation. The design of supramolecular palladium ligand *exo-*7 based on ligand 5. Ligand 6 was used for control experiments.

The synthesis of ligand *exo*-**7** is shown in Scheme 1. First, chlorophosphite **10** was generated in situ by treating diol **9**¹⁰ with PCl₃ using pyridine as the base. The reaction of **10** with alcohol **11** in the presence of triethylamine yielded a 7:3 mixture of *exo*-**7** and *endo*-**7**, which could be separated by chromatography.¹¹ The presence of Et₃N proved essential for the selective formation of *exo*-**7** and implies that Et₃-NHCl occupies the interior of intermediate **10**¹² and the formation of the undesired isomer *endo*-**7** is suppressed. Both phosphites are configurationally stable up to 100 °C; at higher temperatures they decompose.

The reactions of substrates $2\mathbf{a}-\mathbf{e}$ with dimethyl malonate were tested with cavitand *exo-***7** and ligand **6** (Table 1). In all cases, the linear products $3\mathbf{a}-\mathbf{e}$ were exclusively formed.



Figure 2. Predicted nucleophilic attack on the η^3 -copmlexes **A** and **B**. The steric hindrance caused by the geminal phenyl groups of the oxazoline favors a reaction pathway involving η^3 -complex **A** that results in the formation of the linear product **3**.

Contrary to ligand **6**, the reaction rate varies significantly with different substrates when *exo-***7** is employed as the palladium ligand. The conversion of substrates **2b** and **2c** was complete after 2 days, whereas the reaction of the bulkier substrates **2d** and **2e** were approximately four times slower. Remarkably, the smaller substrate **2a** exhibited the lowest reaction rate.

Competiton experiments interrogated the substrate specificity of *exo*-7 (Table 2). The η^3 -complexes of *exo*-7 and 6 bear a single positive charge and are suited for study by electrospray mass spectrometry. The structural differences of the η^3 -complexes are minor, so the relative abundances of their signals in the mass spectra were assumed to accurately reflect the solution concentrations of the allyl palladium species present in the reaction mixture. Surprisingly, after one turnover, the mass spectrum of a 1:1 mixture of substrates 2a and 2b in the presence of exo-7 revealed a 91:9 distribution in favor of the **2a**-derived η^3 -complex. After 4 days, the mixture of 2a and 2b yielded predominantly product 3a, although substrate 2b showed an overall reaction rate substantially higher than that of **2a** (compare Table 1). These data suggest that palladium(0) species 8 forms the η^3 complex more quickly with substrate 2a, but this complex is practically inert to nucleophilic attack by the malonate. Substrate 2a is an *inhibitor* of catalyst 8. Model ligand 6 showed the same preference for substrate 2a without inhibitory effects. Substrate 2b also adds more rapidly to the

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⁽¹¹⁾ The structural assignment is mainly based on the capability of binding guest molecules, which was determined by means of ¹H NMR in C₆D₅CD₃. Cavitand *exo*-**7** showed with an association constant K_{ass} of approximately 100 M⁻¹ a distinct affinity to *N*-adamant-1-yl-3-*p*-tolyl-acrylamide. In the case of *endo*-**7**, however, no encapsulation could be detected, which indicates that the cavity of this stereoisomer is blocked by the introversive oxazoline.

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palladium(0) species than the allyl acetate **2c**. The rate of the oxidative addition depends on the connectivity of the homoallylic carbon atom; it decreases in the order **2a** (secondary) > **2b** (tertiary) > **2c** (quaternary).

To address this connectivity effect, we examined substrates **2b**, **2d**, and **2e**, all of which represent a tertiary carbon atom

Table 1. Allylic Alkylation of Various Substrates 2a-e Using Ligands *exo-7* or 6^a

QAc	H ₂ C(CO ₂ Me) ₂ Pd / L		-~~	~ _CO₂N	Лe	
2a-e	BSA, K CH ₂ Cl ₂	(OAc 2, 20°C	R [•]			
		ligand exo	-7 (L)	ligand 6 (L)		
substrate	_	reaction time	yield	reaction time	yield	
OAc	2a	6 d [*]	38%	2 h	85%	
QAc	2b	2 d	76%	2 h	91%	
QAc	2c	2 d	96%	2 h	81%	
QAc	2d	6 d ^b	74%	2 h	78%	
QAc	2e	$6 d^b$	60%	2 h	82%	

 $[^]a$ Using 1.4 mol % [Pd(C₃H₅)Cl]₂, 3.2 mol % L, 3 equiv of CH₂(CO₂Me)₂ and *N*,*O*-bis(trimethylsilyl)acetamide (BSA). b Substrate was not completely converted.

in the homoallylic position (Table 2 and Figure 3). Pairwise comparison of these substrates with palladium ligand **6** showed no significant difference in reaction rates for either the oxidative addition or the overall reaction. Competition experiments of **2b** and **2d** with *exo*-**7** showed, after ap-



Figure 3. Mass spectrometric analysis of reaction mixtures containing an equimolar amount of two substrates after one turnover using palladium ligands *exo-*7 (upper row) or **6** (lower row).

Table 2.	Allylic	Alkylation	of Variou	is Substrates	s 2a-e	Using	Ligands	exo-7	or 6 ^{<i>a</i>}
	2	2							

		ligand <i>exo-</i> 7 (L)			ligand 6 (L)			
substrates	ratio of η^3 -complexes ^b	yield ^c (time)	product distribution ^d	ratio of η^3 -complexes ^e	yield (time)	product distribution ^d		
2a and 2b	2a:2b 91:9	20% (4 d)	3a:3b 85:15	2a:2b 96:4	36% (80 min)	3a:3b 87:13		
2b and 2c	2b:2c 95:5	35% (2 d)	3b:3c 91:9	2b:2c 99:1	61% (80 min)	3b:3c 98:2		
2b and 2d	2b:2d 32:68	32% (2 d)	3b:3d 29:71	2b:2d 48:52	46% (80 min)	3b:3d 42:58		
2b and 2e	2b:2e 70:30	35% (2 d)	3b:3e 67:33	2b:2e 49:51	58% (80 min)	3b:3e 52:48		
2d and 2e	2d:2e 87:13	29% (2 d)	3d:3e 86:14	2d:2e 50:50	64% (80 min)	3d:3e 47:53		

^{*a*} For experimental conditions, see Table 1. ^{*b*} Determined by mass spectrometry after 2 h. ^{*c*} Calculated by halving the sum of the individual yields of both products. ^{*d*} Determined by ¹H NMR after workup. ^{*e*} Determined by mass spectrometry after 20 min.

proximately one turnover, a 32:68 distribution in favor of the substrate 2d-derived η^3 -complex. The mass spectrum of substrates 2b and 2e showed nearly the same ratio, but the allyl acetate 2b was favored. The mass spectrum of a mixture of 2d and 2e revealed a considerable substrate specificity of 87:13 in favor of 2d. The ability of catalyst 8 to stabilize the transition state of the oxidative addition decreases in the order cyclohexyl (2d) > *iso*-propyl (2b) > 1-ethyl pentyl (2e). The selectivity in product formation of 3b, 3d, and 3e correlates strongly with the substrate specificity, associated with the oxidative addition. This result underscores the potential of cavitand-containing catalysts in organic synthesis.

In summary, the palladium complex of a cavitand receptor catalyzes allylic alkylations and exhibits a subtle substrate specificity that distinguishes between closely related structures. These properties encourage further development of cavitands as regio- and enantioselective catalysts.

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Supporting Information Available: Representative experimental procedures and selected spectral characterization for the compounds reported herein. This material is available free of charge via the Internet at http://pubs.acs.org.

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