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Synthesis and characterization of 5- and 7-donor Schiff base ligands and spectroscopic evidence for tautomerism: a crystal structure showing tautomeric forms within one ligand

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Abstract

An improved method for the synthesis of fifteen Schiff base ligands, of which six are novel, are reported. Optimised yields were obtained and the ligands have been fully characterized via several analytical techniques. It was found that tautomeric forms of these novel ligands exist and spectroscopic evidence for this phenomenon is provided. A crystal structure illustrating both enol and keto tautomeric forms in a new ligand, complements spectroscopic evidence forms within the same ligand.

1. Introduction

The condensation reaction of substituted *salicylaldehyde's*, or substituents of 2-hydroxy naphthylaldehydes with primary amines usually results in the formation of multi-donor Schiff base ligands ¹⁻³. Symmetrical salen type ligands such as *saldienH2*, *salnH3* or *saltrin* (see figure 1 for structures of these ligands) have found various applications ^{4,5} which include making dyes or pigments and in making of polymers⁶. These ligands are malleable with the ability to have multiple donor groups. One of the properties of these ligands is their abilityto complex to a range of metal ions forming very stable metal complexes. The formed complexes have been studied for catalysis, photochromism and biological studies⁷. Because these ligands are multi-donor with various donor groups, they also have high chelation ability and therefore can be used in hydrometallurgical solvent extraction studies ^{4,8,9}.

There has been considerable effort given to the different ways of synthesising salen type ligands^{4,10-12}. From literature El Sheriff *et. al.* ⁴ reviews two of the commonly used methods; the Schiff base condensation and the template synthesis method. For *saldienH2, salnH3* and *sal*-

trin type ligands, the Schiff base condensation method is the preferred method $^{13-17}$. For ligands with *stereogenic* centres the template synthesis method is the preferred method ⁴.

The template synthesis often involves the use of an appropriate template; for example, the use of metal ion to form the desired products¹⁸. The formed complex can then be reduced to the desired ligand as shown in Scheme 1. The Schiff base condensation method on the other hand is often associated with relatively moderate to low yield and difficulties in purification⁴. The difficulties in the synthesis of these ligands are often associated with the sensitivity of the imine bond and the difficulty in separation of the formed products from the reactants. The sensitivity of the formed imine bond in the presence of an acid or base is often accompanied with re-hydrolysis of the formed imine to the starting reactants⁴.



Figure 1 Representation of the N-donor starting amines and aldehydes (L₁- L₅). The typical reaction involves 1 equivalent of amine with 2 equivalents of aldehyde. For class C ligands, 3 equivalents of aldehyde are required.







There are extensive efforts that have been developed in the synthesis of these Schiff base ligands ⁶. Most of these methods deal with the various modifications that have been undertaken to increase yield and purity. In this paper we synthesize fifteen Schiff base ligands which we have divided into three classes as shown in Figure 1. Various optimization techniques are used to increase yield and purity. Six new ligands AL₄, AL₅, BL₂, BL₄, BL₅ and CL₅ are synthesised and discussed while the other nine ligands have previously been synthesised and are given in supplementary material, sections S1 and S2. The electronic effect of the various substituents and different alkyl groups added between the central N-donor atoms are also studied. The tautomeric behaviour of ligand BL₃ is explicitly elucidated through spectroscopic means. For the first time, a crystal structure of the new ligand AL₄ is shown to display tautomeric behaviour within the same ligand which is also discussed.

2. Results and Discussion

2.1 Synthetic procedures

Method 1: Synthesis of class A ligands

For ligand **AL**₁ two equivalents of *salicylaldehyde*, was weighed and dissolved in ethanol under reflux. One equivalent of *diethylenetriamine* was weighed and immediately dissolved in ethanol. This was then added slowly to the reaction solution and then allowed to reflux for 24 hours to obtain a clear yellow solution. The ethanol solution was evaporated using rotary evaporation. As the solvent was reduced, a yellow crystalline solid was obtained, filtered, dried and characterized. The reaction equation is given in **Scheme 3**. The same method was used for all other ligands under this class. All the molecular weights are given in the supplementary materials provided.



2 moles of salicylaldehyde diethylene triamine

Schiff base condensation product AL1

Scheme 3 Synthesis of Ligand AL1 through condensation reaction with salicylaldehyde

For ligand AL₁, AL₃ and AL₄ these were obtained as solids with yields of 84 %, 86 % and 89 % respectively. The new ligand AL₅ was obtained as a yellow viscous liquid at 89 % yield. The ligand AL₃ was obtained in excessively higher yield than reported by Heindel *et al*¹⁹ who reported a 45 % yield. The ligand AL₂ was obtained as a red black solid that slowly turned completely black. This black mass was also reported by Parida *et al*²⁰. The analysis of this black mass indicated a mixture of imine and the various products of incomplete reaction and therefore this ligand was resynthesized using method 2 below.

Method 2:

The second method used chloroform in place of ethanol as used in method 1. Therefore, two equivalents of L_2 aldehyde (4-methoxy *salicylaldehyde*) was dissolved in 40 ml of chloroform under reflux. One equivalent of diethylenetriamine was measured and dissolved in 10ml ethanol. The diamine was added slowly to the chloroform solution over a 1hr period. The volume of the resultant yellow solution was reduced using a rotary evaporator and a yellow solid was

obtained after almost all the solvent was removed. This was analysed as pure and in high yield of 83 %.

Method 3: Synthesis of class B ligands

An attempt was made to synthesise ligand BL_1 using the same Schiff base condensation method 1 above, however the products obtained were in moderate yield (65 %) as had also been reported by Heindel *et al*¹⁹ and upon analyses it showed the presence of some of the starting materials. The ligand was therefore synthesised using an excess of the diamine. Since the use of excess amine could potentially lead to formation of unsymmetrical ligand the diamine was added over two hours with reflux to form the yellow solution. The product was allowed to reflux for a further 22 hours, the ethanol was subsequently evaporated using the rotary evaporator and the resulting product washed 3 times to get rid of amine and unsymmetrical products. The final "wet" product was dried under vacuum for 3 days and analysed as pure.

The same method was used for the other ligands under the same class ligand BL_1 , BL_2 , BL_4 and BL_5 were obtained with high purity at 86 %, 67 %, 68 % and 89 %. For ligand BL_3 two products were obtained BL_{3a} and BL_{3b} at 22 % and 70 % yield respectively. The melting point for the yellow compound is 215 – 217 °C and the orange compound melted at 217 – 219 °C. These two products are discussed in the tautomerism study section in 2.6.

Synthesis of class C ligands

These ligands were synthesised using both methods 1 and 2. The ligands CL_1 and CL_2 were synthesised using method 1 to obtain crystalline solids that could be recrystallized in ethanol to obtain highly pure products at 89 % yield each, this value is improved compared to the literature value by Mustapha *et al*¹⁶ who recorded 55 % for CL_1 while Kim *et al*²¹ reported a comparable 90 % yield. The ligands CL_3 , CL_4 and CL_5 were synthesised using method 2 and CL_3 was obtained as a yellowish green powder while CL_4 and CL_5 were obtained as very viscous yellow oils. These were obtained in 87 %, 85 % and 86 % yield respectively. The ligand CL_3 had previously been reported at 76% yield using THF solution by Kaur *et al*¹⁷, while CL_2 was synthesised by Bhattacharyya *et al*²², however, no yield was given. NMR spectra for CL_1 is shown in **figures S15** and **S16** in the supplementary material on pages 14 and 15.

Method 4: Synthesis of tautomeric product BL₃

Ligand **BL**₃ was initially synthesised using method 2 above and the results obtained showed two products, see **Figure 2**. The results of the product is further discussed in section 2.6. To counter the above formation of the two products Method 4 was then used for the optimised synthesis of **BL**₃. An appropriate mass of 2-hydroxy naphthylaldehyde was weighed and completely dissolved in 40ml chloroform. Half an equivalent of *bis(3-aminopropyl)amine* was weighed and dissolved in 10 ml ethanol. The resultant mixture was allowed to stir at room temperature using a magnetic stirrer for 24 hours to obtain a yellow solution. The solution was evaporated using a rotary evaporator at room temperature to remove the chloroform and the resultant product washed 3 times with water so as to remove excess amine and unsymmetrical products. The obtained "wet" yellow solid was vacuum dried for 3 days to obtain dry yellow lumps, yield 86 %, mp 215-217 °C. The melting point was the same as previously, but with an improved yield.



Figure 2 The yellow solid product obtained for BL_{3a} (right) and orange product, BL_{3b} (left) 2.2 Study of the electronic effect (IR spectroscopy)

From the IR spectra, the presence of the Schiff base product was confirmed by the signal of the imine peak shown by the vibrational bands ($(C=N)_v$); at 1627 cm⁻¹ for ligand AL₁, 1631 cm⁻¹, for AL₂, 1610 cm⁻¹ for ligand AL₃, 1633 cm⁻¹ for ligand AL₄ and 1632 cm⁻¹ for ligand AL₅. Some IR spectra are shown for starting materials and for ligand AL₁ in Figure S2 in the supplementary material on page 8. The appearance of this peak together with the disappearance of the aldehyde peaks confirmed the presence of the imine product. These results furthermore indicate a slight signal shift in the IR of the various ligands which is caused by the different substituents on the phenyl ring. The *naphthylaldehyde* moiety shows a shift in the IR spectrum. Upon change of the spacer group given by the increased number of methylene

carbon groups from two to three for the class B ligands or the use of the *tripodal* ligands in the class C ligands, the same trend is observed in the IR with the imine peaks being observed at 1628 cm⁻¹, 1634 cm⁻¹, 1614 cm⁻¹, 1635 cm⁻¹, 1634 cm⁻¹ for the ligands BL₁, BL₂, BL₃, BL₄, BL₅ respectively. For CL₁, CL₂, CL₃, CL₄ and CL₅ being observed at 1630 cm⁻¹, 1633 cm⁻¹, 1620 cm⁻¹, 1631 cm⁻¹ and 1631 cm⁻¹ respectively. The shift of this imine peak for class A and class B ligands compared to the shift due to the various substituents on the benzene ring indicated a lower shift between class A and class B ligands than the shift due to the substituents on the phenyl ring. This low shift can be attributed to the low effect of the added -CH₂- group in the spacer mainly due to the dominating nature of the donor group²³. The general analysis of the IR spectra indicates a higher shift due to the naphthyl group than the benzyl group and its substituents. This can be attributed to both the high stability brought about by the aromatic system of the naphthyl group which is electron rich. This shift was also observed by an analogous ligand bearing a cyclic system next to the imine by Shamsipur *et al* ²⁴. The imine signals from IR for all ligands are captured in **Table 1**.

2.3 Discussion of the NMR results

The ¹H NMR analyses were obtained for the different ligands showing in general two signals of doublets at 2.99 ppm and 3.70 ppm for ligand AL₁. NMR spectra are shown in figures S2, S3 and S4 on pages 9 and 10 in the supplementary material. These were assigned to the -CH₂-CH₂- group. Each of these integrates to four equivalent protons. The proton signals indicated by the peak at 2.99 ppm were assigned to the hydrogen protons on NH-CH₂ while the downfield ones at 3.70 ppm were assigned to the CN-CH₂ protons next to the imine. The signals between 6.83 ppm and 7.30 ppm were assigned to the four aromatic protons on the phenyl group. The typical signal at around 8.34 ppm was assigned to the imine protons. The lack of the carbonyl proton peak at 9-11 ppm for the starting aldehydes indicated the formation of the Schiff base product. It is important to note that the NH proton on the linker is usually very difficult to observe due to the high shielding effect of the N-donor atom. The addition of the different substituents led to the slight shift of the imine proton and a slight shift in both the aromatic protons and the spacer protons. For ligands AL₂, AL₄ and AL₅ the added phenyl substituent resulted in an added signal in the downfield(aliphatic) region next to the spacer proton signals, while the aromatic proton signals are observed in the same region. The imine signal was therefore observed at 8.31 ppm, 8.79 ppm, 8.37 ppm and 8.35 ppm respectively for

the AL_2 , AL_3 , AL_4 and AL_5 ligands. The lack of any extraneous peaks indicates good purity of the product.

Table 1 Summary of the IR and NMR spectra of the imine in different Schiff base products synthesized by the different linkers and aldehydes

Substituted Aldehyde	Schiff base product synthesized by			Schiff base product synthesized by				Schiff base product synthesized by $2-N N - his(2-$				
	using diethylehethamme imker			using bis(3-aminopropyi)amine linker				aminoethyl)ethane-1,2-diamine				
			NCH				NCH				NCH	
		IR	¹ H NMR (in CDCl ₃)	¹³ C{ ¹ H}NMR (in CDCl ₃)		IR	¹ H NMR (in CDCl ₃)	¹³ C{ ¹ H}NMR (in CDCl ₃)		IR	¹ H NMR (in CDCl ₃)	¹³ C{ ¹ H}NMR (in CDCl ₃)
		cm⁻¹	ppm	ppm		cm ⁻¹	ppm	ppm		cm⁻¹	ppm	ppm
O OH	AL ₁	1627	8.34	166.15	BL1	1628	8.33	165.04	CL1	1630	7.82	166.27
	AL ₂	1631	8.31	165.90	BL ₂	1634	8.27	165.15	CL ₂	1633	8.31	165.88
	AL ₃	1610	8.79	159.14	BL₃	1614	8.76	157.99	CL₃	1622	8.72	158.93
OH H ₃ C H ₃ C OH	AL₄	1633	8.37	166.58	BL₄	1635	8.33	165.88	CL₄	1631	8.35	166.34
ОН	AL ₅	1632	8.35	165.67	BL₅	1634	8.32	165.58	CL₅	1631	8.35	166.46

For the proton decoupled ¹³C NMR, ligand **AL**₁ is used as a representative ligand in the discussion. The formation of a strong signal at 166.15 ppm in the spectrum is assigned to the imine (HC=N) carbon as indicated. The four signals between 116 ppm to 162 ppm are assigned to the four aromatic carbons. The alkyl group (aliphatic) signals between the imine and the amine appear as two signals at 59.60 ppm and at 49.75 ppm. The lack of any signal above the 190-ppm range which is for the starting carbonyl group confirms the synthesis of the Schiff base product **AL**₁. The lack of any other signals in this case also indicates the formation of the pure Schiff base product. For the other ligands in this class the imine signal was observed at 166 ppm, 165 ppm,158 ppm, 166 ppm and 165 ppm for **AL**₁, **AL**₂, **AL**₃, **AL**₄ and **AL**₅ respective-ly. The analysis of the ¹³C NMR spectra for the class B ligands showed that they were relative-ly similar to the results of the previously synthesized class A ligands. The comparative results of the shift of the imine in the IR spectra, important signals in the ¹H NMR and ¹³C {¹H} NMR for all 15 ligands are listed in **Table 1** giving a summary of important signals of these N-donor ligands.

There is a limited inductive effect that is observed with the increase of the number of the methylene groups between the donor groups. This is due to the higher inductive effect of the donor group. This inductive effect is subsequently referred to as the hidden inductive effect ²³.

Finally, for the class C *tripodal* ligands all signals were observed to be very close to that of the class A and class B ligands. Structural formulae of AL₂ and BL₂ as well as their appearance is shown in figures S5 and S6 on page 10 in the supplementary material.

2.4 Mass spectrometry (MS)

The products obtained from the synthesis of these ligands were subsequently analysed through the MS technique. The obtained fragmentation patterns for these ligands were relatively similar for the class **A** and **B** ligands. Both classes of ligands showed that the species have two main fragmentation species $[M+H \text{ and } [(M/2) + H]^+$ species. For the class C ligands with three *salicylaldehyde* groups these were observed to show 4 fragmented species as shown in **Figure 3**.



Figure 3 Mass spectrum of ligand CL₅ with x- axis showing the m/z value and the y-axis showing the % abundance of the different fragments.



Figure 4 Ligand CL₅ with the area circled and labelled D¹ as a fragment which is lost in the MS analysis

The representative mass spectrum obtained for the *tripodal* ligand **CL**₅ as illustrated in **Figure 3** showed that the ligand has three ionisable fragments. These are proposed to contain different species. The species (c) is proposed to be the base peak at 100 % relative abundance representing $[M-C_{27}H_{42}N_2O_{1.5}]^+$ or the $[(M/2) +H]^+$ species. Species (a) would represent the ionisable mass fragment at 25 % relative abundance which confirms the mass of the Schiff base product. The fragmented species (b) represents the ionisable $[M-D^1]^+$ species, where the species D^1 is shown in **Figure 4**. The species (d) represents the species $[M-C_{36}H_{39}N_3]^+$ or

 $[(M-D^1)/2]$ at 60 % abundance. It is important to remember that all these species are only present in the ionisable environment and are not products of the synthesis.

Analyses of the spectra obtained for the Class **A** and **B** ligands showed that, the general trend observed in the addition of bulky alkyl substituents on the phenolic ring has the effect of increasing the bulkiness of the Schiff base product and this leads to stabilization of the ionisable species. Therefore, the ligands AL_5 and BL_5 containing the bulky nonyl-substituents showed one fragmented species with the species [(M/2) + H] representing the base peak. The [M+H] species was observed at 50 % relative abundance. For AL_4 and BL_4 ligands both had the species [M+H] as the base peak at 100 % relative abundance while the species [(M/2) + H] species was observed to be at 25 % relative abundance. For class A and B ligands made from aldehydes L1 to L3, these only showed the [M+H] peak at 100 % relative abundance. This indicates the stability of these ligands under the MS conditions analysed. Further mass spectra for AL_5 , BL_{3a} and BL_{3b} are shown in figures S18, S19 and S20 on pages 16 and 17 in the supplementary material.

2.5 Elemental analysis

All the ligands synthesised were subsequently analysed and confirmed to contain the Schiff base product in high purity through CHN microanalyses. It is also important to note that ligands AL₂, BL₃, CL₂ and CL₄ could not be dried completely so they contained some reaction solvents such as methanol and ethanol. This was also the case for the crystals obtained for the class C ligands. The results from CHN analyses are therefore summarized in **Table 2** below.

	FORMULA		ILATED		%OBTAINED			
		С	Η	Ν	С	Η	Ν	
AL_1	$C_{18}H_{21}N_{3}O_{2}$	69.43	6.80	13.49	69.27	6.62	13.48	
AL_2	$C_{20}H_{25}N_{3}O_{4}(H_{2}O)$	61.68	6.94	10.79	61.82	6.59	10.11	
AL_3	$C_{26}H_{25}N_{3}O_{2}$	75.89	6.12	10.21	75.07	6.32	10.06	
AL ₄	$C_{26}H_{37}N_3O_2$	73.72	8.80	9.92	73.91	8.44	9.80	
AL ₅	$C_{36}H_{57}N_3O_2$	76.68	10.19	7.45	76.14	10.08	7.62	

BL_1	$C_{20}H_{25}N_{3}O_{2}$	70.77	7.42	12.38	70.29	7.26	12.38
BL ₂	$C_{22}H_{29}N_3O_4$	66.14	7.32	10.52	66.17	7.03	10.13
BL_3	C ₂₈ H ₂₉ N ₃ O ₂ (C ₂ H ₅ OH)	74.20	6.65	8.65	74.07	6.14	9.07
BL ₄	$C_{28}H_{41}N_3O_2$	74.46	9.15	9.30	74.23	9.11	9.42
BL₅	$C_{38}H_{61}N_{3}O_{2}$	77.11	10.39	7.10	77.89	10.34	7.95
							,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
CL ₁	$C_{27}H_{30}N_4O_2$	70.72	6.59	12.22	70.86	6.61	11.70
CL ₁ CL ₂	C ₂₇ H ₃₀ N ₄ O ₂ C ₃₀ H ₃₆ N ₄ O ₆ (C ₂ H ₅ OH)	70.72 64.63	6.59 7.12	12.22 9.42	70.86 64.79	6.61 6.57	11.70 9.85
CL ₁ CL ₂ CL ₃	C ₂₇ H ₃₀ N ₄ O ₂ C ₃₀ H ₃₆ N ₄ O ₆ (C ₂ H ₅ OH) C ₃₉ H ₃₆ N ₄ O ₃ .2H ₂ O	70.72 64.63 73.68	6.59 7.12 6.18	12.22 9.42 8.81	70.86 64.79 73.77	6.61 6.57 6.38	11.70 9.85 9.23
CL ₁ CL ₂ CL ₃ CL ₄	$C_{27}H_{30}N_4O_2$ $C_{30}H_{36}N_4O_6(C_2H_5OH)$ $C_{39}H_{36}N_4O_3.2H_2O$ $C_{39}H_{54}N_4O_3(C_2H_5OH)$	70.72 64.63 73.68 73.18	6.59 7.12 6.18 8.99	12.22 9.42 8.81 8.33	70.86 64.79 73.77 73.29	6.61 6.57 6.38 8.51	11.70 9.85 9.23 8.33

2.6 Tautomeric study of the BL₃ ligand

2.6.1 Spectroscopic Evidence

The results from the synthesis of **BL**₃ ligand using method 2 showed the presence of two products that were isolated in high purity at 72 % yield of **BL**_{3a} product and 22 % **BL**_{3b} product (**Figure 2**). The analysis of the two products using IR spectra showed the presence of the imine . Further IR analyses shows a broad peak in the region of 3340 cm⁻¹ due to the –OH group in the yellow product BL_{3a} which is absent in the case of the orange product BL_{3b}. Use of the imine and carbonyl peaks is not definitive due to the overlap of these peaks in this region (see supplementary material **figure S9, S10**). To elucidate the structures the analysis of the two products via ¹H NMR spectroscopy indicated the formation of the desired imine product. These ¹H NMR spectra showed identical signals in CDCl₃ (see **figures S11, S12, S13 and S14** in the supplementary data). While this ligand as indicated above is known in the literature ²⁵, Amirnasr *et al*²⁵ synthesised and reported a 90% yield of the product, but did not however report the method or the formation of these two products as shown in **Figure 2**.

To try to understand the reason for the difference of the colour, this sample set was then reanalysed for ¹H NMR in the same NMR tube by mixture of these two samples at a mass ratio of 1:10 for the orange to yellow product respectively. This indicated a slight difference in the spectra as shown by a shift of some of the proton signals as seen in **Figure 5**. This spectrum indicated a second imine signal of integration ratio 1:10. From various studies of the *salic*-

ylaldehyde based ligands these ligands are known to undergo tautomerism between the -OH tautomer and the –C=O tautomer^{16, 26-30}. This tautomeric effect occurs through the formation of a H-bond between the phenolic hydrogen and the imine N-atom first, this later results in complete transfer of the H-atom from the phenolic group to the imine nitrogen²⁶. The resultant product is the –C=O tautomer²⁷. It was therefore hypothesized that the two compounds are possible *tautomers* of each other. The tautomerism was attributed to a Proton transfer which causes a change in the p-electron configuration and consequently in the molecular conformation. The analysis of the two products using ¹³C {¹H} NMR in CDCl₃ indicated the formation of the carbonyl group in the orange product as shown in **Figure 6**. The possible mechanism of tautomer formation is given by **Scheme 4** and the MS of the two tautomer's give in S20 and S21 in the supplementary data provided.



Figure 5 The ¹H NMR of ligand BL_{3a} and BL_{3b} mixed together in a 1:10 ratio of orange to yellow product in CDCl₃



Figure 6 The stacked ${}^{13}C$ { ${}^{1}H$ } NMR spectra of Ligand BL_{3b} (top) in d-DMSO and BL_{3a} (bottom) in CDCl₃



Scheme 4 Tautomerism of the Schiff base product BL_3 , (a) represents the hydroxyl tautomer product (yellow) and (b) represents the -C=O tautomer product (orange).

The above results therefore confirmed the yellow product to be the OH tautomer and the orange product to be the -C=O tautomeric product. The different tautomer's and the odds of

getting each of the tautomers is directed by heat or light²⁸. Since most of the Schiff base condensation reactions are accompanied by refluxing, the predominance of the –C=O form (orange product) is shown through the various crystal structures obtained for *salicylaldehyde* Schiff base ligands which predominantly exist in the –C=O form (90% in CCD²⁹ search 02-08-2018). In our investigation we therefore show a crystal structure of the novel ligand **AL**₄ discussed in the next section 2.6.2 with one end forming the OH form while the other end shows the –C=O form. To try and control the formation of the orange product the ligand was resynthesized without heat and light using a simple condensation method 4 above. Further spectroscopic evidence is shown in section **S5** on pages 11-14 in the supplementary material.

2.6.2 Crystal structure discussion

Single crystals of ligand AL_4 were obtained by crystallizing the formed yellowish red ligand in ethanol and using diethyl ether by the vapour diffusion method. The yellow crystal was obtained in a monoclinic C2/c crystal system as shown in **Table 3**.

Identification code	SW038_25_05_15_0m
Empirical formula	C ₂₆ H ₃₆ N ₂ O ₃
Formula weight	424.57
Temperature (K)	100.03
Crystal system (Space group)	monoclinic (C2/c)
a/Å	14.407(2)
b/Å	13.744(2)
c/Å	24.944(4)
α/°	90.00
β/°	91.152(2)
γ/°	90.00
Volume/Å ³	4938.2(13)
z	8
Volume ($\rho_{calc}g/cm^3$)	1.142
Radiation	ΜοΚα (λ = 0.71073)
20 range for data collection/°	3.26 to 53.04
Index ranges	-18 ≤ h ≤ 17, -12 ≤ k ≤ 17, -30 ≤ l ≤ 31
Reflections collected	14330
Goodness-of-fit on F ²	1.086
Final R indexes [I>=2σ (I)]	R ₁ = 0.0606, wR ₂ = 0.1679
Final R indexes [all data]	R ₁ = 0.0786, wR ₂ = 0.1827
Largest diff. peak/hole / e Å ⁻³	0.80/-0.40

Table 3 Crystal structure data for AL₄

The crystals show a disordered spacer group that was refined in two positions. The structure obtained shows one of the *salicylaldehyde* ends of the symmetrical molecule forming the – C=O *tautomers* while the other end forming the -OH tautomer. Both these opposite ends show internal H-bonding between the appropriate atoms as shown in **Figure 7**. The structure also confirms synthesis of the ligand, complementing all other analytical data.



Figure 7 Ortep diagram of the ligand AL₄ showing the hydrogen bonding of the NH and the phenyl O-atom, ellipsoids are drawn at 50% probability and picture quality generated by Olex³⁰

Analysis of the bond lengths and bond angles shows slight differences of the two ends of the asymmetrical unit. The O1 C1 bond length was observed to be 1.280 Å this which is slightly shorter than 1.352 Å which is for the O2 C18 bond length. The shorter O1 C1 indicates the formation of the carbonyl group, while the longer O2 C18 indicates the single bonded phenolic group. The C11 N1 bond length (1.303 Å) and the imine N3A C16A at 1.3020 (19) Å are within experimental deviation of the same size. This shows that the electrons in the system are delocalised between the benzyl system and the imine system. The one C-O bond length is shorter than the standard single C-O bond length at 1.34 Å ³¹. The measured torsion angle around the molecule however shows that the molecule is slightly strained around the OH tautomer compared to the -C=O tautomer section. The selected bond lengths, bong angles and torsion angles are provided in **Table 4**.

selected bond lengths		selected bo	selected bond angles			selected torsion angles		
bond	Length (Å)	bonds	Angle (°)		Bond	Angle (°)		
O1 C1	1.280(2)	C11 N1 C12B	127.4(7)		C1 C2 C11 N1	2.1(3)		
N1 C11	1.303(3)	O1 C1 C2	122.82(18)		N2B C14B C15B N3B	165.0(5)		
N1 C12B	1.460(2)	C14A N2A C13A	115.5(3)		N1 C12A C13A N2A	57.2(8)		
N1 C12A	1.461(1)	N3A C16A C17	124.1(3)		C18 C17 C16B N3B	13.2(9		
N1 H1	0.9995	O2 C18 C17	120.9(2)		O1 C1 C2 C11	5.6(3)		
C2 C11	1.421(3)	N1 C11 C2	122.2(2)		C16B C17 C18 O2	17.5(6)		
C3 C4	1.375(3)	N3B C16B C17	117.0(4)	\leq	C16A C17 C18 O2	9.4(4)		

Table 4 Selected bond lengths, bond angles and torsion angles



Figure 8 Ball and stick diagram showing the inter-molecular H-bonding in the packing of the AL₄ ligand (All H-bonding are indicated by broken lines)

The analysis of the packing system of the ligand shows that there are various other intermolecular H-bonding interactions between the subsequent groups as shown by **Figure 8**. Selected H-bonding and angles are given in **Table 5**.

Bond	Donor -Acceptor length (Ű)	Bond Angle (°)		
N(1)H(1)O(1)	2.02	113		
N(1)H(1)N(2A)	2.29	115		
O(2)H(2)N(3A)	1.76	143		
N(2A)H(2A)O(1)	1.98(3)	162(2)		
C(12A)H(12C)N(2A)	2.51	136		
C(12A)H(12D)O(2)	2.56	134		
C(14A)H(14A)O(2)C	2.59	167		

Table 5 Selected H-bonding with donor acceptor bond length and bond angles for ligand AL4

3. Conclusion

Fifteen different multidentate Schiff base ligands were synthesized containing the N₃O₂ and N4O3 donor groups of which six ligands are new. They were comprehensively analysed through different analytical techniques. The ligands AL₁, AL₃, AL₄, AL₅, BL₁, BL₄ and BL₅ were all synthesized with the Schiff base condensation method 2, while ligands bearing the methoxy substituent AL₂ and BL₂ were synthesized using a modified method 3. The ligand with naphthylaldehyde BL₃ with the propyl spacer was also synthesized using method 3 and the products obtained were confirmed to undergo tautomerism. The synthesized ligands were further studied for the electronic and steric effects due to the addition of the different substituents on the phenyl ring and the effect of adding the alkyl group between the donor groups. The results indicated that the addition of the substituent on the phenyl group had a much greater effect on the shift of the imine on both the IR and NMR analysis. The effect of adding the alkyl groups between the donor atoms was on the other hand observed to have minimal shift of the imine signals. The heptadentate tripodal ligands showed a much greater shift of the imine due to the increased number of donor groups and the increased bulkiness of these ligands. The crystal structure of the novel AL₄ ligand was also discussed showing the formation of an unsymmetrical molecule with one end showing the -OH tautomer and the other end showing the –C=O tautomer.

4. Experimental

4.1 Reagents

The following chemicals and reagents were used in the synthesis and characterization procedure and include; 2-hydroxyl phenylaldehyde (L_1) , 5-methoxy-2-hydroxylphenylaldehyde (L_2) , 2-hydroxyl naphthylaldehyde (L₃) which were all purchased from Sigma Aldrich. The 5-tert butyl-2-hydroxyl phenylaldehyde (L₄) and 5-nonyl-2-hydroxyl phenylaldehyde (L₅) were synthesized and obtained in high yield using a literature procedure by Aldred et al³² (See supplementary information, section S1B). The two corresponding alcohols 4-tert butyl-phenol and 4-nonyl-phenol together with paraformaldehyde were all purchased from Sigma Aldrich. The magnesium turnings were purchased from Merck Schuchardt OHG. The toluene solvent, sulfuric acid (H₂SO₄) and nitric acid (HNO₃) were bought from Merck laboratory supplies. All these reagents were used without further purification. The corresponding three diamines N-(2-aminoethyl)ethane-1,2-diamine, bearing the added N-donor group; N-(3aminopropyl)propane-1,3-diamine and N,N-bis(2-aminoethyl)ethane-1,2-diamine were also bought from Sigma Aldrich and used without further purification. The D-chloroform and D⁶ DMSO used for NMR studies were bought from Sigma Aldrich. The 99% pure ethanol solution and 99% methanol were bought from B & M Scientific and were used after dry distillation. The cold ethanol was obtained by placing the dried ethanol (in a sealed 250 ml volumetric flask) in an ice bath for 24 hours. All aqueous solutions were prepared using double distilled deionized water from an econoPure16 reverse osmosis system.

4.2 Instrumentation

The IR analysis was carried out using the Nicolet Avatar 330 FT-IR instrument with ATR accessory with a ZnSe/Diamond crystal. The analysis was carried out in transmission mode with 32 scans at a range between 4000-400 cm⁻¹. The ¹H NMR and the ¹³C NMR were carried out using the Varian Unity Inova 300 Liquid State NMR Spectrometer, Varian Unity Inova 400 Liquid State NMR Spectrometer and the higher magnitude NMR Varian Unity Inova 600 Liquid State NMR Spectrometer which was used only when necessary to obtain better resolution of the spectra. Approximately 20 mg of the synthesized samples were weighed into an NMR tube and CDCl₃ or D⁶ DMSO depending on the sample was then added and analysed. The mass spectral analysis and CHN analyses were carried out on a Waters Synapt G2 High Resolution

Mass Spectrometer, with a cone voltage of 15V. The analysis was done on a TOF (Time of flight) positive and negative electrospray ionisation (ESI+) and (ESI-). The CHN analysis on the other hand was carried out using a Perkin-Elmer CHNS elemental analyser model 240. Crystalline samples or powder samples were analysed for melting points on a Stuart Scientific Melting Point apparatus SMP30. The crystal structure was carried out using a Bruker SMART-APEX II DUO diffractometer instrument under liquid nitrogen stream at 100 K.

The synthetic procedure of all the ligands previously made is given in the supplementary material (sections S2A, S2B and S2C), however, that of the new ligands and ligand **BL₃** is given below:

4.3 Synthesis and characterization of class A ligands

4.3.1 Characterization of 2, 2'-{iminobis[ethane-2,1-diylnitrilo(E) methylylidene]}di-(4-tert butylphenol) (Ligand **AL**₄, using method 1)

A 4.33 g (0.0242 moles) of 4-*tert* butyl *salicylaldehyde* and 1.30 g (0.0126 moles) of *diethylenetriamine* was used. Yellowish orange viscous oil that solidified slowly mp 64.9-66.2 °C, yield 88 %, For C₂₆H₃₇N₃O₂: EA calculated (%) C (73.72%) H (8.80%) N (9.92%), EA Found (%); C (73.91%), H (8.44) ,N(9.80); ¹H NMR (300 MHz, CDCl₃) $\delta_{\rm H}$ ppm; 8.37 (2 H, s, NCH), 7.39 – 6.79 (6 H, m, ArH), 3.76 – 3.66 (4 H, m, (NCH₂CH₂)), 3.01 (4 H, d, *J* 5.4 Hz, (NCH₂CH₂)), 1.30 (18 H, s, C(CH₃)₃). ¹³C {¹H} NMR. (300 MHz, CDCl₃) $\delta_{\rm C}$ ppm 166.58 (NCH), 159.97 (*C*_{Ar}OH), 141.15 (*C*_{Ar}H), 129.77 (*C*_{Ar}H), 127.88 (*C*_{Ar}H), 118.06 (*C*_{Ar}CN), 116.41 (*C*_{Ar}H), 59.73 (NCH₂CH₂), 49.97 (NCH₂CH₂), 31.87 (*C*(CH₃)₃), 31.54 (CH₃)₃. IR (ATR, neat, cm⁻¹); 2865 (m, C-H); 1633 (s, C=N); 1589 (m, C=C); 1491 (m, C-H); 1266 cm⁻¹ (s, *C*_{Ar}-O); ESI-MS (ES+); Expected m/z (%) 424.3, Obtained m/z(%) 212.65 (25%), [(M/2)+H]⁺, 424.3 (100) [M+H]⁺.

4.3.2 Characterization of (2, 2'-{iminobis[ethane-2,1-diylnitrilo(E) methylylidene]}di-(4- nonylphenol) (Ligand **AL**₅, using method 1)

A 5.81 g (0.0234 moles) of 4-nonyl *salicylaldehyde* and 1.13g (0.0109 moles) of *diethylenetriamine* was used. Orange viscous oil was obtained, yield 87 %, For C₃₆H₅₇N₃O₂ EA calculated (%); (76.68%) H (10.19%) N (7.45%), EA Found (%): C (76.14%) H (10.08) N (7.62); ¹H NMR (300 MHz, CDCl₃) $\delta_{\rm H}$ ppm; 13.04 (2 H, s, OH), 8.35 (2 H, s, NCH), 7.39 – 7.01 (4 H, m, ArH), 6.88 (2 H, d, *J* 8.6 Hz, ArH), 3.87 – 3.50 (4 H, m, NCH₂CH₂), 3.00 (4 H, t, *J* 5.9 Hz, NCH₂CH₂), 1.61 – 0.33 (36 H, m, (CH₂)₈CH₃). ¹³C {¹H} NMR. (300 MHz, CDCl₃) $\delta_{\rm C}$ ppm; 166.67 (N*C*H),

158.71 ($C_{Ar}OH$), 138.16 ($C_{Ar}C_{9}H_{19}$), 130.24 ($C_{Ar}H$), 117.99 ($C_{Ar}CN$), 116.42 ($C_{Ar}H$), 59.90 (N CH_2CH_2), 50.10 (N CH_2CH_2), 41.56- 8.75 (C_9H_{19}). IR (ATR, neat, cm⁻¹); 2955 (m, C-H), 2870 (m, C-H); 1632 (s, C=N); 1588 (m, C=C); 1490 (m, C-H); 1280cm⁻¹ (m, C_{Ar}-O); ESI-MS (ES+); Expected m/z(%) 564.5 Obtained m/z(%) 282.7 (100) [(M/2)+H]²⁺, 564.5 (50) [M+H]⁺.

4.4 Synthesis and characterization of class B ligands

4.4.1 Characterization of 2,2'-{iminobis[propane-3,1-diylnitrilo(E)methyl idene]}di-2-hydroxy naphthalene) (ligand **BL**₃, using method 3)

A 2.66 g (0.0150 moles) of 2-hydroxy *naphthylene* and 1.02 g (0.00800 moles) of *bis(3-aminopropyl)amine* was used, Yellow dry lumps were obtained mp 215-217 °C yield 86%, For Anal. Calc. For $C_{28}H_{29}N_3O_2(C_2H_5OH)$ EA calculated (%) C (74.20) H (6.65%) N (8.65%), EA Found (%): C (74.07) H (6.14) N (9.07); ¹HNMR (400 MHz, CDCl₃) δ_{H} ppm; 8.76 (2 H, s, NCH), 7.83 (2 H, d, *J* 8.3 NCH), 7.64 (2 H, d, *J* 9.3 Hz, ArH), 7.57 (2 H, d, *J* 7.9 Hz, ArH), 7.38 (2 H, dd, *J* 6.8 Hz, 1.1 Hz, ArH), 7.20 (2 H, ddd, *J* 8.0 Hz, 7.1 Hz, 1.0 Hz, ArH), 6.89 (2 H, d, *J* 9.3 Hz, ArH), 3.67 (4 H, t, *J* 5.9 Hz, NCH₂CH₂CH₂), 2.99 (4 H, t, *J* 5.8 Hz, NCH₂CH₂CH₂), 1.23 (4 H, t, *J* 7.0 Hz, (NCH₂CH₂CH₂). ¹³C {¹H} NMR. (75 MHz, CDCl₃) δ_{C} ppm; 176.14(*C*_{Ar}CH), 158.35(NCH) 137.30 (*C*_{Ar}CN), 133.84 (*C*_{Ar}H, 129.29 (*C*_{Ar}H), 128.08 (*C*_{Ar}H), 122.87 (*C*_{Ar}H), 106.92 (*C*_{Ar}H), 53.83 (NCH₂CH₂CH₂), 49.50 (NCH₂CH₂CH₂), 29.90 (NCH₂CH₂CH₂), IR (ATR, neat, cm⁻¹); 2955 (m, C-H), 2738 (m, C-H); 1614 (m, C=N); 1541 (s, C=C); 1491 (m, CH); 1273cm⁻¹ (m, *C*_{Ar}-O); ESI-MS (ES+); Expected m/z(%) 440.54, obtained m/z(%) 440.23 (100) [M+H]⁺.

4.4.2 Characterization of 2, 2'-{iminobis [propane-3,1-diylnitrilo(E)methylylidene]} di-(4-tert butylphenol) (ligand **BL**₄, using method 3)

A 5.59g (0.0313 moles) of 4-*tert* butyl-2 hydroxyl aldehyde and 2.10g (0.0160 moles) of *bis(3-aminopropyl)amine*. Orange viscous oil was obtained, yield 84%, For Anal Calc. For C₂₈H₄₁N₃O₂; EA calculated (%); C (74.46%) H (9.15%) N (9.30%), EA Found (%); C (74.23%) H (9.11) N (9.42); ¹H NMR (300 MHz, CDCl₃) $\delta_{\rm H}$ ppm; 8.33 (2 H, s, NCH), 7.33 – 7.27 (2 H, m, ArH), 7.19 (2 H, d, *J* 2.2 Hz, ArH), 6.85 (2 H, d, *J* 8.6 Hz, ArH), 3.56 (4 H, t, *J* 33.5 Hz, NCH₂CH₂CH2), 2.68 (4 H, d, *J* 6.8 Hz, NCH₂CH₂CH2), 1.88 – 1.82 (4 H, m, NCH₂CH₂CH2), 1.30 – 1.21 (18 H, s, C(CH₃)₃). ¹³C {¹H} NMR. (75 MHz, CDCl₃) $\delta_{\rm C}$ ppm; 165.88 (NCH); 158.71 (*C*_{Ar}OH), 142.03 (*C*_{Ar}C(CH₃)₃), 126.23 (*C*_{Ar}H), 116.52 (*C*_{Ar}H), 115.03 (*C*_{Ar}H), 57.12 (NCH₂CH₂CH₂), 48.22 (NCH₂CH₂CH₂), 40.46 (NCH₂CH₂CH₂), 31.49 (C(CH₃)₃). IR (ATR, neat, cm⁻¹); 2960(m, C-H),

28649 (m, C-H); 1635 (s, C=N); 1590 (m, C=C); 1493 (m, CH); 1281 cm⁻¹ (m, C_{Ar}-O); ESI-MS (ES+); Expected m/z(%) 452.3 Obtained m/z (%) 452.3 (100) [M+H]⁺.

4.4.3 Characterization of 2,2'-{iminobis[propane-3,1-diylnitrilo(E)methylylidene]} di-(4nonylphenol) (Ligand **B**L₅, using method 3)

A 7.52 g (0.0303 moles) of 4-nonyl *salicylaldehyde* and 1.98 g (0.0151 moles) of *bis(3-aminopropyl)amine*. Dark reddish-yellow viscous oil, yield 87%, For Anal. Calc. For C₃₈H₆₁N₃O₂: EA calculated (%) C (77.11%) H (10.39%) N (7.10%), EA Found (%); C (77.89%) H (10.34) N (7.95); ¹HNMR (400 MHz, CDCl₃) $\delta_{\rm H}$ ppm; 8.32 (2 H, s, NCH), 7.07 (2 H, d, *J* 7.2 Hz, ArH), 6.87 (2 H, d, *J* 8.3 Hz, ArH), 6.70 (2 H, d, *J* 8.6 Hz, ArH), 3.60 (4 H, s, NCH₂CH₂CH₂), 2.72 (4 H, d, NCH₂CH₂CH₂), 1.85 (4 H, d, *J* 6.0 Hz, NCH₂CH₂CH₂), 1.72 – 0.45 (38H, m, C₉H₁₉). ¹³C {¹H} NMR. (151 MHz, CDCl₃) $\delta_{\rm C}$ ppm; 165.58 (NCH), 158.53 (*C*_ArOH), 116.49 (*C*_ArCH₂), 116.41 (*C*_ArH), 57.66 (NCH₂CH₂CH₂), 47.78 (NCH₂CH₂CH₂), 43.52 (NCH₂CH₂CH₂), 37.41-8.77 (*C*₉H₁₉). IR (ATR, neat, cm⁻¹); 2956(w, C-H); 2871 (w, C-H); 1634 (s, C=N); 1589 (s, C=C); 1492 (m, C-H); 1283cm⁻¹ (m, C_Ar-O); ESI-MS (ES+); Expected m/z(%) 592.5 Obtained :m/z (%) 592.8 (100) [M+H]⁺.

4.5 Synthesis and characterization of class C ligands

Characterization of 5-nonyl salicylaldehyde and 2,2'-[ethane-1,2-diylbis(oxy)] diethanamine (ligand *CL*₅, using method 2)

A 6.37 g (0.0256 moles) of 5-nonyl *salicylaldehyde* and 1.26 g (0.00862 moles) of 2-*N*,*N*-*bis*(2*aminoethyl*)*ethane-1,2-diamine*. Product obtained as yellow viscous oil, yield 87.3 %, FM C₅₄H₈₄N₄O₃, EA calculated (%) C (77.46) H (10.11) N (6.69) EA Found (%) C (77.43) H (10.09) N (6.07), ¹H NMR (600 MHz, CDCl₃) $\delta_{\rm H}$ ppm; 8.28 (3 H, s, NCH), 7.15 (3 H, t, *J* 13.3, C_{Ar}H), 7.10 (3 H, d, *J* 17.0, C_{Ar}H), 6.88 (3 H, d, *J* 8.4, C_{Ar}H), 3.67 (6 H, d, *J* 14.5Hz, NCH₂CH₂), 2.94 (6 H, s, NCH₂CH₂), 1.75 – 0.52 (57 H, m, (C₉H₁₉)₃): ¹³C {¹H} NMR. (151 MHz, CDCl₃) $\delta_{\rm C}$ ppm; 166.46 (NCH), 158.77 (*C*_{Ar}OH), 138.41 (*C*_{Ar}CN), 130.35 (*C*_{Ar}CH₂), 118.12 (C_{Ar}H), 116.49 (*C*_{Ar}H), 116.42 (*C*_{Ar}H), 58.64(NCH₂CH₂), 56.14 (NCH₂CH₂), 41.57- 14.23 (C₉H₁₉): IR (ATR, neat, cm⁻¹); 2969(m, C-H), 2864 (m, C-H), 1631 (m, C=N). 1587 (s, C=C), 1490 (m, CH), 1279 cm⁻¹ (m, *C*_{Ar}-O): ESI-MS (ES+); Expected m/z(%) 837.6, Obtained m/z (%) 304.3(65) (([M-C₁₆H₂₆O])/2)⁺, 419.3 (100) [(M/2)+H]⁺, 607.5 (15) [M-C₁₆H₂₆O]⁺, 837.7) (15) [M+H]⁺.

5. Supplementary Information

Supplementary data are available for this publication. Crystallographic data including atomic coordinates, bond distances and angles have been deposited with the CCDC (Cambridge Crystallographic Data Centre, 12 Union Road, Cambridge CB2 1EZ, UK; fax +44 1223 336 033; email: <u>deposit@ccdc.cam.ac.uk</u> or www: <u>http://www.ccdc.cam.ac.uk</u>) and may be obtained free of charge by quoting the deposition number CCDC 1418740.

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7. Conflict of Interest

There is no conflict of interest for this work.

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Highlights

This publication displays the following highlights:

- (i) 6 new Schiff base ligands have been synthesized and characterized
- (ii) The yields on nine previously made Schiff bases have been optimized by various methods
- (iii) A ligand which was previously made came out in 2 different colours and the structure of these "2 ligands" was explored using various spectroscopic techniques – this led us to the fact that tautomerism was at display
- (iv) A crystal structure of a new ligand is obtained which displays keto and enol tautomeric forms within the same ligand

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