# Synthesis and Novel Rearrangement of 1,1,1-Trichloro-2-alken-4-ones

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Strong mineral acids convert 1,1,1-trichloro-2-hydroxy-4-alkanones to 1,1,1-trichloro-2-alken-4-ones and 1,1,5-trichloro-1-alken-4-ones via an intramolecular chlorine shift from C-1 to -5 of an enol allylic system. Alternatively, 1,1,1-trichloro-2-alken-4-ones may be synthesized from 1,1,1-trichloro-2-hydroxy-4-alkanones by acetylation and elimination of acetic acid or by nucleophilic substitution with thionyl chloride followed by dehydrohalogenation. The two diastereomeric 1,1,1-trichloro-2-hydroxy-3-methyl-4-hexanones do not epimerize during acetylation, and the *erythro*-acetate resists elimination of acetic acid. Pyrolysis of 2-(1-hydroxy-2,2,2-trichloroethyl-)cyclohexanone yields 2-(2,2-dichlorovinyl)-2-cyclohexenone while treatment of 1,1,1,7,7,7-hexachloro-2,6-dihydroxy-4-heptanone with strong acid leads to the formation of 1,1,1,7,7,7-hexachloro-2,5-heptadien-4-one.

Des acides minéraux forts transforment les trichloro-1,1,1 hydroxy-2 alcanones-4 en trichloro-1,1,1 alcène-2 ones-4 et en trichloro-1,1,5 alcène-1 ones-4 par déplacement intramoléculaire du chlore du carbone en position 1 sur le carbone en position 5 d'un système énol allylique. Alternativement, les trichloro-1,1,1 alcène-2 ones-4 peuvent être synthétisés à partir des trichloro-1,1,1 hydroxy-2 alcanones-4 soit par acétylation et élimination de l'acide acétique soit par substitution nucléophile avec le chlorure de thionyle suivie d'une déshydrohalogénation. Il n'y a pas épimérisation au cours de l'acétylation des deux trichloro-1,1,1 hydroxy-2 méthyl-3 hexanones-4 diastéréoisomères et l'acétate érythro résiste à l'élimination de l'acide acétique. Le pyrolyse de la (hydroxy-1 trichloroéthyl-2,2,2)-2 cyclohexanone conduit à la (dichloro-2,2 vinyl)-2 cyclohexène-2 one tandis que le traitement de la hexachloro-1,1,1,7,7,7 heptadiène-2,5 one-4.

Canadian Journal of Chemistry, 49, 2964 (1971)

In the course of our studies of the acetatecatalyzed crossed aldol condensation of chloral with aliphatic ketones in acetic anhydride (1) or glacial acetic acid (2) we observed that this reaction is not accompanied by dehydration of the resulting 1,1,1-trichloro-2-hydroxy-4-alkanones. The low tendency of trichloromethyl-substituted  $\beta$ -ketols to eliminate water (3) parallels the inertness of trichloromethylcarbinols in substitution reactions (4, 5) which has been attributed to the strengthening of the O-alkyl bond by the inductive electron-withdrawing effect of the three chlorine atoms attached to the adjacent carbon (6, 7). Since our attempts to effect the desired elimination reaction under mild neutral conditions using the recently recommended dicyclohexylcarbodiimide (8) in ether solution were not successful and since trichloromethylcarbinols are sensitive to strong base (9), we investigated the synthesis of the title compounds in neutral or acidic solution by three methods involving the conversion of the hydroxy group of the corresponding  $\beta$ -ketols to a better leaving group: protonation, acetylation, and replacement by chlorine.

# **Results and Discussion**

1,1,1-Trichloro-2-penten-4-one has been prepared as early as 1893 (10) by heating acetone and chloral in a sealed tube, and a few years later (11) by treatment of 1,1,1-trichloro-2-hydroxy-4pentanone with cold concentrated sulfuric acid. However, attempts by Auwers and Schmid (3) to prepare trichlorocrotonic acid from 4,4,4trichloro-2-hydroxybutanoic acid under similar reaction conditions were unsuccessful. Baluja and coworkers (12, 13) have reported the synthesis of four 1,1,1-trichloro-2-alken-4-ones by pyrolysis of the corresponding  $\beta$ -ketols in molten potassium bisulfate and pointed out that prolonged thermal treatment leads to isomerization and fragmentation reactions.

Adopting a procedure previously described (14) for the dehydration of 1,1,1-trichloro-2-hydroxy-6-phenyl-5-hexen-4-one, we selected initially benzene (or toluene) and *p*-toluenesulfonic acid (TsOH) as solvent and catalyst, respectively. Although the expected 1,1,1-trichloro-4-phenyl-2-buten-4-one was formed in high yield from the corresponding phenyl-substituted ketol, application of this procedure to

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$$RCH_2COCHR'$$
—CHOH—CCl<sub>3</sub>  $\xrightarrow{H^{\oplus}}$   $RCH_2COCR' = CH$ —CCl<sub>3</sub> +  $RCHCl$ —CO—CHR'—CH = CCl<sub>2</sub>  
 $a$   $b$   $c$   
1  $R = Et; R' = H; 2 R = i$ -Pr;  $R' = H; 3 R = R' = Me$   
]  $R$ —CO—CH = CH—CCl<sub>3</sub>  $\xrightarrow{\Delta}$   $R$ —CO—CHCl—CH = CCl<sub>2</sub>  
 $d$ 

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$$R--CO--CH = CH--CCI_{3} \xrightarrow{\Delta} R--CO--CHCI--CH = CC$$
$$A R = t-Bu; 5 R = CH_{3}; 6 R = C_{6}H_{5}$$

purely aliphatic 1,1,1-trichloro-2-hydroxy-4-alkanones of the general structure RCH<sub>2</sub>COCHR'-CHOHCCl<sub>3</sub> (a) yielded discolored reaction mixtures containing in each case two isomeric olefins and a substantial quantity of unreacted starting material. Separation and spectroscopic analysis showed these two products to be the desired 1,1,1-trichloro-2-alken-4-one (b) and the corresponding isomeric 1,1,5-trichloro-1-alken-4-one (c, eq. 1). Treatment of a with molten potassium bisulfate (13) or with refluxing acetic acid containing a trace of sulfuric acid as catalyst gave similar results (Table 1). In the latter case, c was found to be the major product after prolonged reflux of the reaction mixture while flash pyrolysis over KHSO<sub>4</sub> yielded predominantly b. Starting from pure 1,1,1-trichloro-6-methyl-2hepten-4-one (2b), glacial acetic acid and sulfuric acid, isomerization to 1,1,5-trichloro-6-methyl-1hepten-4-one (2c) was observed after 6 h at 100°. These observations indicate that c is formed by a stepwise dehydration-rearrangement sequence. Close examination of the n.m.r. spectra of several crude product mixtures obtained from the crossed aldol condensation of chloral with dialkyl ketones in glacial acetic acid without added base catalyst (2) revealed that 1,1,5-trichloro-1-alken-4-ones (c) constitute the main by-product (<10%)formed under these conditions. All attempts to prepare 1,1,5-trichloro-1-penten-4-one by acidcatalyzed dehydration of 1,1,1-trichloro-2-hydroxy-4-pentanone or rearrangement of 1,1,1trichloro-2-penten-4-one failed.

The structure assignments for the rearrangement products are based on their n.m.r. spectroscopic analysis. The olefinic protons of 1c, 2c, and 3c appeared at  $\tau$  3.93 (triplet), 3.89 (triplet), and 4.17 (two overlapping doublets, due to the existence of two diastereomeric structures), respectively, and were coupled to the protons of the adjacent carbon (1c:  $\tau$  6.45, doublet, 2H: 2c: 6.43, doublet 2H; 3c; 6.01, multiplet, 1H). The protons attached to the chloro-substituted carbon atoms

absorbed at  $\tau$  5.83 (triplet, 1c), 5.93 (doublet, 2c) and 5.60 (quartet, 3c) and were coupled to their respective vicinal protons (1c:  $\tau$  8.10, multiplet, 2H; 2c: 7.62, multiplet, 1H; 3c: 8.40, doublet, 3H) with a coupling constant of 6.5 Hz. Although the fine-splitting of several peaks due to the nonequivalence of methylene protons near the asymmetric centers of 1c and 2c has not been taken into account in this simple first-order analysis, these chemical shifts and peak multiplicities are clearly inconsistent with the spectral parameters observed for 1b, 2b, and 3b (see Table 3) or with the pattern expected for the products of an allylic shift of chlorine (15) from C-1 of b to C-3 (see below).

To verify our structure assignments, we subjected several 1,1,1-trichloro-2-alken-4-ones (b) to those reaction conditions which have recently been reported (15) to lead to allylic rearrangements. These experiments confirmed the results obtained by Takeda for the silica-catalyzed rearrangment of methyl and phenyl 3,3,3-trichloro-1-propenyl ketone and showed that the corresponding tert-butyl alkenone 4b undergoes an analogous reaction (eq. 2). The co-product of acid-catalyzed dehydration of 4a described by Baluja (13) as the cis-isomer on the basis of i.r. absorption at 670 to 690  $\text{cm}^{-1}$  (no n.m.r. or u.v. data reported) was actually found to be identical with the allylic isomer 4d. On the other hand, pyrolysis of 2b and 7b on silica did not lead to an analogous allylic shift but gave the 1,5-rearrangement products 2c and 7c shown in eq. 3 (see also eq. 1). The spectroscopic data observed for the previously described (16) 1,1-dichloro-6-methyl-1-hepten-4-one (n.m.r.  $\tau$  3.82 triplet' for -CH=CCl<sub>2</sub> and 6.60 doublet for --COCH<sub>2</sub>- $CH=CCl_2$ ; i.r. bands at 1625 and 1720 cm<sup>-1</sup>; u.v. absorption maxima at 206 (£ 4700) and 273 nm ( $\varepsilon$  150)) are very similar to those found for 2cthus providing further support for our structure assignment.

Although thermal allylic rearrangements of

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			D		Product composition* (%)			
Ketol structure ( $Q = -CHOH - CCl_3$ )	Solvent	Acid	temperature (°C)	Reaction time (h)	Dehydration product b	Rearrangement product c	Starting material a	Other
C <sub>6</sub> H <sub>5</sub> -COCH <sub>2</sub> O	C <sub>6</sub> H <sub>4</sub> CH <sub>3</sub>	TsOH	110	9.5	90		10	
CH <sub>4</sub> COCH <sub>4</sub> O	HŎĂc	H₂SO₄	100	44	42		50	8
CH <sub>4</sub> CH <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub> COCH <sub>2</sub> O	C <sub>6</sub> H <sub>6</sub>	TsOH	80	24	33	46	21	
do.	HŎĂċ	H₂SO₄	100	24	6	58	30	6
CH <sub>2</sub> CH <sub>2</sub> COCHO-CH <sub>1</sub> †	HOAc	H <sub>2</sub> SO <sub>4</sub>	100	52	2	25	71	2
(CH <sub>1</sub> ),CHCH <sub>2</sub> COCH <sub>2</sub> O	C <sub>6</sub> H <sub>6</sub>	TsOH	80	24	39	31	30	
do.	HŎĂc	H₂SO₄	100	24	5	55	30	10
do.		KĤSO₄	230	0.1	70	23	7	_
do.	_	<b>KHSO</b> ₄	130	2	30	52		18
QCH,COCH,Qt	C <sub>6</sub> H <sub>6</sub>	TsOH	110	17	90§		10	

TABLE 1 Reaction of 1.1.1-trichloro-2-hydroxy-4-alkanones with strong acids

\*Determined by v.p.c. of the crude product mixture on a 5 ft  $\times 1/8$  in. OV-17 column (110°), †The *erythro*-compound initially used was found to epimerize under these conditions. †The m.p. 157-158°. §Cl<sub>3</sub>C—CH=CH—CO—CH=CH—CCl<sub>3</sub>.



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1,1,1-trichloro-2-alkenes in the presence or absence of metal or metal oxide catalysts have been described by other authors (17-19), the shift of a chlorine atom from the  $\gamma$ - to the  $\alpha'$ position of an  $\alpha$ ,  $\beta$ -unsaturated ketone has to our knowledge not been reported before. Ohse et al. (20) observed thermal isomerization of trichlorocrotonic acid to 2,4,4-trichloro-3-butenoic acid in 90% yield while LeCoq (21) did not detect any 2,4,4-trichloro-3-butenal after prolonged heating of trichlorocrotonaldehyde in the presence of Lewis acids. We believe that acid-catalyzed enolization of b to form an enol allylic chloride (22) and the close proximity of the bulky trichloromethyl group to the  $\alpha'$ -methylene carbon in certain conformations of this molecule are the major driving forces for a [1,5] signatropic transfer of chlorine which is presumed to be a thermally allowed suprafacial process (23) if orbital symmetry is to be conserved during the reaction (eq. 4).

The formation of 2-(2,2-dichlorovinyl)-2-cyclohexenone (eq. 5) by thermal decomposition of 2-(1-hydroxy-2,2,2-trichloroethyl)-cyclohexanone (8a) during distillation appears to confirm this view. Since in this case the trichloromethyl group of the originally formed dehydration product cannot adopt a position favorable for a chlorine shift to the  $\alpha'$ -carbon via a six-membered ring transition state, normal allylic rearrangement and elimination of hydrogen chloride lead to the observed product.

The acid-catalyzed dehydration of 1,1,1,7,7,7hexachloro-2,6-dihydroxy-4-heptanone (9*a*) in refluxing toluene was found to proceed without allylic rearrangement giving the highly conjugated, yellow 1,1,1,7,7,7-hexachloro-2,5-heptadien-4-one (9*b*) in good yield (eq. 6).

Confirming and supplementing recently published data by Bowman and Williamson (24) we wish to report that 9a exists in two diastereomeric forms, and that both diastereomers (m.p. 119– 121°, crystallized from chloroform; m.p. 157– 158°, crystallized from ethanol) rather than the previously claimed (25) tetrahydro-2,5-bis(trichloromethyl)-4*H*-pyran-4-one are formed in the



condensation of chloral hydrate with acetonedicarboxylic acid.

A more satisfactory method for the preparation of 1,1,1-trichloro-2-alken-4-ones consists of the acetylation-elimination sequence shown in eq. 7. Auwers (3) used this procedure for the preparation of trichlorocrotonic acid from 4,4,4trichloro-3-hydroxybutanoic acid. Our results of several acetylation experiments are summarized in Table 2. Although acetylation proceeds smoothly in refluxing acetic anhydride, only traces of ketol acetates e were detected in the crude product mixtures obtained from the acetatecatalyzed condensation of chloral hydrate with aliphatic ketones in acetic anhydride as solvent (1). In the absence of a catalyst or in the presence of catalytic amounts of glacial acetic acid, 1,1,1trichloro-2-acetoxy-4-alkanones (e) were formed in high yields (Table 2) together with small quantities of the corresponding elimination products (b). When the reaction of 2a with acetic anhydride was monitored by v.p.c., the concentration of 2e was found to increase rapidly during the first 2 h (50% conversion after 2.5 h) and to reach a maximum at 70% conversion (10 h). After 24 h, the starting material had disappeared, and the product mixture contained ketol acetate (2e), elimination product (2b), and unidentified byproducts in the approximate ratio 3.7:1:1. It is noteworthy that the two diastereomeric 1,1,1trichloro-2-hydroxy-3-methyl-4-hexanones (erythro- and threo-3a) were converted to the corresponding acetates without detectable epimerization of starting material or product.<sup>1</sup> The erythro-isomer of 2-(1-hydroxy-2,2,2-trichloroethyl)-cyclohexanone (8a), on the other hand, gave the corresponding acetate (*erythro-8e*) as major product together with small amounts of epimerized starting material and product (after 22 h at 100°).

By comparison of the carbonyl stretching

[6]

2968

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<sup>&</sup>lt;sup>1</sup>For a discussion of configurational assignments for 3a, see ref. 1.

TK at 1 at at	×	Reaction		Product composition‡ (%)			
$(Q = -CHOH - CCl_3)$	Catalyst	(h)	Yield† (g)	Acetate e	Olefin b	Ketol a	Other
CH <sub>3</sub> COCH <sub>2</sub> Q	HOAc	14.5	12.1	79	20	- 1	trace
do.		15	12.0	80	11	9	
do.	NaOAc	1.5	8.4		100		
CH <sub>3</sub> CH <sub>2</sub> CH <sub>2</sub> COCH <sub>2</sub> O	NaOAc	1.5	9.2	10	64	11	15
(CH <sub>3</sub> ) <sub>2</sub> CHCH <sub>2</sub> COCH <sub>2</sub> O	_	10	Ş	70	8	15	7
do.		24	12.7 <sup>°</sup>	65	18		17
do.	NaOAc	4	10.7	7	57	9	27
do.	NaOAc	15	11.0	5	80	5	10
CH <sub>3</sub> CH <sub>2</sub> COCHO—CH <sub>3</sub> ¶	<u> </u>	56	11.5	95	2		- 3
CH <sub>3</sub> CH <sub>2</sub> COCHO—CH <sub>3</sub> **		72	13.6	99		1	
(CH <sub>3</sub> ) <sub>3</sub> CCOCH <sub>2</sub> Ò	NaOAc	2	10.1		99	_	trace
	NaOAc	2	10 6	7	86		7

TABLE 2. Reaction of 1,1,1-trichloro-2-hydroxy-4-alkanones with acetic anhydride\*

\*Temperature 100°; initial reagent quantities; 0.05 mol ketol, 0.2 mol Ac<sub>2</sub>O. †Crude product after removal of Ac<sub>2</sub>O and catalyst. ‡Analysis by v.p.c. of crude product mixture on a 5 ft  $\times$  1/8 in. OV-17, SE-52 or SE-30 column (120–140°). §Reaction continued for an additional 14 h. [Catalyst (0.5 g) added after 14 h at 115°. ¶Threo, m.p. 37–38°. \*\*Erythro, m.p. 57–58°.

frequencies of the two diastereomeric 1,1,1-trichloro-2-hydroxy-3-methyl-4-hexanones (3a) and their acetates (3e) we were able to verify the presence of strong intramolecular hydrogen bonding in the *threo*-isomer of 3a (1). The carbonyl absorption bands of threo-3a and threo-3e were observed at 1700 and 1720 cm<sup>-1</sup>, respectively. This blue-shift of  $20 \text{ cm}^{-1}$  on acetylation of the hydroxy group is of the same order of magnitude  $(13-24 \text{ cm}^{-1})$  reported by Stiles *et al.* (26) for a series of aromatic  $\beta$ -hydroxy ketones and can be attributed to the breaking of the hydrogen bond. The carbonyl peaks of both the erythro-ketol 3a and the erythro-acetate 3e appeared at  $1720 \text{ cm}^{-1}$ .

In the presence of sodium acetate, the reaction of 1,1,1-trichloro-2-hydroxy-4-alkanones (a) with acetic anhydride gives predominantly 1,1,1-trichloro-2-alken-4-ones (b) (Table 2). When a mixture of equimolar amounts of threo-ketol 3a and threo-acetate 3e was subjected to these reaction conditions and the progress of the reaction was monitored by v.p.c., the acetate was found to react more rapidly than the ketol. This result, in conjunction with the observed rapid and quantitative conversion of pure *threo*-acetate 3e to the corresponding alkenone 3b after only 45 min at 100° indicates that acetic acid is eliminated more readily from threo-3e than water from *threo-3a*, and that the dehydration reactions may proceed via acetylated intermediates.

It is interesting to note that even in the presence of sodium acetate the epimerization rate of diastereomeric acetates 3e is very slow relative to the rate of elimination, and that only traces of 3b were formed from erythro-3e. Examination of space-filling molecular models indicates that this marked reactivity difference is probably due to destabilization of the transition state for transelimination of acetic acid from ervthro-3e caused by unfavorable non-bonding interactions between the bulky trichloromethyl group on the  $\beta$ -carbon and the methyl group on the  $\alpha$ -carbon. In the most favorable conformation for transelimination of acetic acid from threo-3e, on the other hand, the trichloromethyl and the methyl group reside in anti-position, and steric interactions between the trichloromethyl group and the alkyl-substituted carbonyl group can be minimized by free rotation about the R-CO-R' single bonds (eq. 8). On this basis we have assigned structure 3b to the olefinic product.<sup>2</sup> This interpretation presumes that the most favored pathway for elimination involves a trans-concerted process.3

Since both the acid-catalyzed dehydration of

2969

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<sup>&</sup>lt;sup>2</sup>Experiments to synthesize the other geometric isomer of 3b for a comparison of spectral and chemical properties are presently in progress.

<sup>&</sup>lt;sup>3</sup>Cristol (32) and Truce (33) have used similar arguments and explained the low tendency of certain threoβ-chloro- and β-hydroxysulfones to undergo elimination reactions by repulsive steric interactions between the  $\alpha$ -substituent (alkyl or phenyl) and the large sulforyl group. In our case, the erythro-compound is less reactive because of the considerably larger steric requirements of the trichloromethyl group (compared to a methyl or phenyl group) and the smaller size of the carbonyl group (compared to a sulfonyl group).

2970

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1,1,1-trichloro-2-hydroxy-4-alkanones (eq. 1) and the preparation of 1,1,1-trichloro-2-alken-4-ones via ketol acetates (see eq. 7 and last column of Table 2) are accompanied by undesirable side reactions and the separation of isomeric products proved to be difficult, we investigated the synthesis and dehydrohalogenation of 1,1,1,2-tetrachloro-4-alkanones (f) as an alternative route. Although Lucas reagent (5), aluminum chloride (27), and phosphorus pentachloride (19, 28) have been successfully used to replace the hydroxy group of trichloromethylcarbinols by chlorine, these substitution reactions are generally slow due to the electron-withdrawing effect and the steric bulk of the trichloromethyl group (4, 6, 7) and may lead to undesirable rearrangements or product decomposition (19, 29) under the drastic reaction conditions required. Thionyl chloride, on the other hand, has been reported (30) to react smoothly with aryl-substituted 1.1.1-trichloro-2hydroxy-4-alkanones in the presence of pyridine to yield 1,1,1,2-tetrachloro-4-alkanones at low temperature and the corresponding dehydrohalogenation products at reflux temperature in chloroform.

Following this procedure, we obtained in high yield mixtures of 1,1,1,2-tetrachloro-4-alkanones (f) and 1,1,1-trichloro-2-alken-4-ones (b) with the substitution product generally predominating (eq. 9). Even at  $-10^{\circ}$  some b was formed, while in the absence of pyridine the starting material was quantitatively recovered. However, the f/b ratio was always found to be considerably higher when the reaction was carried out at lower temperatures (25 or 0° instead of reflux). This result together with the observation that 2f and 6f are readily dehydrohalogenated by pyridine in refluxing chloroform indicates that tetrachloro-

RCOCHR — CHOH — CCl<sub>3</sub> + SOCl<sub>2</sub>/pyridine  
a  

$$CHCl_3 \int_{reflux}^{1-2h} reflux$$
  
RCOCHR' — CHCl — CCl<sub>3</sub> + RCOCR' = CH — CCl<sub>3</sub>  
f (Major) b (Minor)  
 $CHCl_3 \int_{reflux} reflux$   
RCOCR' = CH — CCl<sub>3</sub>  
b  
1 R = n-Pr; R' = H  
2 R = *i*-Bu; R' = H  
3 R = Et; R' = Me  
4 R = *t*-Bu; R' = H  
5 R = Me; R' = H  
6 R = C<sub>6</sub>H<sub>5</sub>; R' = H  
10 R = Et; R' = H  
11 R = C<sub>6</sub>H<sub>5</sub>CH:CH, R' = H

alkanones (f) may be involved as intermediates in the formation of the corresponding 1,1,1-trichloro-2-alken-4-ones (b). The conversion of the starting ketols a to the products shown in eq. 9 was generally 80–90% complete after 90 min reflux, and further extension of the reaction time led to extensive product decomposition (except for 6a and 11a). Similar results were obtained on treatment of 2f with sodium acetate in glacial acetic acid as dehydrohalogenating agent.

The two diastereomeric ketols erythro-3a and threo-3a were found to equilibrate rapidly under these reaction conditions to give a mixture of epimers which underwent normal substitution and dehydration. However, they reacted at a much slower rate (40-60% recovery of starting material after 90 min reflux time) than the other ketols which appears to be a consequence of the lower acidity of the hydrogen atom at the methyl-substituted a-carbon adjacent to the -CHOH- group. The two aryl-substituted 1,1,1-trichloro-2-hydroxy-4-alkanones 6a and 11a exhibited the highest reactivity of the 10 ketols studied and were quantitatively converted to their respective substitution (f, major) and dehydration (b, minor) products after 1 h at  $60^{\circ}$ . This result is in agreement with the observation by Roedig and Klappert (30) that 11a but not the corresponding diol C<sub>6</sub>H<sub>5</sub>CH=CH-CHOH-CH2-CHOH-CCl3 undergoes chlorine substitution at the trichloromethyl-substituted car-



bon when treated with thionyl chloride and pyridine. Enolization to form a highly conjugated enol allylic system (22) appears to facilitate substitution, and the reaction of both aryl- and alkyl-substituted 1,1,1-trichloro-2-hydroxy-4-alkanones with thionyl chloride may well proceed by the S<sub>N</sub>i' mechanism which has been postulated by Pilgram and Ohse (31) and Steckel (19) for the analogous rearrangement of certain alkenyltrichloromethylcarbinols (eq. 10). However, the enol-stabilizing resonance effect involving the phenyl substituent of 11a does not render the trichloromethyl-substituted carbon so reactive as to permit solvolytic displacement of its hydroxy group. Thus, n.m.r. spectral analysis has shown that 11a can be recrystallized from methanol without structural change, and the reported (30) formation of 1,1,1-trichloro-2-methoxy-6-phenyl-5-hexen-4-one (or any other methoxy-substituted compound) under these conditions has been found to be in error.

# Experimental

Elemental analyses were performed by A. Bernhardt Microanalytical Laboratory, Elbach, Germany. The u.v. spectra were run in 95% ethanol using a Unicam SP-200 spectrometer. The n.m.r. spectra were recorded on a Varian A 56/60 spectrometer using TMS as internal standard and CDCl<sub>3</sub> as solvent, and i.r. spectra on a Beckman IR-12 or a Perkin–Elmer 457 spectrometer using a dilute CCl<sub>4</sub> solution or a thin film of neat liquid (or melt) between sodium chloride plates.

The v.p.c. analyses were carried out on a Varian Aerograph Model 1200-1 HY-FI III gas chromatograph using a 5 ft  $\times$  1/8 in. column packed with 5% SE-30, 5% SE-52, or 2% OV-17 on Aeropak 30 (column temperature 110–140°) and nitrogen as carrier gas.

All 1,1,1-trichloro-2-hydroxy-4-alkanones were prepared from chloral and the respective ketones as previously described (1, 2). Thionyl chloride was distilled before use, while other chemicals were used without further purification (commercially available reagent grade quality).

# Acid-catalyzed Dehydration of 1 1,1-Trichloro-2hydroxy-4-alkanones

#### Method 1

Using a modified version of the procedure described before (14) for the preparation of 12b, a solution of 24.8 g (0.10 mol) of 1,1,1-trichloro-2-hydroxy-6-methyl-4-heptanone (2a) and 6.0 g (0.03 mol) of p-toluenesulfonic acid in 160 ml benzene was vigorously refluxed for 24 h. Washing with water, drying over magnesium sulfate, and evaporation of the solvent under reduced pressure gave 20 g of a black oil which was found by v.p.c. analysis to contain 1,1,1-trichloro-6-methyl-2-hepten-4-one (2b), 1,1,5-trichloro-6-methyl-1-hepten-4-one (2c), and starting material in the ratio 39:31:30. Recrystallization from petroleum ether (60-100°) yielded 6 g of the starting ketol (2a). Evaporation of the solvent from the mother liquor and vacuum distillation of the residue gave 8 g of a mixture of 2b and 2c boiling at 57-64°/0.01 mm. Small samples of pure 2b and 2c were obtained by repeated liquid partition chromatography of the distillate on silica using 20% (by volume) petroleum ether (60-100°) in benzene as eluent.

Compound 2b: m p. 17.5–18°, b.p. 57–60°/0.01 mm; i.r. (neat) 962 (H–C=), 1628 (C=C), 1678 and 1703 cm<sup>-1</sup> (C=O); u.v. (95% EtOH)  $\lambda_{max}$  223 ( $\epsilon$  12 000) and 336 nm ( $\epsilon$  38).

Anal. Calcd. for C<sub>8</sub>H<sub>11</sub>OCl<sub>3</sub>: C, 41.86; H, 4.83; Cl, 46.37. Found: C, 41.69; H, 5.02; Cl, 46.41.

Compound 2c: b.p. 60–64°/0.01 mm.

Anal. Calcd. for  $C_8H_{11}OCl_3$ : C, 41.86; H, 4.83; Cl, 46.37. Found: C, 41.98; H, 4.72; Cl, 46.26.

The i.r. (neat) 1720 (C=C) and 1620 (C=C) cm<sup>-1</sup>; u.v. (95% EtOH)  $\lambda_{max}$  206 ( $\epsilon$  3500) and 270 nm ( $\epsilon$  1200).

Application of the same procedure to 1,1,1-trichloro-2-hydroxy-4-heptanone (1*a*) yielded 1,1,1-trichloro-2-hepten-4-one (1*b*), b.p.  $48-49^{\circ}/0.01$  mm, and 1,1,5-tri-

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CANADIAN JOURNAL OF CHEMISTRY. VOL. 49, 1971

TABLE 3. The n.m.r. chemical shifts ( $\tau$  units) of 1,1,1-trichloro-2-acetoxy-4-alkanones, 1,1,1,2-tetrachloro-4-alkanones, and 1,1,1-trichloro-2-alken-4-ones

Compound		COCHRCHX-*	_CHX_*†	COCH==C<*‡	>C==CHCCl <sub>3</sub> *‡
1 <i>b</i>	7.35	_		3.39	2.95
е	7.57	6.91	4.03	_	—
f	7.50	6.75	5.00		
<b>2</b> b	7.47			3.43	2.99
e	7.70	6.95	4.05		
f	7.66	6.76	5.00	—	2
36	7.28			_	2.8/
e(erythro)	1.3/	6.70	3.97		
e(inreo)	7.40	6.60	4.42		
J Ab	1.21	0.02	4.75	2 12	2 07
40 f		6 63	1 03	5.15	2.97
56	7 63	0.05	4.75	3 41	2 97
30	7.80	6 93	4 20	5.41	2.97
f	7 73	6 70	5.00		
6 6				2.53	2.65
f	_	6.15	4.73		
Т́b	7 47		_	3.43	3.03
f	7.63	6.75	5.00		
8e(erythro)	7.53	6.95	3.57		_
<b>9</b> b``´´			—	3.11	2.78
<b>10</b> b	7.30	—	—	3.39	2.95
f	7.49	6.75	5.00		
<b>11</b> b	_			3.05	2.80
f	—	6.49	4.81		—

\*R = H or CH<sub>3</sub>, X = OAc or Cl. †Quartet (1:1:1:1) for  $-CH_2-CHX-$ , with  $J_{vlc} = 3.6-4.3$  Hz and 7.1-7.8 Hz, respectively (except for 4f and 11f). ‡Doublet for each olefinic proton of -CH=CH-, with J = 14-16 Hz.

chloro-1-hepten-4-one (1c), b.p.  $55-60^{\circ}/0.01$  mm (see Tables 1 and 3).

Anal. Calcd. for C<sub>7</sub>H<sub>9</sub>OCl<sub>3</sub> (1*c*): C, 39.01; H, 4.20; Cl, 49.35. Found: C, 38.88; H, 4.35; Cl, 49.30.

Reflux of a solution of 26.8 g (0.10 mol) of 1,1,1-trichloro-2-hydroxy-4-phenyl-4-butanone (6a) and 2.0 g (0.01 mol) of p-toluenesulfonic acid in 160 ml toluene for 9.5 h and the usual work-up gave 24 g of a yellow, crude product from which 20 g (80% yield) of 1,1,1-trichloro-4-phenyl-2-buten-4-one (6b), m.p. 99-100° (lit. (15) 98-99°) was obtained by recrystallization from petroleum ether (65-110°). The carbonyl and olefinic stretching vibrations of 6b were observed at 1680 and 1625 cm<sup>-1</sup>, respectively, and u.v. absorption maxima (EtOH) at 233 ( $\varepsilon$  7400) and 350 nm ( $\varepsilon$  95).

#### Method 2

A solution of 12.4 g (0.05 mol) of 2a in 30 g of glacial acetic acid containing five drops of 10% sulfuric acid was heated at 100° for 24 h. After evaporation of the solvent the black reaction mixture was dissolved in ether, washed with water and 10% sodium bicarbonate solution, and dried over magnesium sulfate. Removal of the solvent left a dark oil (9.6 g) containing 2c (major product), unreacted starting material and a small amount of 2b(ratio of v.p.c. peak areas 11:6:1). Vacuum distillation furnished 5.5 g of distillate (b.p. 66–70°/0.025 mm) from which a pure sample of 2c was isolated by liquid partition chromatography as described before.

1,1,5-Trichloro-1-hepten-4-one (1c) and 1,1,5-trichloro-1-hexen-3-methyl-4-one (3c) were prepared by the same procedure from 1,1,1-trichloro-2-hydroxy-4-heptanone (1a) and 1,1,1-trichloro-2-hydroxy-3-methyl-4-hexanone (3a), respectively (see Table 1).

#### Method 3

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According to the procedure described by Baluja and coworkers (12, 13) for the preparation of several 1,1,1trichloro-2-alken-4-ones, 27 g (0.2 mol) of potassium bisulfate was dried at 100° and placed in a 100-ml threenecked flask equipped with thermometer and distillation assembly. After preheating the potassium bisulfate to  $230^{\circ}$ , 24.8 g (0.10 mol) of 2a was rapidly added over a 5-min period and the mixture was immediately distilled at aspirator vacuum (b.p. 115°/15 mm). Addition of ether to the distillate, washing with water, drying, and solvent evaporation gave 8.78 g (38% yield) of a yellow liquid which was found by v.p.c. and n.m.r. analysis to contain 2b, 2c, and 2a in the approximate ratio 70:23:7. When the original mixture of 2a and potassium bisulfate was refluxed for 2 h at 130° before distillation, n.m.r. and v.p.c. analysis of the crude distillate (18.6 g = 81%yield) revealed the formation of small amounts of several unidentified byproducts and an increase in the 2c/2bratio from 23/70 to 52/30 (Table 1).

#### Catalytic Rearrangement of 1,1,1-Trichloro-2-alken-4-ones

Adopting the procedure described in the literature (15) for the rearrangement of 5b and 6b, 6.38 g of silica gel (0.106 mol) was added to 6.38 g (0.028 mol) of 1,1,1-trichloro-5,5-dimethyl-2-hexen-4-one (4b), and the mixture was heated for 17 h at 130° in a round-bottom flask equipped with reflux condenser. Vacuum distillation of the black semi-solid product mixture yielded 3.10 g (49% yield) of a clear yellow liquid, b.p.  $36-38^{\circ}/10$  mm, which

was found by n.m.r. and v.p.c. analysis to be pure 1,1,3-trichloro-5,5-dimethyl-1-hexen-4-one (4d).

Spectroscopic Identification

Compound 4*d*: n.m.r. (CDCl<sub>3</sub>)  $\tau$  3.69 (doublet, 1H, --CH<sub>A</sub>=-CCl<sub>2</sub>), 4.50 (doublet, 1H, --CH<sub>B</sub>Cl---), and 8.76 (singlet, 9H, (CH<sub>3</sub>)<sub>3</sub>C---),  $J_{AB} = 10.0$  Hz; i.r. (neat) 1625 (C=-C) and 1725 cm<sup>-1</sup> (C=-O); u.v. (EtOH)  $\lambda_{max}$ 222 ( $\epsilon$  7700) and 297 nm ( $\epsilon$  130).

Subjecting 1,1,1-trichloro-2-penten-4-one (5b) and 1,1,1-trichloro-4-phenyl-2-buten-4-one (6b), respectively, to the same reaction conditions (15) gave the corresponding allylic rearrangement products 5d (27% yield of crude distillate b.p.  $40-42^{\circ}/0.2$  mm, containing 8% unidentified byproduct) and 6d (20% yield of crude distillate, b.p.  $120-125^{\circ}/3.5$  mm, containing 17% unidentified byproduct) with the following spectroscopic characteristics.

Compound 5*d*: n.m.r. (CDCl<sub>3</sub>)  $\tau$  3.89 (doublet, 1H, —CH<sub>A</sub>==CCl<sub>2</sub>), 5.04 (doublet, 1H, —CH<sub>B</sub>Cl—), and 7.70 (singlet, 3H, CH<sub>3</sub>),  $J_{AB} = 10$  Hz (lit. (15)  $\tau$  3.96, 5.08, and 7.68,  $J_{AB} = 9$  Hz); i.r. (neat) 1733 (C=O, lit. (15) 1730) and 1623 cm<sup>-1</sup> (C=C); u.v. (EtOH)  $\lambda_{max}$  221 ( $\epsilon$  8900) and 270 nm ( $\epsilon$  340).

Compound 6*d*: n.m.r. (CDCl<sub>3</sub>)  $\tau$  1.95 and 2.50 (aromatic multiplet, 5H), 3.48 (doublet, 1H, —CH<sub>A</sub>==CCl<sub>2</sub>), and 4.06 (doublet, 1H, —CH<sub>B</sub>Cl—),  $J_{AB} = 9.5$  Hz; i.r. (neat) 1620 (C==C) and 1700 cm<sup>-1</sup> (C==O) (lit. (15) 1618 and 1690 cm<sup>-1</sup>); u.v. (EtOH)  $\lambda_{max}$  254 nm ( $\varepsilon$  10 700).

Treatment of 1,1,1-trichloro-6-methyl-2-hepten-4-one (2b) with silica at 130° resulted in the formation of 1,1,5trichloro-6-methyl-1-hepten-4-one (2c) (low yield), as identified by comparison of the n.m.r. spectrum of the distillate with that of an authentic sample of 2c, and one unidentified by-product. No absorption peaks were observed in the  $\tau$  4.0-5.1 range characteristic of the --CHCl- proton of 1,1,3-trichloro-1-alken-4-ones (see 4d and 5d).

Similarly, 1,1,1-trichloro-6,6-dimethyl-2-hepten-4-one (7b) was found to rearrange on silica to 1,1,5-trichloro-6,6-dimethyl-1-hepten-4-one (7c) rather than to 1,1,3-trichloro-6,6-dimethyl-1-hepten-4-one. In this experiment, 7.0 g (0.030 mol) of 7b yielded 1.16 g (20% yield) of 7c after 17 h heating at 130° on 7.0 g of silica and vacuum distillation (b.p.  $109-114^{\circ}/1.8$  mm).

Compound 7c: n.m.r. (CDCl<sub>3</sub>)  $\tau$  3.90 (triplet, 1H, --CH=CCl<sub>2</sub>), 5.94 (singlet, 1H, --CHCl--), 6.45 (doublet, 2H, --CH<sub>2</sub>--) and 8.91 (singlet, 9H, *t*-Bu); i.r. (neat) 1730 (C=O) and 1625 cm<sup>-1</sup> (C=C).

#### Pyrolysis of 2-(1-Hydroxy-2,2,2-trichloroethyl)-cyclohexanone (8a)

It has been reported previously (2) that the condensation of chloral with cyclohexanone in glacial acetic acid results in complete product decomposition when carried out in the absence of a base catalyst; excessively high reaction temperatures and/or long reaction times had the same effect even in the presence of sodium acetate. Since decomposition, once it had started, proceeded rapidly and with evolution of hydrochloric acid, the following experiment was carried out with the sole intent to isolate and identify the major organic decomposition product.

Using the standard condensation procedure (2), a mixture of 30.7 g (0.31 mol) of cyclohexanone, 36.9 g (0.25 mol) of chloral, 5.1 g (0.063 mol) of anhydrous

sodium acetate, and 0.50 mol of glacial acetic acid was heated at 100° for 58 h at which time the evolution of hydrochloric acid fumes and black coloration of the reaction mixture indicated decomposition of the original condensation product (8a). After another 6 h of reaction time, solvent and unreacted starting materials were evaporated, the residue was taken up in ether, washed with water and sodium bicarbonate solution, and dried over magnesium sulfate. Evaporation of the ether gave 48 g of a black viscous oil which was found by v.p.c. analysis to contain 8a and two more volatile products in the approximate ratio 8:35:7. The latter two compounds were separated from 8a by two high-vacuum distillations (b.p. 85-95°/0.025 mm), and the major component, 2-(2,2-dichlorovinyl)-2-cyclohexanone, was obtained in pure form by preparative gas chromatography on a 20 ft  $\times$  3/8 in. 30% SE-52 column at 250°. The originally colorless condensate in the collection tube turned bluegreen on standing, the same color which was observed during distillation. The identity of the collected sample (> 96% pure) with the major component of the original crude product mixture was confirmed by re-injection into two analytical v.p.c. columns (OV-17 at 115° and SE-30 at 160°) and comparison of retention times. The structure assignment rests on the analytical and spectroscopic evidence listed below.

Anal. Calcd. for C<sub>8</sub>H<sub>8</sub>Cl<sub>2</sub>O: C, 50.29; H, 4.22. Found: C, 50.00; H, 4.00.

Mass spectrometry (80 eV): peaks at m/e 190 (P), 192 (P + 2), and 194 (P + 4) in the relative intensity ratio 100:67:16 expected for a compound containing two chlorine atoms (theoretical ratio = 100:65:11), isotopic fragment peaks at m/e 162 (100%), 164 (61%), and 166 (13%) due to loss of CO, and base peak (P-chlorine) at m/e 155 (100%) with isotopic satellite at m/e 157 (34%, expected P/(P + 2) intensity ratio 100:33). The n.m.r. (CDCl<sub>3</sub>):  $\tau$  2.55 (triplet, 1H,  $=CH=CH_2-$ ), 3.28 (singlet, 1H,  $=CH=CCl_2$ ), 7.52 (multiplet, 4H,  $=CO-CH_2-$  and  $=CH=CH_2-$ ), and 7.93 (multiplet, 2H,  $-CH_2-CH_2-CH_2-$ ). The i.r. (neat): absorption

(C=C, s-cis). The u.v./visible (95% EtOH): 215 ( $\epsilon$  33 000), 260 ( $\epsilon$  16 000), and 374 nm ( $\epsilon$  180); weak absorption at 642 nm due to colored impurity.

# Preparation of 1,1,1,7,7,7-Hexachloro-2,5-heptadien-4-one (9b)

1,1,1,7,7,7 - Hexachloro - 2,6 - dihydroxy - 4 - heptanone (9a), the precursor of 9b, was prepared according to the procedure described by Caujolle and coworkers (25). The crude product (light brown solid) obtained in 65% yield from chloral hydrate and acetonedicarboxylic acid in aqueous solution was recrystallized several times from chloroform to give a white solid (9a-1) melting at 119–121°. Evaporation of the solvent from the mother liquors and several successive recrystallizations from ethanol yielded an isomeric white solid (9a-2) melting at  $157-158^\circ$ . Spectroscopic characterization of these two compounds proved to be difficult due to their low solubility in water and most organic solvents (except dioxane, DMSO and trifluoroacetic acid).

Anal. Calcd. for C<sub>7</sub>H<sub>8</sub>Cl<sub>6</sub>O<sub>3</sub> (9*a*-1): C, 23.83; H, 2.29; Cl, 60.28. Found: C, 23.72; H, 2.44; Cl, 60.39.

Compound 9a-1: n.m.r. (CDCl<sub>3</sub>, 57°) τ 5.33 (broad

multiplet, 2H, CH-CCl<sub>3</sub>), 6.52 (broad singlet, 2H,

-OH), an 1 6.90 (unsymmetrical triplet, 4H, --CH<sub>2</sub>--); i.r. (KBr pellet, Beckman IR-12) 3450 (strong and broad, OH) and 1721 cm<sup>-1</sup> (strong, with shoulder at 1708 cm<sup>-1</sup>, C=O); i.r. (0.5 *M* solution in CHCl<sub>3</sub>, Beckman IR-12) 3594 (sharp, with shoulder near 3500) and 1726 cm<sup>-1</sup> (C=O).

Anal. Calcd. for C<sub>7</sub>H<sub>8</sub>Cl<sub>6</sub>O<sub>3</sub> (9*a*-2): C, 23.83; H, 2.29; Cl, 60.28. Found: C, 23.70; H, 2.43; Cl, 60.17.

Compound 9a-2: n.m.r. (CDCl<sub>3</sub>, 57°) r 5.32 (sharp

1:1:1:1 quartet, 2H, CH-CCl\_), 6.63 (broad singlet,

2H, OH), and 6.90 (unsymmetrical triplet, 4H,  $-CH_2-$ ); i.r. (KBr pellet) 3490 (strong and broad, OH) and 1725 cm<sup>-1</sup> (C=O); i.r. (0.5 *M* solution in CHCl<sub>3</sub>) 3594 (sharp, with shoulder near 3500) and 1726 cm<sup>-1</sup> (C=O); lit. (23): i.r. (Nujol) of 9*a* (m.p. 124–126°) 3448 (OH) and 1710 cm<sup>-1</sup> (C=O).

Dehydration was accomplished by refluxing (17 h) a solution of 5 g of 9a-2 in 40 ml of benzene in the presence of 0.4 g of p-toluenesulfonic acid using a Dean-Stark trap for removal of the water from the azeotrope. The resulting black solution was washed with water and aqueous sodium bicarbonate, and dried to give 1.5 g of trans, trans-1,1,1,7,7,7-hexachloro-2,5-dien-4-one (9b), m.p. 70-72° (yellow), after several recrystallizations from cyclohexane and chloroform. The i.r. (KBr pellet, Beckman IR-12) 1628, 1640 (C=C), 1680 and 1698 cm<sup>-1</sup> (C=O). The appearance of two absorption maxima for the carbonyl and the olefinic stretching vibrations, respectively, and the low C=O/C=C intensity ratio (approximately 1.0) of these peaks indicate the presence of both s-cis (1698 and 1628 cm<sup>-1</sup>) and s-trans (1680 and 1640 cm<sup>-1</sup>) conformers with the former predominating. The u.v. (EtOH) of 9b:  $\lambda_{max}$  232 ( $\epsilon$  7300) and 325 nm (ε 63).

# Acetylation of 1,1,1-Trichloro-2-hydroxy-4-alkanones

A solution of 11.7 g (0.05 mol) of 1,1,1-trichloro-2hydroxy-3-methyl-4-hexanone (*erythro-3a*) in 20.4 g (0.20 mol) of acetic anhydride was heated at 100° for 72 h. The pale yellow oil (13.6 g = 99% yield) obtained after removal of the solvent was distilled under high vacuum to give 11.85 g (86% yield) of 1,1,1-trichloro-2-acetoxy-3-methyl-4-hexanone (*erythro-3e*), b.p. 76°/0.01 mm. The i.r. (solution in CCl<sub>4</sub>) 1720 (ketone C=O) and 1760 cm<sup>-1</sup> (ester C=O).

Anal. Calcd. for C<sub>9</sub>H<sub>13</sub>O<sub>3</sub>Cl<sub>3</sub>: C, 39.23; H, 4.76; Cl, 38.60. Found: C, 39.40; H, 4.90; Cl, 38.67.

The ketol acetates listed below were prepared by a similar procedure (data on reaction time, crude product yield, and product composition are summarized in Table 2, n.m.r. chemical shifts in Table 3).

1,1,1-Trichloro-2-acetoxy-3-methyl-4-hexanone (*threo-3e*): b.p.  $69-70^{\circ}/0.01$  mm; i.r. (solution in CCl<sub>4</sub>) 1720 (ketone C=O) and 1761 cm<sup>-1</sup> (ester C=O).

Anal. Calcd. for  $C_9H_{13}O_3Cl_3$ : C, 39.23; H, 4.76; Cl, 38.60. Found: C, 39.13; H, 4.19; Cl, 38.72.

1,1,1-Trichloro-2-acetoxy-6-methyl-4-heptanone (2e): b.p.  $88-89^{\circ}/0.01$  mm; i.r. (neat) 1720 (ketone C=O). and 1767 cm<sup>-1</sup> (ester C=O).

Anal. Calcd. for C<sub>10</sub>H<sub>15</sub>O<sub>3</sub>Cl<sub>3</sub>: C, 41.47; H, 5.22; Cl, 36.73. Found: C, 41.33; H, 5.40; Cl, 36.89.

1,1,1-Trichloro-2-acetoxy-4-pentanone (5e): b.p. 76-

77°/0.01 mm; i.r. (neat) 1725 (ketone C=O) and 1765 cm<sup>-1</sup> (ester C=O). 2-(1-Acetoxy-2,2,2-trichloroethyl)cyclohexanone (8e): m.p. 110-111.5°; i.r. (Nujol) 1708 (ketone C=O) and 1754 cm<sup>-1</sup> (ester C=O).

## Preparation of 1,1,1-Trichloro-2-alken-4-ones from 1,1,1-Trichloro-2-acetoxy-4-alkanones

A solution of 82.5 g (0.33 mol) of 1,1,1-trichloro-2hydroxy-6-methyl-4-heptanone (2a) in 170 g (1.67 mol) of acetic anhydride was heated at 115° for 14 h to give a crude product mixture which was found by v.p.c. analysis to contain 1,1,1-trichloro-2-acetoxy-6-methyl-4-heptanone (2e, 70%), 1,1,1-trichlo10-6-methyl-2-hepten-4-one (2b, 20%), unreacted starting material (6%), and one unidentified by-product (3%). Addition of 3 g of anhydrous sodium acetate at this point and continuation of the reaction for another hour changed the 2b/2e product ratio from 20/70 to 80/5 and also resulted in an appreciable increase of the relative amount of undesirable byproducts (from 3 to 10% of the total product mixture) which are believed to be isomers of 2b. The usual work-up procedure gave 73 g of crude product (brown liquid) from which 52.5 g (68% yield) of 95% pure 2b was obtained by vacuum distillation (b.p. 61-67°/0.1 mm).

When solutions of pure 1,1,1-trichloro-2-acetoxy-4pentanone (5e) and threo-1,1,1-trichloro-2-acetoxy-3methyl-4-hexanone (threo-3e), respectively, in acetic anhydride were heated at 100° for 45 min in the presence of anhydrous sodium acetate, the corresponding  $\alpha$ , $\beta$ unsaturated ketones 5b and 3b could be isolated in nearly quantitative yield. The erythro-isomer of 3e, on the other hand, did not react under these conditions (85% recovery).

Characterization of 1,1,1-trichloro-2-penten-4-one (5b): m.p. 20-20.5° (lit. (15) 25-26°).

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Anal. Calcd. for C5H5OCl3: C, 32.03; H, 2.68; Cl, 56.74. Found: C, 32.16; H, 2.82; Cl, 56.60.

The i.r. (neat) 1623 (C=C), 1678 and 1704 cm<sup>-1</sup> (C=O, transoid and cisoid) (lit. (15) 1630, 1676, and 1700 cm~1).

#### Acetate-catalyzed Dehydration of 1,1,1-Trichloro-2hydroxy-4-alkanones

To a solution of 10.3 g (0.05 mol) of 1,1,1-trichloro-2hydroxy-4-pentanone (5a) in 20.5 g (0.20 mol) of acetic anhydride was added 1 g of anhydrous sodium acetate. The mixture was heated at 100° for 90 min. After evaporation of the solvent under vacuum, the residue was dissolved in 100 ml of ether and washed with water. The organic layer was dried with magnesium sulfate and the ether was evaporated to give 8.4 g of a yellow liquid from which 7.1 g (75% yield) of pure 1,1,1-trichloro-2-penten-4-one (5b), was obtained by high-vacuum distillation (b.p. 41-42°/0.01 mm). 1,1,1-Trichloro-2-hepten-4-one (1b), 1,1,1-trichloro-6-methyl-2-hepten-4-one (2b), 1,1,1trichloro-5,5-dimethyl-2-hexen-4-one (4b), and 1,1,1-trichloro-6,6-dimethyl-2-hepten-4-one (7b) were prepared from their respective ketols by the same procedure (see Table 2).

## Reaction of Aliphatic 1,1,1-Trichloro-2-hydroxy-4alkanones with Thionyl Chloride

Adopting the procedure described in the literature (30) for the preparation of aryl-substituted 1,1,1-trichloro-2-alken-4-ones, 0.022 mol of thionyl chloride and 100 ml of pyridine were added to a solution of 0.02 mol of 1,1,1trichloro-2-hydroxy-4-alkanone (a) in 12 ml of chloro-

form, and the mixture was refluxed (60°) for 90 min. After washing with water and drying over magnesium sulfate, the solvent was removed by vacuum distillation and the crude product mixture was analyzed by n.m.r. spectroscopy for 1,1,1-trichloro-2-alken-4-one (b), 1,1,1,4tetrachloro-4-alkanone (f), and starting material (a); v.p.c. analysis confirmed that no other compounds were present. Pure samples of b and c were obtained by fractional crystallization from petroleum ether (60-100°) or by partition chromatography on silicic acid using 80% (v/v) benzene/petroleum ether (30-60°) as eluent. When this procedure was applied to the 1,1,1-trichloro-2-hydroxy-4-alkanones listed below, the results specified in parentheses (weight of crude product mixture, product composition, product separation by vacuum distillation (v.d.), fractional crystallization (f.c.), or partition chromatography (p.c.)) were obtained.

1,1,1-Trichloro-2-hydroxy-4-heptanone (1a) (4.8 g, 30% 1b/59% 1f, p.c.); i.r. of 1f (neat) 1719 cm<sup>-1</sup> (C=O). 1,1,1-Trichloro-2-hydroxy-6-methyl-4-heptanone (2a) (5.1 g, 45% 2b/49% 2f, f.c. and p.c.)

Anal. Calcd. for  $C_8H_{12}OCl_4$  (2*f*, m.p. 43–43.5°): C, 36.12; H, 4.55; Cl, 53.32; Found: C, 35.97; H, 4.65; Cl, 53.29. The i.r. (neat) 1720 cm<sup>-1</sup> (C=O).

1,1,1-Trichloro-2-hydroxy-3-methyl-4-hexanone (ery*thro-3a*) (4.8 g, 18% 3b/24% 3*f*, p.c.); i.r. of 3*b* (neat) 1621 (C=C) and 1687 cm<sup>-1</sup> (C=O). 1,1,1-Trichloro-2hydroxy-5,5-dimethyl-4-hexanone (4a) (5.4 g, 35% 4b/43% 4f, f.c.). Characterization of 4b: m.p. 45-47° (lit. (13) 51°); i.r. (neat) 1689 (C=O), 1617 (C=C), and 964 cm<sup>-1</sup> (HC=, trans) (lit. (13) 970 cm<sup>-1</sup>); u.v. (EtOH)  $\lambda_{max}$  220 (ɛ 8100) and 335 nm (ɛ 47). Characterization of 4f: m.p. 118-120° (lit. (13) 122-123°).

Anal. Calcd. for C<sub>8</sub>H<sub>12</sub>OCl<sub>4</sub>: C, 36.12; H, 4.55; Cl, 53.32; Found: C, 36.30; H, 4.75; Cl, 53.31.

1,1,1-Trichloro-2-hydroxy-4-pentanone (5a) [4.4 g, 27% 5b/52% 5f, v.d. for 5b, p.c. for 5f]. 1,1,1-Trichloro-2hydroxy-6,6-dimethyl-4-heptanone (7a) (5.2 g, 53% 7b/ 42% 7f, f.c.). Characterization of 7b: b.p. 103°/1 mm; i.r. (Nujol) 1700, 1670 (C=O, *cisoid* and *transoid*), 1630 (C=C) and 960 cm<sup>-1</sup> (*trans*, HC=); u.v. (EtOH)  $\lambda_{max}$ 222 (£ 13 500) and 340 nm (£ 49). Characterization of 7*f*: m.p. 49-50°; i.r. (neat) 1722 cm<sup>-1</sup> (C==O). Anal. Calcd. for  $C_9H_{14}OCl_4$ : C, 38.60; H, 5.03; Cl,

50.64. Found: C, 38.75; H, 5 14; Cl, 50.52.

1,1,1-Trichloro-2-hydroxy-4-hexanone (10a) (4.5 g, 27% 10b/57% 10f, p.c.); i.r. of 10f (neat) 1724 cm<sup>-1</sup> (C=O)

## Reaction of Aryl-substituted 1.1,1-Trichloro-2-hydroxy-4-alkanones with Thionyl Chloride

Following the procedure described in the literature (30) for the preparation of aryl-substituted 1,1,1,2-tetrachloro-4-alkanones, a solution of 0.11 mol of thionyl chloride in 8 ml of chloroform was added drop-wise at 0-5° to a magnetically stirred solution of 26.8 g (0.10 mol) of 1,1,1-trichloro-2-hydroxy-4-phenyl-4-butanone (6a) and 1.0 ml pyridine in 36 ml chloroform. After the addition was complete (approximately 1 h), stirring was continued for another hour at 0-5°, and then for 20 h at room temperature. Washing with water, drying and solvent evaporation gave 28 g of a yellow solid containing 1,1,1-trichloro-4-phenyl-2-buten-4-one (6b) and 1,1,1,2tetrachloro-4-phenyl-4-butanone (6f) in the approximate ratio 1:2. The n.m.r. spectrum of the crude product mixture did not reveal the presence of any starting material.

# CANADIAN JOURNAL OF CHEMISTRY. VOL. 49, 1971

Fractional crystallization from petroleum ether  $(60-100^{\circ})$  afforded pure 6*f* (less soluble), m.p. 62.5-63.5° (lit. (30) 63°) and 6*b* (from the mother liquor), m.p. 99-100° (lit. (15) 98-99°). The same two products were obtained in the approximate ratio 6b/6f 2:3 when the reaction was carried out at reflux temperature (see preceding experiment).

Application of the reflux procedure (90 min at 60°, see before) to the pyridine-catalyzed reaction of 1,1,1-trichloro-2-hydroxy-6-phenyl-5-hexen-4-one (11a) with thionyl chloride resulted in the quantitative conversion of starting material to a mixture containing 45% 1,1,1trichloro-6-phenyl-2,5-hexadien-4-one (11b) and 55% 1,1,1,2-tetrachloro-6-phenyl-5-hexen-4-one (11f). Fractional crystallization from petroleum ether (60-100°) yielded samples of pure 11b, m.p. 93.5-95° (lit. (14, 30) 94-95°), and 11f, m.p. 79-80° (lit. (30) 83°). The starting ketol (11a) did not undergo any chemical change when recrystallized from methanol, as evidenced by the complete identity of the two n.m.r. spectra taken before and after recrystallization, respectively, and by the absence in the latter spectrum of a singlet peak at the chemical shift expected for a methoxy group.

## Dehydrohalogenation of 1,1,1,2-Tetrachloro-4-alkanones

To a 4:1 mixture (5.12 g) of 1,1,1,2-tetrachloro-6methyl-4-heptanone (2f) and 1,1,1-trichloro-6-methyl-2-hepten-4-one (2b) obtained from 1,1,1-trichloro-2hydroxy-6-methyl-4-heptanone (2a) and thionyl chloride as described before was added 3 ml of chloroform and excess pyridine (1.7 ml, 0.021 mol), and the solution was refluxed at 60° for 8 h. The v.p.c. monitoring of the reaction progress revealed a gradual increase in the relative concentration of 2b at the expense of 2f. After 4 h the mixture was found to contain 50% 2b, 36% 2f, and small percentages of three unidentified by-products. The relative proportion of these by-products increased rapidly during the second half of the reaction until the product mixture contained only 10% 2b and 10% 2f after 8 h reflux time.

Similarly, stirring of a solution of a 67:26 (relative v.p.c. peak areas) mixture of 2f and 2b in glacial acetic acid in the presence of sodium acetate changed the percentage ratio to 40:50 after 12 h at room temperature, 34:63 after 200 min at 50°, and 33:42 after 22.5 h at 50° with a large percentage of by-products (25%) present in the final product mixture.

When a solution of 0.5 g of pure 1,1,1,2-tetrachloro-5,5-dimethyl-4-hexanone (4f) in 3.5 g chloroform was heated at 100° for 24 h in the presence of two drops of pyridine, a reaction mixture was formed which was estimated by v.p.c. to contain starting material (4f) and 1,1,1-trichloro-5,5-dimethyl-2-hexen-4-one (4b) in the approximate ratio 3:7 and small quantities of unidentified by-products.

The authors wish to thank the National Research Council of Canada for the financial support of these investigations. The technical assistance of Miss Rosemary Wald is gratefully acknowledged.

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2976

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