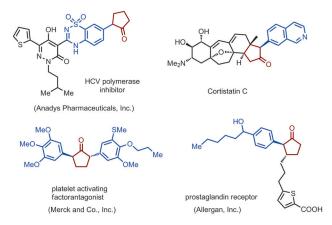
## Synthetic Methods

## **Practical Direct α-Arylation of Cyclopentanones by Palladium/ Enamine Cooperative Catalysis**

Yan Xu, Tianshun Su, Zhongxing Huang, and Guangbin Dong\*

**Abstract:** Direct arylation of cyclopentanones has been a longstanding challenge because of competitive self-aldol condensation and multiple arylations. Reported herein is a direct mono- $\alpha$ -C-H arylation of cyclopentanones with aryl bromides which is enabled by palladium/amine cooperative catalysis. This method is scalable and chemoselective with broad functional-group tolerance. Application to controlled sequential arylation of cyclopentanones has been also demonstrated.

he Buchwald–Hartwig–Miura (BHM) arylation represents a cornerstone in functionalizing carbonyl compounds through transition metal catalyzed cross-coupling with aryl halides.<sup>[1,2]</sup> While broad substrate scope has been established for this transformation, simple or less substituted cyclopentanones have been known as problematic substrates<sup>[3]</sup> despite  $\alpha$ arylated cyclopentanones being widely found in pharmaceuticals and bioactive compounds (Figure 1). The challenge is attributed to the side reactions of the cyclopentanones, for example, self-aldol condensation<sup>[3a,c]</sup> and multiarylation,<sup>[3b]</sup> which result from the basic reaction media and more acidic  $\alpha$ -hydrogen atoms in singly arylated products (Scheme 1 a).<sup>[4]</sup>



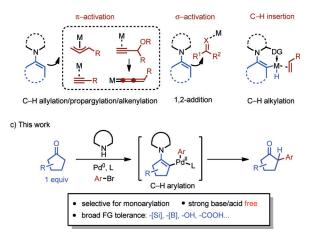
**Figure 1.** Representative examples of bioactive  $\alpha$ -arylated cyclopentanones.

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Supporting information for this article is available on the WWW under http://dx.doi.org/10.1002/anie.201510638. Pd<sup>0</sup>, L, base + Ar-X Pd<sup>0</sup>, L, base • basic conditions: self-aldol of the ketone • more acidic  $\alpha$ -H in the product: bis(aryl)ation at the same carbon

a) Buchwald-Hartwig-Miura  $\alpha$ -arylation

b) Previous C–C bond formation at ketone  $\alpha$ -position via metal-enamine catalysis



Scheme 1.  $\alpha\textsc{-}Functionalization of ketones. DG = donating group.$ 

Consequently, only few examples<sup>[5]</sup> have been reported wherein either excess<sup>[6]</sup> or specialized cyclopentanones are used.<sup>[3a,7]</sup> More often, indirect multistep approaches are employed to access  $\alpha$ -arylated cyclopentanones.<sup>[8-10]</sup> Thus, a general solution to the cyclopentanone arylation problem remains to be discovered. Complementary to the BHM reaction, herein, a direct  $\alpha$ -C–H arylation strategy between readily available aryl bromides and normal cyclopentanones is described using palladium/enamine cooperative catalysis. This method is highly selective for monoarylation, operationally simple, and exceptionally chemoselective.

Merging transition-metal and enamine catalysis has enabled a number of powerful C–C bond-forming transformations at the  $\alpha$ -position of carbonyl compounds.<sup>[11,12]</sup> The mode of activation generally includes 1) nucleophilic attack of enamines on metal-activated allyl, benzylic, propargyl, and alkynyl electrophiles; 2) 1,2- or 1,4-addition to polar  $\pi$  bonds activated by Lewis-acidic metals (Scheme 1b).<sup>[11e]</sup> In addition, we recently reported a directed C–H insertion/metalation approach for ketone  $\alpha$ -alkylation<sup>[13a-c]</sup> and alkenylation<sup>[13d]</sup> with olefins and alkynes. Moreover, through combining enamine and copper catalysis, elegant trifluoromethylation,<sup>[14a]</sup> arylation,<sup>[14b]</sup> and vinylation<sup>[14c]</sup> of more reactive

Angew. Chem. Int. Ed. 2016, 55, 2559-2563

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aldehyde substrates were reported by MacMillan and coworkers using hypervalent iodonium salts. However, to the best of our knowledge, direct catalytic ketone  $\alpha$ -arylation by transition metal/enamine catalysis has not been reported to date (Scheme 1 c).

To address the challenge of the  $\alpha$ -arylation of cyclopentanones, a palladium/enamine cooperative catalysis strategy was conceived (Figure 2). Starting with a secondary amine and a palladium(0) catalyst, an enamine and a X-Pd<sup>II</sup>-aryl species can be formed, respectively, with cyclopentanone and the aryl halide (steps A and B). Subsequently, C-H metalation of the enamine would afford an enaminyl arylpalladium(II) intermediate (steps C and D),[15] which can undergo reductive elimination and hydrolysis to provide the arylated ketone and regenerate the catalysts (step E). This strategy avoids the use of strong bases (or acids), thus selfaldol reactions should be less favorable. In addition, over arylation can be minimized because enamine formation is highly sensitive to the sterics of the ketone substrate. Furthermore, because of the redox-neutral and mild pH conditions, high chemoselectivity and broad functional-group tolerance are expected.

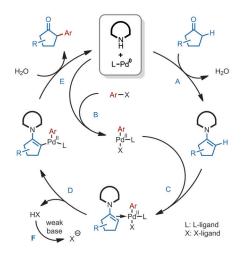
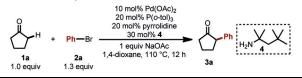


Figure 2. Proposed strategy.

Nevertheless, the challenges of the proposed strategy are twofold: 1) In palladium catalysis, amines are known to either reduce aryl halides to arenes<sup>[16]</sup> or cross-couple to form anilines,<sup>[17]</sup> thus, the compatibility between the two catalytic processes would be one concern. 2) The kinetics of forming the enamine and X-Pd<sup>II</sup>-aryl species need to match each other. Otherwise, known side reactions, such as enaminealdol,<sup>[18]</sup> ketone dehydrogenation,<sup>[19]</sup> and aryl dimerization<sup>[20]</sup> can compete. Hence, to test the feasibility of this strategy, cyclopentanone and PhBr were employed as model substrates. After investigating various reaction parameters, using pyrrolidine as the amine catalyst and Pd(OAc)<sub>2</sub>/P(o-tol)<sub>3</sub> provided the desired monoarylation product in 75% yield (Table 1).<sup>[21]</sup> Interestingly, lowering the palladium loading (down to 2.5 mol%) increased the yield up to 85% (entries 18-20). Control experiments were then conducted, Table 1: Selected optimization studies.

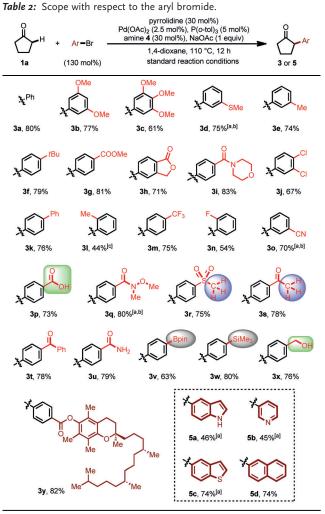


Entry	Variation on the reaction conditions given above	Yield [%] <sup>[a]</sup>
1	none	75
2	no pyrrolidine	0
3	no Pd(OAc) <sub>2</sub>	0
4	no P(o-tol) <sub>3</sub>	9
5	$PPh_3$ instead of $P(o-tol)_3$	48
6	P(1-naphthyl) <sub>3</sub> instead of P( <i>o</i> -tol) <sub>3</sub>	58
7	$P(tBu)_3$ instead of $P(o-tol)_3$	73
8	$P(iPr)_3$ instead of $P(o-tol)_3$	0
9	$[Pd^{0}{P(o-tol)_{3}_{2}}]$ instead of $Pd(OAc)_{2}/P(o-tol)_{3}$	77
10	no amine <b>4</b>	59
11	NEt <sub>3</sub> instead of <b>4</b>	54
12	no NaOAc	27
13	NaHCO <sub>3</sub> instead of NaOAc	57
14	Na <sub>2</sub> CO <sub>3</sub> instead of NaOAc	52
15	4 (1 equiv)/PivOH (1 equiv)	67
	instead of <b>4</b> (30 mol%)/NaOAc (1 equiv)	
16	80°C, 26 h <sup>[b]</sup>	75
17	60°C, 48 h, with P( <i>t</i> Bu) <sub>3</sub> <sup>[b]</sup>	60
18	with 5 mol% Pd(OAc) $_2/10$ mol% P(o-tol) $_3$	79
19	with 2.5 mol% Pd(OAc) <sub>2</sub> /5 mol% P( <i>o</i> -tol) <sub>3</sub>	82
20	with 2.5 mol% $Pd(OAc)_2/5$ mol% $P(o-tol)_3^{[b]}$	85 (80)
21	PhBr (1 equiv) <sup>[c]</sup>	80
22	H <sub>2</sub> O (1 equiv) was added <sup>[c]</sup>	74
23	under air <sup>[c]</sup>	50

[a] Determined by GC analysis using dodecane as the internal standard. [b] 30 mol% pyrrolidine was used. [c] Change was made based on entry 20.

and both pyrrolidine<sup>[22]</sup> and Pd(OAc)<sub>2</sub> were found to be pivotal (entries 2 and 3). Among various ligands, P(o-tol)<sub>3</sub> proved to be excellent, although  $P(tBu)_3$  worked almost equally as well (entries 4-8). The amine 4 was found to be an important additive, although its role remains to be defined (entries 10 and 11).<sup>[13b]</sup> One equivalent of a weak base is required to neutralize the HBr generated from the reaction (see step F in Figure 2). While NaOAc proved to be optimal, other weak bases, such as NaHCO3, were also effective (Table 1, entries 12-14). Note that near-neutral conditions can be adopted when NaOAc is replaced with a 1:1 4/PivOH buffer (entry 15). Lower temperatures are also possible but longer reaction times are required (entries 16 and 17). In addition, 1 equivalent of PhBr can be used without significant loss of yield (entry 20). Finally, high compatibility with water (entry 22) and moderate tolerance of air (entry 23) were observed.

The substrate scope was subsequently examined (Table 2). Various aryl bromides having different electronic properties were efficiently coupled in good to excellent yields. Substitutions at the *ortho-*, *meta-*, or *para-*positions were all tolerated. Functional groups (FGs), such as aryl chlorides (**3j**), fluorides (**3n**), esters (**3g** and **3h**), nitriles (**3o**), amides (**3i**, **3q** and **3u**), thioethers (**3d**), and even a tocopherol moiety (**3y**), were compatible. Substrates bearing enolizable protons, such as methyl sulfones (**3r**), or FGs which are

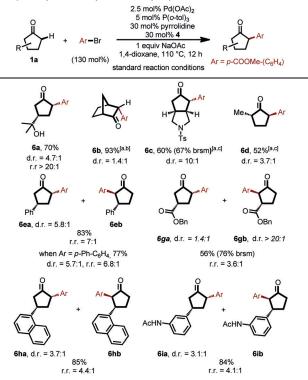


Yields shown are those of the isolated product. [a] With 10 mol% Pd(OAc)<sub>2</sub>, 20 mol% P(o-tol)<sub>3</sub>. [b] 24 h. [c] With 2 equiv of ArBr, 18 h. pin = pinacol.

sensitive to nucleophiles, for example, benzophenones (3t)and Weinreb amides (3q), all worked well. Remarkably, free carboxylic acids (3p) and alcohols (3x) remained intact. In addition, this transformation is highly chemoselective for cyclopentanones. For example, the methyl aryl ketone 3s was well tolerated, and is likely attributed to the rate difference in enamine formation.<sup>[23]</sup> Encouragingly, aryl silanes (3w) and boronic esters (3v), well known partners for palladiumcatalyzed cross couplings, remained untouched because of the lack of strong bases. Furthermore, both electron-rich and electron-deficient heteroarenes and polyarenes, such as unprotected indole (5a), pyridine (5b), benzothiophene (5c) and naphthalene (5d) can also be introduced. Altogether, this arylation method offers distinct and complementary features to those of the BHM reaction.

Cyclopentanones with different substitution patterns were tested (Table 3).<sup>[24]</sup> Substitutions at  $\alpha$ - or  $\beta$ -positions, including alkyl, aryl, and carbonyl groups, were all tolerated. Both bridged (**6b**) and fused cycles (**6c**) are suitable substrates. Interestingly, tertiary alcohol moiety was also competent



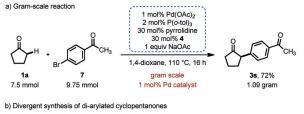


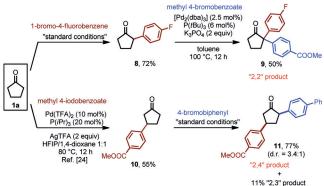
Yields shown are those of the isolated product. The d.r. and r.r. values were determined by NMR analysis of the crude reaction mixture. [a] With 10 mol% Pd(OAc)<sub>2</sub>, 20 mol% P(o-tol)<sub>3</sub> and 50 mol% of pyrrolidine. [b] 18 h. [c] Without **4**, 24 h. Ts = 4-toluenesulfonyl.

(6a). For substrates with an existing stereocenter, moderate to good diastereoselectivity was observed. The thermodynamically more stable isomers were favored, which is likely controlled by the rapid keto–enol tautomerization under the reaction conditions.

A gram-scale preparation was demonstrated using 1 mol% palladium (Scheme 2a). Given the relatively low costs of each reagent used and the tolerance of moisture/air, this protocol is expected to be practical for larger scales. Further applications were illustrated in the synthesis of multiarylated cyclopentanones (Scheme 2b), which are challenging to prepare by other methods. While the previous attempt only offered the bis(aryl)ated product in spite of using excess cyclopentanone,<sup>[4]</sup> under the current reaction conditions the monoarylated product was smoothly obtained. Further conversion into the 2,2-diarylated product **9** was achieved with a regular BHM reaction. In contrast, by using our previously developed  $\beta$ -arylation methods,<sup>[25]</sup> followed by the current  $\alpha$ -arylation protocol, the 2,4-diarylated compound **11** was rapidly synthesized in two steps from cyclopentanones.

In conclusion, the long-standing challenge of mono- $\alpha$ arylation of cyclopentanones is addressed by palladium/ enamine cooperative catalysis. The unique mode of activation should have further implications on developing other ketone  $\alpha$ -functionalization reactions. Efforts on elucidating detailed reaction mechanism are ongoing.





 $\label{eq:scheme 2. Synthetic applications. dba=dibenzylideneacetone, TFA=trifluoroacetate.$ 

## Acknowledgments

We thank CPRIT and the Welch Foundation (F 1781) for research grants. USTC is acknowledged for a fellowship to T.S. We thank Dr. M. C. Young for X-ray crystallographic analysis, and D. E. Alonso for preparing aryl bromides. Johnson Matthey is thanked for a donation of palladium salts.

**Keywords:** arylation  $\cdot$  homogeneous catalysis  $\cdot$  ketones  $\cdot$  palladium  $\cdot$  synthetic methods

How to cite: Angew. Chem. Int. Ed. 2016, 55, 2559–2563 Angew. Chem. 2016, 128, 2605–2609

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Received: November 16, 2015 Published online: January 14, 2016