

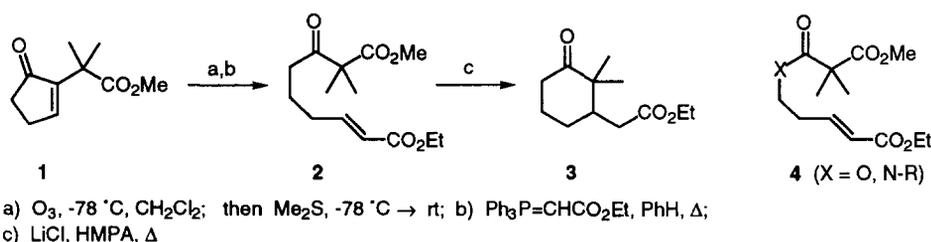


Five- and Six-Membered Lactones and Lactams by Tandem Dealkoxycarbonylation-Michael Addition Reactions

Richard A. Bunce* and Curtis L. Schilling III
Department of Chemistry, Oklahoma State University
Stillwater, Oklahoma 74078-3071, U.S.A.

Introduction

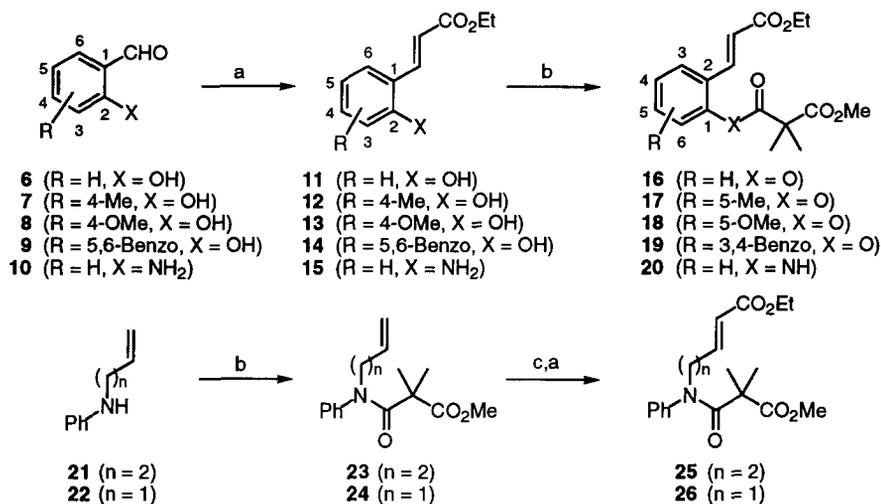
We recently reported a tandem dealkoxycarbonylation-Michael addition-based ring expansion sequence (**1** → **3**) for the preparation of 2,2-dialkyl-3-oxocyclohexaneacetic esters.^{1,2} The current study sought to extend this methodology^{3,4} to the preparation of heterocyclic systems by applying this reaction tandem to readily accessible esters and amides related to **4**. We present here our results on the use of the dealkoxycarbonylation-Michael addition sequence for the preparation of lactones and lactams that could prove useful as building blocks for organic synthesis.



Synthesis of the Cyclization Substrates

Lactone precursors were prepared from salicylaldehyde derivatives **6**, **8** and **9**. Installation of the acrylate moiety was accomplished by Wittig olefination to give **11**, **13** and **14** in 63-76% yields; compound **12** was prepared in 52% overall yield via **7** as previously described.⁵ Esterification of **11-14** with methyl dimethylmalonyl chloride⁶ gave cyclization substrates **16-19** in yields ranging from 70-80%. Aryl-fused lactam precursor **20** was prepared similarly from 2-aminobenzaldehyde⁷ (**10**) in 52% overall yield. Substrates **25** and **26** were prepared from *N*-(3-butenyl)aniline⁸ (**21**) and *N*-allylaniline (**22**) by acylation with methyl dimethylmalonyl chloride followed by ozonolysis (reductive workup) and Wittig olefination in yields of 44% and 38%, respectively. The syntheses are summarized in Scheme 1.

Scheme 1. Synthesis of the Cyclization Substrates



a) $\text{Ph}_3\text{P}=\text{CHCO}_2\text{Et}$, PhH, Δ ; b) $\text{ClCOC}(\text{CH}_3)_2\text{CO}_2\text{Me}$, pyridine, DMAP, CH_2Cl_2 , $0^\circ\text{C} \rightarrow \text{rt}$;
 c) O_3 , CH_2Cl_2 , -78°C ; then Me_2S , $-78^\circ\text{C} \rightarrow \text{rt}$.

Results and Discussion

The results of our tandem dealkoxycarbonylation-Michael synthesis of lactones and lactams are shown in Scheme 2 and Table 1. Our previous work,^{1,9} using this strategy, was run with lithium chloride in anhydrous HMPA, a hazardous solvent. The procedure has now been adapted to use the less toxic solvent DMEU (1,3-dimethyl-2-imidazolidinone); in this medium, the conversion proceeded best when iodide was used to initiate the sequence. The optimized reaction conditions involved treating 1 equivalent of the substrate with 4 equivalents of lithium iodide in DMEU at a temperature of 100°C . Substrate concentrations of 0.10 - 0.12 M and scales up to 5 mmol were used.

The cyclized product structures were characterized by spectroscopic methods. IR indicated the loss of the conjugated double bond. ^1H NMR showed the presence of diastereotopic methyl groups and confirmed the loss of the acrylate and methoxycarbonyl moieties. ^{13}C NMR exhibited the correct number of carbons and corroborated the IR and ^1H NMR assignments. Finally, exact molecular weights and elemental analyses agreed with those expected for the lactone and lactam products.

The mechanism of the reaction involves chemoselective attack by iodide ion at the methyl ester¹⁰ in an $\text{S}_{\text{N}}2$ -type reaction. This generates methyl iodide and an activated carboxylate anion which spontaneously loses carbon dioxide to produce an enolate that cyclizes on the pendant acrylate group. Ring formation proceeded most favorably through a 6-[*enol-endo*]-*exo-trig* transition state, but one case of a five-membered ring closure by a disfavored 5-[*enol-endo*]-*exo-trig* process was also observed.¹¹ As discovered in our earlier work,^{1,9} the best results are achieved with cyclization substrates that decarboxylate to give tertiary enolates.

In the current reaction, lactone and lactam products were produced in moderate to good isolated yields. It was found that only aryl-fused lactones could be generated while both fused and simple lactams could

Scheme 2. Lactones and Lactams by Tandem Dealkoxycarbonylation-Michael Addition Reactions

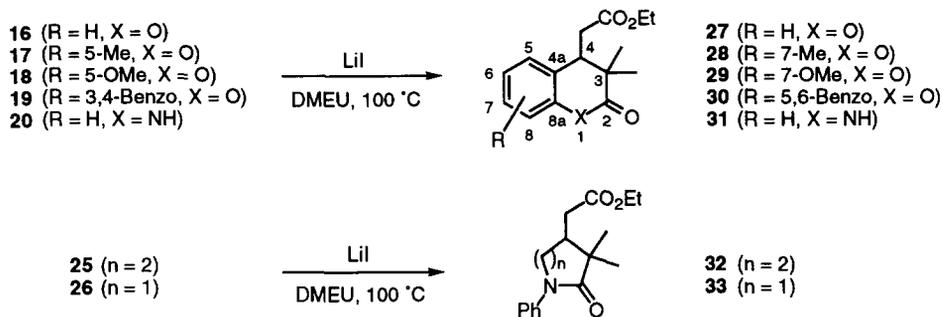
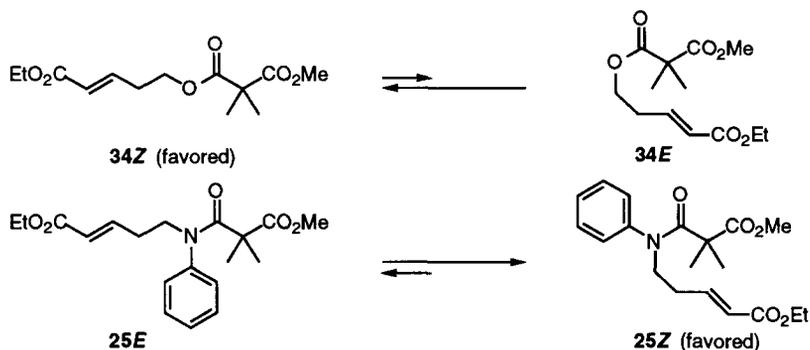


Table 1. Lactone and Lactam Formation by Dealkoxycarbonylation-Michael Addition Reaction

Substrate	Product	Isolated Yield (%)
16	27	62
17	28	48
18	29	50
19	30	52
20	31	76
25	32	82
26	33	34

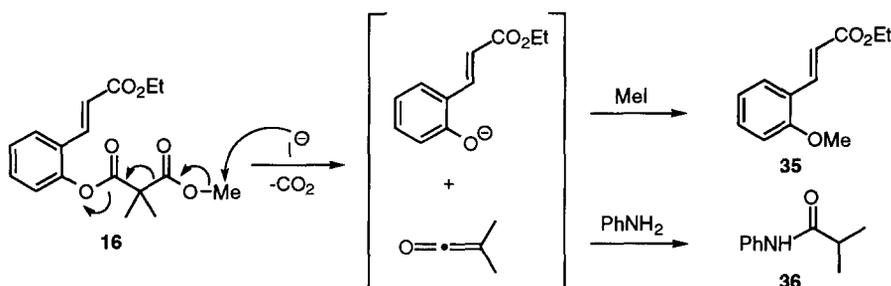
be prepared. Critical to the success of the reaction was the aryl substitution on the heteroatom in the cyclization substrates. In the aryl-fused precursors, cyclization was facilitated by the enforced proximity of the reacting centers resulting from ortho substitution on the planar aromatic framework. Attempts to close simple lactones from methyl 4-ethoxycarbonyl-3-butenyl dimethylpropanedioate (**34**),¹² however,



resulted in decarboxylation but no cyclization. This outcome can be rationalized in terms of the strong preference for conformation **34Z** (Z about the ester CO-O partial π bond)¹³ which would tend to disfavor ring closure. Nitrogen substrates lacking *N*-phenyl substitution also failed to give cyclized products; with

the exception of *o*-substituted aromatic substrate **20**, secondary amides and *N*-benzyl tertiary amides reacted to give complex mixtures with no lactam formation. In the closure of monocyclic lactams **32** and **33**, the *N*-phenyl group should shift the conformational equilibrium to favor **25Z** (*Z* about the amide CO-N partial π bond).¹³ Assuming the phenyl ring in **25Z** is nearly coplanar with the amide moiety, steric interactions would then push the reacting sites closer together and facilitate ring closure.

The methyl iodide by-product in the current reaction was not volatilized from the reaction mixture as readily as the methyl chloride generated in earlier versions of the reaction run in HMPA. The presence of this powerful alkylating agent resulted in one notable side reaction. In the lactone ring closures, each product was accompanied by *ca.* 25% of the methyl ether corresponding to **35** resulting from loss of the methyl dimethylmalonyl group. This process presumably occurred by (1) iodide-initiated demethoxycarbonylation, (2) loss of dimethyl ketene and (3) alkylation of the resulting alkoxide by the methyl iodide produced in step 1. This hypothesis was substantiated by running the reaction in the presence of aniline



which trapped the ketene as *N*-phenylisobutyramide (**36**).¹⁴ A control experiment run under identical conditions without lithium iodide, produced neither **35** nor **36**, demonstrating that aniline was not directly attacking either ester group. The formation of **35** (plus **36** with added aniline) and the absence of simple demethoxycarbonylated product in the oxygen cases are manifestations of the relatively high aptitude of phenoxide ion as a leaving group. Similar products were not produced during lactam closures in accordance with the poor leaving group properties of the anilide moiety.¹⁵

In summary, we have developed a novel route to aryl-fused lactones and lactams as well as simple monocyclic lactams using a tandem dealkoxycarbonylation-Michael addition strategy. The starting substrates are easily prepared, and the final cyclizations proceed in generally good yield. The procedure is novel in providing highly substituted systems which would be difficult to prepare by conventional methods. It has also been demonstrated that five-ring lactams can be prepared in modest yield via a disfavored cyclization process. Efforts are continuing to extend the scope of this methodology.

Experimental Section

Solvents / reagents were purified in the following manner: DMF and DMEU were vacuum distilled from BaO and stored under N_2 over 4-Å molecular sieves, THF was distilled from $LiAlH_4$ and Et_3N was distilled from CaH_2 . All other reagents were used as received. All reactions were run under dry N_2 in oven-dried glassware. The saturated NH_4Cl , saturated $NaHCO_3$, saturated $NaCl$, 5% $Na_2S_2O_3$ and 0.5-

1.0 M HCl used in workup procedures refer to aqueous solutions. Reactions were monitored by one of the following methods: (1) TLC on hard-layer silica gel GF plates (Analtech) visualized using UV light, phosphomolybdic acid, or I₂ vapor or (2) capillary GC with FI detection (SE-30 column, 6-m x 0.25-mm i.d., 0.25 μm film thickness) programmed between 50-300 °C. Preparative separations were performed using one of the following methods: (1) PTLC on 20-cm x 20-cm silica gel GF plates (Analtech), (2) flash chromatography¹⁶ on silica gel (Grace, grade 62, 60-200 mesh) containing UV-active phosphor (Sylvania no. 2282), or (3) flash vacuum chromatography¹⁷ on silica gel (60-200 mesh). Band elution, where appropriate, was monitored using a hand-held UV lamp. Melting points are uncorrected. IR spectra are referenced to polystyrene. ¹H NMR and ¹³C NMR spectra were measured in CDCl₃ at 400 MHz and 100 MHz, respectively, and are referenced to internal (CH₃)₄Si. High resolution mass spectra (HRMS, EIDP) were obtained at 70 eV. Elemental analyses are ± 0.3%.

Representative Procedure for Wittig Olefination of Hydroxy Aldehydes: Ethyl (*E*)-3-(2-Hydroxyphenyl)propenoate (11). A mixture of 5.25 g (43.0 mmol) of **6** and 31.3 g (90.0 mmol) of ethyl (triphenylphosphoranylidene)acetate¹⁸ in 300 mL of benzene was heated under reflux for 12 h. The reaction was cooled and concentrated to afford a tan semisolid mass. This residue was loaded onto a 10-cm x 10-cm plug of silica gel in a sintered glass frit and 2 L of 20-25% ether in hexanes was poured through under aspirator vacuum. Concentration of the filtrate afforded the crude hydroxy ester as a yellow oil which crystallized on standing. Final purification by flash chromatography on a 100-cm x 2.5-cm silica gel column eluted with increasing concentrations of ether in hexanes gave 6.27 g (32.7 mmol, 76%) of the hydroxy ester as a white solid, mp 81-82 °C. IR (thin film) 3440, 1685, 1630, 1608, 1510, 1375, 758 cm⁻¹; ¹H NMR δ 8.06 (d, 1 H, *J* = 16.2 Hz), 7.46 (d, 1 H, *J* = 7.8 Hz), 7.24 (m, 1 H), 7.13 (br s, 1 H), 6.89 (m, 2 H), 6.66 (d, 1 H, *J* = 16.2 Hz), 4.29 (q, 2 H, *J* = 7.1 Hz), 1.35 (t, 3 H, *J* = 7.1 Hz); ¹³C NMR δ 168.7, 155.6, 140.9, 131.4, 129.2, 121.7, 120.6, 118.2, 116.4, 60.7, 14.3; HRMS *m/e* Calcd for C₁₁H₁₂O₃: 192.0787. Found: 192.0788.

Ethyl 3-(2-Hydroxy-4-methylphenyl)propenoate (12): This compound was prepared via **7** in 52% overall yield using the method of Bunce and Moore.⁵ The spectral data matched those reported.

Ethyl 3-(2-Hydroxy-4-methoxyphenyl)propenoate (13): 5.25 g (25.0 mmol, 76%) from **8** as a viscous oil; IR (thin film) 3340, 2842, 1678, 1619, 1590, 1377, 981 cm⁻¹; ¹H NMR δ 8.01 (d, 1 H, *J* = 15.9 Hz), 7.63 (s, 1 H), 7.38 (d, 1 H, *J* = 8.6 Hz), 6.55 (d, 2 H, *J* = 15.9 Hz), 6.48-6.43 (m, 1 H), 4.28 (q, 2 H, *J* = 7.1 Hz), 3.78 (s, 3 H), 1.34 (t, 3 H, *J* = 7.1 Hz); ¹³C NMR δ 169.2, 162.5, 157.3, 140.9, 130.5, 115.2, 115.0, 106.8, 101.8, 60.6, 55.3, 14.3; HRMS *m/e* Calcd for C₁₂H₁₄O₄: 210.0892. Found: 210.0890.

Ethyl 3-(2-Hydroxy-1-naphthyl)propenoate (14): 4.43 g (18.3 mmol, 63%) from **9** as a viscous oil; IR (thin film) 3325, 1678, 1619, 1597, 1509, 1378, 975 cm⁻¹; ¹H NMR δ 8.35 (d, 1 H, *J* = 16.2 Hz), 8.05 (d, 1 H, *J* = 8.6 Hz), 7.76 (t, 2 H, *J* = 8.1 Hz), 7.52 (t, 1 H, *J* = 8.2 Hz), 7.37 (t, 1 H, *J* = 8.2 Hz), 7.17 (d, 1 H, *J* = 8.9 Hz), 6.84 (d, 1 H, *J* = 16.2 Hz), 6.80 (s, 1 H), 4.36 (q, 2 H, *J* = 7.2 Hz), 1.40 (t, 3 H, *J* = 7.2 Hz); ¹³C NMR δ 168.0, 153.3, 138.5, 132.7, 131.6, 128.9, 128.6, 127.4, 123.8, 123.2, 122.9, 118.1, 113.8, 60.9, 14.4; HRMS *m/e* Calcd for C₁₅H₁₄O₃: 242.0943. Found: 242.0935.

Ethyl (*E*)-3-(2-Aminophenyl)propenoate (15): 3.78 g (19.8 mmol, 66%) from **10**⁷ as a yellow solid, mp 68-69 °C; IR (CHCl₃) 3469, 3370, 3233, 1693, 1622, 1602, 1488, 1374, 756 cm⁻¹; ¹H NMR δ 7.82 (d, 1 H, *J* = 15.7 Hz), 7.37 (d, 1 H, *J* = 7.7 Hz), 7.16 (t, 1 H, *J* = 7.5 Hz), 6.75 (t, 1 H, *J* = 7.5 Hz), 6.68 (d, 1 H, *J* = 7.7 Hz), 6.34 (d, 1 H, *J* = 15.7 Hz), 4.25 (q, 2 H, *J* = 7.2 Hz), 4.01 (br s, 2 H), 1.33 (t, 3 H, *J* = 7.2 Hz); ¹³C NMR δ 167.3, 145.6, 140.0, 131.2, 128.0, 119.8, 118.8, 118.0, 116.6, 60.4, 14.2; HRMS *m/e* Calcd for C₁₁H₁₃NO₂: 191.0983. Found: 191.0979.

Representative Procedure for Acylations with Methyl Dimethylmalonyl Chloride: (*E*)-2-(2-Ethoxycarbonylethenyl)phenyl Methyl Dimethylpropanedioate (16). To a stirred 0 °C solution of 4.00 g (20.8 mmol) of **11**, 3.16 g (4.35 mL, 31.3 mmol) of Et₃N and 0.15 g of DMAP in 50 mL of CH₂Cl₂ was added a solution of 3.95 g (24.0 mmol) of methyl dimethylmalonyl chloride⁶ in 15 mL of CH₂Cl₂. The mixture was warmed to rt over 1 h and stirred overnight. The reaction mixture was poured into saturated NH₄Cl and extracted with ether (3x). The combined ether extracts were washed with 1 M HCl (2x), H₂O (1x), and NaCl (1x), then dried (MgSO₄) and concentrated under vacuum. The crude product was purified by flash chromatography on a 50-cm x 2-cm silica gel column eluted with increasing concentrations of ether in hexanes. Concentration of the major band gave 4.79 g (15.0 mmol, 72%) of the triester as a light yellow solid, mp 39-41 °C. IR (thin film) 1770, 1745, 1715, 1640, 1603, 1488, 1390, 1369, 986, 759 cm⁻¹; ¹H NMR δ 7.75 (d, 1 H, *J* = 16.1 Hz), 7.66 (d, 1 H, *J* = 8.0 Hz), 7.40 (t, 1 H, *J* = 7.4 Hz), 7.27 (t, 1 H, *J* = 7.5 Hz), 7.07 (d, 1 H, *J* = 8.1 Hz), 6.44 (d, 1 H, *J* = 16.1 Hz), 4.25 (q, 2 H, *J* = 7.1 Hz), 3.89 (s, 3 H), 1.63 (s, 6 H), 1.33 (t, 3 H, *J* = 7.1 Hz); ¹³C NMR δ 172.8, 171.2, 166.4, 149.1, 137.3, 131.1, 127.4, 127.1, 126.5, 122.6, 120.4, 60.5, 52.9, 50.1, 22.9 (2), 14.2; HRMS *m/e* Calcd for C₁₇H₂₀O₆: 320.1260. Found 320.1257. Anal. Calcd for C₁₇H₂₀O₆: C, 63.75; H, 6.25. Found: C, 63.69; H, 6.23.

(*E*)-2-(2-Ethoxycarbonylethenyl)-5-methylphenyl Methyl Dimethylpropanedioate (17): 2.64 g (7.90 mmol, 79%) from **12** as a light yellow solid, mp 38-40 °C; IR (thin film) 1772, 1751, 1722, 1641, 1612, 1509, 1392, 1369, 982 cm⁻¹; ¹H NMR δ 7.72 (d, 1 H, *J* = 16.1 Hz), 7.54 (d, 1 H, *J* = 7.9 Hz), 7.07 (dd, 1 H, *J* = 8.0, 1.8 Hz), 6.88 (d, 1 H, *J* = 1.8 Hz), 6.39 (d, 1 H, *J* = 16.1 Hz), 4.24 (q, 2 H, *J* = 7.2 Hz), 3.89 (s, 3 H), 2.36 (s, 3 H), 1.63 (s, 6 H), 1.32 (t, 3 H, *J* = 7.2 Hz); ¹³C NMR δ 172.7, 171.2, 166.6, 149.0, 142.0, 137.2, 127.4, 126.8, 124.4, 122.9, 119.1, 60.3, 52.8, 50.0, 22.8 (2), 21.2, 14.2; HRMS *m/e* Calcd for C₁₈H₂₂O₇: 334.1416. Found: 334.1411. Anal. Calcd for C₁₈H₂₂O₇: C, 64.67; H, 6.59. Found: C, 64.75; H, 6.63.

(*E*)-2-(2-Ethoxycarbonylethenyl)-5-methoxyphenyl Methyl Dimethylpropanedioate (18): 6.16 g (17.6 mmol, 78%) from **13** as a yellow oil; IR (thin film) 2843, 1767, 1743, 1722, 1634, 1612, 1509, 1392, 1370, 982 cm⁻¹; ¹H NMR δ 7.69 (d, 1 H, *J* = 15.9 Hz), 7.59 (d, 1 H, *J* = 8.9 Hz), 6.81 (dd, 1 H, *J* = 8.9, 2.5 Hz), 6.59 (d, 1 H, *J* = 2.5 Hz), 6.32 (d, 1 H, *J* = 15.9 Hz), 4.23 (q, 2 H, *J* = 7.1 Hz), 3.89 (s, 3 H), 3.81 (s, 3 H), 1.64 (s, 6 H), 1.32 (t, 3 H, *J* = 7.1 Hz); ¹³C NMR δ 172.6, 170.9, 166.7, 161.8, 150.2, 137.1, 127.6, 119.7, 117.8, 113.6, 107.1, 60.2, 55.5, 55.3, 50.0, 22.8 (2), 14.2; HRMS *m/e* Calcd for C₁₈H₂₂O₇: 350.1365. Found: 350.1369. Anal. Calcd for C₁₈H₂₂O₇: C, 61.71; H, 6.29. Found: C, 61.86; H, 6.33.

(*E*)-1-(2-Ethoxycarbonylethenyl)-2-naphthyl Methyl Dimethylpropanedioate (19): 4.29 g (11.6 mmol, 70%) from **14** as a yellow oil; IR (thin film) 1766, 1743, 1722, 1648, 1392, 1370, 989

cm^{-1} ; $^1\text{H NMR}$ δ 8.09 (d, 1 H, $J = 7.6$ Hz), 8.03 (d, 1 H, $J = 16.4$ Hz), 7.85 (m, 2 H), 7.54 (m, 2 H), 7.19 (d, 1 H, $J = 8.9$ Hz), 6.38 (d, 1 H, $J = 16.4$ Hz), 4.31 (q, 2 H, $J = 7.1$ Hz), 3.85 (s, 3 H), 1.62 (s, 6 H), 1.36 (t, 3 H, $J = 7.1$ Hz); $^{13}\text{C NMR}$ δ 172.8, 171.1, 166.2, 146.1, 137.0, 131.7, 130.5, 128.5, 127.3, 126.2, 126.1, 126.0, 124.5, 123.8, 120.9, 60.6, 52.8, 50.1, 22.8 (2), 14.2; HRMS *m/e* Calcd for $\text{C}_{21}\text{H}_{22}\text{O}_6$: 370.1416. Found: 370.1405. Anal. Calcd for $\text{C}_{21}\text{H}_{22}\text{O}_6$: C, 68.11; H, 5.95. Found: C, 68.29; H, 6.02.

Methyl (*E*)-2-(*N*-(2-(2-Ethoxycarbonyl)ethenyl) phenyl) carbamoyl)-2-methylpropanoate (20): 3.26 g (10.2 mmol, 78%) from **15** as a light yellow oil; IR (thin film) 3307, 1741, 1715, 1689, 1636, 1394, 1372, 765 cm^{-1} ; $^1\text{H NMR}$ δ 8.68 (br s, 1 H), 7.80 (d, 1 H, $J = 15.8$ Hz), 7.76 (d, 1 H, $J = 8.0$ Hz), 7.57 (d, 1 H, $J = 7.8$ Hz), 7.39 (t, 1 H, $J = 7.8$ Hz), 7.21 (t, 1 H, $J = 7.7$ Hz), 6.40 (d, 1 H, $J = 15.8$ Hz), 4.27 (q, 2 H, $J = 7.1$ Hz), 3.87 (s, 3 H), 1.60 (s, 6 H), 1.34 (t, 3 H, $J = 7.1$ Hz); $^{13}\text{C NMR}$ δ 175.6, 170.3, 166.5, 138.9, 135.7, 130.5, 128.0, 126.9, 125.9, 124.9, 120.6, 60.4, 53.0, 50.3, 23.8 (2), 14.1; HRMS *m/e* Calcd for $\text{C}_{17}\text{H}_{21}\text{NO}_5$: 319.1448. Found 319.1448. Anal. Calcd for $\text{C}_{17}\text{H}_{21}\text{NO}_5$: C, 63.95; H, 6.58. Found: C, 63.82; H, 6.55.

Methyl 2-(*N*-(3-Butenyl)-*N*-phenylcarbamoyl)-2-methylpropanoate (23): 2.17 g (7.93 mmol, 79%) from **21**⁸ as a light yellow oil; IR (thin film) 1734, 1650, 1596, 1488, 1389, 990, 913, 767, 706 cm^{-1} ; $^1\text{H NMR}$ δ 7.37 (m, 3 H), 7.16 (m, 2 H), 5.76 (ddt, 1 H, $J = 16.8, 10.3, 7.0$ Hz), 5.06 (d, 1 H, $J = 16.8$ Hz), 5.02 (d, 1 H, $J = 10.3$ Hz), 3.71 (t, 2 H, $J = 7.3$ Hz), 3.45 (br s, 3 H), 2.29 (q, 2 H, $J = 7.3$ Hz), 1.34 (s, 6 H); $^{13}\text{C NMR}$ δ 174.1, 171.4, 140.7, 135.1, 130.3, 129.0, 128.5, 116.6, 52.5, 51.9, 50.1, 31.8, 25.4 (2); HRMS *m/e* Calcd for $\text{C}_{16}\text{H}_{21}\text{NO}_3$: 275.1521. Found: 275.1509. Anal. Calcd for $\text{C}_{16}\text{H}_{21}\text{NO}_3$: C, 69.82; H, 7.64. Found: C, 69.91; H, 7.76.

Methyl 2-(*N*-Phenyl-*N*-(2-propenyl)carbamoyl)-2-methylpropanoate (24): 5.52 g (21.1 mmol, 70%) from **22** as a light yellow oil; IR (thin film) 1734, 1676, 1602, 1504, 1392, 1374, 993, 918, 754, 698 cm^{-1} ; $^1\text{H NMR}$ δ 7.36 (m, 3 H), 7.14 (m, 2 H), 5.87 (ddt, 1 H, $J = 17.1, 10.2, 5.0$ Hz), 5.12 (d, 1 H, $J = 10.2$ Hz), 5.06 (d, 1 H, $J = 17.1$ Hz), 4.23 (d, 2 H, $J = 5.0$ Hz), 3.46 (s, 3 H), 1.36 (s, 6 H); $^{13}\text{C NMR}$ δ 174.1, 171.4, 140.7, 132.7, 130.2, 128.9, 128.6, 117.9, 55.0, 51.9, 50.0, 25.3 (2); HRMS *m/e* Calcd for $\text{C}_{15}\text{H}_{19}\text{NO}_3$: 261.1402. Found 261.1403. Anal. Calcd for $\text{C}_{15}\text{H}_{19}\text{NO}_3$: C, 68.97; H, 7.28. Found: C, 69.04; H, 7.30.

Representative Ozonolysis-Wittig Procedure: Methyl (*E*)-2-(*N*-(3-Ethoxycarbonyl-3-butenyl)-*N*-phenylcarbamoyl)-2-methylpropanoate (25). A solution of 2.00 g (13.6 mmol) of **23** in 200 mL of CH_2Cl_2 was cooled to -78 °C and treated with ozone until the solution turned light blue. The reaction was quenched at -78 °C with 5.00 g (5.91 mL, 80.6 mmol) of dimethyl sulfide, warmed to rt, stirred for 3 h, and concentrated under vacuum. To the resulting yellow oil was added 200 mL of benzene and 10.4 g (29.8 mmol) of ethyl (triphenylphosphoranylidene)acetate.¹⁸ The solution was heated under reflux for 12 h, then cooled to rt, and concentrated under vacuum to give a tan semisolid mass. The residue was loaded onto a 10-cm x 10-cm plug of silica gel in a sintered glass frit and 2 L of 20-25% ether in hexanes was poured through under aspirator vacuum. Concentration of the filtrate afforded the crude product as a yellow oil. Final purification by flash chromatography on an 80-cm x 2.5-cm silica gel column eluted with increasing concentrations of ether in hexanes gave 3.89 g (10.7 mmol, 58%) of the amido diester as a light yellow oil. IR (thin film) 1734, 1715, 1652, 1596, 1396, 1370, 982, 767, 706

cm^{-1} ; $^1\text{H NMR}$ δ 7.38 (m, 3 H), 7.16 (m, 2 H), 6.87 (dt, 1 H, $J = 15.7, 7.0$ Hz), 5.85 (d, 1 H, $J = 15.7$ Hz), 4.18 (q, 2 H, $J = 7.1$ Hz), 3.77 (t, 2 H, $J = 7.0$ Hz), 3.45, (br s, 3 H), 2.47 (q, 2 H, $J = 7.0$ Hz), 1.33 (s, 6 H), 1.27 (t, 3 H, $J = 7.1$ Hz); $^{13}\text{C NMR}$ δ 174.0, 171.5, 166.2, 145.1, 140.6, 130.1, 129.1, 128.7, 123.1, 60.2, 51.9, 50.6, 50.1, 30.2, 25.3 (2), 14.2; HRMS m/e Calcd for $\text{C}_{19}\text{H}_{25}\text{NO}_3$: 347.1732. Found: 347.1729. Anal. Calcd for $\text{C}_{19}\text{H}_{25}\text{NO}_3$: C, 65.71; H, 7.20. Found: C, 65.96; H, 7.27.

Methyl (*E*)-2-(*N*-(3-Ethoxycarbonyl-2-propenyl)-*N*-phenylcarbamoyl)-2-methylpropanoate (26): 3.59 g (10.8 mmol, 55%) from **24** as a light yellow oil; IR (thin film) 1738, 1713, 1680, 1604, 1502, 1390, 1372, 751, 696 cm^{-1} ; $^1\text{H NMR}$ δ 7.38 (m, 3 H), 7.15 (m, 2 H), 6.91 (dt, 1 H, $J = 15.7, 5.8$ Hz), 5.89 (d, 1 H, $J = 15.7$ Hz), 4.38 (dd, 2 H, $J = 5.8, 1.6$ Hz), 4.18 (q, 2 H, $J = 7.1$ Hz), 3.48 (s, 3 H), 1.36 (s, 6 H), 1.28 (t, 3 H, $J = 7.1$ Hz); $^{13}\text{C NMR}$ δ 174.0, 171.6, 166.0, 142.2, 140.7, 129.9, 129.3, 128.9, 123.2, 60.4, 53.3, 52.0, 50.0, 25.3 (2), 14.1; HRMS m/e Calcd for $\text{C}_{18}\text{H}_{23}\text{NO}_3$: 333.1613. Found 333.1605. Anal. Calcd for $\text{C}_{18}\text{H}_{23}\text{NO}_3$: C, 64.86; H, 6.91. Found: C, 64.98; H, 6.94.

Representative Procedure for Dealkoxycarbonylation-Michael Addition: Ethyl 3,4-Dihydro-3,3-dimethylcoumarin-4-acetate (27). A solution of 320 mg (1.00 mmol) of **16** and 535 mg (4.00 mmol) of anhydrous LiI in 8.5 mL of DMEU was heated in an oil bath at 100 °C (± 2 °C). After 48 h, GC analysis indicated that the reaction was complete. The crude reaction mixture was cooled, added to saturated NH_4Cl , and extracted with ether (3x). The combined organic layers were washed with NH_4Cl (2x), 5% $\text{Na}_2\text{S}_2\text{O}_3$ (1x), H_2O (1x), and NaCl (1x), then dried (MgSO_4) and concentrated under vacuum. The crude product was purified by PTLC, eluting with increasing concentrations of ether in hexanes to afford 162 mg (0.62 mmol, 62%) of **27** as a light yellow oil. IR (thin film) 1767, 1733, 1616, 1593, 1490, 1395, 1377, 761 cm^{-1} ; $^1\text{H NMR}$ δ 7.27 (t, 1 H, $J = 7.8$ Hz), 7.20 (d, 1 H, $J = 7.6$), 7.08 (t, 1 H, $J = 7.5$ Hz), 7.02 (d, 1 H, $J = 8.0$ Hz), 4.05 (m, 2 H), 3.19 (dd, 1 H, $J = 9.4, 4.9$ Hz), 2.75 (dd, 1 H, $J = 15.4, 4.9$ Hz), 2.35 (dd, 1 H, $J = 15.4, 9.4$ Hz), 1.37 (s, 3 H), 1.21 (s, 3 H), 1.16 (t, 3 H, $J = 7.1$ Hz); $^{13}\text{C NMR}$ δ 173.0, 171.4, 150.5, 128.7 (2), 125.2, 124.4, 116.4, 60.8, 43.7, 40.7, 37.1, 25.2, 21.7, 14.0; HRMS m/e Calcd for $\text{C}_{15}\text{H}_{18}\text{O}_4$: 262.1205. Found 262.1204. Anal. Calcd for $\text{C}_{15}\text{H}_{18}\text{O}_4$: C, 68.70; H, 6.87. Found: C, 68.62; H, 6.86.

Ethyl 3,4-Dihydro-3,3,7-trimethylcoumarin-4-acetate (28): 132 mg (0.48 mmol, 48%) from **17** as a yellow oil; IR (thin film) 1765, 1734, 1626, 1580, 1504, 1390, 1373, 805 cm^{-1} ; $^1\text{H NMR}$ δ 7.07 (d, 1 H, $J = 7.7$ Hz), 6.89 (d, 1 H, $J = 7.7$ Hz), 6.84 (s, 1 H), 4.06 (m, 2 H), 3.14 (dd, 1 H, $J = 9.5, 4.8$ Hz), 2.72 (dd, 1 H, $J = 15.4, 4.8$ Hz), 2.33 (s, 3 H), 2.32 (dd, 1 H, $J = 15.4, 9.5$ Hz), 1.36 (s, 3 H), 1.20 (s, 3 H), 1.17 (t, 3 H, $J = 7.3$ Hz); $^{13}\text{C NMR}$ δ 173.2, 171.5, 150.3, 138.9, 128.3, 125.1, 122.1, 116.8, 60.7, 43.4, 40.8, 37.3, 25.3, 21.8, 21.1, 14.0; HRMS m/e Calcd for $\text{C}_{16}\text{H}_{20}\text{O}_4$: 276.1361. Found 276.1356. Anal. Calcd for $\text{C}_{16}\text{H}_{20}\text{O}_4$: C, 69.57; H, 7.25. Found: C, 69.66; H, 7.28.

Ethyl 3,4-Dihydro-7-methoxy-3,3-dimethylcoumarin-4-acetate (29): 147 mg (0.50 mmol, 50%) from **18** as a yellow oil; IR (thin film) 2840, 1767, 1733, 1626, 1590, 1509, 1391, 1375, 844, 813 cm^{-1} ; $^1\text{H NMR}$ δ 7.10 (d, 1 H, $J = 8.4$ Hz), 6.64 (dd, 1 H, $J = 8.4, 2.6$ Hz), 6.58 (d, 1 H, $J = 2.6$ Hz), 4.06 (m, 2 H), 3.79 (s, 3 H), 3.12 (dd, 1 H, $J = 9.6, 4.8$ Hz), 2.72 (dd, 1 H, $J = 15.3, 4.8$ Hz),

2.31 (dd, 1 H, $J = 15.3, 9.6$ Hz), 1.36 (s, 3 H), 1.21 (s, 3 H), 1.17 (t, 3 H, $J = 7.1$ Hz); ^{13}C NMR δ 172.9, 171.4, 159.8, 151.2, 129.2, 117.0, 110.2, 101.9, 60.6, 55.3, 43.0, 40.7, 37.3, 25.2, 21.7, 14.0; HRMS *m/e* Calcd for $\text{C}_{16}\text{H}_{20}\text{O}_5$: 292.1310. Found: 292.1304. Anal. Calcd for $\text{C}_{16}\text{H}_{20}\text{O}_5$: C, 65.75; H, 6.85. Found: C, 65.93; H, 6.91.

Ethyl 5,6-Benzo-3,4-dihydro-3,3-dimethylcoumarin-4-acetate (30): 162 mg (0.52 mmol, 52%) from **19** as a viscous yellow oil; IR (thin film) 1770, 1732, 1627, 1516, 1396, 1378, 816, 750 cm^{-1} ; ^1H NMR δ 8.00 (d, 1 H, $J = 8.5$ Hz), 7.85 (d, 1 H, $J = 8.2$ Hz), 7.78 (d, 1 H, $J = 8.9$ Hz), 7.59 (t, 1 H, $J = 7.1$ Hz), 7.47 (t, 1 H, $J = 7.1$ Hz), 7.21 (d, 1 H, $J = 8.9$ Hz), 4.12-3.98 (complex, 2 H), 3.95 (m, 1 H), 2.72 (dd, 1 H, $J = 16.1, 7.8$ Hz), 2.49 (dd, 1 H, $J = 16.1, 4.3$ Hz), 1.47 (s, 3 H), 1.24 (s, 3 H), 1.12 (t, 3 H, $J = 7.2$ Hz); ^{13}C NMR δ 172.8, 171.7, 148.1, 131.0, 130.6, 129.4, 128.8, 127.4, 125.0, 122.5, 118.5, 116.8, 60.9, 41.0, 40.0, 36.9, 25.6, 21.6, 13.8; HRMS *m/e* Calcd for $\text{C}_{19}\text{H}_{20}\text{O}_4$: 312.1361. Found: 312.1365. Anal. Calcd for $\text{C}_{19}\text{H}_{20}\text{O}_4$: C, 73.08; H, 6.41. Found: C, 73.26; H, 6.49.

Ethyl 3,4-Dihydro-3,3-dimethyl-2(1H)-quinolinone-4-acetate (31): 199 mg (0.76 mmol, 76%) from **20** as a light yellow solid, mp 110-112 $^{\circ}\text{C}$; IR (CHCl_3) 3235, 1733, 1677, 1394, 1379, 758 cm^{-1} ; ^1H NMR δ 8.93 (br s, 1 H), 7.16 (m, 2 H), 6.96 (t, 1 H, $J = 7.6$ Hz), 6.83 (d, 1 H, $J = 7.7$ Hz), 4.02 (m, 2 H), 3.14 (dd, 1 H, $J = 10.2, 4.8$ Hz), 2.71 (dd, 1 H, $J = 14.8, 4.8$ Hz), 2.32 (dd, 1 H, $J = 14.8, 10.2$ Hz), 1.30 (s, 3 H), 1.15 (s, 3 H), 1.13 (t, 3 H, $J = 7.1$ Hz); ^{13}C NMR δ 176.1, 172.1, 135.7, 128.6, 127.8, 126.1, 123.0, 115.2, 60.5, 45.2, 40.6, 36.3, 25.2, 20.8, 14.0; HRMS *m/e* Calcd for $\text{C}_{15}\text{H}_{19}\text{NO}_3$: 261.1402. Found: 261.1399. Anal. Calcd for $\text{C}_{15}\text{H}_{19}\text{NO}_3$: C, 68.97; H, 7.28. Found: C, 68.84; H, 7.24.

Ethyl 3,3-Dimethyl-1-phenyl-2-piperidinone-4-acetate (32): 236 mg (0.82 mmol, 82%) from **25** as a light yellow oil; IR (thin film) 1732, 1651, 1595, 1493, 1382, 764, 697 cm^{-1} ; ^1H NMR δ 7.37 (t, 2 H, $J = 7.5$ Hz), 7.23 (t, 1 H, $J = 6.9$ Hz), 7.20 (d, 2 H, $J = 7.3$ Hz), 4.17 (q, 2 H, $J = 7.0$ Hz), 3.72 (m, 1 H), 3.52 (ddd, 1 H, $J = 13.9, 5.5, 1.8$ Hz), 2.57 (dd, 1 H, $J = 15.4, 2.8$ Hz), 2.35 (tt, 1 H, $J = 10.6, 2.8$ Hz), 2.23 (dd, 1 H, $J = 15.4, 10.6$ Hz), 2.02 (dm, 1 H, $J = 13.9$ Hz), 1.93-1.81 (complex, 1 H), 1.35 (s, 3 H), 1.28 (t, 3 H, $J = 7.0$ Hz), 1.20 (s, 3 H); ^{13}C NMR δ 175.3, 172.7, 143.5, 128.9, 126.5, 126.1, 60.5, 49.9, 42.1, 39.5, 35.3, 25.5, 24.6, 21.7, 14.1; HRMS *m/e* Calcd for $\text{C}_{17}\text{H}_{23}\text{NO}_3$: 289.1678. Found: 289.1673. Anal. Calcd for $\text{C}_{17}\text{H}_{23}\text{NO}_3$: C, 70.59; H, 7.96. Found: C, 70.69; H, 7.99.

Ethyl 3,3-Dimethyl-1-phenyl-2-pyrrolidinone-4-acetate (33): 124 mg (0.45 mmol, 34%) from **26** as a light yellow oil; IR (thin film) 1736, 1707, 1597, 1494, 1392, 1377, 761, 696 cm^{-1} ; ^1H NMR δ 7.64 (d, 2 H, $J = 7.7$ Hz), 7.35 (t, 2 H, $J = 7.5$ Hz), 7.13 (t, 1 H, $J = 7.6$ Hz), 4.18 (q, 2 H, $J = 7.1$ Hz), 3.99 (dd, 1 H, $J = 9.9, 7.3$ Hz), 3.48 (dd, 1 H, $J = 9.9, 8.8$ Hz), 2.56 (m, 2 H), 2.38 (dd, 1 H, $J = 16.5, 11.5$ Hz), 1.29 (t, 3 H, $J = 7.1$ Hz), 1.25 (s, 3 H), 1.06 (s, 3 H); ^{13}C NMR δ 178.1, 172.0, 139.4, 128.7, 124.3, 119.6, 60.7, 50.5, 44.1, 39.3, 33.2, 23.7, 18.7, 14.1; HRMS *m/e* Calcd for $\text{C}_{16}\text{H}_{21}\text{NO}_3$: 275.1558. Found: 275.1775. Anal. Calcd for $\text{C}_{16}\text{H}_{21}\text{NO}_3$: C, 69.82; H, 7.64. Found: C, 69.72; H, 7.62.

Acknowledgment

The authors wish to acknowledge the NIH (GM 54256) for support of this research. C.L.S. III wishes to thank Oklahoma State University Foundation for a Jonas Distinguished Graduate Fellowship and the Department of Chemistry for a Skinner Fellowship. Funds for the 400 MHz NMR spectrometer of the Oklahoma Statewide Shared NMR Facility were provided by the NSF (BIR-9512269), the Oklahoma State Regents for Higher Education, the W. M. Keck Foundation and Conoco Inc. Partial support of our mass spectrometer facility by the NIH and the Oklahoma Center for the Advancement of Science and Technology is also appreciated.

References and Notes

1. Bunce, R. A.; Schilling C. L., III *J. Org. Chem.* **1995**, *60*, 2748-2752.
2. (a) Chan, W. W.; Liu, H.-J. *Can. J. Chem.* **1982**, *60*, 1081-1091. (b) Mori, K.; Sakurai, K.; Kitahara, T. *Tetrahedron* **1988**, *44*, 6581-6588. (c) Spreitzer, H. *Monatsh. Chem.* **1990**, *121*, 847-851. (d) Liu, H.-J.; Ralitsch, M. *J. Chem. Soc., Chem. Commun.* **1990**, 997-999.
3. For reviews of S_N2 ester cleavage, see: (a) McMurry, J. *Organic Reactions* **1976**, *24*, 187-224. (b) Krapcho, A. P. *Synthesis* **1982**, 805-822, 893-914.
4. Related dealkoxycarbonylation-initiated processes: (a) Ring contraction: Takei, S.; Kawano, Y. *Tetrahedron, Lett.* **1975**, 4389-4392. (b) Alkylative spiroannulation: Eilerman, R. G.; Willis, B.J. *J. Chem. Soc., Chem. Commun.* **1981**, 30-32. (c) Heterocycle formation by *O*-alkylation of geometrically constrained conjugated enols: Böhler, G.; Böhler, P.; Knorr, R. *Chem. Ber.* **1990**, *123*, 2167-2172.
5. Bunce, R. A.; Moore, J. D. *Org. Prep. Proced. Int.* **1997**, *29*, 293-299.
6. This compound was prepared as needed by reaction of methyl hydrogen dimethylmalonate with a 20% excess of SOCl₂ in refluxing benzene for 6 h. Removal of the solvent under vacuum gave a yellow oil which was used directly for the synthesis of esters and amides. IR (thin film) 1810, 1751, 1391, 1377, 755 cm⁻¹; ¹H NMR (CDCl₃) δ 3.80 (s, 3 H), 1.56 (s, 6 H); ¹³C NMR (CDCl₃) δ 174.0, 170.7, 59.7, 53.0, 22.8. Methyl hydrogen dimethylmalonate was prepared from dimethyl malonate by methylation [NaH, DMF; CH₃I (2x)] followed by partial hydrolysis according to the method of Strube, R. E. *Organic Syntheses*; Wiley, New York, 1963; Coll. Vol. IV; pp. 417-419.
7. Foy, B. D.; Smudde, R. A.; Wood, W. F. *J. Chem. Educ.* **1993**, *68*, 322.
8. The general procedure reported for the preparation of *N*-propylaniline was carried out using a 10:1 mole ratio of aniline:4-bromo-1-butene. This gave *N*-(3-butenyl)aniline in 83% yield. See Furniss, B. S.; Hannaford, A. J.; Smith P. W. G.; Tatchell, A. R. *Vogel's Textbook of Practical Organic Chemistry*, 5th ed.; Longman Scientific and Technical: New York, 1989; p. 903. b) For spectral data, see Ha, H.-J.; Ahn, Y.-G.; Chon, J.-K. *J. Chem. Soc., Perkin Trans. 1* **1995**, 2631-2634.
9. Bunce, R. A.; Dowdy, E. D.; Jones, P. B.; Holt, E. M. *J. Org. Chem.* **1993**, *58*, 7143-7148.
10. Chemoselectivity would be expected based on rate data for the S_N2 reaction, see March, J. *Advanced Organic Chemistry*, 4th ed.; Wiley: New York, 1992; p. 275.
11. (a) Baldwin, J. E.; Luche, M. J. *Tetrahedron* **1982**, *38*, 2939-2947. (b) Perlmutter, P. *Conjugate Addition Reactions in Organic Synthesis*; Pergamon Press: New York, 1992; p. 50.
12. This compound was prepared in from 3-buten-1-ol by (1) esterification with methyl dimethylmalonyl chloride, (2) ozonolysis (reductive workup), and (3) Wittig olefination with ethyl (triphenylphosphoranylidene)acetate. The overall yield was 48%.
13. Eliel, E. L.; Wilen, S. H.; Mander, L. N. *Stereochemistry of Organic Compounds*; Wiley, New York, 1994; pp. 618-621 and references cited therein.
14. Smith, C. W.; Norton, D. G. *Organic Syntheses*; Wiley: New York, 1963; Coll. Vol. IV; pp. 348-350. The mp / mmp for *N*-phenylisobutyramide was 102-103 °C
15. Phenol is reported to have a pK_a of 10 while the pK_a for aniline is 27, see House, H. O. *Modern Synthetic Reactions*, 2nd ed.; W. A. Benjamin: Menlo Park, CA, 1972; p. 494.
16. Still, W. C.; Kahn, M.; Mitra, A. *J. Org. Chem.* **1978**, *43*, 2923-2925.
17. Leopold, E. J. *J. Org. Chem.* **1982**, *47*, 4592-4592.
18. (a) Maercker, A. *Org. React.* **1965**, *14*, 270-490. (b) Fieser, L. F.; Fieser, M. *Reagents for Organic Synthesis*; Wiley, New York, 1967; Vol. 1, pp. 112-114.

(Received in USA 9 April 1997; accepted 28 May 1997)