#### Dyes and Pigments 147 (2017) 537-543

Contents lists available at ScienceDirect

Dyes and Pigments

journal homepage: www.elsevier.com/locate/dyepig

# Alkyl length dependent reversible mechanofluorochromism of phenothiazine derivatives functionalized with formyl group

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#### ARTICLE INFO

Article history: Received 10 May 2017 Received in revised form 3 August 2017 Accepted 27 August 2017 Available online 30 August 2017

Keywords: Phenothiazine Mechanofluorochromism Chain length-dependent

#### ABSTRACT

A series of D-A typed phenothiazine derivatives functionalized by formyl group (PCAn, n = 1, 2, 4 and 6) with different lengths of *N*-alkyl chains have been designed and synthesized to systematically investigate the effect of chain length on their solid-state fluorescence properties. The results showed that these compounds emitted strong fluorescence in solutions and solid states with 52%, 42%, 49% and 45% solidstate absolute fluorescence quantum yield ( $\Phi_F$ ), respectively. Their emission wavelengths were strongly affected by solvent polarity, indicating intramolecular charge transfer (ICT) transitions. Interestingly, PCAn solids exhibit not only naked-eye visible and reversible mechanochromic behavior, but chain length-dependent emission properties. PCA1 shows smaller fluorescence spectrum shifts (22 nm) under mechanical force stimuli. Homologs with longer alkyl chains exhibit similar mechanochromic behaviors but larger fluorescence contrasts after grinding except for PCA6. Moreover, the fluorescence emission of ground solid PCA1 and PCA4 can recover at room temperature. PCA2 need high temperatures for fluorescence to be restored, and XRD and DSC revealed that the transformation between crystalline and amorphous states upon various external stimuli was responsible for the MFC behavior. This work demonstrates the feasibility of tuning the solid-state optical properties of fluorescent organic compounds by combining the simple alteration of chemical structure and the physical change of aggregate morphology under external stimuli.

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## 1. Introduction

Smart conjugated luminescent materials have attracted a great deal of attention due to their multi-stimuli responsive emission characteristics and broad applications in the fields of molecular probes, organic light-emitting devices (OLED), optical data recording and data security protection. Particularly, dynamic altering and switching of solid-state luminescence of organic materials upon light, heat, pressure or other external factors, is attracting a lot of interest. Especially, some fluorescent organic molecules can alter their luminescent properties in response to external mechanical stimuli such as shearing, grinding, or pressing, which is known as mechanofluorochromism (MFC) [1–4]. As a kind of "smart material," MFC compounds have attracted significant attention because of their promising applications in pressure sensors, optical storage, rewritable media, and security ink [5–8].

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These fluorescent organic molecules can give changes in the color of their fluorescence under mechanical stress and be restored to their original state by annealing or fuming with solvent vapor instead of the chemical alteration of molecular structures [9–14]. However, MFC materials have started to draw increasing research attention only within the past few years and the types of MFC materials and in-depth understanding of MFC phenomena are limited. To date, many kinds of organic molecules have been exploited to show MFC properties [15-19]. Especially, some nonplanar  $\pi$ -conjugated fluorescent molecules, such as tetraphenylethene (TPE), 9,10-divinylanthracene, triphenylamine (TPA) derivatives and organoboron compounds, were preferentially considered to act as MFC materials, and interestingly, some D- $\pi$ -A emissive molecules always contribute to the realization of fluorescence change under mechanical stimuli [20-31]. It is worth mentioning that phenothiazine was usually introduced to construct organic luminescent materials as an excellent functional blocks [32,33]. It has a nonplanar, bowl-shaped configuration, and recently some studies have found that it can be introduced into molecular structures to obtain MFC-active fluorescent molecules [34,35].







As is well known the alkyl length can influence molecular conformations, and change intermolecular interactions and even packing mode in solid states, which result in different MFC properties. However, the studies on the effects of alkyl length on MFC materials are limited owing to the scarcity of MFC materials and the mechanism is still unclear [36,37]. Therefore, there is still a great challenge for designing and synthesizing new MFC materials, as well as the accumulation of knowledge of the relationships between molecular structures and properties. In this context, much more systematic molecular design and structure-property investigation on MFC materials are becoming very necessary. To further understand the effect of alkyl chains on the solid-state fluorescence and mechanochromic luminescence, in the current work, we have prepared a series of phenothiazine derivatives functionalized with benzoyl group (PCAn, n = 1, 2, 4 and 6) with different lengths of *N*alkyl chains (Scheme 1), and their photophysical properties and MFC behaviors were investigated in detail. The results showed that these molecules exhibited different MFC activities and their fluorescence emission and MFC behaviors are alkyl length-dependent.

### 2. Experimental section

#### 2.1. General information

All the raw materials were used without further purification. All the analytical pure solvents were purchased from Beijing Chemical Works (Beijing, China).<sup>1</sup>H NMR and <sup>13</sup>C NMR spectra were recorded with a Mercury Plus instrument at 600 MHz and 151 MHz by using CDCl<sub>3</sub> as the solvent in all cases. High resolution mass spectra were performed on AB SCIEX Triple TOF<sup>TM</sup> 5600<sup>+</sup> LC-MS/MS series. FT-IR spectra were recorded with a Varian 660-IR FT-IR spectrometer by incorporation of samples into KBr disks. The UV-vis absorption spectra were obtained using a VARIAN Carv 5000 spectrophotometer. Photoluminescence measurements were obtained on a Cary Eclipse fluorescence spectrophotometer. The fluorescence quantum yields of PCAn (n = 1, 2, 4, and 6) in various solvents were measured by comparing with a standard (quinine in 0.1 N H<sub>2</sub>SO<sub>4</sub>,  $\Phi_F = 0.546$ ) and the excitation wavelength was 365 nm. The solid fluorescence quantum yields were measured using an Edinburgh Instrument FLS920 with integrating cavity scanning (ICS) method. Cyclic voltammogram was performed using CHI 604B electrochemical workstation and measurement was carried out in dry CH<sub>2</sub>Cl<sub>2</sub> with a scan rate at 100 mV/s. Three electrode configurations were used for the measurement: a platinum button was used as the working electrode, a platinum wire as the counter electrode, and Ag/AgCl as the reference electrode. The solution of Bu<sub>4</sub>NBF<sub>4</sub> in DCM (0.1 M) was used as the supporting electrolyte. C, H, and N elemental analyses were performed with a vario MACRO cube elemental analyzer. The XRD patterns were obtained on an Empyrean X-ray diffraction instrument equipped with graphite-monochromatized Cu K $\alpha$  radiation ( $\lambda = 1.5418$  Å) by employing a scanning rate of  $0.026^{\circ}$  s<sup>-1</sup> in the 2 $\theta$  range from 5 to 50. Differential scanning calorimetry (DSC) curves were obtained on a DSC 200 F3 at a heating rate of 10 °C min<sup>-1</sup>, and the data of all samples (pristine and ground) were collected when they were heated for the first time. Pressing experiment: A quantity of PCAn and KBr powder was simply mixed in a mortar and then pressed with IR pellet press for 1 min at room temperature under the pressure of 1500 psi. Annealing experiment: The pressed sample was put into an oven whose temperature was 30 °C over Tc (cold-crystallization temperature of each compound) for 3 min. Solvent-fuming experiment: The ground sample was placed above the dichloromethane level and was exposed to the vapor for 1 min at room temperature. The molecular configuration was used to obtain the frontier orbitals of PCAn by density functional theory (DFT) calculations at the B3LYP/ 6-31G (d, p) level with the Gaussian 09W program package.

#### 2.2. Synthesis, procedures, and characterization

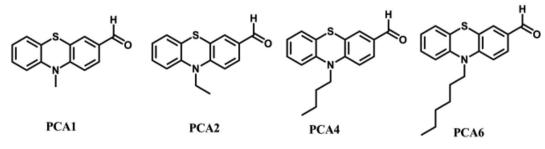
Compounds **1** and target compounds PCAn were synthesized by the reported methods [32,33], and the synthetic routes were shown in Scheme 2.

#### 2.2.1. 10-Methyl-10H-phenothiazine-3-carbaldehyde (PCA1)

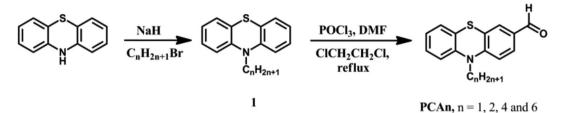
1.3 mL POCl<sub>3</sub> (16 mmol) was added into 2 mL DMF in 25 mL flask under ice-water bath, after being stirred for 10 min at room temperature, 10-methyl-10H-phenothiazine (1.7 g, 8 mmol) dissolved in 1, 2-dichloroethane (10 mL) was added into the above mixture drop-wise under ice-water bath, and then the resulting mixture was heated to reflux for 12 h. The reaction mixture was cooled to room temperature and poured into ice-water. The organic layer was extracted with dichloromethane and the combined organic layers were washed with saturated brine solution and water, and dried over anhydrous magnesium sulfate. After filtration and solvent evaporation, the residue was purified by silica-gel column chromatography using petroleum ether/dichloromethane (v:v = 1:1) as eluent, yellow-green solid was obtained after recrystallization from dichloromethane and diethyl ether. Yield: 0.96 g, 50%. mp: 88–90 °C. IR (KBr, cm<sup>-1</sup>): 1676, 1600, 1568, 1464, 1396, 1380, 1328, 1256, 1204, 752. <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>) § 9.81 (1 H, s), 7.66 (1 H, dd, J<sub>1</sub> = 1.8 Hz, J<sub>2</sub> = 1.8 Hz), 7.60 (1 H, d, J = 1.8 Hz), 7.21–7.18 (1 H, m), 7.14–7.12 (1 H, m), 7.00–6.98 (1 H, m), 6.85 (2 H, t, J = 8.2 Hz), 3.43 (3 H, s) (Fig. S1). <sup>13</sup>C NMR (151 MHz, CDCl<sub>3</sub>) δ 190.09, 151.11, 144.13, 131.22, 130.46, 127.99, 127.78, 127.42, 127.32, 127.15, 124.03, 123.65, 122.59, 122.44, 114.78, 114.06, 113.72, 77.23, 77.02, 76.80, 35.84. (Fig. S2); MS (HR-MS): *m*/*z* 242.0537 [M+H]<sup>+</sup> (Fig. S3, Calcd for C<sub>14</sub>H<sub>11</sub>NOS: 241.0561); Anal. Calcd. (%) for C<sub>14</sub>H<sub>11</sub>NOS: C, 69.68; H, 4.59; N, 5.80. Found (%): C, 69.72; H, 4.61; N, 5.77.

#### 2.2.2. 10-Ethyl-10H-phenothiazine-3-carbaldehyde (PCA2)

The synthetic method for compound **PCA2** was similar to that of compound **PCA1**. It was purified by column chromatography (silica gel, petroleum ether/CH<sub>2</sub>Cl<sub>2</sub> = 1/1) to give yellow solid (49% in yield). mp: 100–101 °C. IR (KBr, cm<sup>-1</sup>): 1672, 1600, 1576, 1468, 1398,



Scheme 1. The structures of PCA1, PCA2, PCA4 and PCA6.



Scheme 2. Synthetic routes of PCA1, PCA2, PCA4 and PCA6.

1368, 1325, 1256, 1240, 1200, 756. <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>)  $\delta$  9.79 (1 H, s), 7.64–7.62 (1 H, dd,  $J_1$  = 8.4 Hz,  $J_2$  = 1.9 Hz), 7.57 (1 H, d, J = 1.9 Hz), 7.18–7.14 (1 H, m), 7.10 (1 H, dd,  $J_1$  = 7.6 Hz,  $J_2$  = 1.5 Hz), 6.98–6.94 (1 H, m), 6.90 (2 H, t, J = 8.0 Hz), 3.98 (2 H, m), 1.45 (3 H, t, J = 7.0 Hz) (Fig. S4). <sup>13</sup>C NMR (151 MHz, CDCl<sub>3</sub>)  $\delta$  190.01, 150.30, 143.08, 131.01, 130.17, 128.26, 127.59, 127.49, 124.47, 123.55, 123.27, 115.57, 114.39, 77.23, 77.02, 76.81, 42.47, 12.87. (Fig. S5); MS (HR-MS): m/z 256.0579 [M+H]<sup>+</sup> (Fig. S6, Calcd for C<sub>15</sub>H<sub>13</sub>NOS: 255.0718); Anal. Calcd. (%) for C<sub>15</sub>H<sub>13</sub>NOS: C, 70.56; H, 5.13; N, 5.49. Found (%): C, 70.62; H, 5.11; N, 5.53.

#### 2.2.3. 10-Butyl-10H-phenothiazine-3-carbaldehyde (PCA4)

The synthetic method for compound **PCA4** was similar to that of compound **PCA1**. It was purified by column chromatography (silica gel, petroleum ether/CH<sub>2</sub>Cl<sub>2</sub> = 1/1) to give yellow solid (48% in yield). mp: 54–55 °C. IR (KBr, cm<sup>-1</sup>): 1676, 1600, 1576, 1564, 1472, 1448, 1440, 1368, 1252, 1228, 1200, 752. <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>)  $\delta$  9.79 (1 H, s), 7.65–7.63 (1 H, dd,  $J_1$  = 8.4 Hz,  $J_2$  = 1.9 Hz), 7.58 (1 H, d, J = 1.9 Hz), 7.18–7.15 (1 H, m), 7.12–7.10 (1 H, dd,  $J_1$  = 7.6 Hz,  $J_2$  = 1.5 Hz), 6.98–6.95 (1 H, m), 6.91–6.88 (2 H, dd,  $J_1$  = 11.0 Hz,  $J_2$  = 8.0 Hz), 3.91–3.88 (2 H, t, J = 6.0 Hz), 1.83–1.78 (2 H, m), 1.50–1.44 (2 H, m), 0.97–0.94 (3 H, t, J = 9.0 Hz) (Fig. S7). <sup>13</sup>C NMR (151 MHz, CDCl<sub>3</sub>)  $\delta$  190.04, 150.78, 143.47, 131.05, 130.06, 128.43, 127.58, 127.55, 125.06, 123.85, 123.57, 115.96, 114.80, 77.23, 77.02, 76.81, 47.69, 28.84, 20.07, 13.75 (Fig. S8); MS (HR-MS): m/z 284.1102 [M+H]<sup>+</sup> (Fig. S9, Calcd for C<sub>17</sub>H<sub>17</sub>NOS: 283.1031); Anal. Calcd. (%) for C<sub>17</sub>H<sub>17</sub>NOS: C, 72.05; H, 6.05; N, 4.94. Found (%): C, 72.02; H, 6.03; N, 4.96.

## 2.2.4. 10-Hexyl-10H-phenothiazine-3-carbaldehyde (PCA6)

The synthetic method for compound **PCA6** was similar to that of compound **PCA1**. It was purified by column chromatography (silica

gel, petroleum ether/CH<sub>2</sub>Cl<sub>2</sub> = 1/1) to give yellow solid (75% in yield). mp: 62–64 °C. IR (KBr, cm<sup>-1</sup>): 1678, 1600, 1576, 1564, 1474, 1450, 1448, 1441, 1369, 1254, 1226, 1200, 752. <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>)  $\delta$  9.79 (1 H, s), 7.65–7.63 (1 H, dd,  $J_1$  = 8.4 Hz,  $J_2$  = 1.7 Hz), 7.58 (1 H, d, J = 1.7 Hz), 7.19–7.15 (1 H, m), 7.12–7.10 (1 H, dd,  $J_1$  = 7.6 Hz,  $J_2$  = 1.2 Hz), 6.97–6.95 (1 H, m), 6.90–6.87 (2 H, t, J = 9.2 Hz), 3.89–3.87 (2 H, t, J = 7.2 Hz), 1.83–1.78 (2 H, m), 1.45–1.43 (2 H, t, J = 7.2 Hz), 1.31 (4 H, d, J = 3.4 Hz), 0.88–0.86 (3 H, t, J = 7.2 Hz) (Fig. S10). <sup>13</sup>C NMR (151 MHz, CDCl<sub>3</sub>)  $\delta$  190.03, 150.76, 143.46, 131.04, 130.06, 128.42, 127.57, 127.55, 125.03, 123.82, 123.56, 115.95, 114.79, 48.03, 31.38, 26.74, 26.51, 22.56, 13.96. (Fig. S11); MS (HR-MS): m/z 312.1413 [M+H]<sup>+</sup> (Fig. S12, Calcd for C<sub>19</sub>H<sub>21</sub>NOS: 311.1344); Anal. Calcd. (%) for C<sub>19</sub>H<sub>21</sub>NOS: C, 73.27; H, 6.80; N, 4.50. Found (%): C, 73.32; H, 6.83; N, 4.46.

#### 3. Results and discussion

### 3.1. Photophysical properties in solutions

The UV–vis absorption and fluorescence emission spectra of phenothiazine derivatives **PCA1**, **PCA2**, **PCA4** and **PCA6** in THF ( $c = 1.0 \times 10^{-6}$  M) were shown in Fig. 1. It was found that all of the phenothiazine derivatives exhibited three obvious absorption bands, in the region of 280–300 nm was originating from the phenothiazine and localized  $\pi$ - $\pi$ \* transitions [38], and the band appearing at the longer wavelength region of 375–390 nm was mainly ascribed to the charge transfer (CT) from phenothiazine donor to formyl group acceptor. In addition, the maximal emission peaks of **PCA1**, **PCA2**, **PCA4** and **PCA6** in THF emerged at ~507 nm, which was ascribed to CT emission. It could be confirmed by the solvent dependent fluorescence emission spectra (Fig. S13).

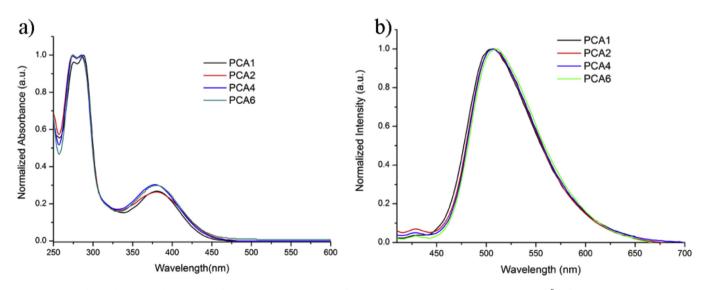


Fig. 1. The UV–vis absorption and fluorescence emission spectra of PCA1, PCA2, PCA4 and PCA6 in THF (c =  $1.0 \times 10^{-6}$  M,  $\lambda_{ex} = 410$  nm).

Because all the compounds have the same chromophores. PCA2 was used as the sample. It was clear that the maximal absorption peak was observed at 375 nm in cyclohexane, a red-shifted peak (380 nm) for toluene solution appeared, and the DMF solution possessed an absorption band at 381 nm. The red-shift of the absorption peak in polar solvents suggests that PCA2 is a polar molecule [39.40], which can be confirmed by quantum chemical calculations. And quantum chemical calculations were performed by time-dependent density functional theory (TD-DFT) calculations at the B3LYP/6-31G (d, p) level and the calculated dipole moment was 5.5458 D, which indicated PCA2 had large molecular polarity. Moreover, it was found that the fluorescence of PCA2 also exhibited solvatochromism. A structured emission band with a maximum emission peak at 468 nm and a shoulder peak at 490 nm were observed in cyclohexane. With solvent polarity increasing, the emission bands became structureless and the emission wavelength red-shifted, the maximum emission band of PCA2 in DMF shifted to 547 nm. Red shifts of the emission wavelength in polar solvents indicated a stronger polarity of PCA2 in excited state than in ground state. For the other three compounds, similar spectral behaviors were found because of their same chromophores and the detailed data were listed in Table S1 and Table S2.

#### 3.2. Theoretical calculation and electrochemical properties

Considering the molecular structures, PCAn are typical D-A molecules, in which formyl groups act as electron-withdrawing groups, phenothiazine groups are electron donors. Quantum chemical calculations also confirmed this conclusion. After geometry optimization, the absorption spectrum was simulated by the time-dependent DFT calculation at the B3LYP/6-31G (d, p) level. A wide absorption band with a maximum at 411.7 nm was found (Fig. S14), which consisted of one strong and one weak absorption bands. The detailed results were listed in Table S3. The results showed that electron transitions corresponding to absorption bands involve six frontier orbitals (Fig. S15 and Table S3) and the first transition (HOMO $\rightarrow$ LUMO) will induce charge transfer from phenothiazine to formyl group, which resulted in an excited state with a larger polarity relative to that of the ground state. Furthermore, the twisted angles of phenothiazine group reached 154.2° (Fig. 2a), suggesting a nonplanar molecular conformation. And the frontier orbital plots of the HOMO and LUMO were shown in Fig. 2b and Fig. S16. It was found that the LUMO orbitals were almost

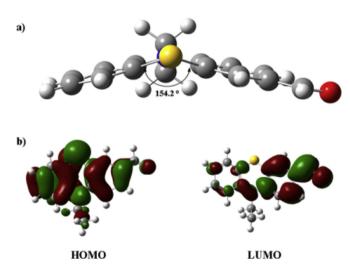


Fig. 2. (a) Optimized molecular structure and (b) electron density distributions of the frontier molecular orbitals of **PCA2**.

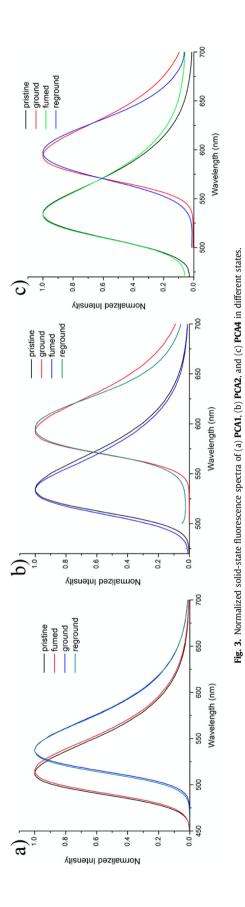


Table 1Peak wavelengths ( $\lambda$ /nm) of PCAn under various external stimuli.

Samples	$\lambda_{annealed}$	$\lambda_{\text{pressed}}$	$\lambda_{\text{fumed}}$	$\lambda_{repressed}$	$\Delta\lambda_{MFC}{}^a$
PCA1	515	537	512	537	22
PCA2 PCA4	535 533	592 594	534 535	594 596	57 61

 $^a$  Pressing-induced spectral shift,  $\Delta\lambda_{MFC}=\lambda_{pressed}$  -  $\lambda_{annealed}$ 

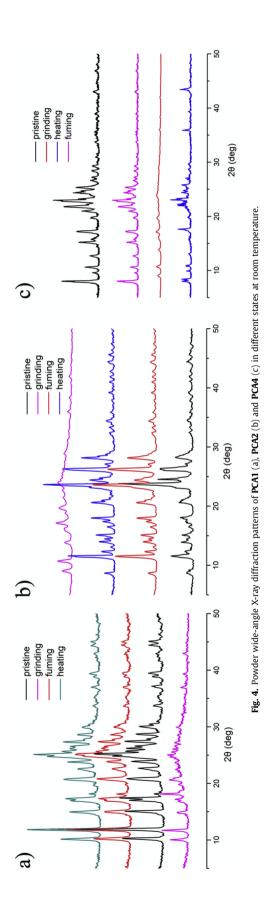
distributed at formyl groups and the near benzene rings, while the HOMO orbitals mainly populated predominately on the phenothiazine moieties. Therefore, PCAn are polar molecules and mechanochromic activities were expected for them in the solid states.

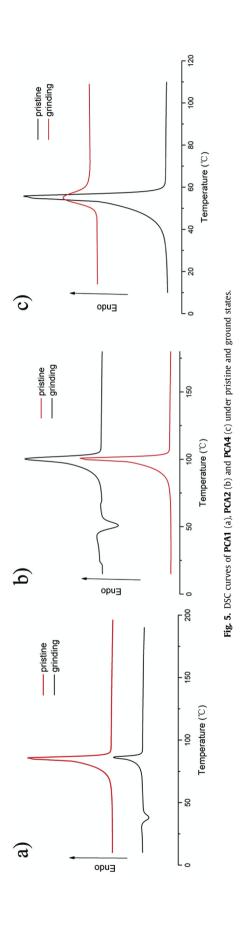
To gain insight into the nature of the ground electronic state of these four phenothiazine derivatives PCAn, we performed theoretical calculations on their energy levels by using the density functional theory (DFT) method at B3LYP/6-31G (d, p) basis in the Gaussian 09W program package and cyclic voltammetry (CV) measurements were performed in dry dichloromethane solutions with Tetrabutylammonium Tetrafluoroborate as the supporting electrolyte. Cyclic voltammograms of PCA1, PCA2, PCA4 and PCA6 are shown in Fig. S17, and the corresponding data are listed in Table S2. It was found that all of the phenothiazine derivatives exhibited two well-defined reversible oxidation processes and the half-wave potential located at 1.02, 1.00, 1.02 and 1.02 V (vs.  $Fc/Fc^+$ ), respectively. The redox potential of Fc/Fc<sup>+</sup> was located at 0.58 eV which possessed an absolute energy level of 4.8 eV relative to the vacuum level for calibration in our case. Additionally, the HOMO energy level was estimated according to the equation of  $E_{HOMO} = (E_{ox} + 4.22)$ , and the LUMO energy level was calculated using the empirical equation of  $E_{LUMO} = E_{HOMO} + E_{gap}$ , in which Egap was estimated from the onset of the absorption spectrum (Egap = 1240/ $\lambda$ onset). So the HOMO energy levels of PCAn were located at -5.24, -5.22, -5.24 and -5.24 eV, and their LUMO energy levels were located at -2.32, -2.28, -2.34 and -2.34 eV, respectively.

## 3.3. MFC properties of PCAn

Some non-planar tetraphenylethene, 9,10-divinylanthracene exhibit excellent MFC behavior with high contrast [41,42]. Especially, some functionalized phenothiazine derivatives showed good MFC behaviors which contained a non-planar butterfly conformation. And we have previously reported that some phenothiazine-based compounds exhibited MFC properties [43]. So we predicted that nonplanar D-A typed phenothiazine derivatives PCAn would exhibit MFC behaviors.

To check whether PCAn are mechanochromic, their fluorescence responses toward mechanical and solvent-fuming processes were studied. Fig. S18 and Fig. S19 were the fluorescence images of PCAn samples (mixed with KBr in order to save fluorophores) upon a cycle of pressing, heat-annealing, repressing and solvent-fuming. It was observed that the pressed PCAn samples emit yellowish-green to orange colors from PCA1 to PCA4 under UV illumination of a 365 nm lamp. However, when the pressed samples were annealed before the isotropic melt transition or exposed to solvent vapor (fuming above dichloromethane for 60s), green or yellowish-green fluorescence colors appeared again. But this phenomenon did not happen for PCA6 (Fig. S19 and Fig. S20). It was quite clear that, the PCAn with longer alkyl chains (n = 2, 4) exhibited more remarkable fluorescence color changes between pressed and annealed (or fumed) states (from orange to yellowish-green) than that with shorter alkyl chain (n = 1, from yellowish-green to green). Furthermore, when the fumed or annealed samples were repressed, the fluorescence colors were again changed as the first pressing. This process is reproducible, indicating a reversible MFC





behavior [44]. When the samples were pressed, the red shifts of PCAn fluorescence emission wavelength occurred to some extent. Meanwhile, the emission spectra of above samples under various stimuli were recorded on a luminescence spectrophotometer (Fig. 3), the corresponding spectroscopic data were summarized in Table 1. As expected, the emission spectra recorded are comparably consistent with the corresponding fluorescence colors observed (Fig. S18). It was found that the peak emission wavelengths of pressed PCAn were 537, 592 and 594 nm, respectively, and the maximum emission of annealed states emerged at 515, 535 and 533 nm. Obviously, based on the emission spectra, the general trend of  $\Delta\lambda_{MFC}$  ( $\Delta\lambda_{MFC} = \lambda_{PRESSEd} - \lambda_{Annealed}$ ) increases with the length of alkyl chains. Therefore, PCAn homologs are also alkyl chain length-dependent MFC materials, and alkyl chains have played a functional role in tuning the MFC behavior [44,45].

To understand what happens upon pressing PCAn sample, powder wide-angle X-ray diffraction (XRD) and differential scanning calorimetry (DSC) experiments were conducted on the ground and as-prepared solids. As shown in Fig. 4, the diffraction curves of as-prepared PCAn solid showed sharper and more intense reflections, indicative of existence of the well-ordered micro-crystalline structures. In contrast, the diffraction peaks of the ground samples displayed broad and weak peaks, indicating notable amorphous features [8]. The diffraction peaks, and hence the crystalline structures, could be recovered after fuming or annealing, which resulted in blue shifts in the fluorescence spectra. The results indicated that the MFC properties of the PCAn solid samples should be attributed to the phase transition between crystalline and amorphous states [46].

The formation of amorphous state upon grinding has been further confirmed by DSC experiment. As shown in Fig. 5, the compounds exhibited obvious melting peak located at 87 °C, 101 °C and 55 °C, for PCA1, PCA2, and PCA4, respectively, and there was no change upon grinding. DSC curves showed that PCA1 and PCA2 displayed exothermic transition peak at the lower-temperature region in the ground state at 40 °C and 51 °C. This broad exothermic peak could be ascribed to the cold-crystallization (crystallizing from glass state) of ground PCAn solids upon annealing [47], which confirmed that the amorphous state was a metastable state. Unluckily, PCA4 gave no phase transition peak in ground state. The ground PCA2 solids had high exothermic peak values, which resulted in their stable MFC behavior at room temperature. The ground PCA1 solid could cold-crystallize at room temperature since its exothermic peak was located at 40 °C. Thus, the amorphized PCA1 solid induced by grinding rapidly recovered its fluorescence at lower temperatures and even exhibited spontaneous recovering fluorescence properties at room temperature.

## 4. Conclusions

A series of bowl-shaped phenothiazine derivatives functionalized by formyl group (PCAn, n = 1, 2, 4 and 6) with different lengths of *N*-alkyl chains have been designed. The spectral results and quantum chemical calculations indicate that the compounds are D-A molecules. Interestingly, these simple non-planar compounds **PCA1**, **PCA2** and **PCA4** exhibit pronounced and reproducible reversible mechanochromism, not only naked-eye visible and reversible mechanochromic behavior, but alkyl chain lengthdependent emission properties. XRD and DSC reveal that the transformation between crystalline and amorphous states upon various external stimuli is responsible for the MFC behavior. This work has demonstrated that the subtle manipulation of alkyl groups of phenothiazine functionalized by formyl group could endow these compounds with unique and tunable solid-state optical properties. And another phenothiazine derivatives are under

#### research.

## Acknowledgment

This work was supported by grants from the Natural Science Foundation of Science and Technology Agency of Shanxi Province (201601D021056), the Natural Science Foundation of Education Committee of Shanxi Province (20161111), and the Doctor Fund of Shanxi Normal University (0505/02070262).

## Appendix A. Supplementary data

Supplementary data related to this article can be found at http://dx.doi.org/10.1016/j.dyepig.2017.08.049.

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