

Amino Acid-Functionalized Ethyl Cellulose: Synthesis, Characterization, and Gas Permeation Properties

YOSHITAKA IKEUCHI,¹ FAREHA ZAFAR KHAN,¹ NAOYA ONISHI,¹ MASASHI SHIOTSUKI,¹ TOSHIO MASUDA,² YOSHIYUKI NISHIO,³ FUMIO SANDA¹

¹Department of Polymer Chemistry, Graduate School of Engineering, Kyoto University, Katsura Campus, Kyoto 615-8510, Japan

²Department of Environmental and Biological Chemistry, Faculty of Engineering, Fukui University of Technology, 3-6-1 Gakuen, Fukui 910-8505, Japan

³Division of Forest and Biomaterials Science, Graduate School of Agriculture, Kyoto University, Yoshida Campus, Kyoto 606-8502, Japan

Received 27 April 2010; accepted 8 June 2010

DOI: 10.1002/pola.24181

Published online in Wiley Online Library (wileyonlinelibrary.com).

ABSTRACT: Amino acid esters of ethyl cellulose [$R' = H$ (**1**), CH_3 (**2**), $CH_2CH(CH_3)_2$ (**3**), CH_2CONH_2 (**4**), $CH_2OCH_2C_6H_5$ (**5**, **5'**), $CH_2CH_2CH_2CH_2NHOCOC(CH_3)_3$ (**6**)] were synthesized in moderate to quantitative yields (30–99%) by the reaction of *t*-butoxy-carbonyl (*t*-Boc)-protected amino acids or an activated ester derivative with hydroxy groups of ethyl cellulose [EC; degree of substitution (DS_{Et}), 2.69]. The amino acid functionalities displaying varied chemical nature, shape, and bulk were used, and bulk of the substituent on the α -carbon of amino acids was elucidated to be of vital significance for the observed degree of incorporation (DS_{Est}). ¹H NMR spectra were used to determine the degree of incorporation of amino acid moiety (DS_{Est}), and almost complete substitution of the

hydroxy protons was revealed in **1**, **2**, and **5'**. The onset temperatures of weight loss of **1–6** were 198–218 °C, indicating fair thermal stability. The glass transition temperatures of the derivatized polymers were 30–40 °C lower than that of EC (T_g 131 °C; cf. T_g of **1–6**, 93.5–103 °C). Free-standing membranes of EC and its amino acid esters (**1**, **2**, **5**, **5'**, and **6**) were fabricated, and enhanced permselectivity for CO₂/N₂ and CO₂/CH₄ gas pairs was discerned, when compared with EC. © 2010 Wiley Periodicals, Inc. *J Polym Sci Part A: Polym Chem* 48: 3986–3993, 2010

KEYWORDS: amino acid; esterification; ethyl cellulose; gas permeation; glass transition

INTRODUCTION Cellulose is an inexhaustible natural polymeric material with a polyfunctional macromolecular structure and an environmentally benign nature, but its supramolecular architecture confers it with lack of solubility thus making its derivatization quite cumbersome. However, ethyl cellulose (EC), one of the commercially important cellulose ethers, is an odorless, thermoplastic, nonionic, and nontoxic polymer displaying excellent solubility in a wide range of common organic solvents.^{1–4} Owing to its ability to serve as an emulsifier and as a coating, binding, and film-forming agent, EC finds a multitude of industrial applications in food, industrial coatings, and textile printing. EC has also been extensively used in pharmaceutical formulations as a tablet binder, film-coating material, and thickening agent, and so forth, and particularly as a hydrophobic matrix in controlled-release dosage forms because it is a water-insoluble, nontoxic, nonallergenic, and nonirritant material.^{5–7} Moreover, it is worth mentioning that EC is a commercially available low-cost cellulosic characterized by its adequacy to form chemically resistant and mechanically tough free-standing mem-

branes, exhibiting moderate gas permeation/pervaporation capability.^{8–17} EC, being widely used as a pharmaceutical excipient and extensively studied as a membrane-forming material, possesses free hydroxy groups serving as the sites of chemical derivatization and offers the possibility to bring about the modification of various properties. The synthesis of EC derivatives with polar side chains might be expected to increase the CO₂ permselectivity of the resulting polymeric materials thus rendering them potentially more suitable for CO₂ separation membranes.

Amino acids are basic building blocks of nature capable of accomplishing a variety of exquisite functions spanning the horizons of natural to synthetic materials, serving the multifarious domains of life including food, drugs, and fibers, and playing a prominent role in the world of synthetic architectures as chiral sources for organic synthesis and optical resolution materials. In the past few decades, synthesis of amino acid- and peptide-containing polymers has attracted considerable attention because a high degree of amino acid functionality and chirality can confer the polymers with the

Correspondence to: F. Sanda (E-mail: sanda@adv.polym.kyoto-u.ac.jp)

Journal of Polymer Science: Part A: Polymer Chemistry, Vol. 48, 3986–3993 (2010) © 2010 Wiley Periodicals, Inc.

Materials

EC (ethoxy content, 49 wt %; degree of substitution by ethyl group (DS_{Et}) per anhydroglucose unit (AGU), 2.69; Aldrich), 4-(dimethylamino)pyridine (DMAP; Wako), and *N*-(3-dimethylaminopropyl)-*N'*-ethylcarbodiimide hydrochloride (EDC-HCl; Eiweiss Chemical) were commercially obtained and used as received. *N*- α -*t*-Butoxycarbonyl (*t*-Boc)-L-glycine, *N*- α -*t*-Boc-L-alanine, *N*- α -*t*-Boc-L-leucine, *N*- α -*t*-Boc-L-asparagine, *N*- α -*t*-Boc-*O*-benzyl-L-serine, and *N*- α -,*N*- ϵ -di-*t*-Boc-L-lysine were purchased from Watanabe Chemical Ind. (Japan). Dichloromethane (CH_2Cl_2), used as the reaction solvent, was purchased from Wako (Japan) and used without further purification.

Synthesis

Synthetic procedures and spectral data of amino acid esters of EC (**1–6** and **5'** in Schemes 1 and 2, respectively) are described below.

N- α -*t*-Butoxycarbonyl-L-glycine Ester of EC (**1**)

by Using EDC-HCl

A 200-mL one-necked flask was equipped with a stopper and a magnetic stirring bar. EC (1.00 g, 4.21 mmol of AGUs) was added into the flask and dissolved in CH_2Cl_2 (30 mL) at room temperature. DMAP (0.0772 g, 0.632 mmol) was introduced, followed by the addition of *N*- α -*t*-Boc-L-glycine (1.11 g, 6.34 mmol) and EDC-HCl (1.21 g, 6.32 mmol). After stirring for 48 h at room temperature, the reaction mixture was added dropwise to an aqueous $NaHCO_3$ solution (1000 mL) to precipitate the product, which was filtered with a membrane filter, washed with water several times to ensure the complete removal of $NaHCO_3$, and dried under vacuum to constant weight to afford the desired compound as a white solid.

Yield 99%, 1H NMR ($CDCl_3$, ppm): δ 5.66–2.88 (m), 1.58–1.33 (brs), 1.35–0.94 (brs).

N- α -*t*-Butoxycarbonyl-L-alanine Ester of EC (**2**)

by Using EDC-HCl

This derivative was prepared by the same procedure as for **1** using *N*- α -*t*-Boc-L-alanine instead of *N*- α -*t*-Boc-L-glycine.

Yield 99%, 1H NMR ($CDCl_3$, ppm): δ 5.40–2.72 (m), 1.58–1.49 (brs), 1.49–1.31 (brs), 1.24–0.78 (brs).

N- α -*t*-Butoxycarbonyl-L-leucine Ester of EC (**3**)

by Using EDC-HCl

This derivative was prepared by the same procedure as for **1** using *N*- α -*t*-Boc-L-leucine instead of *N*- α -*t*-Boc-L-glycine.

Yield 99%, 1H NMR ($CDCl_3$, ppm): δ 5.45–2.72 (m), 2.27–2.15 (brs), 1.50–1.37 (brs), 1.37–1.02 (brs).

N- α -*t*-Butoxycarbonyl-L-asparagine Ester of EC (**4**)

by Using EDC-HCl

This derivative was prepared by the same procedure as for **1** using *N*- α -*t*-Boc-L-asparagine instead of *N*- α -*t*-Boc-L-glycine.

Yield 99%, 1H NMR ($CDCl_3$, ppm): δ 5.32–2.72 (m), 2.52–1.80 (brs), 1.46–1.34 (brs), 1.34–0.60 (brs).

N- α -*t*-Butoxycarbonyl-*O*-benzyl-L-serine Ester of EC (**5**)

by Using EDC-HCl

This derivative was prepared by the same procedure as for **1** using *N*- α -*t*-Boc-*O*-benzyl-L-serine instead of *N*- α -*t*-Boc-L-gly-

cine. After washing with water, the reaction product was dissolved in CH_2Cl_2 , isolated by precipitation into DMSO, filtered with a membrane filter, and dried under vacuum to constant weight to afford the desired compound as a white solid.

Yield 78%, 1H NMR ($CDCl_3$, ppm): δ 7.42–7.17 (brs), 5.10–2.68 (m), 1.65–1.37 (brs), 1.37–0.72 (brs).

N- α -,*N*- ϵ -di-*t*-Butoxycarbonyl-L-lysine Ester of EC (**6**) by Using EDC-HCl

This derivative was prepared by the same procedure as for **1** using *N*- α -,*N*- ϵ -di-*t*-Boc-L-lysine instead of *N*- α -*t*-Boc-L-glycine.

Yield 30%, 1H NMR ($CDCl_3$, ppm): δ 5.42–2.69 (m), 2.12–1.91 (brs), 1.68–1.31 (brs), 1.31–0.58 (brs).

Activated Ester of *N*- α -*t*-Butoxycarbonyl-*O*-benzyl-L-serine (**7**)

A 200-mL one-necked flask was equipped with a stopper and a magnetic stirring bar. *N*-Hydroxysuccinimide (0.288 g, 2.50 mmol), DMAP (0.0305 g, 0.250 mmol), *N*- α -*t*-Boc-*O*-benzyl-L-serine (0.739 g, 2.50 mmol) and EDC-HCl (0.479 g, 2.50 mmol) were added into the flask and dissolved in CH_2Cl_2 (20 mL). After stirring for 14 h at room temperature, the reaction mixture was washed with 0.5 M HCl, saturated aqueous $NaHCO_3$ solution, and saturated aqueous NaCl solution, successively. The obtained organic layer was dried over anhydrous $MgSO_4$, filtered through membrane filter, and then concentrated under reduced pressure. The crude product was purified by silica gel column chromatography [eluent: hexane/ethyl acetate (4:1)] to afford **7** as a white solid.

Yield 81%, 1H NMR ($CDCl_3$, ppm): δ 7.35–7.22 (m), 5.45–5.35 (d), 5.13–5.05 (brs), 4.85–4.78 (d), 4.65–4.58 (d), 4.58–4.50 (d), 4.00–3.88 (dd), 3.81–3.75 (dd), 2.91–2.72 (s), 1.55–1.30 (s).

N- α -*t*-Butoxycarbonyl-*O*-benzyl-L-serine Ester of EC (**5'**)

by Using Activated Ester (**7**)

A 200-mL one-necked flask was equipped with a stopper and a magnetic stirring bar. EC (0.319 g, 1.34 mmol of AGUs) was added into the flask and dissolved in THF (20 mL) at room temperature. DMAP (0.0772 g, 0.632 mmol) was introduced, followed by the addition of **7** (0.792 g, 2.01 mmol), and stirring was continued for 72 h along with heating under reflux. The product was isolated by precipitation in aqueous $NaHCO_3$ solution (1000 mL), filtered with a membrane filter, washed with water several times to ensure the complete removal of $NaHCO_3$, and dried under vacuum to constant weight to afford the desired compound as a white solid.

Yield 50%, 1H NMR ($CDCl_3$, ppm): δ 7.30–7.10 (brs), 5.66–2.88 (m), 1.58–1.33 (brs), 1.35–0.94 (brs).

Membrane Fabrication

Membranes (thickness ca. 40–80 μm) of EC and **1–6** were fabricated by casting their toluene solution (concentration ca. 0.50–1.0 wt %) onto a flat-bottomed Petri dish. The dish was covered with a glass vessel to retard the rate of solvent

TABLE 1 Esterification of Ethyl Cellulose with Amino Acids

Polymer	Yield (%)	DS _{Est} ^a (%)	M _n ^b	M _w /M _n ^b
EC	–	–	49,000	3.2
1	99	100	61,000	2.9
2	99	100	66,000	3.1
3	99	15	55,000	3.4
4	99	18	52,000	3.3
5	78	69	97,000	2.7
5'	50	100	105,000	2.3
6	30	80	102,000	2.3

^a DS_{Est}, degree of esterification. Calculated from ¹H NMR measurement in CDCl₃.

^b Estimated by GPC (THF, PSt).

evaporation (3–5 days). Membrane thickness was estimated by using a Mitutoyo micrometer.

Measurement of Gas Permeability Coefficients

The gas permeability coefficients (*P*) were measured with a Rikaseiki K-315-N gas permeability apparatus using a constant volume/variable-pressure system. All of the measurements were carried out at 25 °C and a feed pressure of 0.1 MPa (1 atm), while the downstream side of the membrane in the system was being evacuated. The *P* values were calculated from the slopes of the time-pressure curves in the steady state where Fick's law holds.⁴⁶

RESULTS AND DISCUSSION

Amino Acid Esterification of Ethyl Cellulose

The amino acid esterification of EC was accomplished by coupling the hydroxy functionalities of EC with the carboxy termini of amino acids bearing *t*-Boc-protected amino moieties; *N*-(3-dimethylaminopropyl)-*N'*-ethylcarbodiimide hydrochloride (EDC-HCl) was used as a condensation agent, 4-(dimethylamino)pyridine (DMAP) as a base, and CH₂Cl₂ as a solvent (Scheme 1). In another attempt, activated ester of amino acid (**7**) was synthesized, followed by its reaction with EC in the presence of DMAP in THF (Scheme 2). The results are summarized in Table 1. The DS_{Est} of EC was estimated to be 2.69, by calculating the integration ratio of methyl protons to the rest of the protons in the ¹H NMR spectrum of EC, indicating the presence of 0.31 hydroxy groups per AGU.^{40,42,44,45} The ¹H NMR spectral data of amino acid esters of EC (**1–6**) were obtained to determine the degree of substitution by ester group, namely aminoalkanoyl substituent, (DS_{Est}) by making an estimation of the peak intensity ratios of the terminal methyl protons of ethyl group of EC and those of *t*-Boc moieties of the amino acid pendants. The DS_{Est} of amino acid esters of EC, synthesized by using a condensation agent, was discerned to be primarily affected by the bulk of the substituent on the α-carbon of the amino acid moieties, and the residual hydroxy protons of the starting cellulosic were quantitatively substituted only in the *t*-Boc-protected glycine- and alanine-derivatized polymers (**1** and **2**: DS_{Est}, 100%). On the other hand, in leucine- and asparagine-derived polymers (**3** and **4**), the presence of

bulky isobutyl and amide groups was attended by quite low degree of esterification (**3**: DS_{Est}, 15%; **4**: DS_{Est}, 18%); nevertheless, serine- and lysine-functionalized (**5** and **6**) polymers displayed fairly high extent of amino acid incorporation (**5**: DS_{Est}, 69%; **6**: DS_{Est}, 80%) owing to the presence of relatively planar benzyl group and comparatively longer butylene spacer in **5** and **6**, respectively. The aminoalkanoylation of EC by using an activated ester of *N*-α-*t*-Boc-*O*-benzyl-L-serine (**7**) accomplished the complete esterification (**5'**: DS_{Est}, 100%).

The molecular weights of the starting (EC) as well as derivatized polymers (**1–6**) were estimated by gel permeation chromatography, and the data are listed in Table 1. The esterification of EC involving the substitution of small hydroxy protons with bulky organic moieties accompanied an increase in the molecular weight of the polymers; for example, the M_n of EC was observed to be 49 000, while those for **1–6** were 52,000–105,000, respectively. Moreover, the polydispersity indices (M_w/M_n) of the amino acid-functionalized polymers (**1–6**) were not quite different from those of EC; for instance, the M_w/M_n of EC and **1–6** were 3.2 and 2.3–3.4, respectively, thus ruling out the possibility of polymer chain cleavage under the mild reaction conditions used for esterification.

Solubility and Thermal Properties of the Polymers

The solubility characteristics of EC and its amino acid esters (**1–6**) are shown in Table 2. EC is soluble in polar protic solvents such as methanol, highly polar aprotic solvents like DMF, and partly soluble in moderately polar acetone. Upon the incorporation of aminoalkanoyl substituents, the solubility in methanol and DMF was retained, whereas **1–6** were completely or partly soluble in acetone, and this tendency can reasonably be attributed to the presence of polar carbamate (protected amino) and ester linkages. Despite the introduction of polar substituents, the solubility behavior of derivatized polymers (**1–6**) in THF, CHCl₃, and toluene was also the same as that of the starting polymer (EC) presumably by virtue of peripheral *t*-butyl groups. Thus, it can be inferred that the amino acid esterification of EC has led to an overall improvement in the organosolubility particularly in acetone.

The thermal stability of the polymers (EC and **1–6**) was examined by TGA in air (Table 3 and Fig. 1). The onset temperature of weight loss (*T*₀) of EC was 311 °C, whereas those for **1–6** were in the range of 198–218 °C. The TGA curves of all the amino acid esters (**1–6**) exhibited an almost identical pattern of three-step weight loss commencing at approximately 200, 300, and 370 °C, respectively. In contrast, a two-step weight loss was observed with the starting cellulosic. For **1–6**, the decomposition at first stage should be the cleavage of peripheral *t*-Boc groups because the *t*-butyl moiety is thermally labile to release isobutene above 180 °C.^{47–49}

The *T*_g values of the polymers (EC, **1**, **2**, **5**, and **6**) were determined by DSC under nitrogen (Fig. 2). However, **5'** exhibited no *T*_g up to 200 °C. The incorporation of *t*-Boc-protected amino acid pendants was observed to accompany a decrease in the *T*_g of polymers; for instance, those of EC and its amino acid esters were 131 and 93.5–103 °C, respectively

TABLE 2 Solubility^a of EC and 1–6

Polymer	DS _{Est} (%)	Hexane	Toluene	CHCl ₃	THF	Acetone	Methanol	DMF
EC	–	–	+	+	+	±	+	+
1	100	–	+	+	+	+	+	+
2	100	–	+	+	+	+	+	+
3	15	–	+	+	+	±	+	+
4	18	–	+	+	+	±	+	+
5	69	–	+	+	+	+	+	+
5'	100	–	+	+	+	+	+	+
6	80	–	+	+	+	+	+	+

^a Symbols: +, soluble; –, insoluble; ±, partly soluble.

(Table 3). The variation in the glass transition temperature of the polymeric materials is dramatically affected by the nature of side chains, and the increased polarity entails enhanced T_g values and vice versa. On the other hand, the bulk and shape of the substituents are also of vital significance, and the presence of spherical bulky substituents augments the chain flexibility and thus ensues the reduced T_g .⁵⁰ In our previous studies concerning the dendronization of EC, the presence of polar amide-containing dendritic wedges with bulky and spherical nonpolar periphery has been reported to lead to a considerable decrease in the T_g of the polymers.⁴² Similarly, the present series of polymers (1–6) is characterized by the presence of polar amino and ester linkages along with fairly bulky and spherical nonpolar periphery, probably the latter being more dominant in the determination of T_g . Hence, the substitution of small hydroxy protons by bulkier aminoalkanoyl functionalities has resulted in the lowering of T_g in the present series of polymeric materials.

Gas Permeability of the Polymers

The permeability coefficients of membranes of EC, 1, 2, 5, 5', and 6 to helium, hydrogen, nitrogen, oxygen, carbon dioxide, and methane, determined from the steady state transport of

each pure gas at 25 °C, are listed in Table 4. The P values of the amino acid-functionalized polymers were lower than those of EC, and their magnitude seems to be determined by the shape, size, chemical nature, and mobility of the pendant groups.

This study reveals the effect of the incorporation of amino acid pendants on the gas permeation characteristics of EC. To date, there have been few reports concerning the amino acid functionalization of EC and outcomes of this successful conjunction as a membrane-forming material. In polymeric membranes, the decrement of gas permeability emanating from the introduction of polar substituents due to the reduced free volume inside the polymer matrix is a well-established concept.^{42,51–57} As seen from Table 4, the substitution of small hydroxy protons of EC with relatively bulky and polar aminoalkanoyl substituents resulted in the decreased permeability coefficients for all the gases; for example, the P_{O_2} and P_{N_2} of EC are 25 and 7.8 barrers and those observed for its derivatized counterparts were 9.5–13 and 2.4–3.6 barrers, respectively. These trends in the gas

TABLE 3 Thermal Properties of EC and 1–6

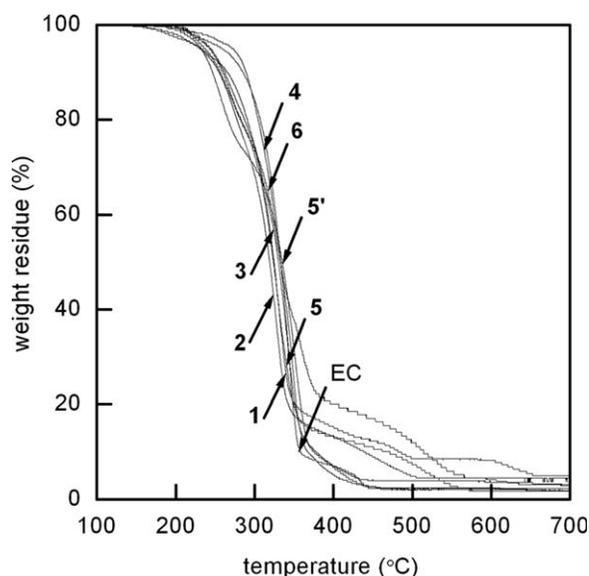
Polymer	DS _{Est} (%)	T_0^a (°C)	T_g^b (°C)
EC	–	311	131
1	100	216	97.4
2	100	200	103
3	15	198	– ^c
4	18	215	– ^c
5	69	211	101
5'	100	212	– ^d
6	80	218	93.5

^a Onset temperature of weight loss. Observed from TGA measurement in air (heating rate 10 °C min⁻¹).

^b Glass transition temperature. Determined by DSC analysis under N₂ (second scan, heating rate 20 °C min⁻¹).

^c Not measured.

^d No T_g was observed up to 200 °C.

**FIGURE 1** TGA curves of EC and 1–6 (in air, heating rate 10 °C min⁻¹).

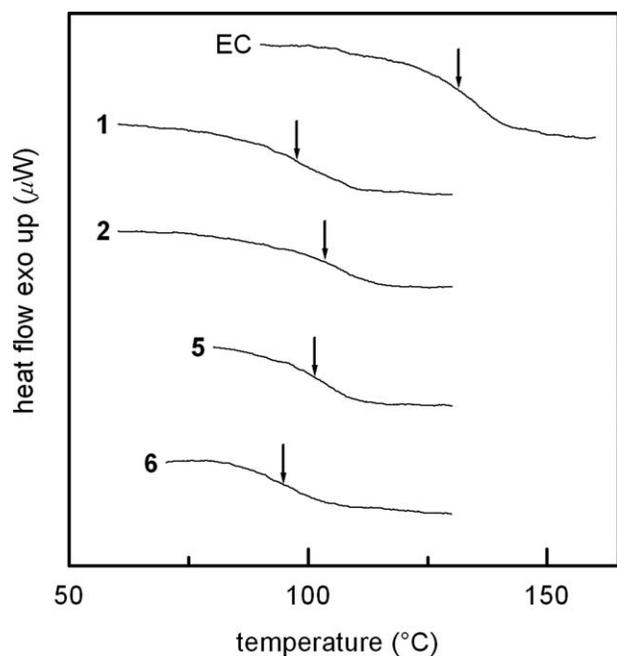


FIGURE 2 DSC thermograms of EC, **1**, **2**, **5**, and **6** (under N₂, heating rate 20 °C min⁻¹).

permeability of amino acid-functionalized polymers can reasonably be ascribed to the presence of polar (amino and ester) groups resulting in a denser chain packing, leading to the reduced free volume inside the polymer matrix, and in turn lower gas permeability. The gas permeability did not vary significantly with the change in the substituent on α -carbon of the amino acid functionalities as they possess great similarity in composition and shape. However, subtle variations in the gas permeability emanating from the slight structural modification did not go unnoticed; for instance, the carbon dioxide permeability coefficients (P_{CO_2}) for **1**, **2**, **5**, **5'**, and **6** were 73, 56, 80, 56, and 70 barrers, respectively. Among all the amino acid esters of EC, **5** displayed the highest CO₂ permeability, which stems presumably from the presence of an ether linkage in addition to the amino and ester functionalities because ether group is known to exhibit an affinity for CO₂ due to dipole–quadrupole interactions.⁵⁶ Despite its higher degree of esterification, the CO₂ permeability as well as the $P_{\text{CO}_2}/P_{\text{N}_2}$ and $P_{\text{CO}_2}/P_{\text{CH}_4}$ selectivity ratios

exhibited by **5'** (DS_{Est} , 100%) were lower than those of **5** (DS_{Est} , 69%). The decrement in CO₂ permeability as well as permselectivity, accompanied by the augmentation in the density of aminoalkanoyl pendants, can be explained to arise from the enhanced hydrogen bonding and, in turn, the hindered segmental mobility and reduced chain spacing prohibiting the interaction of CO₂ molecules with polar side groups.

The most worth mentioning of the gas permeation characteristics of amino acid esters of EC (**1**, **2**, **5**, **5'**, and **6**) is the increased permselectivity for various gas pairs (Table 4). The $P_{\text{CO}_2}/P_{\text{N}_2}$ selectivity of EC is 19, which increased up to 25 after the substitution of hydroxy groups by serine-derived appendages. Similarly, the $P_{\text{CO}_2}/P_{\text{CH}_4}$ selectivity of EC (9.1) underwent an increase upon derivatization (10–11). The decrease in the gas permeability with a concomitant increase in the permselectivity for various gas pairs is in accordance with the well-known “tradeoff” relation.^{58–62} The increased CO₂ permselectivity can be accounted for by the enhanced solubility of CO₂ in the polymer matrix probably due to the interaction of quadrupolar CO₂ molecules with the polar amino and ester moieties. The permselectivity enhancement in the present series of polymers might not appear quite significant at first glance; however, these results should be dealt with great care keeping in mind the two plausible reasons: (i) functionalization of the polymer chain could not be effected at each monomer unit as there was, on average, one hydroxy group available for derivatization per three AGUs, (ii) polar amino moieties are protected by the bulky *t*-Boc groups and therefore not exposed enough to get involved in effective interactions with CO₂ molecules. The present results imply the sensitivity of the gas transport properties of membrane-forming materials toward the modification of subtle structural features such as interchain spacing and segmental mobility.

CONCLUSIONS

This study is concerned with the synthesis of a series of *t*-Boc-protected amino acid esters of EC (**1**: DS_{Est} , 100%; **2**: DS_{Est} , 100%; **3**: DS_{Est} , 15%; **4**: DS_{Est} , 18%; **5**: DS_{Est} , 69%; **5'**: DS_{Est} , 100%; and **6**: DS_{Est} , 80%) delineating an approach to transform the thermal and gas permeation characteristics of an organosoluble cellulosic. The use of EDC·HCl as a

TABLE 4 Gas Permeability of EC, **1**, **2**, **5**, **5'**, and **6**

Polymer	DS_{Est} (%)	P (Barrer) ^a							$P_{\text{CO}_2}/P_{\text{N}_2}$	$P_{\text{CO}_2}/P_{\text{CH}_4}$
		He	H ₂	N ₂	O ₂	CO ₂	CH ₄			
EC		63	96	7.8	25	146	16	19	9.1	
1	100	44	60	3.6	13	73	7.0	20	10	
2	100	39	48	2.4	9.5	56	4.9	23	11	
5	69	35	50	3.2	12	80	7.2	25	11	
5'	100	37	44	2.7	10	56	5.5	21	10	
6	80	40	55	3.0	12	70	6.5	23	11	

^a 1 barrer = 1×10^{-10} cm³ (STP) cm cm⁻² s⁻¹ cmHg⁻¹.

condensation agent in the presence of DMAP has been demonstrated to accomplish a facile mode of amino acid esterification of EC without any polymer chain cleavage in the course of the reaction. The bulk of the substituent on the α -carbon of the amino acid pendants was revealed to be the most significant parameter effecting the extent of substitution of the hydroxy protons of EC (DS_{Et} , 2.69), and complete incorporation of amino acid functionalities ($DS_{Est} \approx 100\%$) was observed for *t*-Boc-protected glycine and alanine in the presence of EDC-HCl. Moreover, complete esterification could also be attained for serine by using its activated ester, as evidenced by ^1H NMR. The esterification of EC with bulky organic moieties resulted in enhanced solubility in common organic solvents, notably in acetone. Fair thermal stability was revealed, and initiation of weight loss was elucidated to ensue from the degradation of *t*-butyl moieties around 200 °C in air. The amino acid functionalization of EC accompanied the lowering of glass transition temperature. Free-standing membranes were fabricated by solution casting, and the presence of polar groups led to the decreased gas permeability, due to the augmented interactions and thus reduced free volume space inside the polymer matrix, along with the improved/increased CO_2 permselectivity.

The authors express their sincere gratitude for financial support by the Research Institute of Innovative Technology for the Earth (RITE), The Sumitomo Foundation (No. 073328), and The Nissan Science Foundation.

REFERENCES AND NOTES

- Crowley, M. M.; Schroeder, B.; Fredersdorf, A.; Obara, S.; Talarico, M.; Kucera, S.; McGinity, J. W. *Int J Pharm* 2004, 269, 509–522.
- Li, X.-G.; Kresse, I.; Xu, Z.-K.; Springer, J. *Polymer* 2001, 42, 6801–6810.
- Mishra, S. P. *A Text Book of Fibre Science and Technology*, 1st ed.; New Age International (P) Ltd.: New Delhi, 2000; Chapter 4, pp 62.
- Klemm, D.; Philipp, B.; Heinze, T.; Heinze, U.; Wagenknecht, W. *Comprehensive Cellulose Chemistry*, Vols. 1, 2; Wiley-VCH: Weinheim, 1998.
- Jones, D. In *Pharmaceutical Applications of Polymers for Drug Delivery*; Humphreys, S., Ed.; Rapra: UK, 2004; pp 5–7.
- Swatloski, R.; Holbrey, J., Spear, S., Rogers, R. *Electrochem Soc Proc* 2002, 19, 155.
- Bodmeier, R.; Guo, X.; Paeratakul, O. In *Aqueous Polymeric Coatings for Pharmaceutical Dosage Forms*, 2nd ed.; McGinity, J. W., Ed.; Marcel Dekker Inc.: New York, 1997; pp 55–80.
- Li, X.-G.; Huang, M.-R.; Gu, G.-F.; Qiu, W.; Lu, J.-Y. *J Appl Polym Sci* 2000, 75, 458–463.
- Bai, S.; Sridhar, S.; Khan, A. A. *J Membr Sci* 2000, 174, 67–79.
- Ravindra, R.; Sridhar, S.; Khan, A. A.; Rao, A. K. *Polymer* 2000, 41, 2795–2806.
- Wang, Y.; Easteal, A. J. *J Membr Sci* 1999, 157, 53–61.
- Li, X.-G.; Huang, M.-R.; Hu, L.; Lin, G.; Yang, P.-C. *Eur Polym J* 1999, 35, 157–166.
- He, Y.; Yang, J.; Li, H.; Huang, P. *Polymer* 1998, 39, 3393–3397.
- Li, X.-G.; Huang, M.-R. *J Appl Polym Sci* 1997, 66, 2139–2147.
- Houde, A. Y.; Stern, S. A. *J Membr Sci* 1997, 127, 171–183.
- Suto, S.; Niimi, T.; Sugiura, T. *J Appl Polym Sci* 1996, 61, 1621–1630.
- Houde, A. Y.; Stern, S. A. *J Membr Sci* 1994, 92, 95–101.
- Baughman, T. W.; Wagener, K. B. *Adv Polym Sci* 2005, 176, 1–42.
- Okoshi, K.; Sakajiri, K.; Kumaki, J.; Yashima, E. *Macromolecules* 2005, 38, 4061–4064.
- Vriezema, D. M.; Kros, A.; de Gelder, R.; Cornelissen, J.; Rowan, A. E.; Nolte, R. J. M. *Macromolecules* 2004, 37, 4736–4739.
- Vriezema, D. M.; Hoogboom, J.; Velonia, K.; Takazawa, K.; Christianen, P. C. M.; Maan, J. C.; Rowan, A. E.; Nolte, R. J. M. *Angew Chem Int Ed* 2003, 42, 772–776.
- Katsarava, R. *Macromol Symp* 2003, 199, 419–429.
- Vandermeulen, G. W. M.; Tziatzios, C.; Klok, H.-A. *Macromolecules* 2003, 36, 4107–4114.
- Checot, F.; Lecommandoux, S.; Gnanou, Y.; Klok, H.-A. *Angew Chem Int Ed* 2002, 41, 1339–1343.
- Klok, H.-A.; Langenwalter, J. F.; Lecommandoux, S. *Macromolecules* 2000, 33, 7819–7826.
- Sanda, F.; Endo, T. *Macromol Chem Phys* 1999, 200, 2651–2661.
- Cornelissen, J. J. L. M.; Fischer, M.; Sommerdijk, N. A. J. M.; Nolte, R. J. M. *Science* 1998, 280, 1427–1430.
- Scholl, M.; Nguyen, T. Q.; Bruchmann, B.; Klok, H.-A. *J Polym Sci Part A: Polym Chem* 2007, 45, 5494–5508.
- Deng, C.; Chen, X.; Sun, J.; Lu, T.; Wang, W.; Jing, X. *J Polym Sci Part A: Polym Chem* 2007, 45, 3218–3230.
- Biagini, S. C. G.; Parry, A. L. *J Polym Sci Part A: Polym Chem* 2007, 45, 3178–3190.
- Sinaga, A.; Ravi, P.; Hatton, T. A.; Tam, K. C. *J Polym Sci Part A: Polym Chem* 2007, 45, 2646–2656.
- Carrillo, A.; Yanjarappa, M. J.; Gujraty, K. V.; Kane, R. S. *J Polym Sci Part A: Polym Chem* 2006, 44, 928–939.
- Ayres, L.; Hans, P.; Adams, J.; Löwik, D. W. P. M.; van Hest, J. C. M. *J Polym Sci Part A: Polym Chem* 2005, 43, 6355–6366.
- Klok, H.-A. *J Polym Sci Part A: Polym Chem* 2005, 43, 1–17.
- Maynard, H. D.; Okada, S. Y.; Grubbs, R. H. *J Am Chem Soc* 2001, 123, 1275–1279.
- Maynard, H. D.; Okada, S. Y.; Grubbs, R. H. *Macromolecules* 2000, 33, 6239–6248.
- Biagini, S. C. G.; Coles, M. P.; Gibson, V. C.; Giles, M. R.; Marshall, E. L.; North, M. *Polymer* 1998, 39, 1007–1014.

- 38** Nakagawa, T.; Fujiwara, Y.; Minoura, N. *J Membr Sci* 1984, 18, 111–127.
- 39** Khan, F. Z.; Shiotsuki, M.; Sanda, F.; Nishio, Y.; Masuda, T. *J Polym Sci Part A: Polym Chem* 2008, 46, 2326–2334.
- 40** Khan, F. Z.; Shiotsuki, M.; Nishio, Y.; Masuda, T. *J Membr Sci* 2008, 312, 207–216.
- 41** Jinqing, Q.; Khan, F. Z.; Satoh, M.; Wada, J.; Hayashi, H.; Mizoguchi, K.; Masuda, T. *Polymer* 2008, 49, 1490–1496.
- 42** Khan, F. Z.; Shiotsuki, M.; Nishio, Y.; Masuda, T. *Macromolecules* 2007, 40, 9293–9303.
- 43** Morita, R.; Khan, F. Z.; Sakaguchi, T.; Shiotsuki, M.; Nishio, Y.; Masuda, T. *J Membr Sci* 2007, 305, 136–145.
- 44** Khan, F. Z.; Sakaguchi, T.; Shiotsuki, M.; Nishio, Y.; Masuda, T. *Macromolecules* 2006, 39, 9208–9214.
- 45** Khan, F. Z.; Sakaguchi, T.; Shiotsuki, M.; Nishio, Y.; Masuda, T. *Macromolecules* 2006, 39, 6025–6030.
- 46** Masuda, T.; Iguchi, Y.; Tang, B.-Z.; Higashimura, T. *Polymer* 1988, 29, 2041–2049.
- 47** Zhang, C.; Price, L. M.; Daly, W. H. *Biomacromolecules* 2006, 7, 139–145.
- 48** Newkome, G. R.; Weis, C. D.; Abourahma, H. *ARKIVOC* 2000, 1, 210–217.
- 49** Depuy, C. H.; King, R. W. *Chem Rev* 1960, 60, 431–457.
- 50** Stevens, M. P. *Polymer Chemistry: An Introduction*, 3rd ed.; Oxford University Press: New York, 1999; Chapter 4, pp 70–74.
- 51** Katsumata, T.; Maitani, M.; Huang, C.-C.; Shiotsuki, M.; Masuda, T. *Polymer* 2008, 49, 2808–2816.
- 52** Senthilkumar, U.; Reddy, B. S. R. *J Membr Sci* 2007, 292, 72–79.
- 53** Kono, T.; Sakaguchi, T.; Hu, Y.; Shiotsuki, M.; Sanda, F.; Masuda, T. *J Polym Sci Part A: Polym Chem* 2006, 44, 5943–5953.
- 54** Lin, H.; Freeman, B. D. *J Mol Struct* 2005, 739, 57–74.
- 55** Shida, Y.; Sakaguchi, T.; Shiotsuki, M.; Sanda, F.; Freeman, B. D.; Masuda, T. *Macromolecules* 2005, 38, 4096–4102.
- 56** Lin, H.; Freeman, B. D. *J Membr Sci* 2004, 239, 105–117.
- 57** Ghosal, K.; Chern, R. T.; Freeman, B. D.; Daly, W. H.; Negulescu, I. I. *Macromolecules* 1996, 29, 4360–4369.
- 58** Robeson, L. M. *J Membr Sci* 2008, 320, 390–400.
- 59** Freeman, B. D. *Macromolecules* 1999, 32, 375–380.
- 60** Robeson, L. M.; Burgoyne, W. F.; Langsam, M.; Savoca, A. C.; Tien, C. F. *Polymer* 1994, 35, 4970–4978.
- 61** Koros, W. J.; Fleming, G. K. *J Membr Sci* 1993, 83, 1–80.
- 62** Robeson, L. M. *J Membr Sci* 1991, 62, 165–185.