

MODELS OF FOLATE COENZYMES 13¹ SYNTHESSES OF
 BENZO[a]QUINOLIZINE AND INDOLO[2,3-a]QUINOLIZINE
 DERIVATIVES VIA CARBON FRAGMENT TRANSFER FROM FOLATE COENZYME MODELS

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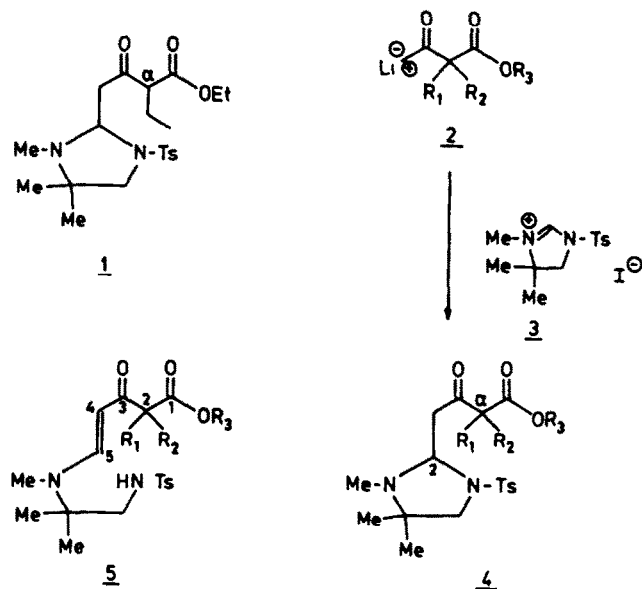
(Received in UK 26 April 1985)

Abstract - Anions of β,β -disubstituted acetyl acetates (2, $\text{CH}_3\text{COC(R}_1\text{)R}_2\text{COOR}_3$, $\text{R}_1 = \text{R}_2 = \text{Me}$, $\text{R}_3 = \text{Et}$, $\text{R}_2 = \text{COOMe}$, $\text{R}_1 = \text{Et}$, $\text{R}_2 = \text{SPh}$) add to 1-tosyl-2,3,4,4-trimethyl- Δ^2 -imidazolinium iodide (3) to give the corresponding imidazolines (4), which are regarded as models of methylenetetrahydrofolate. These models transfer C(2) of the imidazalodine -with its appended carbon fragment- to tryptamine and 2-(3,4-dimethoxybenzene)ethyl amine to yield enaminoketo ester intermediates which can be converted into benzo[a]quinolizine and indolo[2,3-a]quinolizine derivatives, respectively, in two cyclization steps. The intermediate derived from transfer of carbon fragment $>\text{CH}-\text{CH}_2\text{COC(SPh)(Et)COOMe}$ to tryptamine exhibits reactions which involve nucleophilic displacement at a sulfur atom of a thio ether bond.

The application of suitable designed imidazolidines in the syntheses of a number of heterocyclic systems has been recently reported from this laboratory.^{3a-c} This general approach has been termed as "carbon-fragment transfer methodology", in view of the analogy of the crucial step of the reaction sequence to the one carbon unit transfer by coenzymes of tetrahydrofolate.⁴ The imidazolidines themselves have therefore been regarded as models of N⁵,N¹⁰-methylenetetrahydrofolate.⁵ We present here the convenient synthesis of benzo[a]quinolizine and indolo[2,3-a]quinolizine derivatives via suitable models. A preliminary communication on this work has been reported earlier.⁶

An appropriate methylenetetrahydrofolate model which can -via carbon-fragment transfer- lead to benzo[a]quinolizine and indolo[2,3-a]quinolizine derivatives, in turn capable of elaboration to a number of isoquinoline and indole alkaloids, is imidazolidine derivative 1 (Scheme A). Although 1 could be readily synthesized⁷ and its C(2)-fragment transferred with facility to 3,4-dimethoxybenzeneethyl amine and tryptamine, the resulting enaminoketo ester intermediates (analogous to 6 and 7 Scheme A) could not be efficiently cyclized⁷ to the desired benzoquinoline and indoloquinolizine derivatives. It was felt that the enolizable proton of the β -keto ester moiety in the intermediates, derived from 1, interfered with the base-catalyzed cyclization step. This reasoning suggested (a) a study aimed at confirmation of the proposed role of the enolizable proton and (b) a synthetic strategy in which a blocking group introduced at α -carbon of the model (1) was removed after the sequence of cyclization steps, starting from enaminoketo esters of type 5. In order to test whether α -proton(s) of the aforementioned intermediates inhibited acid/base catalyzed cyclizations, the model 4a was synthesized by addition of salt 3 to anion 2a (LDA/THF, -40°). Workup of the reaction mixture showed (NMR) that product 4a was contaminated by varying amounts of the enaminoketo ester 5a. The imidazolidine ring of 4a could, however, be readily opened by treatment with triethylamine (50°, CHCl_3 , 2h) to yield crystalline 5a in quantitative yield. Reaction of 5a with 3,4-dimethoxybenzeneethylamine and tryptamine, in presence of acetic acid, resulted in a smooth exchange of the amine moiety of 5a, to give 6 and 7a, respectively.

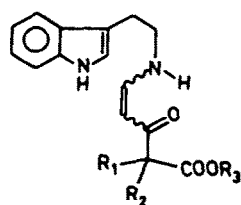
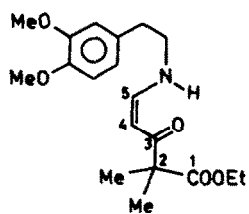
Orientation experiments aimed at accomplishment of the two sequential cyclization steps, starting



a $R_1 = R_2 = \text{Me}$, $R_3 = \text{Et}$

b $R_1 = \text{Et}$, $R_2 = \text{COOMe}$, $R_3 = \text{Me}$

c $R_1 = \text{Et}$, $R_2 = \text{SPh}$, $R_3 = \text{Me}$



7a $R_1 = R_2 = \text{Me}$, $R_3 = \text{Et}$

7b $R_1 = \text{Me}$, $R_2 = \text{COOMe}$, $R_3 = \text{Me}$

7c $R_1 = \text{Et}$, $R_2 = \text{SPh}$, $R_3 = \text{Me}$

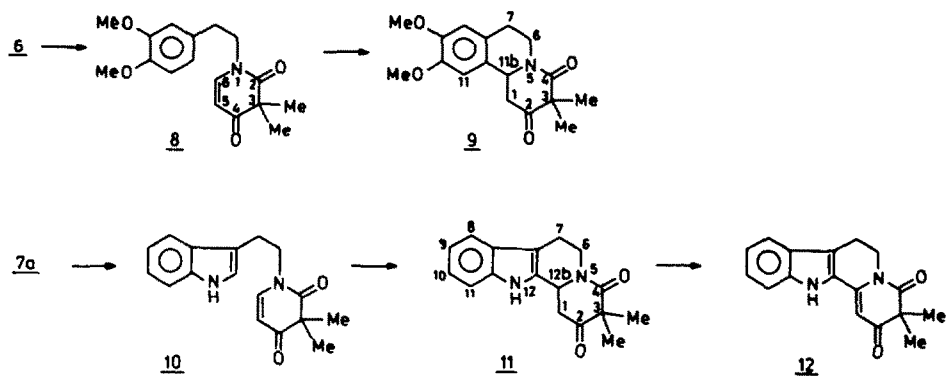
Scheme A

from 6 and 7a, pointed to the advantage of the sequence in which initial ring closure involved the formation of the lactam moiety. The reactions 6a → 8 and 7a → 10, proceeded in high yields when the starting esters were allowed to react with NaH in THF (Scheme B). The pyridones 8 and 10 underwent smooth acid catalyzed cyclizations to tri- and tetracyclic heterocycles 9 and 11, respectively. The indoloquinolizine 11 is highly prone to (air) oxidation to the dehydro product 12. Thus, during attempted purification or when solutions of the compound are allowed to stand for long periods of time 11 is converted into 12. However, crystalline 11 is a stable compound when kept at 0°, under nitrogen.

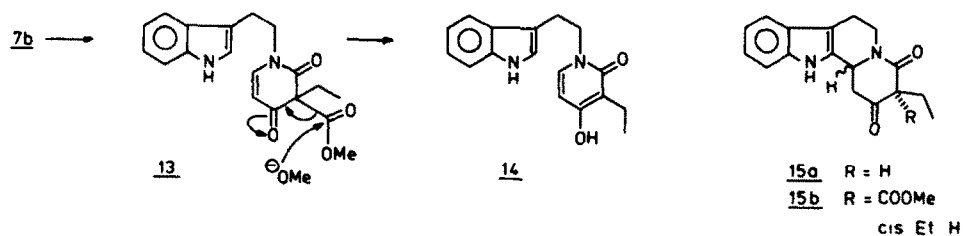
Having established that the presence of an enolizable proton in the enaminketo ester, (derived from reaction of 1 with arylethylamine or tryptamine) prevented the facile cyclizations leading to benzoquinolizine and indoloquinolizine derivatives, attention was directed to introduction of groups, in the model, which could be later removed at a convenient point in the synthetic scheme. In the first attempt towards this objective, model 4b was synthesized by reaction of anion 2b⁸ with salt 3. Transfer of the carbon-fragment of 4b, via 5b, to tryptamine yielded the expected enamino ketone 7b. Interestingly, whereas 7a was a single isomer possessing the *Z* geometry, 7b consisted of a mixture of *Z* (70%) and *E* (30%) geometric isomers (vide experimental). The base-catalyzed cyclization of the amino ester moiety was then examined. Treatment with NaH in tetrahydrofuran, at 0 °C resulted in the formation of pyridone 14, in which the second ester group had been lost. In contrast, when the reaction was carried out at -40 °C, the formation of ester 13 could be demonstrated. Furthermore, it was observed that at -15 °C, the reaction mixture contained 14 as the sole reaction product. These results suggest that while at -40 °C, cyclization occurs in the expected manner, to give product 13, the latter is unstable with respect to a nucleophilic attack at the ester carbonyl function, by the methoxide ion generated in the reaction. The subsequent loss of the ester moiety of 13, to yield 14, is obviously a consequence of the facile expulsion of the highly stabilized β-keto amide anion. Although 14 could be conveniently prepared by the abovementioned sequence of reactions, the inability to convert 14 to 15a, due to the presence of the highly acidic proton, led us to abandon this approach where the enolizable proton was exchanged for an ester moiety. It should be mentioned that although 13 could be directly cyclized to 15b (HCl/Et₂O, C₆H₆), the availability of 13 was so restricted as to make the method of little use for practical purposes.

In a second attempt to introduce a removable group at the α-position of the β-keto ester system 4, attention was directed to model 4c. It was visualized that the thiophenyl group could, after oxidation,⁹ be subjected to a base-catalyzed elimination reaction. Ester 2c was readily obtained in two steps, from methyl 2-bromobutyrate (16 → 17 → 2c, Scheme D). The anion of 2c reacted with 3 to yield 5c (via 4c) which allowed the transfer of C(2) -with its appended carbon fragment- to tryptamine, to form enaminketo ester 7c. However, when attempts were made to cyclize 7c, under influence of base (NaH), two products were obtained in 80% yield. One of the products was identical to pyridone 14, while the second component has been assigned structure 18 (Scheme E). This assignment is based upon spectral data of the compound (vide experimental). In particular, the mass spectrum was specially informative. A comparison of the mass spectra of 14 and 18 shows that both compounds exhibit an analogous fragmentation pattern with significant peaks which differ by a constant mass displacement of 108. Thus, the peaks at 252, 251 and 238 in the spectrum of 18 parallel the peaks at 144, 143 and 130 in that of 14. The lastmentioned fragments are typical of 3-indole derivatives bearing the 4-hydroxy-2-pyridone moiety¹⁰ and can be attributed to ions a, b and c (R = H, Scheme F). By analogy, the ions of 108 mass units higher, arising from 18, can be assigned to ions a, b and c (R = SPh).

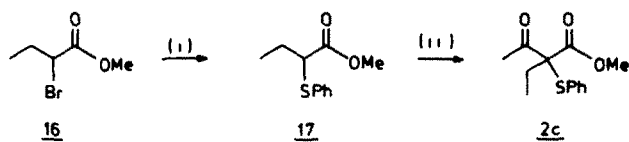
The formation of 14 and 18, upon treatment of 7c with NaH, deserves comment. It is evident that in both products the -SPh group has migrated from its original position to either an external acceptor or the indole nitrogen. This requires an initial sulphur-carbon bond fission of the thio ether moiety under basic conditions. Although cleavage of thio ethers is generally subject to electrophilic catalysis,¹¹ nucleophilic substitution at dicoordinated sulfur, especially involving displacement of electronegative groups (halogens, O-, S-, N-) is well documented.¹² In contrast, cases of displacement of a carbon fragment are scarce and limited to examples of stabilized carbanions.¹³ Bearing these results in mind a mechanistic pathway is suggested for the formation of 14 and 18, in Scheme E. Removal of a proton from 7c can result in an equilibrium mixture of two anions, namely 19



Scheme B

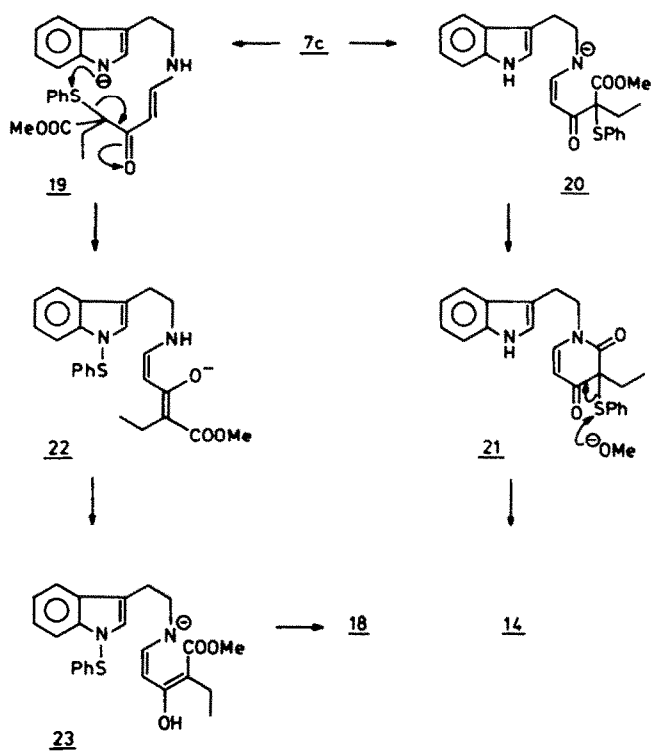


Scheme C

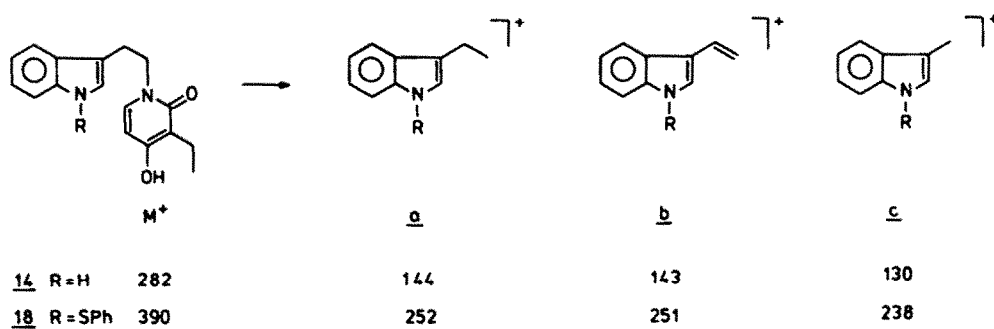


(i) PhSNa / THF (ii) LDA CH₃COCl THF

Scheme D



Scheme E



Scheme F

and 20 It should be emphasized at this point that while the geometry about the double bonds of 7a-c is dependent upon steric factors and hydrogen bonding, the Z and the E isomers are, in principle, interconvertible A similar lack of geometrical integrity of the double bonds in the enamide chains in 19, 20, 22 and 23 is also valid According to the proposed mechanism, anion 20 cyclized to the unsaturated keto lactam 21, which, under the reaction conditions, is not stable with respect to a nucleophilic attack by the generated methoxide anion on the sulphur atom of the thio ether moiety It will be appreciated that the facility of this reaction finds its origin in the high stability of the departing pyridine-2,4-dione anion For the formation of 18, an intramolecular transfer of the SPh group from C to N₁ (19 → 22), via a nucleophilic substitution at the sulfur in 19, is visualized as the initial step The released carbanion moiety in 22 is, once again, a highly stabilized species Conversion of 22 to 18 involves an initial N → O proton shift, followed by cyclization of the resulting anion 23, via an ester aminolysis step

The crucial step in the aforementioned mechanistic scheme, for the formation of 14 and 18, comprises displacement of a resonance stabilized anion by nucleophilic attack on divalently bonded sulfur In support of the mechanisms suggested in Scheme E, it was found that treatment of 7c with sodium methoxide resulted in the formation of both 14 and 18 as the main products Development of synthetic strategy which makes use of other removable groups is currently in progress

EXPERIMENTAL

All mps are uncorrected IR spectra were recorded on a Perkin-Elmer 257 spectrometer The absorptions are given in cm⁻¹ PMR spectra were run on a Varian Associates Model A-60-D and XL-100 or Bruker WM 250 instruments, using TMS as an internal standard Mass spectra were obtained with a Varian Matt-711 spectrometer Analyses were carried out at the Microanalytical Laboratory, Department of Physical-Organic and Analytical Chemistry, Organic Chemistry Institute, TNO, Zeist, The Netherlands THF was distilled from LiAlH₄ before use

Methyl 2-ethyl-2-(phenylthio)acetate 2c

To a solution of 10 mmol LDA in 100 ml THF was added 10 mmol 17 (2.10 g) dissolved in 5 ml THF at -78 °C under nitrogen After 15 min, 2.5 eq CH₂COCl was added to the mixture which was distilled before use After stirring for 1 hr at -40 °C a concentrated NaHCO₃ solution was added to the reaction mixture The mixture was extracted with ether, the organic layer washed with NaHCO₃, followed by NaCl and then dried over MgSO₄ Evaporation of the solvent, chromatography on SiO₂ with EtOAc/hexane (1:6) followed by bulb to bulb distillation gave a colourless oil (160 °C/0.002 mm) 2c Yield 1.91 g (72%) IR(CHCl₃) 1763 (w), 1735 (w), 1722 (m), 1709 (s), 688 (m) PMR(CDCl₃, 60 MHz) 0.98 (t, J = 7 Hz, 3H, CH₂CH₃), 2.10-1.65 (m, 2H, CH₂CH₃), 2.30 (s, 3H, COOCH₃), 3.74 (s, 3H, COOCH₃), 7.50-7.20 (m, 5H, S-ArH), FD (m/e) 252 (M⁺)

Ethyl-4-[2-(1-tosyl-3,4,4-trimethyl)imidazolidinyl]-2,2-dimethyl-3-oxo-butanoate (4a) and Ethyl (E)-5-[2-(tosylamino-1,1-dimethyl)ethyl methylamino-2,2-dimethyl-3-oxo-4-pentenoate (5a)]

1.01 g of diisopropyl amine (10 mmol, 1.42 ml) was dissolved in 150 ml of dry THF under nitrogen At -78 °C 10 mmol of a soln of n-butyllithium in hexane was added to the mixture After 10 min 1.58 g (10 mmol) of 2a, dissolved in 2 ml THF, was added to the mixture After stirring for another 10 min at -78 °C 3.94 g of 3 (10 mmol) was added while the mixture was vigorously stirred The mixture was kept at -40 °C for one hour Subsequently, the reaction mixture was allowed to reach room temperature (2 hr) The reaction mixture was concentrated under reduced pressure The residue was filtered through a column filled with silica gel using EtOAc (ethylacetate) as the eluent Concentration of the filtrate yielded an oil which contained unreacted 2a, the product 4a and a large amount of ring-opened product 5a 4a was recognized in the mixture by some characteristic signals in the PMR(CDCl₃) spectrum 0.25 (1s, 3H, NC(CH₃)), 2.05 (s, 3H, N-CH₃), 2.42 (s, 3H, TOS CH₃) Isolation from this mixture of 4a was not possible When the mixture was boiled in CHCl₃ containing triethylamine (1%) 4a could be readily opened to the more polar compound 5a After 2 hr the mixture was concentrated under reduced pressure Recrystallization from EtOH gave 5a as white crystals 5a Yield 2.8 g (66%), mp 130-132 °C (from EtOH) IR(CHCl₃) 3400-3300 (w), 1716 (s), 1645 (s), 1550 (vs), 1330 (m), 1160 (s) PMR(CDCl₃, 100 MHz) 1.25 (t, J = 7 Hz, 3H, O-CH₂CH₃), 1.32 (s, 6H, NC(CH₃)₂), 1.38 (s, 6H, (CH₃)₂C=C-O), 2.45 (s, 3H, TOS CH₃), 2.73 (s, 3H, N-CH₃), 2.96 (d, J = 7 Hz, 2H, CH₂-NH), 4.17 (q, J = 7 Hz, 2H, O-CH₂CH₃), 5.09 (d, J = 8 Hz, 2H, TOS C-H and TOS C-H), 7.76 (d, J = 8 Hz, 2H, TOS C-H and TOS C-H), 7.92 (d, J = 12 Hz, 1H, C-H) FD (m/e) 424 (M⁺) Found C, 59.50, H, 7.50, N, 6.50 Calc for C₂₁H₃₂N₂S₂O₅, C, 59.43, H, 7.54, N, 6.60

Methyl (E)-5-[2-(tosylamino-1,1-dimethyl)ethyl methylamino-2-ethyl-2-(methoxycarbonyl)-3-oxo-4-pentenoate (5b)]

To a solution of 150 ml THF containing 10 mmol LDA (lithiumdiisopropylamine) was added 2.02 g (10 mmol) of 2b dissolved in 2 ml THF at -78 °C under nitrogen After stirring for 10 min at -78 °C 3.94 g (10 mmol) of 3 was added while the mixture was vigorously stirred The temperature was kept at -70 °C for at least 2 hr After this, the reaction mixture was allowed to reach room temperature (2 hr) After evaporation of the solvent under reduced pressure and chromatography on silica gel using EtOAc/EtOAc/hexane (1:1) as the eluent, 5b could be obtained as white crystals after recrystallization from EtOAc The yield depended on the temperature after the addition of the salt (3) Higher temperature (-40 °C) gave a much lower yield of 5b (13%) 5b Yield 1.68 g (36%), mp 172-173 °C (from EtOAc) IR(CHCl₃) 3400-3300 (w), 1730 (s), 1648 (s), 1600 (w), 1550 (vs), 1160 (s) PMR(CDCl₃)

0.96 (t, J = 7.4 Hz, 3H, C²-CH₂CH₃), 1.29 (s, 6H, NC(CH₃)₂), 2.16 (q, J = 7.4 Hz, 2H, C²-CH₂CH₃), 2.41 (s, 3H, TOS CH₃), 2.69 (s, 3H, N-CH₃), 2.94 (q, J = 6.4 Hz, 2H, CH₂NH), 3.74 (s, 6H, COOCH₃), 5.11-5.06 (m, 1H, NH), 5.25 (d, J = 12.2 Hz, 1H, C⁵H), 7.29 (d, J = 8.3 Hz, TOS C⁵H and TOS C⁶H), 7.69 (d, J = 8.3 Hz, 2H, TOS C⁵H and TOS C⁶H), 7.88 (d, J = 12.2 Hz, 1H, C⁵H). MS Found 468.1917 Calc for C₂₂H₃₂N₂S₁O₇ 468.1930.

Methyl (E)-5-[2-(tosyl)amino-1,1-dimethylethyl]methyl amino-2-ethyl-2-(phenylthio)-3-oxo-4-pentenoate (5c)

To a solution of 6.4 mmol LDA in THF (-78 °C, nitrogen) was added 1612 mg of 2c (6.4 mmol) in 10 ml THF. After 15 min 2.52 g of 3 (6.4 mmol) was added to the reaction mixture. The reaction mixture was rigorously stirred for 1 hr at -40 °C. After stirring for another hr at room temperature the reaction mixture was concentrated under reduced pressure. The residue was filtered through a short column (SO₂/EtOAc). Isolation from this resulting mixture of 4c was not possible. Ring-opened product 5c was crystallized from this mixture with EtOAc (500 mg, 0.96 mmol). The resulting mother liquor was allowed to stand for 24 hr at 20 °C in CHCl₃. Chromatography on SiO₂ with CH₂Cl₂/EtOAc (3:1) gave an additional 1170 mg (2.25 mmol) of 5c after crystallization from EtOAc. The first fraction which was collected from this chromatography on SiO₂ was a mixture of starting ester 2c and 17 (15% and 10%, respectively). 2c has a tendency to fragment to the corresponding ketene and to the anion of methyl-2-(phenylthio)butyrate (17). 5c Yield 1670 mg (50.3%), mp 203-205 °C (from EtOAc). IR(CHCl₃) 3400-3300 (w), 3240-3100 (w), 1725 (s), 1640 (s), 1560-1550 (vs), 1160 (s), 1372 (m), 1330 (m). PMR(CDCl₃, 250 MHz) 0.99 (t, J = 7.3 Hz, 3H, C²-CH₂CH₃), 2.39 (s, 3H, TOS CH₃), 2.71 (s, 3H, N-CH₃), 2.90 (d, J = 6.8 Hz, 2H, CH₂NH TOS), 3.70 (s, 3H, COOCH₃), 5.32 (d, J = 12.1 Hz, 1H, C⁵H), 5.83 (t, J = 6.8 Hz, 1H, NH), 7.33-7.24 (m, 5H, TOS C⁵H, TOS C⁶H and SAR (C³H, C⁴H, C⁵H)), 7.44-7.40 (m, 2H, SAR C⁶H, SAR C⁷H), 7.70 (d, J = 8.2 Hz, 2H, TOS C⁵H and TOS C⁶H), 7.91 (d, J = 12.1 Hz, 1H, C⁵H). EI (70 eV) no M⁺. FD 518 Calc for C₂₆H₃₄N₂S₂O₅ 518.

Ethyl(Z)-5-(3,4-dimethoxyphenethylamino)-2,2-dimethyl-3-oxo-4-pentenoate (6) 15

A mixture of 5a (1 mmol, 424 mg), 3,4-dimethoxyphenethylamine (226.55 mg, 1.25 mmol), acetonitrile (5 ml, dry) and acetic acid (0.5 ml, dry) was refluxed for 2-2.5 hr (under nitrogen atmosphere). The reaction was monitored on silica gel using EtOAc/CH₂Cl₂ (1:2) as eluent. The mixture was concentrated under reduced pressure, using toluene to remove the acetic acid. The resulting red oil was brought on silica gel using EtOAc/CH₂Cl₂ (1:3) as the eluent. After concentration under reduced pressure, the enaminoketo ester was obtained as a yellow oil. 6 Yield 279 mg (80%). IR(CHCl₃) 1611 1718 (s), 1635 (s), 1560 (s), 1510 (m), 3300-3100 (w). PMR(CDCl₃, 100 MHz) 1.24 (t, J = 7 Hz, 3H, OCH₂CH₃), 1.38 (s, 6H, C(CH₃)₂), 2.79 (t, J = 6.5 Hz, 2H, CH₂CH₂NH), 3.50-3.30 (dt, J = 6.5 Hz, J = 6.6 Hz, 2H, CH₂CH₂NH), 3.88 (s, 6H, 2xOCH₃), 4.17 (q, J = 7 Hz, 2H, OCH₂CH₃), 4.95 (d, J = 7.5 Hz, 1H, C⁵H), 6.60 (dd, J = 12.0 Hz, J = 7.5 Hz, 1H, C⁵H), (after quenching with D₂O this dd gives a d centered at 6.60), 6.86-6.66 (m, 3H, Ar), 10.0-9.65 (bs, 1H, N-H). MS Found 349.1906 Calc for C₁₉H₂₇N₁O₅ 349.1889.

Ethyl(Z)-5-[2-(3-indolyl)-ethylamino]-2,2-dimethyl-3-oxo-4-pentenoate (7a)

A mixture of 5a (1 mmol, 424 mg), tryptamine (200 mg, 1.25 mmol) (mw 160.22, mp 114-119 °C), acetonitrile (5 ml, dry) and acetic acid (0.5 ml, dry) was refluxed for 2-2.5 hr. After work-up of the reaction mixture (which was identical to that of 6), a yellow oil was obtained. 7a Yield 262.4 mg (80%). IR(CHCl₃) 3480 (s), 3400-3200 (w), 1720 (s), 1638 (s), 1562 (s), 1485 (w), 1380 (m), 1360 (m). PMR(CDCl₃, 100 MHz) 1.24 (t, J = 7 Hz, 3H, OCH₂CH₃), 1.39 (s, 6H, C(CH₃)₂), 2.79 (t, J = 6.5 Hz, 2H, CH₂CH₂NH), 3.60-3.46 (dt, J = 6.5 Hz, J = 6.5 Hz, 2H, CH₂CH₂NH), 4.16 (q, J = 7 Hz, 2H, OCH₂CH₃), 4.94 (d, J = 7.5 Hz, 1H, C⁵H), 6.64 (dd, J = 7.5 Hz, J = 12.0 Hz, 1H, C⁵H), 6.96 (d, J = 2.2 Hz, 1H, indole C⁵H), 7.60-7.10 (m, 4H, indole ArH), 8.45-8.30 (bs, 1H, indole NH), 10.9-60 (bs, 1H, NH). MS Found 328.1794 Calc for C₁₉H₂₄N₂O₃ 328.1786.

Methyl (Z/E)-5[2-(3-indolyl)-ethylamino]-2-ethyl-2-(methoxycarbonyl)-3-oxo-4-pentenoate (7b)

A mixture of 5b (1 mmol, 468 mg), 200 mg tryptamine (1.25 mmol), acetonitrile (5 ml, dry) and acetic acid (0.5 ml, dry) was kept at 60 °C during 72 hr under nitrogen until all the starting material had disappeared (TLC SiO₂, EA/CH₂Cl₂ 1:5). Evaporation of the solvent and chromatography on SiO₂ using EtOAc/CH₂Cl₂ (1:5) as the eluent afforded a yellow oil consisting of 3 products, Z and E isomers of the enaminoketo ester 7b and a small amount of the piperidine-2,4-dione (13). FD of the mixture gave m/e 372 (7b) and m/e 340 (13). According to NMR the mixture consisted of 72.6% Z, 20.4% E and 7% of compound 13. Yield 82% based on 5b. When 4.5 mmol tryptamine was used instead of 1.25 mmol the reaction was completed within 3 hr (75 °C). After identical work-up, the yield was 70%. The mixture consisted of the Z and E isomers only (Z/E, 7:3). 7b Yield 260.4 mg (70%). Yellow oil. IR(CHCl₃) 3480 (m), 3599-3200 (w), 1730 (s), 1638 (s), 1570-1555 (s), 1485 (m). PMR(CD₃CN, 259 MHz) 0.87 (t, J = 7.4 Hz, 3H, C²-CH₂CH₃), 2.05 (q, J = 7.4 Hz, 2H, C²-CH₂CH₃), 2.94 (t, J = 6.7 Hz, 2H, CH₂CH₂NH), 3.48 (dt, J = 6.7 Hz, J = 6.6 Hz, 2H, CH₂CH₂NH), 6.06-5.90 (bs, 1H, NH(E)), 3.62 (s, 6H, 2xCOOCH₃), 4.96 (d, J = 7.4 Hz, 1H, C⁵H(Z)), 5.30 (d, J = 12.6 Hz, 1H, C⁵H(E)), 6.79 (dd, J = 7.4 Hz, J = 13.3 Hz, 1H, C⁵H(Z)), 7.16-6.96 (m, indole C⁵H, C⁶H, C⁷H) + C⁵H(E)), 7.35 (d, J = 8 Hz, 1H, indole C⁵H), 7.53 (d, J = 7.7 Hz, 1H, indole C⁵H), 9.70-9.60 (bs, 1H, NH(Z)), 9.10-9.08 (bs, 1H, indole NH). MS. Found 372.1640 Calc for C₂₀H₂₄N₂O₅ 372.1685.

Methyl (Z/E)-5[2-(3-indolyl)-ethylamino]-2-ethyl-2-(phenylthio)-3-oxo-4-pentenoate (7c)

A mixture of 5c (259 mg, 0.5 mmol) 100 mg tryptamine (0.625 mmol), acetonitrile (5 ml) and acetic acid (0.5 ml) was kept at 70 °C under nitrogen for 71 hr (TLC EtOAc/CH₂Cl₂ 1:1). Evaporation of the solvent, chromatography on SiO₂ (EtOAc/CH₂Cl₂ 1:3) gave a yellow foam consisting of the Z and E isomers of the enaminoketo ester 7c. Yield 183 mg (87%). IR(CHCl₃) 3480 (m), 3500-3200 (w), 1725 (s), 1639 (vs), 1570-1555 (vs), 1470 (m). PMR(CD₃CN, 250 MHz, Z/E = 7:3) 0.89 (t, J = 7.3 Hz, 3H, C²-CH₂CH₃), 1.79-1.68 (m, 2H, C²-CH₂CH₃), 2.94 (t, J = 6.7 Hz, 2H, CH₂CH₂NH), 3.49 (dt, J = 6.7 Hz, J = 6.6 Hz, 2H, CH₂CH₂NH), 3.61 and 3.59 (2xs, 3H, 2xCOOCH₃), (Z and E isomers), 4.96 (d, J = 7.4 Hz, 1H, C⁵H(Z)), 5.35 (d, J = 11.7 Hz, 1H, C⁵H(E)), 5.95 (bs, 1H, NH(E)), 6.78 (dd, J = 7.4 Hz, J =

13 3 Hz, 1H, C⁵H(Z)), 7 38-6 97 (m, all aromatic protons, except for the indole C⁴H which is located at 7 55 (d, J = 8 1 Hz, 1H), C⁵H(E) could not be recognized in this multiplet), 9 1 (bs, 1H, indole N-H), 9 5 (bs, 1H, NH(Z)) MS. Found 422.1654. Calc for C₂₄H₂₆N₂O₃S₁ 422 1664.

3,3-Dimethyl-5,6-dehydro-1-(3,4-dimethoxyphenethyl)piperidine-2,4-dione (8) 16

To a suspension of 1 mmol NaH in 10 ml dry THF was added 1 mmol of the enaminoketo ester (6a, 349 mg) dissolved in 5 ml dry THF. The reaction mixture was stirred under nitrogen at 0 °C for 1 hr (TLC EtOAc/CHCl₃ 1/3). After all the starting material had disappeared, the reaction mixture was neutralized with concentrated NH₄Cl/H₂O. The organic layer was separated and the water layer was extracted with Et₂O. The organic layer was washed with NaHCO₃ soln and NaCl soln. Drying over Na₂SO₄ followed by evaporation of the solvent, yielded a residue which was purified by chromatography (SiO₂, EtOAc/hexane 1:1). 8. Yield 212 mg (70%), yellow oil. IR(CHCl₃) 1695 (s), 1655 (s), 1629 (s), 1511 (m), 1461 (m), 1381 (m), 1370 (m). PMR(DMSO-d₆, 100 MHz) 7.20 (s, 6H, C³(CH₃)), 2.79 (t, J = 7 0 Hz, 2H, Ar-CH₂-CH₂), 3.71 (s, 3H, OCH₃), 3.74 (s, 3H, OCH₃), 3.84 (t, J = 7.6 Hz, 2H, CH₂-CH₂-N), 5.36 (d, J = 8.0 Hz, 1H, C⁴H), 6.92-6.63 (m, 3H, Ar), 7.53 (d, J = 8.0 Hz, 1H, C⁵H). MS. Found 303.1489, Calc for C₁₇H₂₀N₂O₄ 303 1470. EI (70 eV, m/z (%)). 303 (20.9), 165 (13), 164 (100), 151 (46.7), 82 (29.9), 69 (5.11.5).

9,10-Dimethoxy-3,3-dimethyl-1,2,3,4,7,11b-6H-Benz[a]quinolizine-2,4-dione (9)

Piperidine-2,4-dione 8 (1 mmol, 303 mg) was dissolved in 20 ml freshly distilled EtOH. Nitrogen was bubbled through the solution for 1 hr. After this the reaction mixture was cooled to 0 °C and dry HCl was bubbled through the solution for 10 min. After the vessel had been closed the reaction mixture was kept at 20 °C for 18 hr. After evaporation of the solvent and crystallization from EtOH the product could be isolated as white needles. 9. Yield 210-240 mg (70-80%), mp 168 °C (from EtOH). IR(CHCl₃) 1725 (s), 1640 (s), 1511 (s), 1465 (m), 1430 (s), 1360 (s). PMR(DMSO d₆, 250 MHz). 1.25, 1.28 (2xs, 6H, C³(CH₃)), 2.93-2.67 (m, 4H, C⁶H, C⁶H, C⁶H, and C⁶H), 3.17 (dd, J = 3.6 Hz, J = 15.9 Hz, C¹H), 3.75 (s, 6H, 2xOCH₃), 4.69-4.62 (m, 1H, C⁴H), 5.06-5.00 (m, 1H, C⁵H), 6.78 (s, 1H, C⁷H), 6.90 (s, 1H, C⁸H). The results of dR (double resonance) techniques were as follows: irradiation of C¹H (5.06-5.00) gives a doublet for C⁴H, centered at 4.317 (J = 15.9 Hz), enhancement of the singlet for C⁵H (loss of allylic coupling) and changes in the multiplet from 2.93-2.67. MS. Found 303 1460. Calc for C₁₇H₂₀N₂O₄ 303 1470. EI (70 eV m/e (%)). 303 (100), 302 (45.5), 288 (33.9), 272 (39.9), 191 (66.8), 84 (30.7). Found C, 67.10, H, 6.89, N, 4.60. Calc for C₁₇H₂₁N₂O₄ C, 67.32, H, 6.93, N, 4.62.

2,3-Dimethyl-5,6-dehydro-1-[2-(3-indolyl)ethyl]-piperidine-2,4-dione (10)

Procedure for the synthesis of 10 was identical to that of 9. The product after column chromatography was crystallized from benzene/Et₂O (4/8), Yield 97 mg (%), mp 114-115 °C (white crystals). IR(CHCl₃) 3480 (m), 1695 (s), 1656 (s), 1628 (s), 1455 (w), 1435 (m), 1380 (m), 1370 (m). PMR(DMSO-d₆, 250 MHz) 1.17 (s, 6H, C³-CH₃), 2.95 (t, J = 7.3 Hz, 2H, CH₂-CH₂-N), 3.85 (t, J = 7.3 Hz, 2H, CH₂-CH₂-N), 6.95 (t, J = 8 Hz, 1H, indole C⁴H), 7.04 (t, J = 8 Hz, 1H, indole C⁵H), 7.12 (d, J = 2.2 Hz, 1H, indole C⁶H), 7.31 (d, J = 7.9 Hz, 1H, indole C⁷H), 7.48 (d, J = 8.3 Hz, 1H, C⁴H), 5.28 (d, J = 8.3 Hz, 1H, C⁵H), 7.54 (d, J = 7.9 Hz, 1H, indole C⁶H), 10.82 (s, 1H, indole N-H) MS. Found 282 1345. Calc for C₁₇H₁₈N₂O₃ 282 1368. EI (70 eV m/e (%)). 282 (12.5), 144 (13.71), 143 (89.1), 130 (100), 103 (9.18), 82 (16.24). Found C, 72.17, H, 6.50, N, 9.81. Calc for C₁₇H₁₈N₂O₃ C, 72.34, H, 6.38, N, 9.92.

3,3-Dimethyl-2,4-dioxo-1,2,3,4,6,7,12,12b-octahydroindolo[2,3-a]quinolizine (11)

The same procedure was used as for the synthesis of 9. Solvent used for the cyclization was dry benzene. After 24 hr at 20 °C the reaction mixture was concentrated under reduced pressure. The resulting brown crystals were brought on a short silica gel column with EA/PE (60-80) as the eluent. After evaporation of the solvent and crystallization from Et₂O or benzene the product could be obtained as white crystals. 11. Yield 197 mg (70%), mp 214-215 °C (from Et₂O). IR(CHCl₃) 3465 (m), 1725 (s), 1645 (s), 1430 (s), 1355 (w). PMR(DMSO-d₆, 250 MHz): 1.28 (s, 3H, C³CH₃), 1.29 (s, 3H, C³CH₃), 3.05-2.71 (m, 4H, C⁶H, C⁶H, C⁶H, and C⁶H), 3.27 (dd, J = 3.9 Hz, J = 15.1 Hz, 1H, C¹H), 4.94-4.88 (m, 1H, C⁴H), 5.17-5.13 (m, 1H, C⁵H), 7.01 (t, J = 7.8 Hz, 1H, C⁷H), 7.10 (t, J = 7.9 Hz, 1H, C⁸H), 7.34 (d, J = 7.9 Hz, 1H, C¹¹H), 7.46 (d, J = 7.8 Hz, 1H, C¹²H), 9.13 (s, 1H, N-H). Results of dR techniques were: irradiation of C⁴H at 4.746 gives a doublet for C¹H at 6.701. Irradiation of C¹²H gives a doublet at 6.327 (J = 15.1 Hz) for C⁴H and changes in the multiplet at 3.05-2.71. Homallylic coupling between C¹H and one of the C⁶ protons has been proven. MS. Found 282 1345. Calc for C₁₇H₁₆N₂O₂ 282 1368. EI (70 eV m/e (%)). 282 (100), 281 (23.9), 267 (11.8), 212 (12), 184 (23.1), 183 (14.1), 170 (32.3), 169 (76.6), 156 (64.6), 154 (36.8). Found C, 72.15, H, 6.40, N, 9.80. Calc for C₁₇H₁₆N₂O₂ C, 72.34, H, 6.38, N, 9.92.

3,3-Dimethyl-2,3-dioxo-2,3,4,6,7,12-hexahydroindolo[2,3-a]quinolizine (12)

Cyclization of the piperidine-2,3-dione 10 in benzene/HCl containing O₂ gives cyclization followed by oxidation to compound 12 in 70% overall yield. Pure quinolizine 11 could be oxidized in CHCl₃ containing a few percent HCl/Et₂O within one hour in an almost quantitative yield to compound 12. 12 mp 258-260 °C (from EtOAc/hexane, yellow crystals). IR(CHCl₃) 3400-3150 (m), 1689 (m), 1628 (s), 1604 (vs), 1485 (w), 1430 (m), 1369 (s). PMR(DMSO-d₆, 250 MHz) 1.35 (s, 6H, C³CH₃), 3.09 (t, J = 6.4 Hz, 2H, C⁶H), 4.09-4.05 (m, 2H, C⁶H), 6.08 (s, 1H, C⁴H), 7.12 (t, J = 7.9 Hz, C⁷H), 7.32 (t, J = 8.2 Hz, C⁸H), 7.45 (d, J = 8.2 Hz, C¹¹H), 7.67 (d, J = 7.9 Hz, C¹²H), 11.75 (s, 1H, N-H) FD 280 (M⁺). Found C, 72.80, H, 5.73, N, 9.85. Calc for C₁₇H₁₆N₂O₂ C, 72.85, H, 5.71, N, 10.0.

3-Ethyl-3-(methoxycarbonyl)-1-[2-(3-indolyl)ethyl]-5,6-dehydro-piperidine-2,4-dione (13)

To a suspension of 1 mmol NaH in 10 ml dry THF (-40 °C, under nitrogen) was added 1 mmol of the enaminoketo ester 7b (372 mg). Temperature was kept -40 °C. TLC monitoring was done with SiO₂/EtOAc. After 4 hr (all starting material has disappeared), NH₄Cl/HCl/H₂O was added to the reaction mixture at -30 °C. Et₂O extraction followed by treatment of NaHCO₃ soln and NaCl soln. Drying over

Na_2SO_4 and evaporation of the solvent gave the crude product which was purified on silica gel (EtOAc/hexane 10/1) **13** Yield 272 mg (80%), yellow oil IR(CHCl₃) 3480 (s), 1764 (s), 1699 (s), 1655 (s), 1622 (s), 1456 (m), 1448 (m), 1424 (m), 1378 (s). PMR(CD₃CN, 250 MHz) 0.70 (t, J = 7.6 Hz, 3H, C₃CH₂CH₃), 2.09 (q, J = 7.6 Hz, 2H, C₃CH₂CH₃), 3.02 (m, 2H, CH₂CH₂N), 3.60 (s, 3H, COOCH₃), 3.94-3.84 (m, 2H, CH₂CH₂N), 5.33 (d, J = 8.4 Hz, 1H, C⁵H), 6.99-7.16 (m, 2H, indole C²H, indole C³H), 7.14 (d, J = 8.4 Hz, 1H, C⁶H), 7.02 (d, J = 1.9 Hz, 1H, indole C⁴H), 7.36 (d, J = 7.9 Hz, 1H, indole C⁵H), 7.58 (d, J = 7.7 Hz, 1H, indole C⁶H), 9.10 (bs, 1H, indole NH) MS Found 340 1441 Calc for C₁₉H₂₀N₂O₄ 340 1424

3-Ethyl-4-hydroxy-1-[2-(3-indolyl)ethyl]-2-pyridone (14)

To a suspension of 0.5 mmol NaH in 10 ml dry THF (under nitrogen) was added 0.5 mmol of **7b** (186 mg) dissolved in 2 ml THF. The reaction mixture was kept at 0 °C for 18 hr (TLC SiO₂/EtOAc). The reaction mixture was neutralized with conc. NH₄Cl/H₂O soln, Et₂O extraction followed by NaCl/H₂O washing of the organic layer, drying over Na₂SO₄ and evaporation of the solvent gave the crude product which was purified on SiO₂/EtOAc **14** Yield 112 mg (79%), white crystals from EtOAc, mp 189-191 °C, IR(CHCl₃) 3600 (w), 3500-3000 (w), 1655 (s), 1645 (s), 1622 (w), 1610 (w), 1562-155 (s), 1468 (s), 1442 (s), 1280 (s), 1135 (m). PMR(CD₃CN + DMSO-d₆, 250 MHz) 0.98 (t, J = 7.4 Hz, 3H, C₃CH₂CH₃), 2.44 (q, 2H, J = 7.4 Hz, C₃CH₂CH₃), 3.05-2.99 (m, 2H, CH₂CH₂N), 4.05-3.99 (m, 2H, CH₂CH₂N), 5.76 (d, J = 7.4 Hz, C⁵H), 6.85 (d, J = 7.4 Hz, 1H, C⁶H), 6.96 (d, J = 2.6 Hz, 1H, indole C²H), 7.24-6.92 (m, 2H, indole C³H, indole C⁴H), 7.31 (d, J = 8 Hz, 1H, indole C⁵H), 7.54 (d, J = 7.8 Hz, 1H, indole C⁶H), 9.43 (s, 1H, C⁷OH), 9.76 (bs, indole NH) **14** PMR(CD₃CN, 250 MHz) 0.99 (t, J = 7.4 Hz, 3H, C₃CH₂CH₃), 2.53-2.37 (m, 2H, C₃CH₂CH₃), 3.07-3.00 (m, 2H, CH₂CH₂N), 4.07-4.00 (m, 2H, CH₂CH₂N), 5.73 (d, J = 7.4 Hz, 1H, C⁵H), 6.87 (d, J = 7.4 Hz, 1H, C⁶H), 6.90 (d, J = 2.4 Hz, 1H, indole C²H), 6.97 (t, J = 8 Hz, 1H, indole C³H), 7.07 (t, J = 8 Hz, 1H, indole C⁴H), 7.32 (d, J = 8 Hz, 1H, indole C⁵H), 7.54 (d, J = 7.6 Hz, 1H, indole C⁶H), 8.0-7.2 (bs, 1H, C⁷OH), 9.07-9.05 (bs, 1H, indole NH) NOE results in CD₃CN/DMSO irradiation of C⁷OH at δ 9.43 gave a positive enhancement for C⁵H at δ 5.76. DR (double resonance) results were (in CD₃CN) irradiation of C⁵H at δ 5.73 gave a singlet at δ 6.87 for C⁶H. Irradiation of CH₂CH₂N at δ 3.07-3.00 gave a relative enhancement for indole C²H (loss of allylic coupling, see also compound **18**) MS Found 282 1401 Calc for C₁₇H₁₈N₂O₂ 282 1368

3-Ethyl-3-(methoxycarbonyl)-1,2,3,4,6,7,12,12b-octahydroindolo[2,3-a]quinolizine-2,4-dione (15b)

The ester **13** (140 mg, 0.411 mmol) was dissolved in dry benzene (10 ml, distilled from CaH₂). A nitrogen stream was bubbled through the solution for 2 hr. After this 2 ml of a concentrated HCl/Et₂O soln was added to the reaction mixture (20 °C). The reaction was monitored with SiO₂/EtOAc/hexane (1/2). After evaporation of the solvent (reaction time 5.5 hr) and chromatography on SiO₂/EtOAc/hexane (1/3) two products were isolated starting material **13** 33 mg (0.097 mmol) (23.6%) Product **15b** 74 mg (52.9%) White crystals, mp 88-220 °C (diastereomers) IR(CHCl₃) 3468 (m), 1764 (s), 1729 (s), 1650 (s), 1460 (m), 1438 (s), 1305 (s). PMR(CDCl₃/one drop of pyridine) [two diastereomers (88.5% 11.5%) chemical shifts of major diastereomer are given], 0.81 (t, J = 7.4 Hz, 3H, C₃CH₂CH₃), 2.45-2.22 (m, 2H, C₃CH₂CH₃), 3.13-2.80 (m, 4H, C⁴H, C⁵H, C⁶H, C⁷H), 3.28 (dd, J = 15.1 Hz, J = 3.6 Hz, 1H, C²H), 3.61 (s, 3H, COOCH₃), 5.03-4.96 (m, 1H, C^{12b}H), 5.24-5.14 (m, 1H, C¹²H), 7.18-7.06 (m, 2H, C¹⁰H, C¹¹H), 7.30-7.24 (m, 1H + CHCl₃, C¹¹H), 7.51-7.48 (m, 1H, C⁸H), 10.27 (s, 1H, N⁹H) Nuclear Overhauser Difference (NOE) experiments were carried out (Bruker WM 250 MHz). Irradiation of C²H gave a positive enhancement for N⁹H at δ 10.27 (s), C⁴H at δ 3.28 (d, J = 15.1 Hz, J = 3.6 Hz), C₃CH₂CH₃ at δ 0.81 (t, J = 7.4 Hz) and a small positive effect for C₃CH₂CH₃ at δ 2.45-2.22. This indicates a cis relationship between C² and the ethyl-function at C³ (in the major diastereomer) MS Found 340 1441 Calc for C₁₉H₂₀N₂O₄ 340 1424

Methyl-2-(phenylthio)butyrate (17) 19,20

Sodium (1.38 g, 60 mmol) was dissolved in 100 ml dry MeOH (-5 °C under nitrogen). To the solution was added 60 mmol thiophenol, which was distilled before use. After 15 min, 60 mmol of methyl 2-bromobutyrate (10.86 g) was added to the reaction mixture. After stirring for 2 hr at 20 °C, the solvent was evaporated and the residue was filtered through a short SiO₂ column with EtOAc as the eluent. Evaporation of the solvent and a bulb to bulb distillation (100 °C/1 mm) gave a colourless oil **17** Yield 12.0 g (95%) IR(CHCl₃) 1729 (s), 1582 (w), 1458 (s), 1436 (s), 1160 (s), 688 (s). PMR(CDCl₃, 60 MHz) 0.98 (t, J = 7.5 Hz, 3H, C⁴H), 2.16-1.60 (m, 2H, C³H), 3.47 (t, J = 7.5 Hz, 1H, C²H), 3.62 (s, 3H, COOCH₃), 7.66-7.15 (m, 5H, s-ArH) PD = 210 (M⁺) C₁₁H₁₄O₂S

3-Ethyl-4-hydroxy-1-[2-(3-indolyl-1-phenylthio)ethyl]-2-pyridone (18)

To a stirred solution of 0.59 mmol NaH in 15 ml THF was added 250 mg (0.59 mmol) of **7c** dissolved in 2 ml THF (T = -40 °C, under nitrogen). No reaction was objected at -40 °C for 2 hr (TLC EtOAc/CH₂Cl₂ on SiO₂). At -15 °C (0.5 hr) two products were formed, compound **18** and the more polar compound **14**. After an additional stirring for 2.5 hr at 0 °C a concentrated NH₄Cl/H₂O soln was added to the mixture. Et₂O extraction followed by NaCl treatment, drying over Na₂SO₄ and evaporation of the solvent gave the crude products. Chromatography on SiO₂ with EtOAc/CH₂Cl₂ (1/2 - 1/1) gave two crystalline products which were both recrystallized from EtOAc **18** Yield 90 mg (39%), mp 180-181 °C (from EtOAc) **14** Yield 68 mg (40.8%), mp 189-191 °C (from EtOAc) **18** IR(CHCl₃) 3600 (w), 3500-3000 (w), 1645 and 1655 (s), 1622 (w), 1562-1550 (s), 1468 (s), 1442 (s), 1280 (s). PMR(CD₃CN + DMSO-d₆, 250 MHz) 0.95 (t, J = 7.4 Hz, 3H, C₃CH₂CH₃), 3.05-3.10 (m, 2H, CH₂CH₂N), 4.04-4.10 (m, 2H, CH₂CH₂N), 5.71 (d, J = 7.5 Hz, 1H, C⁵H), 6.88 (d, J = 7.5 Hz, 1H, C⁶H), 6.87-6.91 (m, 2H, -SArC²H, -SArC³H), 7.06 (s, 1H, indole C²H), 7.07, 7.27 (m, 5H, indole C³H, indole C⁴H, -SArC⁴H, SArC⁵H, SArC⁶H), 7.47 (d, J = 7.8 Hz, 1H, indole C⁵H), 7.60 (d, J = 7.5 Hz, 1H, indole C⁶H), 11.0-8.0 (bs, 1H, C⁷OH) The results of dr (double resonance) techniques were as follows. Irradiation of the multiplet of CH₂CH₂N at δ 3.05-3.10 gave a positive enhancement for the indole C²H at δ 7.06 (relative to the aromatic protons), which is a result of loss of allylic coupling with CH₂CH₂N. PMR(DMSO-d₆, 250 MHz) 0.97 (t, J = 7.4 Hz, 3H, C₃CH₂CH₃), 2.35-2.51 (m, 2H, C₃CH₂CH₃), 3.04-3.09 (m, 2H, CH₂CH₂N), 4.06-4.15 (m, 2H, CH₂CH₂N), 5.82 (d, J = 7.2 Hz, 1H,

C^5H), δ 97 (d, $J = 7.2$ Hz, 1H, C^6H), δ 99 (bs, 1H, indole C^2H), δ 14-7 36 (m, 7H, indole C^5H , indole C^6H , all aromatic protons of S-Ar), δ 51 (d, $J = 8.1$ Hz, 1H indole C^4H), δ 71 (d, $J = 7.6$ Hz, 1H, indole C^4H), δ 102 (s, 1H, C^4OH) MS Found 390 1385 Calc for $C_{23}H_{22}N_2O_2S$, 390 1402 Treatment of compound 18 with 10 eq $NaOCH_3$ /THF at 20 °C gave an almost quantitative yield of 14 after 72 hr

Acknowledgement This work was carried out in part under auspices of the Stichting Scheikundig Onderzoek Nederland (S O N) and with financial support of the Netherlands Organization for Fundamental Research (Z W O)

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