

A Facile and Efficient Synthesis of (*o*-Hydroxyaryl)-glycolic Acid Derivatives¹

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It is well known that a mixture of products can be obtained in the reaction of phenols with carbonyl derivatives under acidic conditions, and the aromatic substitution generally occurs in the *para* position to the phenolic oxygen atom²⁻⁶.

We report here the reaction of α -keto esters **1** with phenols **2** in the presence of a Lewis acid (mainly chlorides of metals in high oxidation state such as e.g. tetravalent titanium, zirconium or tin or, less efficiently, trivalent boron or aluminium)⁶, affording in high yields (*o*-hydroxyaryl)-glycolic acid derivatives **3** (Table 1). These compounds are useful inter-

Table 1. *ortho*-Hydroxyalkylation of Phenols **2** by α -Keto Esters or Acids **1** in the Presence of Lewis Acids

Product No.	R ¹	R ²	R ³	R ⁴	R ⁵	ML _n	Method	Reaction Conditions temperature [°C]/time [h]	Yield ^a [%]	m. p. [°C] or n _D ²⁰	Molecular formula ^b or Lit. ^{14, 20}
3a	H	CH ₃	H	H	H	TiCl ₄	B	0°/1.5	75	137–140°	C ₉ H ₁₀ O ₄ (182.2)
3b	C ₂ H ₅	CH ₃	H	H	H	TiCl ₄	B	0–25°/1	92	1.5193	1.5198
						TiCl ₄ (OR) ₂ ^c	A	25°/20	60	oil	
						TiCl ₄	A	0–25°/8	80	oil	
3c	C ₂ H ₅	CH ₃	CH ₃	H	H	AlCl ₃	A	0°/3	52 ^d	oil	
3d	C ₂ H ₅	CH ₃	H	CH ₃	H	TiCl ₄	B	0°/1	92	1.5207	1.5209
						TiCl ₄	A	0°/0.2	75	oil	C ₁₂ H ₁₆ O ₄ (224.3)
						TiCl ₄	B	0°/0.2	88	oil	
						ZrCl ₄	B	0°/1	84	oil	
3e	C ₂ H ₅	CH ₃	H	H	CH ₃	TiCl ₄	B	0°/2	90	oil	C ₁₂ H ₁₆ O ₄ (224.3)
3f	C ₂ H ₅	CH ₃	H	H	<i>c</i> -C ₆ H ₁₁	TiCl ₄	B	0°/2	80	oil	C ₁₇ H ₂₄ O ₄ (292.4)
3g	C ₂ H ₅	CH ₃	H	<i>i</i> -C ₄ H ₉	H	TiCl ₄	B	0°/1	83	oil	C ₁₃ H ₂₂ O ₄ (266.3)
3h	C ₂ H ₅	CH ₃	H	OH	H	TiCl ₄	B	–20°/1	80	glass	glass
3i	C ₂ H ₅	CH ₃	H	OH	C ₆ H ₅ CH ₂	TiCl ₄	B	–50°/0.2	86	oil	C ₁₈ H ₂₀ O ₅ (316.4)
3j	C ₂ H ₅	CH ₃	H	OCH ₃	H	TiCl ₄	A	0°/1	93	oil	C ₁₃ H ₁₆ O ₅ (240.3)
3k	C ₂ H ₅	CH ₃	H	H	OCH ₃	TiCl ₄	A	25°/8	70	1.5209	1.5208
3l	H	CH ₃	H	OCH ₃	H	TiCl ₄	B	0°/1.5	70	111–113°	C ₁₀ H ₁₂ O ₅ (212.2)
3m	C ₂ H ₅	CH ₃	H	OCOCH ₃	H	TiCl ₄	B	0°/0.5	79	oil	C ₁₃ H ₁₆ O ₆ (268.3)
3n	C ₂ H ₅	CH ₃	H	H	OC ₆ H ₅	TiCl ₄	B	40°/40	49	oil	C ₁₇ H ₁₈ O ₅ (302.3)
3o	C ₂ H ₅	CH ₃	H	H	SCH ₃	TiCl ₄	B	25°/14	89	oil	C ₁₂ H ₁₆ O ₄ S (256.3)
3p	C ₂ H ₅	H	H	H	SCH ₃	TiCl ₄	B	25°/12	71	oil	C ₁₁ H ₁₄ O ₄ S (242.3)
3q	C ₂ H ₅	CH ₃	H	H	C ₆ H ₅	TiCl ₄	B	25°/3	80	oil	C ₁₇ H ₁₈ O ₄ (286.3)
3r	C ₂ H ₅	CH ₃	H	H	2',4'-di-F-C ₆ H ₃	TiCl ₄	B	0–20°/20	70	oil	C ₁₇ H ₁₇ F ₂ O ₄ (322.3)
3s	C ₂ H ₅	CH ₃	Cl	H	H	TiCl ₄	B	40°/5	35	oil	C ₁₁ H ₁₃ ClO ₄ (244.7)
3t	C ₂ H ₅	CH ₃	H	H	Cl	TiCl ₄	B	25°/1	75	oil	C ₁₁ H ₁₃ BrO ₄ (289.1)
3u	C ₂ H ₅	CH ₃	H	Br	H	TiCl ₄	B	25°/12	45	oil	C ₁₉ H ₁₇ NO ₆ (355.4)
3v	C ₂ H ₅	CH ₃	H	phthalimide	H	TiCl ₄	B	–40°/0.2	98	1.5862	1.5860
3w	C ₂ H ₅	CH ₃	–(CH=CH) ₂ –	–	H	SnCl ₄	B	–30°/0.2	73	oil	
3x	C ₂ H ₅	H	–(CH=CH) ₂ –	–	H	TiCl ₄	B	–40°/0.5	70	oil	C ₁₄ H ₁₄ O ₄ (246.3)
3y	C ₂ H ₅	<i>c</i> -C ₆ H ₁₁	–(CH=CH) ₂ –	–	H	TiCl ₄	B	–30°/0.5	75	oil	C ₂₀ H ₂₄ O ₄ (328.4)
3z	CH ₃	C ₆ H ₅	–(CH=CH) ₂ –	–	H	TiCl ₄	B	–30°/0.5	78	oil	C ₁₉ H ₁₆ O ₄ (308.3)
3aa	C ₂ H ₅	C ₆ H ₅ CH ₂	–(CH=CH) ₂ –	–	H	TiCl ₄	B	–30°/0.2	70	oil	C ₂₁ H ₂₀ O ₄ (336.4)
3bb	C ₂ H ₅	CH ₃	–(CH=CH) ₂ –	–	Cl	TiCl ₄	B	–50°/0.5	90	oil	C ₁₃ H ₁₅ ClO ₄ (294.7)
3cc	C ₂ H ₅	CH ₃	–CH=CH–C(OCH ₃)=CH–	–	H	TiCl ₄	B	–40°/0.2	85	oil	C ₁₆ H ₁₈ O ₅ (290.3)
3dd	C ₂ H ₅	COOC ₂ H ₅	H	H	H	TiCl ₄	B	0–25°/2.5	76	oil	C ₁₃ H ₁₆ O ₆ (268.3)
3ee	C ₂ H ₅	COOC ₂ H ₅	H	<i>i</i> -C ₄ H ₉	H	TiCl ₄	B	0°/1	85	63–64°	C ₁₇ H ₂₄ O ₆ (324.4)
3ff	C ₂ H ₅	COOC ₂ H ₅	H	CH ₃	H	TiCl ₄	B	0°/0.5	85	oil	C ₁₄ H ₁₈ O ₆ (282.3)
3gg	C ₂ H ₅	COOC ₂ H ₅	H	H	OC ₆ H ₅	TiCl ₄	B	0–25°/4	70	oil	C ₁₀ H ₂₀ O ₇ (360.4)
3hh	C ₂ H ₅	COOC ₂ H ₅	H	H	SCH ₃	TiCl ₄	B	25°/12	81	60–61°	C ₁₄ H ₁₈ O ₆ S (314.4)
3ii	C ₂ H ₅	COOC ₂ H ₅	–(CH=CH) ₂ –	–	H	TiCl ₄	B	–50°/1	80	59–60°	C ₁₇ H ₁₈ O ₆ (318.3)
3jj	C ₂ H ₅	COOC ₂ H ₅	–CH=CH–C(OCH ₃)=CH–	–	H	TiCl ₄	B	–40°/0.2	73	73°	C ₁₈ H ₂₀ O ₇ (348.4)

^a Isolated yield.^c R = *i*-C₃H₇.^d The presence of a small quantity of *para* derivative ($\approx 10\%$) was observed.

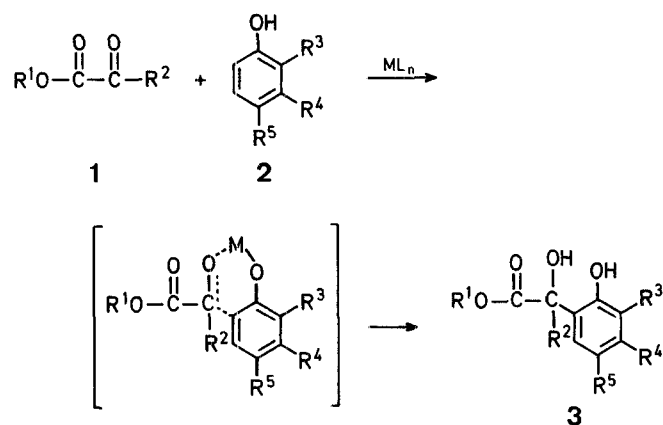
Table 2. ^1H -N. M. R.-Spectral Data of New Compounds **3** prepared

Product No.	^1H -N. M. R. (CDCl_3/TMS) δ [ppm]
3a	7.70–6.70 (m, 4H_{arom}); 5.33 (s, 3H, $\text{Ar}-\text{OH}$, $\text{C}-\text{OH}$, COOH); 1.90 (s, 3H, CH_3)
3d	8.50 (s, 1H, $\text{Ar}-\text{OH}$); 7.15 (m, 1H_{arom}); 6.67 (m, 2H_{arom}); 4.50 (s, 1H, $\text{C}-\text{OH}$); 4.25 (q, 2H, CH_2); 2.23 (s, 3H, $\text{Ar}-\text{CH}_3$); 1.70 (s, 3H, $\text{C}-\text{CH}_3$); 1.20 (t, 3H, CH_2-CH_3)
3e	8.30 (s, 1H, $\text{Ar}-\text{OH}$); 7.03 (m, 1H_{arom}); 6.97 (m, 1H_{arom}); 6.70 (m, 1H_{arom}); 4.60 (s, 1H, $\text{C}-\text{OH}$); 4.22 (q, 2H, CH_2); 2.23 (s, 3H, $\text{Ar}-\text{CH}_3$); 1.78 (s, 3H, $\text{C}-\text{CH}_3$); 1.20 (t, 3H, CH_2-CH_3)
3f	7.50 (s, 1H, $\text{Ar}-\text{OH}$); 6.55–5.90 (m, 3H_{arom}); 4.05–3.55 (m, 3H, CH_2 , $\text{C}-\text{OH}$); 2.30–0.90 (m, 17H, $c\text{-C}_6\text{H}_{11}$, CH_3 , CH_2-CH_3)
3g	8.32 (s, 1H, $\text{Ar}-\text{OH}$); 7.05–6.55 (m, 3H_{arom}); 4.30 (s, 1H, $\text{C}-\text{OH}$); 4.10 (q, 2H, CH_2CH_3); 2.30 (d, 2H, $\text{Ar}-\text{CH}_2$); 1.70 (m, 1H, CH); 1.70 (s, 3H, $\text{C}-\text{CH}_3$); 1.10 (t, 3H, CH_2-CH_3); 0.80 [d, 6H, $\text{CH}(\text{CH}_3)_2$]
3j	8.60 (s, 1H, $\text{Ar}-\text{OH}$); 7.33 (m, 1H_{arom}); 6.45 (m, 2H_{arom}); 4.35 (s, 1H, $\text{C}-\text{OH}$); 4.27 (q, 2H, CH_2); 3.95 (s, 3H, OCH_3); 1.80 (s, 3H, $\text{C}-\text{CH}_3$); 1.20 (t, 3H, CH_2-CH_3)
3l	9.35 (s, 1H, COOH); 7.25 (d, 1H_{arom}); 6.50–6.30 (m, 2H_{arom}); 3.75 (s, 3H, OCH_3); 1.78 (s, 3H, $\text{C}-\text{CH}_3$)
3m	8.20 (s, 1H, $\text{Ar}-\text{OH}$); 7.20 (m, 1H_{arom}); 6.55 (m, 2H_{arom}); 6.25 (s, 1H, $\text{C}-\text{OH}$); 4.20 (q, 2H, CH_2); 2.20 (s, 3H, $\text{O}-\text{CO}-\text{CH}_3$); 1.70 (s, 3H, $\text{C}-\text{CH}_3$); 1.20 (t, 3H, CH_2-CH_3)
3n	8.10 (s, 1H, $\text{Ar}-\text{OH}$); 7.20–6.50 (m, 8H_{arom}); 4.45 (s, 1H, $\text{C}-\text{OH}$); 4.00 (q, 2H, CH_2); 1.60 (s, 3H, $\text{C}-\text{CH}_3$); 1.15 (t, 3H, CH_2-CH_3)
3o	8.55 (s, 1H, $\text{Ar}-\text{OH}$); 7.30–6.70 (m, 3H_{arom}); 4.50–4.00 (m, 3H, CH_2-CH_3 , $\text{C}-\text{OH}$); 2.40 (s, 3H, SCH_3); 1.93 (s, 3H, $\text{C}-\text{CH}_3$); 1.24 (t, 3H, CH_2-CH_3)
3p	7.72 (s, 1H, $\text{Ar}-\text{OH}$); 7.25–6.60 (m, 3H_{arom}); 5.25 (d, 1H, $\text{C}-\text{OH}$); 4.50 (d, 1H, CH); 4.20 (q, 2H, CH_2); 2.36 (s, 3H, SCH_3); 1.18 (t, 3H, CH_2-CH_3)
3q	8.70 (s, 1H, $\text{Ar}-\text{OH}$); 7.50–6.80 (m, 8H_{arom}); 5.81 (s, 1H, $\text{C}-\text{OH}$); 4.20 (q, 2H, CH_2); 1.87 (s, 3H, $\text{C}-\text{CH}_3$); 1.16 (t, 3H, CH_2-CH_3)
3r	8.60 (s, 1H, $\text{Ar}-\text{OH}$); 7.35–6.50 (m, 6H_{arom}); 4.45–3.95 (m, 3H, CH_2 , $\text{C}-\text{OH}$); 1.82 (s, 3H, $\text{C}-\text{CH}_3$); 1.20 (t, 3H, CH_2-CH_3)
3s	8.10 (s, 1H, $\text{Ar}-\text{OH}$); 7.40–6.70 (m, 3H_{arom}); 4.25 (q, 2H, CH_2-CH_3); 3.60 (s, 1H, $\text{C}-\text{OH}$); 1.80 (s, 3H, $\text{C}-\text{CH}_3$); 1.32 (t, 3H, CH_2-CH_3)
3t	8.85 (s, 1H, $\text{Ar}-\text{OH}$); 7.40–6.80 (m, 3H_{arom}); 4.60 (s, 1H, $\text{C}-\text{OH}$); 4.23 (q, 2H, CH_2); 1.80 (s, 3H, $\text{C}-\text{CH}_3$); 1.23 (t, 3H, CH_2-CH_3)
3v	8.10 (s, 1H, $\text{Ar}-\text{OH}$); 7.25 (m, 4H_{arom}); 6.75 (m, 1H_{arom}); 6.40 (m, 2H_{arom}); 4.45 (s, 1H, $\text{C}-\text{OH}$); 3.88 (q, 2H, CH_2); 1.65 (s, 3H, $\text{C}-\text{CH}_3$); 1.12 (t, 3H, CH_2-CH_3)
3x	8.40 (s, 1H, $\text{Ar}-\text{OH}$); 8.40–7.15 (m, 6H_{arom}); 5.48 (s, 1H, $\text{C}-\text{OH}$); 4.20 (q + s, 3H, CH_2 , CH); 1.22 (t, 3H, CH_2-CH_3)
3y	8.40–7.20 (m, 6H_{arom}); 4.72 (s, 1H, $\text{C}-\text{OH}$); 4.28 (q, 2H, CH_2-CH_3); 2.20 (m, 1H, CH); 1.90–1.00 (t + m, 13H, CH_2 , CH_3)
3z	9.28 (s, 1H, $\text{Ar}-\text{OH}$); 8.40–6.90 (m, 11H_{arom}); 4.90 (s, 1H, $\text{C}-\text{OH}$); 3.80 (s, 3H, CH_3)
3aa	9.98 (s, 1H, $\text{Ar}-\text{OH}$); 8.40–6.68 (m, 11H_{arom}); 4.20 (q, 2H, CH_2-CH_3); 3.70 (s, 1H, $\text{C}-\text{OH}$); 3.50 (dd, 2H, $\text{Ar}-\text{CH}_2$); 1.20 (t, 3H, CH_2-CH_3)
3bb	9.30 (s, 1H, $\text{Ar}-\text{OH}$); 8.00–7.50 (m, 3H_{arom}); 7.25–6.80 (m, 3H_{arom}); 4.70 (s, 1H, $\text{C}-\text{OH}$); 3.90 (q, 2H, CH_2); 1.65 (s, 3H, $\text{C}-\text{CH}_3$); 1.00 (t, 3H, CH_3)

Table 2. Continued

Product No.	^1H -N. M. R. (CDCl_3/TMS) δ [ppm]
3cc	9.60 (s, 1H, $\text{Ar}-\text{OH}$); 8.20 (d, 1H_{arom}); 7.30–7.00 (m, 4H_{arom}); 4.60 (s, 1H, $\text{C}-\text{OH}$); 4.22 (q, 2H, CH_2-CH_3); 3.83 (s, 3H, OCH_3); 1.85 (s, 3H, $\text{C}-\text{CH}_3$); 1.20 (t, 3H, CH_2-CH_3)
3dd	7.52 (s, 1H, $\text{Ar}-\text{OH}$); 7.00–6.25 (m, 4H_{arom}); 4.85 (s, 1H, $\text{C}-\text{OH}$); 4.00 (q, 4H, CH_2); 1.05 (t, 6H, CH_2-CH_3)
3ee	7.20–6.40 (m, 3H_{arom}); 5.40 (s, 2H, $\text{Ar}-\text{OH}$, $\text{C}-\text{OH}$); 4.30 (q, 4H, CH_2); 2.40 (d, 2H, $\text{Ar}-\text{CH}_2$); 1.95 (m, 1H, CH); 1.25 (t, 6H, CH_2-CH_3); 0.90 [d, 6H, $\text{CH}(\text{CH}_3)_2$]
3ff	7.80 (s, 1H, $\text{Ar}-\text{OH}$); 7.18 (d, 1H_{arom}); 6.74 (s, 1H_{arom}); 6.70 (d, 1H_{arom}); 4.80 (s, 1H, $\text{C}-\text{OH}$); 4.33 (q, 4H, CH_2); 2.25 (s, 3H, $\text{Ar}-\text{CH}_3$); 1.28 (t, 6H, CH_2-CH_3)
3gg	7.60 (s, 1H, $\text{Ar}-\text{OH}$); 7.20–6.70 (m, 8H_{arom}); 5.00 (s, 1H, $\text{C}-\text{OH}$); 4.20 (q, 4H, CH_2); 1.20 (t, 6H, CH_2-CH_3)
3hh	8.73 (s, 1H, $\text{Ar}-\text{OH}$); 7.38–6.73 (m, 3H_{arom}); 5.69 (s, 1H, $\text{C}-\text{OH}$); 4.30 (q, 4H, CH_2); 2.40 (s, 3H, SCH_3); 1.28 (t, 6H, CH_2-CH_3)
3ii	9.18 (s, 1H, $\text{Ar}-\text{OH}$); 8.47–7.25 (m, 6H_{arom}); 5.10 (s, 1H, $\text{C}-\text{OH}$); 4.33 (q, 4H, CH_2); 1.28 (t, 6H, CH_2-CH_3)
3jj	9.10 (s, 1H, $\text{Ar}-\text{OH}$); 8.10 (d, 1H_{arom}); 7.35–6.90 (m, 4H_{arom}); 5.65 (s, 1H, $\text{C}-\text{OH}$); 4.35 (q, 4H, CH_2); 3.90 (s, 3H, $\text{O}-\text{CH}_3$); 1.32 (t, 6H, CH_2-CH_3)

mediates⁷ for the preparation of 2,3-dihydrobenzofuran-2-ones, a new and interesting class of antiinflammatory agents^{8–11}.



The reaction conditions, temperature and time, are largely dependent on the susceptibility of the phenol derivate to electrophilic substitution, varying from to -60°C to $+40^\circ\text{C}$ and from few h (or min) to 40 h. The only limitations for this reaction under the employed conditions, are the presence of deactivating substituents on the phenol ring and, of course, the instability of carbonyl derivatives to the acidic conditions. The high regioselectivity for the *ortho*-position, found in this reaction, implies that in the transition state the metal *M* is tightly bonded to phenolic and carbonyl oxygen atoms and probably also to carbonyl of the ester group (depending on the metal).

The metal phenolates, that are obtained *in situ* by direct reaction of phenols and metal salts¹² and the described pericyclic transition state¹³ are probably involved also in the synthesis of **3** carried out very recently under unusual Friedel-Crafts conditions¹⁴. It is noteworthy, however, that in the latter

case, reaction conditions are reported to be quite strict and the yield of **3** highly dependent on alkaline salts and on the nature of Lewis acid¹⁴.

The process described here can be considered as a Lewis acid-catalysed aldol reaction, where the metal salt of the phenol functions as the enol form of one of two carbonyl derivatives. Hence, it will be possible to realise other highly efficient *ortho*-selective C—C bond formations of phenol derivatives, the only limitation being the stability of the reagents and products to the acidic medium.

(*o*-Hydroxyaryl)-glycolic Acid Derivatives **3; Typical Procedures:**

Method A: To a stirred solution of *m*-cresol (500 mg, 4.6 mmol) and ethyl pyruvate (534 mg, 4.6 mmol) in dichloromethane (20 ml) at 0°C, titanium tetrachloride (873 mg, 4.6 mmol) is added slowly within 10 min. After 10 min, the reaction is quenched with water (10 ml) and extracted with ether (3 × 30 ml). The ether phase is washed with water (3 × 30 ml), dried with sodium sulfate and evaporated. The oily residue is chromatographed on silica gel with *n*-hexane/ethyl acetate (8:2) to give ethyl α -(2-hydroxy-4-methylphenyl)-lactate (**3d**); yield: 775 mg (75%).

Method B: To a solution of *m*-cresol (500 mg, 4.6 mmol) in dichloromethane (20 ml) maintained at 0°C is added titanium tetrachloride (873 mg, 4.6 mmol) and the mixture is stirred at 0°C for 30 min. A solution of ethyl pyruvate (534 mg, 4.6 mmol) in dichloromethane (5 ml) is then added slowly to the mixture. After maintaining the mixture for 10 min at 0°C, the reaction is worked up as given for Method A to give **3d**; yield: 910 mg (81%).

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¹ The method described in this paper has been patented; *Italian Patent Appl.* 22076 A/82, 20178 A/83, *EP Appl.* 83200 915.3 (1983).

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