Anomalous Substituent Effects in the Bischler–Napieralski Reaction of 2-Aryl Aromatic Formamides

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Received August 24, 2000

Treatment of some 1-naphthylformamides (or formanilides) possessing a 2,4,5-trioxygenated phenyl substituent at the 2-position with POCl₃ caused an unprecedented carbon insertion reaction into a benzene ring, producing 7-5 ring (azaazulene) systems as valence isomers of isoquinoline skeletons. Precise examination of this abnormal Bischler-Napieralski reaction (BNR) using various substrates led to the following scope and limitations: (i) the 7-5 ring systems were constructed when either 2-alkoxy-4,5-methylenedioxyphenyl- or 4,5-dialkoxy-2-hydroxyphenyl-substituted formamides were used as a starting substrate; (ii) in the former case the formyl carbon was inserted into the C_1-C_6 bond of the 2-phenyl group, and normal isoquinoline cyclization competed with an abnormal carbon insertion reaction; (iii) the presence of a hydroxy group at the 2'-position as in the latter cases caused exclusive carbon insertion, in which alternative $C_1 - C_2$ insertion products were quantitatively formed; (iv) 3,6-dimethoxy-2-hydroxyphenyl-substituted formanilide electronically equivalent to 4,5dialkoxy-2-hydroxy derivatives produced an indole-pyrone as an abnormal BNR product. Theoretical approaches using the PM-3 method indicated that these abnormal BNRs could be triggered by ipso attack at the 1'-position yielding spiro intermediates. Ring cleavege of the six-membered ring in the spiro intermediates to a ketene function followed by recyclization was proposed for the 2'hydroxy-directed abnormal BNRs leading to the C_1-C_2 insertion product or the indole-pyrone derivative.

Introduction

Traditional Bischler-Napieralski reaction (BNR) is one of the most important synthetic tools for the construction of isoquinoline skeleton.¹ Although many kinds of dehydrating agents such as phosphoric acid and sulfonic acid derivatives are available for BNR, we have applied BNR using phosphorus oxychloride (POCl₃) as a dehydrating agent to the construction of isoquinoline skeletons from 2-aryl-N-methyl-1-naphthylformamides in the final step of the synthesis of benzo[*c*]phenanthridine alkaloids for the structure-activity relationship of their antitumor activity.² In general, direction of the cyclization in the BNRs of 2-aryl-1-naphthylformamides is strictly controlled by the substitution pattern of alkoxy functions on the 2-aryl substituent. Thus, in the case of 2-(3,4dialkoxyphenyl)-1-naphthylformamides³ 1 exclusive cyclization to the para position to the 3'-alkoxy group has occurred to quantitatively yield 8,9-dialkoxy O₄-bases⁴ 2 (para-orientation),⁵ but not isomeric 7,8-dialkoxy O₄-ones⁴ **3**. On the other hand, application of BNR to 2-(4,5dialkoxy-2-methoxyphenyl)-1-naphthylformamides⁶ **4** has afforded 7,8-dialkoxy-10-methoxy O_5 -bases⁴ **5** as *ortho*orientation products because an electronically more activated 2'-position to be cyclized is blocked by the presence of an additional methoxy group (Scheme 1).

In the synthetic studies of macarpine⁷ (**7**), an O₆-base,⁴ we found that an unexpected 12-azonianaphth[1.2-*b*]-azulene (a 7-5 ring system in the rings A and B)⁸ **8**, which was produced by the insertion of a formamide carbon into the C_1-C_6 bond of the 2-aryl group in the naphthyl-formamide **6**, was concomitantly produced together with an expected isoquinoline product (a 6–6 ring system) **7**,

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^{(1) (}a) Whaley, W. M.; Govindachari, T. R. Organic Reactions; Adams, R., Ed.; John-Wiley and Sons: New York, 1951; Vol 6, pp 74– 150. (b) Fodor, G.; Nagubandi, S. Tetrahedron **1980**, *36*, 1279–1300. (c) Fowler, F. W. Comprehensive Hetrocyclic Chemistry; Katrirzky, A. R.; Rees, C. W., Eds.; Pergamon Press: Oxford, 1984; Vol 2, pp 410– 416.

⁽²⁾ Ishii, H.; Ichikawa, Y.-I.; Kawanabe, E.; Ishikawa, M.; Ishikawa, T.; Kuretani, K.; Inomata, M.; Hoshi, A. *Chem. Pharm. Bull.* **1985**, *33*, 4139–4151.

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⁽⁴⁾ See: Ishikawa, T. *Hetrocycles* **1999**, *50*, 627–639 and references therein.

⁽⁵⁾ Calculation of electron density of the 2-aryl group in 2-(3,4dimethoxyphenyl)-6,7-methylenedioxy-1-(*N*-methylformamido)naphthalene (1: $2R_1 = CH_2$; $R_2 = Me$) using the AM-1 method with CAChe ver. 3.6 showed higher electron density at C6' (4.0981) than at C2' (4.0888), supporting the *para*-orientation in BNR.

^{ver. 3.6 showed higher electron density at C6 (4.0981) than at C2 (4.0888), supporting the} *para*-orientation in BNR.
(6) Ishii, H.; Ishikawa, T.; Ichikawa, Y.-I.; Sakamoto, M. *Chem. Pharm. Bull.* **1977**, *25*, 3120–3121.
(b) Ishii, H.; Watanabe, T.; Ishikawa, T. *Chem. Pharm. Bull.* **1978**, *26*, 3252–3254.
(c) Ishii, H.; Ishikawa, T.; Ichikawa, Y.-I.; Kawanabe, E. J. Chem. Soc., Perkin Trans. 1 **1984**, 2283–2289.

⁽⁷⁾ Ishikawa, T.; Saito, T.; Ishii, H. Tetrahedron 1995, 51, 8447-8458.

⁽⁸⁾ Ishikawa, T.; Saito, T.; Noguchi, S.; Ishii, H.; Ito, S.; Hata, T. *Tetrahedron Lett.* **1995**, *36*, 2795–2798.





and that sodium borohydride (NaBH₄) reduction of the azoniaazulene **8** led to the formation of benz[g]indole **10** fused to a 1-alkoxy-8-oxabicylo[3.2.1]octa-2-ene skeleton⁸ (see Scheme 2).

Extensive examination of this new carbon insertion reaction (abnormal BNR) using related aromatic formamides such as 2,4,5-trioxygenated phenyl-substituted naphthylformamides 11 and phenolic 2-arylformanilides **20** led to the following conclusions: (i) 2-alkoxy-4,5methylenedioxy or 4,5-dialkoxy-2-hydroxy functions on the 2-aryl-substituted formamides play crucial roles for the construction of 7-5 ring systems (azaazulenes) as valence isomers of isoquinoline skeletons; (ii) in the latter cases the 7-5 ring systems are given as sole abnormal BNR products; (iii) carbon insertion occurs beween the C_1-C_6 bond of the 2-aryl group when 2-alkoxy-4,5methylenedioxyphenyl-substituted formamides are subjected to BNR; (iv) an alternative carbon insertion into the C_1-C_2 bond of the 2-aryl group is observed in the case of 4,5-dialkoxy-2-hydroxyphenyl-substituted form-



amides; (v) thus, direction of the insertion is strictly dependent upon the nature of oxygen functions in the 2-aryl substituent; (vi) on the other hand, 3,6-dimethoxy-2-hydroxyphenyl-substituted formanilide, electronically equivalent to 4,5-dialkoxy-2-hydroxy derivatives, produced an indole-pyrone as an abnormal BNR product. In this paper we present not only the scope and limitation of these anomalous carbon insertions into a benzene ring producing 7-5 ring systems, but also the formation of an indole product under the conditions of BNR.

Results and Discussion

(1) BNRs of 2-Aryl-1-naphthylformamides. Preliminary BNR of 4-methoxy-2-(2-methoxy-4,5-methylenedioxyphenyl)-1-(N-methylformamido)naphthalene (6) for macarpine (7) synthesis gave a red brown solid 8 different from an expected 7 in ca. 40% yield. The same molecular formula of the product as that of 7 was suggested by its fast atom bombardment mass spectrum (FABMAS); however, its ¹H NMR spectrum showed that it was a mixture contaminated with small amounts of 7. Therefore, we tried to isolate the BNR product(s) after reduction. Treatment of **6** with $POCl_3$ followed by NaBH₄ reduction afforded a benzindole 10 (25%) together with dihydromacarpine (9) (32%) which was smoothly converted into macarpine⁷ (7) by dehydrogenation with DDQ.⁶ Opimization of the isolation of BNR product(s) by fractional recrystallization (MeOH-EtOH) successfully afforded a pure azoniaazulene product 8 in 28% yield. Reduction of 8 with NaBH₄ gave the benzindole 10 in 72% yield (Scheme 2).

The full structures of an azoniaazulene **8** and a benzindole **10** were determined to be 5,7-dimethoxy-12-methyl-2,3;9,10-bismethylenedioxy-12-azonianaphth[1,2-b]azulene chloride and 5-methoxy-2,3-(1-methoxy-8-oxabicylo[3.2.1]octeno)-1-methyl-7,8-methylenedioxy-benz[g]indole, respectively, based on structural elucidation of the corresponding isopropoxy derivatives as mentioned below (see Scheme 3). This abnormal BNR, producing a 7-5 ring system **8**, made us precisely reexamine BNRs of 1-naphthylformamides **11** with a 2,4,5-trialkoxyphenyl function at the 2-position like **4**, in which normal benzo[c]phenanthridine alkaloids had been obtained as isolable products.⁶

On 2-(2,4,5-Trialkoxyphenyl)-1-naphthylformamides 11. 2-(4,5-Dialkoxy-2-isopropoxyphenyl)-1-naphthylformamides 11 ($R_1 = Pr$; $R_2 = Me$ or $R_2 = -CH_2-$),

Table 1. Reinvestigation of BNRs of 2-(2,4,5-Trialkoxyphenyl)-1-naphthylformamides 11 Followed by NaBH₄ Reduction



^{*a*} Isolated, nonoptimized yields. ^{*b*} Isolated as the quaternary chloride before the reduction. The starting formamide is known. The chloride (chelilutine chloride) had been obtained in 56% yield in the previous experiment.^{6c *c*} The starting formamide is known. The corresponding quaternary (chelirubine) chloride had been obtained in 30% yield in the previous experiment.^{6c *d*} Acetal function (OR₁) was dependent upon the conditions used for workup.

among four naphthylformamides examined, were newly prepared according to our reported method³ (See Supporting Information).

Treatment of **11** with POCl₃ at 70 °C followed by NaBH₄ reduction gave cyclized product(s) **12** and/or **13** (Table 1). Exclusive normal cyclization to isoquinoline skeletons **12** was observed when dimethoxy-substituted naphthylformamides **11** ($R_2 = Me$) were used as substrates (runs 1 and 2), while displacement of the methoxy groups into a methylenedioxy function in **11** led to the production of indole systems **13** in moderate yields along with normal cyclized products **12** (runs 3 and 4). The structures of indole skeletons were established based on the X-ray crystallographic analysis⁸ of a benzindole **13** ($R_1 = Pr$) obtained in run 4 in Table 1.

Carefully fractional recrystallization of the crude BNR product of the 2'-isopropoxy-4',5'-methylenedioxy formamide **11** ($R_1 = Pr$; $R_2 = -CH_2-$) from MeOH led to successful isolation of two products, an azoniaazulene chloride **14** (21%) and an isoquinolinium chloride **15** (35%) as less soluble and more soluble components, respectively. Independent NaBH₄ reduction of each quaternary base afforded the same cyclized products obtained in the above two-step reaction of BNR and reduction (Scheme 3). The structure of 7-isopropoxy-12azoniaazulene skeleton for **14** was determined by precise inspection of its spectral data, especially the NMR data including NOE experiments (see Figure 1), as described in a preliminary communication.⁸

Thus, it was clear that the presence of methylenedioxy function at the 4' and 5' positions in 2,4,5-trialkoxyphenyl-substituted naphthylformamides was responsible for the 7-5 ring construction through carbon insertion into the C_1-C_6 bond of the 2-aryl group.

2-(4,5-Dimethoxy-2-hydroxyphenyl)-1-naphthylformamide 16. Next we examined the BNR of 2-(4,5dimethoxy-2-hydroxyphenyl)-1-naphthylformamide **16** involving a phenolic function at the 2'-position, which had been isolated as isoarnottianamide⁹ from plant sources. The phenolic formamide **16** was prepared from an iso-



Figure 1. Selected NOE enhancements of 7-5 ring system products **14** and **17**.

Scheme 4



propoxyformamide **11** ($R_1 = {}^{i}Pr$; $R_2 = Me$) by treatment with 10% methanesulfonic acid in chloroform (see Supporting Information). Heating 16 in acetonitrile with POCl₃ at 80 °C afforded a single product 17 quantitatively, which was inert to NaBH₄ reduction. Its molecular formula was determined to be C₂₁H₁₇NO₅ by elemental analysis and high resolution (HR) FABMS. Although no absorption appeared in the typical carbonyl region of the IR spectrum, appearance of a signal at δ 175.2 in the ¹³C NMR spectrum indicated the presence of a conjugated carbonyl carbon in the molecule. Further inspection of the spectral data (see Experimental Section) allowed us to deduce the product to be an azaazulenone with a 7-5 ring system, which was temporarily supposed to be a C₁-C₆ insertion product **17A** based on the structure of the azoniaazulene 14 produced in the BNR of 2'-isopropoxy-4',5'-methylenedioxy naphthylformamide **11** ($R_1 = {}^{i}Pr$; $R_2 = -CH_2 -)$ (Scheme 4).

However, NOE experiments of the product indicated some discrepancies for the supposed structure 17A. The C₁–C₆ insertion product **14** showed NOE enhancements between an *N*-methyl group (δ 4.34) and both singlets due to 1-H (δ 7.93) and 11-H (δ 8.24), respectively, whereas only single NOE enhancement between an *N*-methyl group (δ 4.63) and a singlet (δ 8.12) assignable to 1-H was observed in 17 (Figure 1). Furthermore, no enhancement was detectable between a doublet due to 6-H and any singlet signals in 14; however, 6% enhancement of the corresponding doublet (δ 8.17) was observed on irradiation of the singlet at δ 7.55 due to a sevenmembered ring proton of 17. These facts suggested that the 7-5 ring system produced in the BNR of a phenolic formamide 16 could be an alternative C_1-C_2 insertion product 17B.

Unfortunately, in this stage no further information on the correct structure of **17** could be obtained because of their low solubility to usual organic solvents. Trials for preparation of a single crystal for X-ray crystallographic

⁽⁹⁾ Ishii, H.; Ishikawa, T.; Lu, S.-T.; Chen, I.-S. *Tetrahedron Lett.* **1976**, 1203–1206.



P=Protecting Group (^{*i*}Pr or PhCH₂)



analysis also failed. Therefore, we decided to examine BNRs of phenolic 2-arylformanilides **20** for the scope and limitations of this abnormal cyclization to 7-5 ring systems in addition to structural determination of the azaazulenone product **17**.

(2) BNRs of Phenolic 2-Arylformanilides 20. Phenolic 2-arylformanilides 20 were basically prepared by a Suzuki-type coupling reaction¹⁰ between protected arylboronic acids 18 and 2-bromoformanilide (19) followed by methylation and deprotection (Scheme 5). Thus, eight formanilides 20a-h were prepared (see Supporting Information).

2-(4,5-Dialkoxy-2-hydroxyphenyl)formanilides 20a and 20b. At first we examined the BNR of 2-(4,5dimethoxy-2-hydroxyphenyl)formanilide **20a** having the same substitution pattern as that in the phenolic naphthylformamide **16**. Treatment of **20a** with POCl₃ at room temperature afforded a cyclized product **21** in 63% yield (Scheme 6). The expected production of a 7-5 ring system was reasonably deduced by inspection of its spectral data (see Experimental Section).



Treatment of **21** with lithium aluminum hydride gave a tetrahydro derivative 22 in 53% yield. Reduction of a ketonic function to a methylene unit was indicated by the appearance of an A₂X signal pattern [δ 3.14 (2H, d, J = 7.6 Hz) and δ 4.89 (1H, t, J = 7.6 Hz)] in the ¹H NMR spectrum of 22. Successful preparation of a single crystal for X-ray crystallographic analysis¹¹ resulted in unambiguously establishing the structure of 22 to be 9H-6,7-dimethoxy-10-methylbenz[b]azaazulene (see Supporting Information). This indicated that 21 was 9-azaazulenone corresponding to a C_1-C_2 insertion product and that a cyclized product derived from 16 must be C1-C₂ insertion product **17B**. Thus, NOE enhancements observed in 17 could be reasonably explained by the presence of a carbonyl group at the *peri* position to an indole nitrogen in C_1-C_2 insertion products.

An alternative cyclized product **23** was additionally obtained as a minor product (15% yield) in the above BNR of **20a**. The same **23** was produced in 86% yield when BNR was carried out under heating at 50 °C. Its spectral data showed that **23** was a chlorine-substituted aza-azulenone, in which the 7-methoxy group of **21** was displaced by a chlorine atom.¹² Easy dechlorination of **23** to **24** with NaBH₄ could support the 7-chloro structure of **23** corresponding to a vinylogous acid chloride moiety (see Scheme 6).

Interestingly, it was found that a relatively labile chlorine-incorporating quaternary azoniaazulene product 25 was quantitatively obtained in the BNR of 20a without aqueous workup and that aqueous treatment of 25 afforded the chloroazaazulenone 23 in 85% yield.¹³ Inspection of the spectral data showed that 25 was 9-chloro-6,7-dimethoxy-10-methyl-10-azoniabenz[b]azulene chloride (see Experimental Section). The same type of 9-chloroazoniaazulene 26 was also obtained in the BNR (without aqueous workup)¹⁴ of 2-hydroxyphenyl formanilide **20b** carrying a methylenedioxy function in place of dimethoxy groups in 20a (Scheme 7). These experimental facts indicated that the location of a hydroxy function at the 2'-position in a 2',4',5'-trialkoxy system was inevitable for the cyclization to 7-5 ring systems producing $C_1 - C_2$ insertion products.

Other Phenolic Formanilides 20c–**g**. Next, the other five phenolic formanilides of 2-hydroxyphenyl- **20c**,

⁽¹⁰⁾ Suzuki, A. Acc. Chem. Res. 1982, 15, 178-184.

⁽¹¹⁾ Crystal data for **22**: $C_{16}H_{17}NO_2 = 255.32$, monoclinic, space group $P2_1$ (#4); a = 8.130(9), b = 7.780(9) Å, c = 10.94(2), $\beta = 99.97$ (8)°; V = 681(1) Å³; Z = 2; $D_{calc} = 1.244$ g/cm³; μ (Mo K α) = 1.24 cm⁻¹. A total of 1231 reflections (1229 unique) were recorded on a Rigaku RAXIS-II diffractometer. Anisotropic full-matrix least squares based on F^2 for non-H atoms and isotropic for H atoms converged to a standard agreement factor, R = 0.060, $R_w = 0.069$, using 1192 reflections $[I > 2\sigma(I)]$.

⁽¹²⁾ Irradiation of 5-H (δ 7.59) led to 14% and 12% NOE enhancements of 4-H (δ 8.02) and a methoxy signal (δ 4.07), respectively.

⁽¹³⁾ Chemical reactivity of azaazulene systems obtained in abnormal BNRs will be discussed elsewhere.

⁽¹⁴⁾ Aqueous workup of **26** afforded a 9-azaazulenone similar to **21** as a sole isolable product; however, the yield was low (11%).

Table 2. BNRs of Other Phenolic 2-Phenylformanilides20c-g



^{*a*} The starting **20c** was recovered in 11%. ^{*b*} The starting **20d** was recovered in 37%. ^{*c*} The starting **20d** was recovered in 20%. ^{*d*} Isolated before reduction.



4-hydroxyphenyl- **20d**, 2-hydroxy-5-methoxyphenyl- **20e**, 2,4-dimethoxy-5-hydroxyphenyl- **20f**, and 2,5-dimethoxy-4-hydroxyphenylformanilides **20g** were treated with $POCl_3$ under the conditions given in Table 2, respectively. However, only either deformylation or normal isoquino-line cyclization was observed in all cases, resulting in no production of azaazulene systems.

2-(3,6-Dimethoxy-2-hydroxyphenyl)formanilide 20h. 2-(3,6-Dimethoxy-2-hydroxyphenyl)formanilide¹⁵ **20h** could be supposed to be a synthon of the 4,5-dimethoxy-2-hydroxy system **20a** in the viewpoint of electronic contribution of alkoxy groups to the benzene ring. We finally examined the BNR of **20h**, in which carbon insertion could be the sole expected reaction because of the presence of substituents at both positions to be cyclized. Treatment of **20h** with POCl₃ at 50 °C afforded a new indole product **29** with a pyrone ring in 19% yield together with a deformylated product **30** (19%) and a starting **20h** (29%) (Scheme 8).

The molecular formula of **29** was determined to be $C_{16}H_{13}NO_4$ by its HRFABMS. Conjugated lactone and aldehyde functions in its molecule were observed in the IR and ¹³C NMR spectra. Appearance of a 3H singlet at δ 3.05 and a 1H singlet at δ 7.55 in the ¹H NMR spectrum indicated the presence of 3-substituted *N*-methylindole



skeleton. Further inspection of the spectral data (see Experimental Section) allowed us to deduce the new product to be an indole–pyrone derivative depicted as **29**, and the structure was completely confirmed by X-ray crystallographic analysis¹⁶ (see Supporting Information). Thus, it was clear that 2',3',6'-oxygenated system containing a hydroxy group at the 2'-position caused abnormal BNR giving an indole product accompanied by destruction of a benzene ring.

(3) Mechanistic Consideration for Abnormal BNRs. Anomalous carbon insertion reactions into benzene ring during the BNRs of 2-aryl aromatic formamides are summerized as follows: (i) abnormal BNRs are observed in the BNRs of 2',4',5'-trioxygenated aromatic formamides; (ii) in the cases of trialkoxy substituents, a methylenedioxy group at the 4',5' positions causes the carbon insertion, in which C_1-C_6 insertion is observed, and normal isoquinoline cyclization competes with abnormal insertion reaction; (iii) in the cases of monohydroxy and dialkoxy substituents, the presence of a hydroxy group at the 2'-position is responsible for the exclusive carbon insertion reaction, in which alternative C_1-C_2 insertion products are produced; (iv) on the other hand, 3,6-dimethoxy-2-hydroxyphenyl-substituted formanilide affords an indole-pyrone as an abnormal BNR product.

On the basis of these facts, we supposed a mechanism for abnormal BNRs as shown in Schemes 9-11. In all cases, ipso attack¹⁷ of the formyl carbon¹⁸ to the 1'-

⁽¹⁵⁾ **20h** was prepared from 2-(2,6-dimethoxyphenyl)formanilide obtained by Suzuki-type coupling reaction of **19** and 2,6-dimethoxyphenylboronic acid through seven steps (see Supporting Information). (16) Crystal data for **29**: $C_{16}H_{13}NO_4 = 283.28$, orthorhombic, space group $P_{2}_{12}_{12}_{12}$ (#19); a = 7.987(10), b = 22.97(2), c = 7.255(5) Å; V = 1330(2) Å³; Z = 4; $D_{calc} = 1.414$ g/cm³; μ (Mo K α) = 1.03 cm⁻¹. 1213 independent reflections were recorded on a Rigaku RAXIS-II diffractometer. Anisotropic full-matrix least squares based on P^2 for non-H atoms converged to a standard agreement factor, R = 0.069, $R_w = 0.083$, using 1047 reflections [$I > 3\sigma(I)$].





position doubly activated by 2',4'- or 2',6'-dioxygen functions should initiate the reaction to give spiro intermediates^{17b,19} such as **33** in Scheme 9.



Figure 2. Selected calculated electron density of 2-(2,4,5-trialkoxyphenyl)formanilides **37** and **38**.

2-Alkoxy-4,5-methylenedioxyphenyl Formamides: C_1-C_6 **Insertion Reaction.** In 2-alkoxy-4,5-methylenedioxyphenyl formamides **31** ($R_2 = -CH_2-$) a fused 6-3-6 ring system **34** could be formed by the C–C bond formation at the 2-position of the indolenium skeleton of a spiro compound **33** triggered by the 5'-oxygen group after ipso attack in an activated amide **32**.²⁰ Valence isomerization of **34** into a 7-5 ring system **35** results in carbon insertion into the C_1-C_6 bond of the 2-aryl group (Scheme 9).

In contrast, only normal isoquinoline cyclization was observed in the BNRs of formamides **31** ($R_2 = Me$) with 4,5-dimethoxyphenyl function. These facts could be explained by more effective conjugation of a methoxy group to a benzene ring rather than a methylenedioxy group.²¹ Thus, in the 4,5-dimethoxyphenyl-substituted formamides the 6'-position would be reactive enough to be directly attacked by the formyl carbon, exclusively yielding an isoquinoline skeleton **36** ($R_2 = Me$).

To rationalize the reactivities of the BNR mentioned above, MO calculations on the 2-aryl substituent in 2-(2,4,5-trialkoxyphenyl)formanilides **37** and **38** by the PM-3 method²² were performed (Figure 2). In these systems a main reaction course is a normal isoquinoline cyclization due to direct attack of the formyl carbon at the more electron-rich 6'-position. However, the 1'- and 6'-positions in **37** carrying a methylenedioxy function show closer electron density ($\Delta_{6'-1'} = 0.0188$) than in **38**

(17) (a) March, J. Advanced Organic Chemistry, 3rd. ed.; Wiley-Interscience: New York; 1985; pp 458–459. (b) For BNR, see Doi, S.; Shirai, N.; Sato, Y. J. Chem. Soc., Perkin Trans. 1 **1997**, 2217–2221.

(18) In the preliminary communication⁸ carbene insertion into the benzene ring had been supposed for the ring expansion. However, a substituent-dependent direction in carbon insertions required the proposed mechanism to be revised.

(19) Kusama, H.; Yamashita, Y.; Uchiyama, K.; Narasaka, K. *Bull. Chem. Soc. Jpn.* **1997**, *70*, 965–975.

(20) A similar dichlorophosphorate had been supposed as a reactive intermediate in the BNR of 4-methoxyphenylethylbenzamide, in which migration of a carbon unit through a spiro compound producing an isomeric isoquinoline was observed together with an expected iso-quinoline formation.^{17b}

(21) (a) Buckley, T. F., III; Rapport, H. *J. Am. Chem. Soc.* **1980**, *102*, 3056–3062. (b) Sha, C.-K.; Young, J.-J.; Yeh, C.-P.; Cheng, S.-C.; Wang, S.-L. *J. Org. Chem.* **1991**, *56*, 2694–2696. (c) Isono, N.; Mori, M. *J. Org. Chem.* **1995**, *60*, 115–119.

(22) MO calculations were performed by the PM-3 method with MOPAC ver 6.0. Results were obtained after full optimization of possible geometries.



Figure 3. Selected calculated electron density of 2-(4,5-dialkoxy-2-hydroxyphenyl)formanilides **20a** and **20b**.

carrying a dimethoxy function ($\Delta_{6'-1'} = 0.0607$). Thus, ipso attack to the 1'-position, resulting in the formation of a C_1-C_6 carbon insertion product, could be expected to compete with normal isoquinoline cyclization in the former case as shown in the BNRs of the related naph-thylformamides such as **6** and **11** ($R_2 = -CH_2-$).

4,5-Dialkoxy-2-hydroxyphenyl Formamides: C_1 – C_2 **Insertion Reaction.** An alternative reaction route must be active for exclusive C_1 – C_2 carbon insertion observed in the 2-hydroxyphenyl formamide **39**. A spiro ketone **41** should be produced by ipso attack because of the presence of a hydroxy group at 2'-position. Participation of 4'-alkoxy group could cause ring cleavage to give a ketene indole **42**. Nucleophilic attack of the enamine function of an indole skeleton to the ketene unit results in carbon insertion into the C_1 – C_2 bond of 2-aryl group affording a 7-5 ring system **43**.²³ Final products **45** or **46** are dependent upon workup conditions²⁴ used (Scheme 10).

This speculation is also supported by similar MO calculations of 2-(4,5-dialkoxy-2-hydroxyphenyl)formanilides **20a** and **20b** by the PM-3 method²² (Figure 3). Preferential ipso attack at more electron-rich 1'-position $(\Delta_{1'-6'} = 0.1149 \text{ in } \mathbf{20a}; \Delta_{1'-6'} = 0.0991 \text{ in } \mathbf{20b})$ should be responsible for the exclusive formation of C_1-C_2 insertion products.

3,6-Dimethoxy-2-hydroxyphenyl Formamide: Destruction of the Benzene Ring. It could be also supposed that a spiro ketone **48** and a ketene indole **49** are formed as reactive intermediates in the BNR of 2-(3,6dimethoxy-2-hydroxyphenyl)formanilide **20h**. The substitution pattern of alkoxy groups in the hexadienone ring of **48** leads to a conjugate ketene **49** without a cationic charge by ring cleavage triggered by the 6'-methoxy group. A 6π system of the ketene unit in **49** causes to electrocyclic reaction to give a lactone **50**,²³ and the subsequent Vilsmeyer–Haack type formylation with an activated amide such as **47** produces indole–pyrone **29** (Scheme 11).

Conclusions

In conclusion, it was found that fused azaazulene ring systems triggered by carbon insertion into a benzene ring were constructed when 2-alkoxy-4,5-methylenedioxyphenyl or 4,5-dialkoxy-2-hydroxyphenyl systems among aromatic formamides²⁵ with a 2,4,5-trialkoxyphenyl substituent at the 2-position were subjected to BNR and that direction of carbon insertion could be controlled by their substituent patterns. Furthermore, $C_1 - C_2$ insertion was suggested to be a normal reaction path in the latter BNR. In fact we have observed that some modified formanilides with a 4,5-dimethoxy-2-hydroxyphenyl system afford 9-azaazulenones as sole cyclized products in expected high yields.²⁶ In addition, an indole-pyrone derivative, the pyrone unit of which would be derived from a benzene ring, was obtained as an alternative BNR product in the case of a 3,6-dialkoxy-2-hydroxy system. Thus, these abnormal BNRs were found to be strictly dependent upon the nature of oxygen functions in the 2-aryl substituent.

In the literature azaazulene skeletons including benzene ring-fused ones have been generally prepared by cyclization of the corresponding troponoids.²⁷ On the other hand, it has been reported that Wittig reaction of *N*-methylanilides of α -keto acids with diazomethane derivatives yields 1-azaazulenes by expansion of the benzene ring to a seven-membered ring through alkylidene carbene intermediates.²⁸ Similar carbene-insertion reactions have been also observed in the rhodium (II)catalyzed intramolecular Bucher reaction.²⁹ However, our new 7-5 ring construction reactions should be classified into quite a different reaction category from the reported methods because of S_EAr reactions being controlled by substituents.

On the other hand, azaazulene systems obtained here could be regarded as seven-membered ring-fused indole (or benzindole) equivalents. Therefore, these abnormal BNRs could be replaced by new indole syntheses.³⁰ In particular they may be useful for the preparation of ervatamine-type indole alkaloids³¹ containing a seven-membered ring.

Experimental Section

General. See Suporting Information.

BNR of 4-Methoxy-2-(2-methoxy-4,5-methylenedioxy-phenyl)-6,7-methylenedioxy-1-(*N*-methylformamido)naphtha-

(25) No azaazulene formation was observed in the BNR of 4,5-dimethoxy-2-hydroxyphenylethyl-N-methylformamide, an aliphatic formamide.

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⁽²³⁾ The outline of this mechanism was suggested by one of the reviewers. We thank him for this valuable suggestion of a more reasonable mechanism than our original one.

⁽²⁴⁾ In the BNR of **20a** use of P_2O_5 in hot toluene instead of POCl₃ afforded 6,7-dimethoxyazaazulenone **21** in 46% yield as a sole product.

⁽²⁶⁾ Experimental details will be reported elsewhere.

lene (6). (i) Followed by NaBH₄ Reduction: Isolation of 5-Methoxy-2,3-(1-methoxy-8-oxabicyclo[3.2.1]octeno)-1methyl-7,8-methylenedioxybenz[g]indole (10). A solution of 6 (30 mg, 0.073 mmol) in POCl₃ (0.5 mL, 5.36 mmol) was stirred at 80 °C for 4 h. After evaporation of the POCl₃, the residue was dissolved in MeOH (3.0 mL), and then a large excess of NaBH₄ (50 mg, 1.22 mmol) was added. The whole was stirred at room temperature for 2 h. After workup purification of the residue by preparative TLC (hexane:EtOAc = 3:1) gave dihydromacarpine⁷ (9) (9 mg, 31%) from a less polar fraction and an indole 10 (7 mg, 25%) from a more polar fraction as a light brown solid; ¹H NMR (500 MHz) δ 1.64 (1H, m), 2.18 (1H, m), 2.30 (1H, m), 2.40 (1H, m), 2.44 (1H, d, J= 14.9 Hz), 3.36 (1H, dd, J = 14.9, 4.6 Hz), 3.58 (3H, s), 4.00 (6H, s), 4.98 (1H, dif. t, J = 4.6 Hz), 6.05 (2H, fine splitting), 6.99 (1H, s), 7.74 (1H, s), 7.78 (1H, s); HRFABMS m/z 367.1425 (M⁺, C₂₁H₂₁NO₅ requires *m*/*z* 367.1418). Anal. Calcd for C₂₁H₂₁-NO₅: C, 68.65; H, 5.76; N, 3.81. Found: C, 68.46; H, 5.69; N, 3.82

(ii) Isolation of 5,7-Dimethoxy-12-methyl-2,3;9,10-bismethylenedioxy-12-azonianaphth[1,2-b]azulene Chloride (8). A solution of 6 (101 mg, 0.247 mmol) in POCl₃ (1.0 mL, 10.8 mmol) was stirred at 80 °C for 8 h. After evaporation of the POCl₃, fractional recrystallization of the residue from MeOH-EtOH afforded 8 (29 mg, 28%) as dark red needles, mp > 300 °C; ¹H NMR (500 MHz, DMSO- d_6) δ 4.09 (3H, s), 4.51 (3H, s), 4.52 (3H, s), 6.31 (2H, s), 6.62 (2H, s), 7.69 (1H, s), 7.82 (1H, s), 7.91 (1H, s), 8.25 (1H, s), 8.68 (1H, s); ¹³C NMR (125 MHz, DMSO-d₆) & 36.1, 55.6, 59.3, 97.9, 100.0, 100.7, 100.9, 102.4, 103.7, 106.2, 117.0, 117.1, 119.6, 123.5, 131.3, 143.5, 148.0, 148. 5, 151. 6, 152.9, 161.3, 169.9; HRFABMS m/z 392.1131 (M⁺, C₂₂H₁₈NO₆ requires m/z 392.1134). Anal. Calcd for C22H18CINO6 2H2O: C, 56.95; H, 4.78; N, 3.02. Found: C, 56.93; H, 4.54; N, 3.02. A mixture of 8 (15 mg, 0.04 mmol) and NaBH₄ (10 mg, 0.273 mmol) in MeOH (1.5 mL) was stirred at room temperature for 1.5 h, and workup yielded 10 (10 mg, 75%).

BNR of 2-(2-Isopropoxy-4,5-methylenedioxyphenyl)-6,7-methylenedioxy-1-(N-methylformamido)naphthalene (11: $\mathbf{R}_1 = \mathbf{Pr}$; $\mathbf{R}_2 = -\mathbf{CH}_2$ -): 7-Isopropoxy-12-methyl-2,3;9,10-bismethylenedioxy-12-azonianaphth[1.2-b]azulene Chloride (14) and 10-Isopropoxysanguinarine Chlo**ride (15).** 11 ($R_1 = {}^{i}Pr$; $R_2 = -CH_2-$) (500 mg, 1.23 mmol) and $\ensuremath{\text{POCl}_3}$ (2.4 mL, 25.8 mmol) were reacted for 8 h. After washing with Et₂O, fractional recrystallization of the residue from MeOH afforded 14 (111 mg, 21%) as light orange needles, mp >300 °C; ¹H NMR (500 MHz, CD₃OD) δ 1.76 (6H, d, J = 6.0 Hz), 4.34 (3H, s), 5.46 (1H, septet, J = 6.0 Hz), 6.22 (2H, s), 6.54 (2H, s), 7.35 (1H, s), 7.69 (1H, d, J = 8.8 Hz), 7.85 (1H, s), 7.93 (1H, s), 8.24 (1H, s), 8.47 (1H, d, J = 8.8 Hz); HRFABMS *m*/*z* 390.1345 (M⁺, C₂₃H₂₀NO₅ requires *m*/*z* 390.1341). Anal. Calcd for C23H20Cl NO5 3H2O: C, 57.56; H, 5.46; N, 2.92. Found: C, 57.58; H, 5.23; N, 2.97. Treatment of 14 (21 mg, 0.05 mmol) in MeOH (2.0 mL) with NaBH₄ (12 mg, 0.329 mmol) for 1.5 h gave **13** ($R_1 = Pr$) (14 mg, 75%)

On the other hand evaporation of the mother liquor followed by recrystallization from EtOH–Et₂O gave **15** (183 mg, 35%) as dark red needles, mp 253–259 °C; ¹H NMR (500 MHz, DMSO- d_6) δ 1.45 (6H, d, J = 10.0 Hz), 4.81(3H, s), 5.01 (1H, septet, J = 10.0 Hz), 6.31 (2H, s), 6.54 (2H, s), 7.68 (1H, s), 8.00 (1H, s), 8.13 (1H, s), 8.20 (1H, d, J = 10.0 Hz), 9.48 (1H, d, J = 10.0 Hz), 10.00 (1H, s); HRFABMS m/z 390.1368 (M⁺, C₂₃H₂₀NO₅ requires m/z 390.1341). Treatment of **15** (20 mg, 0.05 mmol) in MeOH (1.5 mL) with NaBH₄ (11 mg, 0.283 mmol) for 1 h gave **12** (R₁ = *i*Pr; R₂ = -CH₂-) (14 mg, 83%).

BNR of 2-(4,5-Dimethoxy-2-hydroxyphenyl)-6,7-methylenedioxy-1-(*N*-methylformamido)naphthalene (Isoarnottianamide) (16): 8,9-Dimethoxy-12-methyl-2,3-methylenedioxy-12-azaazulen-11-one (17B). A mixture of 16 (300 mg, 0.79 mmol) and POCl₃ (1.2 mL, 13 mmol) in MeCN (50 mL) was stirred at 80 °C for 1 h. The reaction mixture was made alkaline with 5% NH_4OH and extracted with CHCl₃. After workup, purification of the crude product by SiO₂ column chromatography (CHCl₃) followed by washing with MeOH afforded **17B** (285 mg, quant) as yellow needles, mp 283–285 °C, which were recrystallized from CHCl₃–MeOH; ¹H NMR (500 MHz) δ 3.96 (3H, s), 4.04 (3H, s), 4.74 (3H, s), 6.12 (2H, s), 6.74 (1H, s), 7.32 (1H, s), 7.35 (1H, s), 7.52 (1H, d, J= 8.8 Hz), 7.83 (1H, d, J= 8.8 Hz), 8.03 (1H, s); 13 C NMR (125 MHz) δ 37.4, 56.0, 56.4, 100.8, 101.5, 104.1, 106.4, 115.2, 116.3, 118.4, 120.2, 121.5, 122.2, 131.2, 135.7, 136.8, 147.0, 147.5, 149.9, 157.7, 176.5; HRFABMS m/z 364.1183 (M + H⁺, C₂₁H₁₈NO₅ requires 364.1185). Anal. Calcd for C₂₁H₁₇NO₅ 1/2H₂O: C, 67.73; H, 4.87; N, 3.76. Found: C, 67.80; H, 4.85; N, 3.73.

BNR of 2-(4,5-Dimethoxy-2-hydroxyphenyl)-N-methylformanilide (20a) under Various Conditions. (i) At Room Temperature with Aqueous Workup: 6,7-Dimethoxy-10-methylbenz[b]azaazulen-9-one (21) and 7-Chloro-6-methoxy-10-methylbenz[b]azaazulen-9-one (23). A solution of 20a (11 mg, 0.038 mmol) in POCl₃ (0.4 mL, 3.97 mmol) was stirred at room temperature for 3 days. The reaction mixture was evaporated and extracted with CHCl₃. After workup, purification of the crude product by preparative TLC (CHCl₃:MeOH = 30:1) afforded **21** (6 mg, 63%) as yellow fine prisms, mp 223–224 °C; IR (Nujol) ν_{max} 1559 cm⁻¹; ¹H NMR (400 MHz) & 3.96 (3H, s), 4.02 (3H, s), 4.40 (3H, s), 6.75 (1H, s), 7.33 (1H, dt, J = 8.0, 1.2 Hz), 7.39 (1H, s), 7.53 (1H, dif d, J = 8.0 Hz), 7.55 (1H, dt, J = 8.0, 1.0, 1.2 Hz), 8.03 (1H, d, J = 8.0 Hz); 13 C NMR (100 MHz) δ 33.0, 56.0, 56.5, 104.7, 110.5, 115.2, 119.1, 120.0, 120.8, 125.1, 127.2, 135.8, 140.1, 149.4, 158.1, 177.0; HRFABMS m/z 270.1119 (M + H⁺, C₁₆H₁₆NO₃ requires *m*/*z* 270.1130). Anal. Calcd for C₁₆H₁₅NO₃: C, 71.36; H, 5.61; N, 5.20. Found: C, 71.27; H, 5.63; N, 4.85 and 23 (2 mg, 15%), which was identical with the sample obtained from the reaction at 50 °C mentioned below.

(ii) At 50°C with Aqueous Workup: 7-Chloroazaazu**lenone 23.** A solution of **20a** (51 mg, 0.176 mmol) in POCl₃ (0.8 mL, 8.6 mmol) was stirred at 50 °C for 3 h. The reaction mixture was evaporated and extracted with \mbox{CHCl}_3 containing a small amount of MeOH. After workup, the crude product was recrystallized from MeOH-CHCl₃ to afford **23** (41 mg, 85%) as yellow prisms, mp 209–212.5 °C; IR (Nujol) ν_{max} 1654 cm⁻¹; ¹H NMR (400 MHz) δ 4.07 (3H, s), 4.27 (3H, s), 7.37 (1H, ddd, J = 10.0, 10.0, 1.2 Hz), 7.51 (1H, d, J = 10.0 Hz), 7.58 (1H, ddd, J = 10.0, 10.0, 1.2 Hz), 7.59 (1H, s), 7.64 (1H, s), 8.02 (1H, d, J = 10.0 Hz); ¹³C NMR (100 MHz) δ 35.0, 56.1, 107.2, 110.6, 119.5, 120.2, 121.6, 125.0, 127.7, 133.6, 134.2, 134.7, 140.8, 157.0, 176.7; HRFABMS m/z 274.0636 (M⁺) $C_{15}H_{13}ClNO_2$ requires *m*/*z* 274.0635) and 276.0615 (M⁺ + 2, $C_{15}H_{13}CINO_2$ requires m/z 276.0606). Anal. Calcd for $C_{15}H_{12}$ -ClNO₂: C, 65.82; H, 4.42; N, 5.12. Found: C, 65.66; H, 4.33; N, 5.08.

(iii) At 50°C without Aqueous Workup: 9-Chloro-6,7dimethoxy-10-methyl-10-azoniabenz[*b*]azulene Chloride (25). A solution of 20a (50 mg, 0.17 mmol) in POCl₃ (0.8 mL, 8.6 mmol) was stirred at 50 °C for 2 h. After evaporation washing the residue with Et₂O afforded 25 (58 mg, quant) as a yellow solid, mp 157–159 °C, which was slowly liquefied; ¹H NMR (400 MHz, CDCl₃+CD₃OD) δ 4.32 (3H, s), 4.38 (3H, s), 4.54 (3H, s), 7.51 (1H, s), 7.66 (1H, t, *J* = 8.0 Hz), 7.87 (1H, d, *J* = 8.0 Hz), 7.99 (1H, t, *J* = 8.0 Hz), 8.65 (1H, d, *J* = 8.0 Hz), 9.02 (1H, s); HRFABMS *m*/*z* 288.0786 (M⁺, C₁₅H₁₅ClNO₂ requires *m*/*z* 288.0791) and 290.0736 (M⁺ + 2, C₁₅H₁₅ClNO₂

9*H***·6**,7**·Dimethoxy-10-methylbenz**[*b*]**azaazulene (22).** A mixture of **21** (111 mg, 0.41 mmol) and LiAlH₄ (47 mg, 1.22 mmol) in THF (19 mL) was refluxed for 1 h. After addition of water and 20% NaOH, precipitates were removed by filtration through Celite and washing with EtOAc and MeOH. The filtrate was evaporated and purified by SiO₂ column chromatography (hexane:EtOAc = 5:1) to give **22** (56 mg, 53%) as colorless prisms, mp 163–166 °C; ¹H NMR (400 MHz) δ 3.14 (2H, d, J = 7.6 Hz), 3.59 (3H, s), 3.74 (3H, s), 3.87 (3H, s), 4.89 (1H, t, J = 7.6 Hz), 6.55 (1H, s), 7.13 (1H, dt, J = 7.6 Hz), 7.60 (1H, dt, J = 7.6 Hz); ¹³C NMR (100 MHz) δ 20.3, 29.5, 55.8, 55.9, 94.7, 100.4, 108.7, 109.4, 117.6, 119.6, 121.0, 126.0, 135.2, 137.3, 149.9, 152.8; HRFABMS *m*/*z* 255.1252 (M⁺, C₁₆H₁₇NO₂ requires *m*/*z* 255.1259).

6-Methoxy-10-methylbenz[*b*]**azaazulen-9-one (24).** A mixture of **23** (50 mg, 0.18 mmol) and NaBH₄ (83 mg, 2.2 mmol) in MeOH (13 mL) was stirred at room temperature for 1.5 h and extracted with CHCl₃. After workup, purification of the crude product by preparative TLC (hexane:EtOAc = 2:1) afforded **24** (56 mg, 53%) as colorless prisms, mp 159–160 °C; ¹H NMR (400 MHz) δ 3.93 (3H, s), 4.39 (3H, s), 7.16 (1H, s), 7.16 (1H, d, J = 1.0 Hz), 7.32 (1H, dt, J = 8.3, 1.0 Hz), 7.34 (1H, dif s), 7.51 (1H, d, J = 8.3 Hz); ¹³C NMR (100 MHz) δ 3.6, 55.5, 104.4, 110.4, 120.5, 120.8, 123.1, 125.0, 127.7, 131.8, 136.8, 136.8, 140.4, 155.3, 178.4; HRFABMS *m*/*z* 240.1010 (M + H⁺, C₁₅H₁₄NO₂ requires *m*/*z* 240.1025). Anal. Calcd for C₁₅H₁₃-NO₂: C, 75.30; H, 5.48; N, 5.86. Found: C, 74.91; H, 5.36; N, 5.71.

BNR of 2-(3,6-Dimethoxy-2-hydroxyphenyl)-*N***-methylformanilide (20h): 5-Formyl-3-methoxy-6-[3-(1-methylindoyl)]-2***H***-pyran-2-one (29).** A solution of **20h** (202 mg, 0.702 mmol) in POCl₃ (3.2 mL, 34.3 mmol) was stirred at 50 °C for 4 h. The reaction mixture was evaporated, poured into water, and extracted with CHCl₃. After workup, purification of the crude product by SiO₂ column chromatography (hexane: EtOAc = 1:1) afforded **29** (38 mg, 19%) as yellow prisms, mp 205–209 °C; IR (Nujol) $\nu_{\rm max}$ 1741, 1664 cm⁻¹; ¹H NMR (400 MHz) δ 3.92 (6H, s), 7.11 (1H, s), 7.31 (1H, dd, J = 8.0, 1.2 Hz), 7.38 (1H, dd, J = 8.0, 1.2 Hz), 7.42 (1H, d. J = 8.0 Hz), 7.49 (1H, s), 7.95 (1H, d, J = 8.0 Hz), 9.92 (1H, s); ¹³C NMR (125 MHz) δ 33.6, 56.4, 105.2, 110.08, 110.13, 114.3, 120.7, 122.4, 123.9, 126.1, 133.2, 137.3, 142.9, 157.6, 160.8, 187.7; HRFABMS *m*/*z* 284.0927 (M + H⁺, C₁₆H₁₄NO₄ requires *m*/*z* 284.0923). A deformylated product **30** (34 mg, 19%) was also obtained with recovery of **20h** (59 mg, 29%).

Supporting Information Available: Preparation method and spectral data of the starting aromatic formamides for BNR [**11** ($R_1 = Pr$; $R_2 = Me$ or $R_2 = -CH_2-$) and **16**, **20a**–g, and **20h**], reaction conditions of BNRs in Table 1 and **20b** without aqueous workup, and the spectral data of products obtained, ¹H NMR charts of **13** (R = H), **15**, **22**, **25**, **26**, and **29**, and X-ray data of **22** and **29**. This material is available free of charge via the Internet at http://pubs.acs.org.

JO0012849