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Cytotoxins in *Klebsiella oxytoca* 

A tricyclic pyrrolobenzodiazepine produced by Klebsiella oxytoca is associated with cytotoxicity in antibiotic-associated hemorrhagic colitis

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Running title: Cytotoxins in Klebsiella oxytoca

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#### ABSTRACT

Cytotoxin-producing Klebsiella oxytoca is the causative agent of antibiotic-associated hemorrhagic colitis (AAHC). Recently, the cytotoxin associated with AAHC was identified tilivalline. pentacyclic as а known pyrrolobenzodiazepine (PBD) metabolite produced by K. oxytoca. While this assertion of tilivalline's role in AAHC is supported by evidence from animal experiments, some key aspects of this finding appear to be incompatible with toxicity mechanisms of known PBD toxins. We therefore hypothesized that *K. oxytoca* may produce some other uncharacterized cytotoxins. To address this question, we investigated whether tilivalline alone is indeed necessary and sufficient to induce cytotoxicity or whether K. oxytoca also produces other cytotoxins. LC/MSand NMR-based metabolomic analyses revealed the presence of an abundant tricyclic PBD, provisionally designated kleboxymycin, in the supernatant of toxigenic K. oxytoca strains. Moreover, by generating multiple mutants with gene deletions affecting tilivalline biosynthesis,

show that a tryptophanase-deficient, we tilivalline-negative K. oxytoca mutant induced cytotoxicity in vitro similar to tilivalline-positive K. oxytoca strains. Furthermore, synthetic kleboxymycin exhibited greater than 9-fold higher cytotoxicity than tilivalline in TC<sub>50</sub> cell culture assays. We also found that the biosynthetic pathways for kleboxymycin and tilivalline appear to overlap, as tilivalline is an indole derivative of kleboxymycin. In summary, our results indicate that tilivalline is not essential for inducing cytotoxicity observed in K. oxvtoca-associated AAHC and that kleboxmycin is a tilivalline-related bacterial metabolite with even higher cytotoxicity. (224 words)

Klebsiella is a genus of non-motile Gramnegative rod-shaped bacteria commonly encountered in the environment. Similar to other members of the family Enterobacteriaceae, *Klebsiella* spp. are associated with a wide range of diseases, including nosocomial pneumonia,

urinary tract infections, biliary tract infections, surgical wound infections, and deep abscesses (1). In addition, the prevalence of high-level antibiotic resistance is increasing among clinical isolates of *Klebsiella* spp., with production of extended-spectrum beta-lactamases and carbapenemases posing significant challenges to treatment and infection control (2,3).

Among the diseases associated with Klebsiella spp., antibiotic-associated hemorrhagic colitis (AAHC) is a clinical entity that has gained attention in recent years. The disease is caused by the overgrowth of cytotoxin-producing Klebsiella oxytoca secondary to use of antibiotics such as penicillin or amoxicillin (4.5). The typical colonoscopic finding of AAHC is segmental involvement of the ascending colon characterized by presence of diffuse mucosal edema and hemorrhagic erosions. This presentation is distinct from the more common form of antibiotic-associated diarrhea caused by toxin-producing Clostridium difficile, which presents with watery diarrhea in mild to moderate disease, but may resemble more severe C. difficile infection. Besides causing human disease, cytotoxin-producing K. oxytoca is also suspected as the causative agent in an outbreak of hemorrhagic colitis on a rabbit farm (6).

Current knowledge on cytotoxin-producing K. oxytoca and associated disease is severely limited by the lack of an accessible diagnostic test. While a differential culture medium had been developed for isolation of K. oxytoca from stool (7), cytotoxin detection remains a difficult task in the clinical setting. The gold standard for detecting cytotoxin production is the HEp-2 cell culture assay (5,8), but it has a long turnaround time and is impractical for most clinical laboratories. Furthermore, the cytopathic effects indicative of cytotoxin production are nonspecific, and confirmation by neutralization with specific antibodies or antitoxins is currently unavailable. Hence, several reports of K. oxytoca-associated hemorrhagic colitis were not corroborated by cytotoxicity assays on the isolates (9-14), which prevented an accurate assessment of disease prevalence.

Arguably, the fundamental barrier to further studies on the K. oxytoca cytotoxin, including the development of a reliable diagnostic assay, was uncertainty over its real identity. Early studies have suggested that the cytotoxin is a small molecule with a novel chemical structure and a molecular weight (MW) of approximately 217 Da (15,16). However, this is in conflict with recent results suggesting that the cytotoxin is tilivalline, actually a pentacyclic pyrrolobenzodiazepine (PBD) with a MW of approximately 333 Da (17,18). The association of tilivalline with cytotoxicity and disease was supported by multiple lines of evidence, including mutagenesis experiments and animal studies, imparting significantly more credibility to the results.

In the present study, we performed an independent investigation on the identity of the cytotoxin. Culture supernatants from K. oxytoca strains grown under different culture conditions were examined, and metabolites associated with cytotoxicity were isolated and characterized. Surprisingly, our results revealed that cytotoxicity is associated with a mixture of related molecular species essentially comprising a tricyclic PBD, provisionally designated kleboxymycin, and its derivatives, of which tilivalline is the main species. In vitro assays showed that both kleboxymycin and tilivalline are correlated with cytotoxicity, but the former has higher cytotoxicity by an order of magnitude. The present results strongly suggest that tilivalline is not the sole or even the main cytotoxin produced by AAHC-associated K. oxytoca strains.

#### Results

**Cytotoxin production is influenced by culture conditions.** In the course of our experiments to establish the cytotoxin production by *K. oxytoca* strains, we noticed inconsistency in cytotoxin

production under similar conditions by the same strain. Among various modifications of culture conditions, it was found that culturing temperature exceeding 37°C, significantly reduced cytotoxicity of culture supernatants. Bacterial growth rate, as enumerated by OD<sub>600nm</sub> measurement (Fig. 1) and by colonies counting from subculturing on non-selective agar media, was not adversely affected at culture temperatures between 35 and 37 °C. We have tested with tryptic soy broth (TSB), then tested with MacConkey broth and found that MacConkey broth could give high cytotoxicity of K. oxytoca strain MH43-1 (64x dilution of broth at which cytopathic effects were still observed). Therefore, we tested which component inside MacConkey broth was the key component that increases the cytotoxicity of MH43-1. Bile salt added to TSB could not increase the cytotoxicity effect. On the other hand, glucose and especially lactose added to TSB could increase the cytotoxicity of MH43-1 (Table S1). MH43-1 cultured in minimal medium only had low cytotoxicity (8x dilution of broth at which cytopathic effects were observed). Higher cytotoxicity was observed with a higher glucose or lactose concentration of up to 10 g/L (Table 1). Based on these results, we designed a simple tryptone lactose broth medium (see Experimental procedures) that consistently induced high levels of cytotoxicity culture supernatant which facilitated in downstream metabolite identification.

#### LC/MS/MS analysis on culture supernatant.

To profile the metabolite content found in the culture supernatant, triplicate samples were prepared for the toxigenic MH43-1 and non-toxigenic 293206 strains of *K. oxytoca* cultured in tryptone broth, with and without lactose. Extensive profiling of the culture media was performed using LC/MS, and 3646 unique chemical species were identified with specific mass to charge ratios (m/z) and retention times (RT). These two pieces of acquired information were used as the unique identifier pair for each species present in the samples. The peak areas were determined for each uniquely identified chemical species to quantify their amounts

found within each of the 12 samples. A toxicity value for each of the culture media was also performed by incubating HEp-2 cells with samples of the media, and the toxicity was quantified using the MTT cell viability assay.

Multivariate analysis was performed on this data matrix as a mean of correlating the metabolite profiles of the culture supernatant with their cytotoxic activities, for the objective of identifying the potential bioactive constituents. The data set was not transformed but was treated with pareto scaling prior to analysis (19). Chemical fingerprint data along with their respective toxicity profiles were subjected to principal component analysis (PCA) to inspect data variation and identify potential outliers. The data was then subjected to partial least squares (PLS) analysis, a supervised extension of PCA, to elucidate the covariance between quantified metabolites as defined by their m/z and RT values (X variables) and toxicity of the media (Y variables) (20). The resulting score plot reveals that the culture media metabolite profiles could be discriminated on the basis of the strains and culture conditions used (Fig. 2A). With the exception of one replicate profile from the nontoxigenic strain cultured in lactose-containing media, all other profiles from the same sample clustered into discernible groups. types Interestingly, the metabolite profiles of the toxigenic MH43-1 grown in the presence of lactose were discriminated from the other three sample types and located in a separate region in the score plot. The performance of model was assessed by examining the  $R^2$  (fitness of the model) and  $Q^2$  (model predictability) values with all values close to 1 resembling a good model (8). In this study, the model possessed values of  $R^2 = 0.92$  and  $Q^2 = 0.8$  when three principle components were incorporated in the regression model.

To identify the molecules that provided the greatest contributions to the three principle components used in the model, a three-

dimensional loading plot was generated (Fig. 2B). In this plot, most metabolites clustered in the center indicating that their contributions were minimal. However, three candidate molecules were identified as significant contributors to all three principle components. There were two metabolites possessing m/z of 217.1 and 334.1 at the same retention time of 20.2 minutes and a third metabolite with an m/zof 235.2 at the retention time of 15.9 minutes. The extracted-ion chromatogram profiles of the culture supernatants were consistent with these findings and showed that these metabolites were found only in the culture supernatant of toxigenic MH43-1 strain in lactose-containing media (Fig. 2C).

To isolate these metabolites, culture supernatant of MH43-1 in lactose-containing medium was subjected to solid phase extraction chromatography and eluted as three fractions as described in the Experimental procedure. The profiles of each fraction were LC/MS characterized. The metabolite identified with a m/z 235.2 at RT 15.9 min was eventually isolated to fraction Peak 1 (P1) after a subsequent reversed-phase chromatography step (Supplemental Figs. S1A and S1B). This compound was judged to be approximately 90% pure based on GC-MS and total ion current chromatogram profile of the fraction. (Supplemental Figs. S2) The metabolite identified with a m/z 334.1 at RT 20.2 min was found in Fraction 3 (Supplemental Figs. S1C and S1D) and was also determined to be approximately 90% pure (Supplemental Fig. S3). This fraction was renamed Peak 2 (P2) for subsequent experiments. To assess the toxicity of the remaining metabolite population, all other fractions generated from this purification scheme were pooled together and referred to as Fraction O.

The metabolite-toxicity relationship was assessed by cell culture toxicity assay of the isolated fractions. In addition to testing individual fractions, P1 and P2 were combined to look for any synergistic effects; and all isolated fractions were combined (P1+P2+O) to assess any loss in toxicity from the separation procedure. P1 was found to produce observable cytotoxicity at up to 128× dilution, while P2 exhibited lower toxicity of up to 32×. No significant synergistic effects were observed when combining P1 and P2. The recombined fractions demonstrated 2× reduced cytotoxicity with respect to crude supernatant suggesting only minimal loss during separation. These results suggest that the main contributor to toxicity is the metabolite present in P1 rather than P2.

Structure elucidation of kleboxymycin (P1) and its derivatives. The elemental compositions of the metabolites were determined by mass spectrometry, combined with product ion spectra, GC-MS and NMR spectroscopy results. Proposed structures of the compounds P1 and P2 with m/z 235 and m/z 334, respectively, are shown in Fig. 3. Both molecules consist of a pyrrolobenzodiazepine nucleus and differ in their substituents at the C6 position (21). Compound P1 has a hydroxyl group at this position, while compound P2 has an indole substituent and is known as tilivalline (17). Tilivalline was among the known metabolites of K. oxytoca (22) with cytotoxicity towards mammalian cells. We provisionally designated the main contributor to toxicity, compound P1, as kleboxymycin.

Kleboxymycin showed a protonated molecular ion at m/z 235, sodium adduct of molecular ion at m/z 257 and dimer of sodium adduct of molecular ion at m/z 491, neutral loss of water molecule from protonated molecular ion at m/z217 as well as dimer of protonated ion from loss of two water molecules at m/z 433 in positive full scan MS mode (Fig. 4A); deprotonated molecular ion at m/z 233, formate adduct of molecular ion at m/z 279 from the formic acid in the mobile phase, dimer of deprotonated

molecular ion at m/z 467 as well as neutral loss of water molecule from deprotonated molecular ion at m/z 215 was observed in negative full scan MS mode (Fig. 4B). Both positive and negative full scan MS results showed that the molecular formula was C<sub>12</sub>H<sub>14</sub>N<sub>2</sub>O<sub>3</sub> for kleboxymycin with the mass accuracy less than 5 ppm.

When lyophilized kleboxymycin was reconstituted in acetonitrile- $d_3$ , multiple set of signals were initially observed in the NMR spectra (Supplemental Fig. S4). The signals gradually became being dominated by one set of signals after overnight standing at 298K. The last traces of residual signals could be removed by the addition of molecular sieve beads to absorb residual water in the solvent. This observation suggested that the kleboxymycin was slowly dehydrated first by the acetonitrile solvent and then by molecular sieve beads to form a single dehydrated form. However, the dehydrated-kleboxymycin was highly unstable because it was readily degraded after standing in the NMR tube for 5 days. The <sup>1</sup>H and <sup>13</sup>C NMR spectrometry data of the dehydratedkleboxymycin in acetonitrile-d3 at 298K were presented in Supplemental Table S2. MS characterization indicated that dehydratedkleboxymycin has an protonated ion exact mass of 217.0983 in positive ion mode (Fig. 5) and the neutral molecule chemical formula generated with least m/z deviation is  $C_{12}H_{12}N_2O_2$  (delta = -2.8 ppm). No other chemical formula with 12 carbon atoms can be fit into this exact mass even if we relaxed the m/z tolerance to 50 ppm. Fig. 6 showed the <sup>1</sup>H 1D, COSY, HSQC and HMBC spectra of dehydrated-kleboxymycin. The elucidation structure of dehydratedkleboxymycin follows established methodology of combining the information provided by peak integral, chemical shifts, multiplicity and crosspeak correlationships observed in the COSY, HSQC and HMBC spectra. H10, H11 and H12 having chemical shifts between 7.08 to 7.45 ppm are three aromatic protons neighboring to each

other as indicated by their COSY crosspeaks. Their corresponding C10, C11 and C12 carbon chemical shifts are also consistent with these aromatic carbon chemical shifts. The position of the H12 is confirmed by the observation of H12-C14 crosspeak in the HMBC spectrum. H2, H3, H4, H5 and H6 showed another spin system linked by their COSY crosspeaks. C2, C3, C4 and C5 are having aliphatic types of carbon chemical shifts, and their integrals, proton chemical shifts as well as coupling constants are typical proline side chain patterns. C6 and H6 are having exceptional high chemical shifts of 169.2 and 7.88 ppm, respectively. These indicate that C6 is having  $sp^2$  hybridization. Together with the fact that there is no more feasible aromatic position and aromatic ring, C6 is assigned to be under C=N moiety. C14 has a high carbon chemical shift of 166.4 ppm with no proton attached. This is consistent with a benzoic carbonyl carbon. C8 and C13 are substituted aromatic carbons because they are having aromatic carbon chemical shifts and have no protons attached. C9 has a high aromatic carbon chemical shift of 154.2 ppm, which is consistent with the chemical shift of a phenol carbon chemical shift. Lastly, there is a remaining degree of unsaturation which indicates that dehydrated-kleboxymycin should have a three ring system to form a 7-membered ring in the middle matching the overall chemical structure of a tricyclic pyrrolobenzodiazepine (23). The chemical structure of dehydratedkleboxymycin was shown in Fig. 3C. MS/MS fragmentation analysis on dehydratedkleboxymycin was performed and summarized in Supplemental Fig. S5.

The <sup>1</sup>H and <sup>13</sup>C NMR spectrometry data of the kleboxymycin in D<sub>2</sub>O at 298K were presented in Supplemental Table S3 and Fig. 7. MS/MS characterization of kleboxymycin in positive ion mode (Fig. 8) indicated that M-H<sup>+</sup> has an exact mass of 235.10784 and the neutral chemical formula generated with least m/z deviation is

 $C_{12}H_{14}N_2O_3$  (delta = 1.8 ppm). The structure elucidation of kleboxymycin largely followed that of dehydrated-kleboxymycin described above except that two sets of NMR signals could be observed in the NMR spectra based on their integrals. The major to minor forms has an intensity ratio of about 3:1 and we have named the major and minor forms as Diastereomers a and **b**, respectively. The substitution of the C=N moiety with water has upfield shifted C6 carbon chemical shift to 90.9 and 86.2 ppm for Diastereomers **a** and **b**, respectively. These are consistent with the formation of a hydroxylated C6. H6 has chemical shifts of 4.99 and 5.31 ppm for Diastereomers **a** and **b**, respectively, which are also consistent with a hydroxyl C6. The H6 showed J coupling splitting of 9.1 and 2.3 Hz with H5 for Diastereomers **a** and **b**, respectively. Based on these values, the Diastereomer a should be having a H6 proton anti to the H5 proton and Diastereomer **b** should be having a H6 proton syn to the H5 proton. Note that the present structure of kleboxymycin is further confirmed by the association experiment of indole with kleboxymycin to form tilivalline described below.

The <sup>1</sup>H spectrum of the P2 (tilivalline) in DMSO at 298K was presented in Supplemental Fig. S6, which matched exactly with the reported NMR spectrum of tilivalline (17). MS/MS spectrum of P2 in positive mode was shown in Supplemental Fig. S1D. It also showed a product ion at 217 as well as many matching product ions found in the MS/MS spectra of kleboxymycin (Fig. 4) and dehydrated-kleboxymycin (Fig. 5) indicating that they have common chemical skeleton. This is consistent with the chemical structure of tilivalline being an indole derivative of kleboxymycin.

**Relative abundance of kleboxymycin and tilivalline in cell culture.** To evaluate the relative abundance of kleboxymycin and tilivalline in the cell culture, the levels of kleboxymycin and tilivalline in *K. oxytoca* 

MH43-1 culture supernatant at 8 and 12 hours were measured by LC-MS quantitative analysis and summarized in Table 2. The relative molar ratios of kleboxymycin to tilivalline were 0.54 and 0.41 at 8 and 12 hours, respectively. The corresponding LC-MS extracted ion count plots of kleboxymycin and tilivalline in one of the triplicate sample set were shown in Supplemental Fig. S7.

Gene deletion and complementation. Based on knowledge of the proposed chemical structures of the cytotoxins and comparison among genome sequences of K. oxytoca strains (18), we identified a gene cluster that is likely to be associated with cytotoxin production. Fig. 9 shows the genetic organization of the cytotoxin biosynthesis gene cluster, along with the annotation of predicted gene functions and phylogenetically closest neighbor based on NCBI BLAST search. The organization and annotation of the gene cluster is identical to that described by Schneditz et al (17), except for the presence of a putative *npsC* gene which encodes a short protein with sequence similarity to OtcC, a post-polyketide hydroxylase/cyclase. The sequence of kleboxymycin biosynthetic gene cluster is sequenced and has been deposited to Genbank and the NCBI accession number is MF401554. Gene deletion and complementation experiments were performed to assess the essentiality of individual genes to cytotoxin production. Results are summarized in Table 3. In all cases, complementation of the deleted gene restored cytotoxicity levels to within 2x - $4 \times$  of that of wild-type.

Surprisingly, deletion of hmoX, encoding a putative anthranilate 3-monooxygenase, or *icmX*, encoding a putative isochorismatase-like family protein, did not affect production of kleboxymycin or tilivalline. On the other hand, deletion of *adsX*, encoding an anthranilate synthase, clearly led to a non-toxigenic phenotype as predicted. This suggests that possible functional redundancy for parts of the

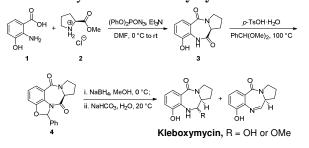
3-hydroxyanthranilate biosynthesis operon is compensated by production of a functionallyrelated enzyme. Alternatively, our functional predictions based on NCBI BLAST analysis may not be accurate, given the lack of highly similar proteins with experimental verification of their enzymatic activity.

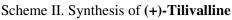
Additionally, to further confirm that 3hydroxyanthranilate is a key intermediate for kleboxymycin biosynthesis in *K. oxytoca*, exogenous 3-hydroxyanthranilate was added to the culture media at a final concentration of 0.25 g/L during the culture of the  $\Delta adsX$  mutant. It was found that presence of exogenous 3hydroxyanthranilate could successfully restore cytotoxin production, similar to the effect of genetic complementation (Table 4 and Supplemental Fig. S8).

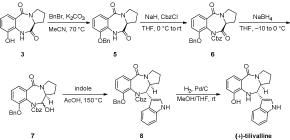
Finally, we constructed a  $\Delta tnpA$  mutant which lacks a tryptophanase gene and hence cannot produce indole. LC/MS/MS analysis of its culture supernatant revealed no indole or tilivalline, though kleboxymycin production and cytotoxicity as measured by the cell culture toxicity assay was not affected (Table 4 and Supplemental Fig. S9).

Cloning of cytotoxin gene cluster into E. coli. As the cytotoxin gene cluster in K. oxytoca appears to be acquired through an ancient horizontal gene transfer event from an unknown Actinobacteria species, an attempt was made to clone the gene cluster into an E. coli strain to investigate whether another species in the Enterobacteriaceae can support cytotoxin production. Intriguingly, the transformant E. coli was able to produce the cytotoxins in culture supernatant, as shown by cell culture toxicity assay (2× dilution) and LC/MS/MS, albeit at only low levels (Table 5 and Supplemental Fig. S10). Kleboxymycin and tilivalline were detected depending on the culture conditions. Using M9 medium with limited indole production, only kleboxymycin was detected in the final cell culture. While using LB medium, only tilivalline was detected, which is consistent with *E. coli* being an indole producer. Therefore, the present results confirmed that the gene cluster is necessary and sufficient for cytotoxin production.

**Total synthesis of kleboxymycin and tilivalline.** A mixture containing **kleboxymycin** was prepared in three steps from commercially available 3-hydroxyanthranilic acid 1 and natural L-proline methyl ester 2 (Scheme I). (+)-Tilivalline was synthesized starting from compound 3 by following published procedures (24) with slight modifications (Scheme II). Scheme I. Synthesis of **kleboxymycin** 





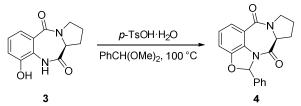


To a solution of 1 (1.43 g, 9.34 mmol) and Lproline methyl ester hydrochloride 2 (7.73 g, 46.7 mmol) in anhydrous DMF (23.3 mL) were add (PhO)<sub>2</sub>PON<sub>3</sub> (6.02 mL, 28.0 mmol) and Et<sub>3</sub>N (19.5 mL, 140 mmol) under argon atmosphere at 0°C. Upon completion, the resulting mixture was vigorously stirred under this condition for ca. 107 h. The reaction mixture was then loaded onto a silica gel column for flash chromatography purification to provide 3 contaminated with trace amounts of impurities. Recrystallization of this mixture from DCM/EtOH/n-hexane afforded 1.30 g of pure 3 (60% yield) (25).

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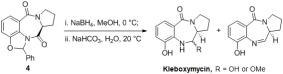
**3**: White solid, m.p. 275–277 °C; analytical TLC (silica gel 60), EtOH/DCM = 10%,  $R_f = 0.39$ ;  $[\alpha]_{05}^{25}=520 (c \ 0.125, MeOH)$ ; <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>OD)  $\delta$  7.33 (dd, J = 7.8, 1.2 Hz, 1H), 7.10 (t, J = 7.9 Hz, 1H), 7.04 (dd, J = 7.9, 1.2 Hz, 1H), 4.16 (d, J = 7.6 Hz, 1H), 3.80–3.71 (m, 1H), 3.61–3.50 (m, 1H), 2.72–2.63 (m, 1H), 2.13–1.92 (m, 3H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  172.4, 167.8, 149.2, 128.9, 126.1, 125.9, 121.5, 118.7, 58.4, 48.4, 27.1, 24.4; IR (CHCl<sub>3</sub>)  $v_{max}$  3379, 3003, 2930, 1695, 1628, 1601 cm<sup>-1</sup>; LRMS (EI, 20 eV) m/z 232 (M<sup>+</sup>, 15), 167 (26), 149 (88), 69 (100); HRMS (EI) for C<sub>12</sub>H<sub>12</sub>O<sub>3</sub>N<sub>2</sub> (M<sup>+</sup>): calculated 232.0848, found 232.0843.



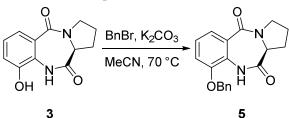
A mixture of **3** (1.25 g, 5.40 mmol) and *p*-TsOH·H<sub>2</sub>O (184.9 mg, 0.97 mmol) in PhCH(OMe)<sub>2</sub> (30.0 mL) was stirred at ca. 100 °C under argon atmosphere for ca. 12 hours. The resulting mixture was loaded onto a silica gel column for flash chromatography purification to provide ca. 1.74 g of **4** (ca. 100% yield) containing a small amount of impurities, which was employed for the next step directly. A small fraction of pure **4** was collected for structural characterization.

4: Sticky amorphous solid; analytical TLC (silica gel 60), EtOH/DCM = 5%,  $R_f = 0.54$ ;  $\lceil \alpha \rceil_D^{45} = 444$  (*c* 0.098, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.56 (d, *J* = 8.0 Hz, 1H), 7.46–7.39 (m, 2H), 7.39–7.32 (m, 3H), 7.30 (s, 1H), 7.17 (t, *J* = 8.0 Hz, 1H), 7.07 (d, *J* = 7.8 Hz, 1H), 4.18–4.10 (m, 1H), 3.78–3.64 (m, 2H), 2.92–2.81 (m, 1H), 2.17–1.84 (m, 3H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  169.8, 165.3, 150.8, 136.7, 130.0, 128.9, 127.2, 125.9, 125.6, 121.9, 121.5, 112.2, 94.6, 58.9, 48.0, 27.0, 23.4; IR (CHCl<sub>3</sub>)  $v_{max}$  3019, 2928, 1694, 1628, 1601 cm<sup>-1</sup>; LRMS (EI, 20 eV) *m/z* 320 (M<sup>+</sup>, 36), 292 (24), 223 (24), 195 (100);

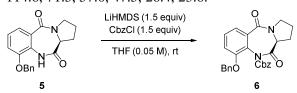
HRMS (EI) for  $C_{19}H_{16}O_3N_2$  (M<sup>+</sup>): calculated 320.1161, found 320.1147.



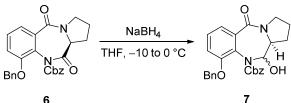
To a solution of 4 (961.0 mg, 3.00 mmol) in methanol (300 mL) was added NaBH<sub>4</sub> (567 mg, 15.0 mmol) in one portion under argon atmosphere at 0 °C. The resulting mixture was stirred under this condition for ca. 1 h. Then another portion of NaBH<sub>4</sub> (567 mg, 15.0 mmol) was added, and the resulting mixture was stirred under the same condition for another 1 h. Upon completion, the reaction mixture was diluted with methanol (2.7 L) and quenched with NaHCO<sub>3</sub> (3.00 g, 35.7 mmol) in water (300 mL). The stirring was continued at room temperature overnight, and then evaporated under reduced pressure (20 °C water bath, water pump). The resulting brownish aqueous mixture was azeotroped with acetonitrile to remove most of the water, and was subjected to solid-phase extraction and reversed-phase chromatography as the culture metabolites described in the Experimental procedure. The final purified kleboxymycin product was lyophilized and stored as a white powder at -80 °C.



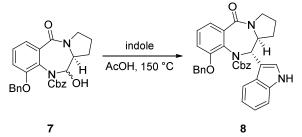
To a mixture of **3** (0.50 g, 2.1 mmol) and anhydrous  $K_2CO_3$  (0.59 g, 4.3 mmol) in MeCN (10.7 mL) was added BnBr (0.26 mL, 2.2 mmol) under argon atmosphere at room temperature. Upon completion, the resulting mixture was stirred at ca. 70 °C for ca. 12 h. Then the reaction mixture was filtered through a celite pad. The filtrate was evaporated and the residue was purified by chromatography to afford 0.69 g of **5** (100%) (1). 5: White solid; analytical TLC (silica gel 60), EtOH/DCM = 5%,  $R_f = 0.38$ ;  $[\alpha]_{D}^{25}=393$  (*c* 1.00, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.97 (br s, 1H), 7.59 (d, *J* = 7.9 Hz, 1H), 7.44–7.33 (m, 5H), 7.18 (t, *J* = 8.0 Hz, 1H), 7.10 (d, *J* = 8.1 Hz, 1H), 5.13 (s, 2H), 4.04 (d, *J* = 6.2 Hz, 1H), 3.86–3.77 (m, 1H), 3.66–3.56 (m, 1H), 2.82– 2.69 (m, 1H), 2.11–1.95 (m, 3H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  170.5, 165.3, 147.8, 135.6, 129.0, 128.8, 127.9, 127.6, 125.7, 124.8, 122.9, 114.6, 71.5, 57.0, 47.5, 26.4, 23.6.



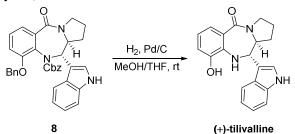
To a solution of 5 (0.63 g, 1.95 mmol) in anhydrous THF (39 mL) was added LiHMDS (1 M in THF, 2.93 mL, 2.93 mmol) dropwise at room temperature under argon atmosphere. Upon completion, the reaction mixture was stirred under this condition for ca. 50 min. Then CbzCl (418 µL, 2.93 mmol) was added under argon atmosphere and the resulting mixture was stirred under this condition for ca. 2 hours. Saturated NaHCO<sub>3</sub> aqueous solution was subsequently added to quench the reaction, and the mixture was extracted with DCM. The combined organic layer was dried over anhydrous Na<sub>2</sub>SO<sub>4</sub>, filtered and evaporated, and the residue was purified by silica gel chromatography to afford 0.83 g of 6 (93% vield).



To a solution of **6** (1.00 g, 2.2 mmol) in anhydrous THF (136 mL) was added NaBH<sub>4</sub> (91.6 mg, 2.42 mmol) in one portion under argon atmosphere at  $-10^{\circ}$ C (ice-acetone bath). The resulting mixture was stirred under this condition for ca. 2 h while the bath temperature increased gradually from  $-10^{\circ}$ C to 0°C. Then saturated NH<sub>4</sub>Cl aqueous solution was added to quench the reaction. The reaction mixture was extracted with DCM and the combined organic layer was dried over Na<sub>2</sub>SO<sub>4</sub>, filtered and evaporated. The residue was purified by silica gel chromatography to afford 0.73 g of **7** (72% yield).



A mixture of 7 (0.53 g, 1.2 mmol) and indole (0.68 g, 5.8 mmol) in AcOH (26 mL) was cooled to freeze under argon atmosphere. Then the reaction flask was evacuated with an oil pump and sealed. It was then warmed slowly until all the solid disappeared. Argon was introduced subsequently and the freeze-and-thaw procedure was repeated for another two times. Upon completion, the reaction flask was sealed and the reaction mixture was stirred at 150°C for 5 hours. AcOH was removed by repeatedly azeotroping with toluene for 3 times. The residue was purified by silica gel chromatography to afford 0.64 g of **8** (99% yield).



To a solution of **8** (0.64 g, 1.2 mmol) in methanol (18 mL) and anhydrous THF (18 mL) was added Pd/C (10 wt%, 0.24 g, 0.23 mmol) under argon atmosphere at room temperature. Then a H<sub>2</sub> balloon was installed via a T-type stopcock. The reaction flask was evacuated with a water pump and refilled with H<sub>2</sub> for several times. Upon completion, the resulting mixture was vigorously stirred at room temperature for 21 hours. The mixture was then filtered through a celite pad and eluted with methanol. The filtrate was evaporated and the residue was purified by silica gel chromatography to afford 0.37 g of (+)-tilivalline (97% yield) with a trace amount of impurity. The product was further purified by recrystallization from MeCN to afford 93.8 mg of pure (+)-tilivalline (24% yield).

(+)-**Tilivalline**: Light pink solid; analytical TLC (silica gel 60), EtOH/DCM = 5%,  $R_f = 0.23$ ;  $[\alpha]_D^{25}214$  (*c* 0.39, MeOH); <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>OD)  $\delta$  7.38–7.29 (m, 3H), 7.18 (s, 1H), 7.07 (t, *J* = 7.6 Hz, 1H), 6.90 (t, *J* = 7.5 Hz, 1H), 6.85 (dd, *J* = 7.6, 1.0 Hz, 1H), 6.67 (t, *J* = 7.9 Hz, 1H), 4.72 (d, *J* = 9.4 Hz, 1H), 4.22–4.13 (m, 1H), 3.80–3.63 (m, 2H), 1.95–1.81 (m, 1H), 1.74–1.52 (m, 3H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  170.4, 147.2, 138.4, 136.6, 126.5, 124.3, 122.9, 122.6, 121.6, 120.2, 120.2, 118.7, 117.6, 116.8, 112.6, 63.5, 61.6, 48.9, 31.2, 23.4.

Toxicity of kleboxymycin. The cytotoxicity of kleboxymycin and tilivalline was evaluated by measuring the  $TC_{50}$  of the synthetic compounds in an MTT-based cell culture assay. As shown in Fig. 10, the toxicity of kleboxymycin is significantly higher than tilivalline by at least 9fold. As the K. oxytoca cytotoxin had been reported to mediate its cytotoxicity through induction of apoptosis, we sought to assess whether kleboxymycin also mediates cell death through a similar mechanism. Results of a PE Annexin V apoptosis assay are shown in Fig. 11 and support that kleboxymycin is a strong inducer of apoptosis. PE Annexin V is a sensitive probe for identifying apoptotic cells which binds to the membrane phospholipid phosphatidylserine (PS). In early stage of apo ptosis, PS is translocated from the inner to the outer leaflet of plasma membrane, exposing it to PE Annexin V. 7-Amino-Actinomycin (7-AAD) is a vital dye. Viable cells with intact membranes exclude 7-AAD, whereas damaged cells and dead cells are permeable to 7-AAD. In Fig. 11, untreated cells were viable (both PE Annexin V and 7-AAD were negative), while cells treated with kleboxymycin were undergoing apoptosis (PE Annexin V positive, 7-AAD negative). Camptothecin serves as a positive control of apoptosis inducer.

Kleboxymycin can readily associate with indole to form tilivalline. Kleboxymycin is a labile molecule since it can be converted to the dehydrated-kleboxymycin (Supplemental Fig. S4) and methoxy-kleboxymycin (Supplemental Fig. S11) readily when dissolved in acetonitrile and methanol solvent, respectively. To test whether kleboxymycin can associate with indole to form tilivalline directly, we have incubated kleboxymycin with indole at different molar ratios. The results were summarized in Supplemental Table S4 and Supplemental Fig. S12. No tilivalline could be detected in the control samples of pure indole and pure kleboxymycin. Tilivalline could be detected in the kleboxymycin mixture with indole and the levels of tilivalline increased proportionally with the increasing molar ratios of indole/kleboxymycin. The equilibrium was reached rapidly since no changes on the tilivalline levels could be observed over a period of 4 hours. The spontaneous dissociation of tilivalline was also tested and summarized in Supplemental Table S5 and Supplemental Fig. S13. Freshly prepared pure tilivalline of 0.04 mM showed no sign of dissociation to kleboxymycin. Detectable level of kleboxymycin at 0.0016 mM was observed after 60 days incubation at 298K.

#### Discussion

The identity of the *K. oxytoca* cytotoxin has been a topic of interest for many years, but past studies have produced ambiguous results. The latest results from Schneditz et al. and Darby et al. appeared to have settled the question (17,18),

but our present results revealed additional complexity. We demonstrated that kleboxymycin is the primary product of a nonribosomal peptide synthesis (NRPS) pathway in K. oxytoca. Coincidentally, since K. oxytoca is an indole-producing bacterium, a significant amount of kleboxymycin would react with indole to form the stable derivative tilivalline even in pure culture. This resulted in a confusing situation as the cytotoxicity phenotype, tilivalline and kleboxymycin levels would appear to correlate experimentally, regardless of the contribution of tilivalline and kleboxymycin to cytotoxicity. Our construction of an indolenegative  $\Delta tnpA$  mutant of cytotoxin-producing K. oxytoca and testing of synthetic kleboxymycin proved that kleboxymycin alone is sufficient to induce cytotoxicity in the HEp-2 cell culture assay. Regrettably, as kleboxymycin can react with indole present within HEp-2 cells to form tilivalline, it is not possible to eliminate the contribution of tilivalline to cytotoxicity by conventional experiments. Similarly, any animal or clinical experiments involving kleboxymycin would inadvertently produce tilivalline in vivo, due to the presence of significant amount of indole produced by intestinal microbiota (26), which can enter intestinal tissue (27). Hence, experimental assessment of the independent cytotoxicity of each molecular species would likely require a novel and innovative approach to circumvent this significant limitation.

Nonetheless, fundamental consideration of the chemical and toxicological properties of kleboxymycin versus tilivalline argues for a greater role of kleboxymycin than tilivalline in causing cytotoxicity. Known PBDs are DNA minor groove binders with covalent interactions with base residues, and their binding can interfere with normal cellular activity like DNA transcription and replication. Based on the proposed mechanism for anthramycin deoxyguanosine adduct formation and previous structure activity studies, it is inferred that the

ether at the N7-C6 position of the basic PBD skeleton is important for DNA adduct formation. Kleboxymycin fits nicely with the proposed mechanism with an imine moiety at N7-C6 (in the dehydrated form) (Fig. 3C), while the same position is occupied by the indole substituent in tilivalline. Schneditz et al. agreed that tilivalline is unlikely to cause cytotoxicity via the same mechanism (17), and the proposed interaction between tilivalline and DNA is supported only by indirect evidence such as induction of apoptosis. Furthermore, in the course of our studies, we observed in vitro degradation of tilivalline slowly into kleboxymycin and related molecules to a limited degree (Supplemental Table S5). Hence, it may be possible that kleboxymycin and tilivalline can interconvert in vivo, with kleboxymycin being the more toxic species directly interacting with DNA. Further direct studies on the interactions of kleboxymycin and tilivalline with DNA may help to clarify their role and contribution to the cytotoxicity.

presence of an imine or carbinolamine methyl

Prior to the present discovery of kleboxymycin, all known natural tricyclic PBDs are produced by members of the Actinobacteria. As pointed out by Schneditz et al., the kleboxymycin biosynthetic gene cluster in K. oxytoca is not native to the Enterobacteriaceae, and was most likely acquired through horizontal transfer. Bioinformatic analysis suggested that the genes might have been acquired from Xenorhabdus spp., which in turn had acquired them from the particular, Actinobacteria. In the PBD biosynthetic gene cluster in the genome of X. nematophila F1 has an identical organization with the kleboxymycin biosynthetic gene cluster, whereas the PBD biosynthetic gene clusters of Streptomyces spp. are considerably larger ( $\geq 25$ kb) and contain more genes ( $\geq 18$ ). The differences in size and gene count of the biosynthetic gene clusters are reflected in the complexity of the products -kleboxymycin is

one of the two smallest natural PBDs identified to date, and contains only a single C6 hydroxyl substituent on the basic tricyclic PBD backbone. A related question of interest is whether K. oxytoca, and possibly X. nematophila, are unique among Enterobacteriaceae to support PBD biosynthesis, as it is generally difficult to achieve good heterologous expression of similar biosynthetic gene clusters, like in the case of erythromycin biosynthesis in Escherichia coli. Alternatively, the kleboxymycin biosynthetic genes might have undergone adaptation for expression in Enterobacteriaceae. Further studies in this area may lead to discoveries that can improve industrial-scale biosynthetic production of complex natural products.

Although cytotoxin production in K. oxytoca has only been associated with the specific syndrome of AAHC, we speculate that the toxin might also enhance the virulence of the organism in other types of infections. None of the tricyclic PBDs produced by the Actinobacteria have been associated with human or animal disease, but both natural and synthetic PBDs are known for their broad-spectrum anti-neoplastic activity. The major adverse effects associated with PBDs in clinical trials are intestinal necrosis and cardiotoxicity. Based on the structure-activity relationship of tricyclic PBDs, kleboxymycin is also predicted to be cardiotoxic, due to presence of the C9 hydroxyl substituent. Hence, it is possible that cytotoxin production may exacerbate cardiovascular collapse in the setting of septic shock and possibly lead to increased mortality. Further study is warranted to investigate possible linkage between cytotoxin production and adverse clinical outcomes in K. oxytoca infections.

In summary, a novel natural PBD cytotoxin has been identified in specific *K. oxytoca* strains. Importantly, our work reconciled conflicting results from past studies, which were sometimes confused by the effects of cytotoxin derivatives versus that of the primary cytotoxin. It is hoped that correct identification of the major cytotoxic metabolites would enable future studies into the molecular mechanisms of actions of these toxins and lead to applications with clinical utility.

#### **Experimental procedures**

Bacterial strains and culture conditions. K. oxvtoca MH43-1 was used as a cytotoxinproducing control strain and was a kind gift from Prof. Christoph Högenauer at the Medical University of Graz, Austria. Other K. oxytoca strains were isolated from clinical specimens in Queen Mary Hospital, Hong Kong, and include strains described in our previous study. Identities of all isolates were confirmed by standard biochemical methods and matrix-assisted laser desorption/ionization time-of-flight MS analysis. Unless otherwise stated, for culturing of K. oxytoca in broth, one colony was selected from a pure growth on horse blood agar or LB agar and inoculated into 10ml of a tryptone-lactose-based medium (tryptone lactose broth) with the following composition: 17 g/L tryptone (Sigma-Aldrich, MO), 10 g/L lactose (Sigma-Aldrich, MO) and 2.5 g/L dipotassium hydrogen phosphate (Sigma-Aldrich, MO). Unless otherwise stated, the inoculated broth is then incubated for 24 hours at 35°C and agitation at 250 rpm.

E. coli with cytotoxin gene cluster culture conditions. For culturing E. coli with cytotoxin gene cluster, single colony was selected from a LB agar supplemented with 12.5 µl/ml chloramphenicol and inoculated into 3 ml M9 minimal (or LB) medium supplemented with chloramphenicol 12.5 ul/ml and 500X CopyControl Fosmid Autoinduction Solution (Epicentre, WI) at 37°C overnight at 250 rpm. 10 µl of overnight culture was then added to 10 ml M9 minimal (or LB) medium supplemented with 12.5 µl/ml chloramphenicol and 500X CopyControl Fosmid Autoinduction Solution (Epicentre, WI) for 24hr with shaking at 250 rpm.

Cell culture cytotoxicity assay. Cytotoxin production in K. oxytoca was assayed by a previously established cell-culture assay (5,8), with modifications to the original bacterial culture conditions.  $10^5$  HEp-2 cells were seeded into each well of a 96-well plate and incubated for 48 hr in minimal essential medium (MEM) containing 10% fetal bovine serum, 100 U/ml penicillin, 100 µg/ml streptomycin, and 31.25 µg/ml gentamicin. Broth cultures of K. oxytoca were prepared as stated above. After centrifugation at 20,000× g for 10 min at 4°C, the supernatant was filtered through a membrane filter with a pore diameter of 0.2 µm (Millipore, MA). An equal volume of MEM was mixed with the filtrate (1:1 dilution with phosphate-buffered saline) and inoculated onto HEp-2 cells, followed by incubation in 5% CO<sub>2</sub> at 37°C for up to 48 h. Cytotoxicity was defined as presence of cytopathic effects (CPE) manifested as cell rounding or death that were observed with a light microscope. When 50% or more of the cells are seen to have rounded up or detached under the low power field, it is defined as a positive CPE score.

**TC**<sub>50</sub> determination. For quantitative determination of cytotoxicity of filtered culture supernatants, purified toxin fractions or synthetic toxins, MTT-based cytotoxicity assays similar to a previously reported assay (17) were performed.  $1.50 \times 10^4$  HEp-2 cells were seeded on flat bottomed, 96-well cell culture plates and maintained at a total volume of 100 µl MEM with 10% FBS. The culture plates were placed in an incubator with 5% CO2 at 37°C for 24 hr until the cells had reached 70-80% confluency. Culture media was then removed, followed by washing of cells once with 100 µl plain MEM and replenishment with 50µl MEM containing 2% FBS. 50µg/ml of amikacin and meropenem was also added to the culture medium. We performed serial 2-fold dilution of test materials with plain MEM, transferred 50µl diluted supernatant into each well. Hence, the final

medium contained 1% FBS in concentration and 25µg/ml of amikacin & meropenem. The plate was wrapped with aluminum foil and placed in an incubator with 5% CO<sub>2</sub> at 37°C for 2 days. CPE as mentioned above was recorded at 24 and 48 hr. At the end of the assay, 10µl of a 5mg/ml MTT solution was added into each well and incubated for 4 hr, then 100µl of 10% of sodium lauryl sulfate with 0.01M hydrochloric acid was added to solubilize the MTT crystal overnight. MTT data was recorded at optical densities of 570 nm and 640 nm by DTX880 plate reader (Beckman Coulter, CA).TC<sub>50</sub> was calculated and curve plotted with SigmaPlot (Systat Software, IL).

Separation and purification of Compounds P1 and P2. The separation and purification strategy used to purify the top two bioactive compounds as identified through multivariate analysis is shown in Fig. 2. The mass to charge ratio and retention time of the two chemical species were used as the identifiers to trace their location during their purification. An Oasis HLB solid phase extraction (SPE) cartilage was first conditioned with 12 ml of MeOH, followed by 12 ml of H<sub>2</sub>O. 10 ml of culture media was loaded onto the column and the metabolites were eluted in three successive fractions. The first fraction (F1) was eluted using 20 ml of H<sub>2</sub>O, fraction 2 (F2) with 10 ml of MeOH:H<sub>2</sub>O (1:1) and fraction 3 (F3) with 10 ml of MeOH. A sample of each fraction was subjected to LC-MS/MS analysis and F2 was determined to contain compound 1. A total of 1 ml of F2 was further resolved by HPLC-DAD on a Keystone Hypersil C18 column (4.6 x 250 mm) using 10 injections (100 µl per injection). For each injection, isocratic elution at 10% ACN was used to elute the fraction containing compound 1 at a retention time of 10 min (referred to as Peak 1, P1). All the eluent from the C18 column, with exception of the fraction containing P1, was pooled together and this was combined with F1. This combined fraction was referred to as

fraction O (other part of eluent). LC-MS/MS analysis determined that F3 contained compound 2 with a sufficient purity that further separation was not required and was now referred to as Peak 2 (P2). The fractions P1, P2 and O were subjected to rotatory evaporation to concentrate them then diluted to a final volume of 4 ml in H<sub>2</sub>O. For the subsequent CPE cytotoxicity toxicity tests where the combined fractions were examined, P1+P2+O was generated by pooling equal volumes of the individual fractions together. P1+P2 was made by adding equal volumes of P1, P2 and H2O together. When testing individual fractions (P1, P2 and O), one volume of the corresponding fraction was mixed with 2 volumes of H<sub>2</sub>O. All the fractions were stored at 4°C until they were examined using the CPE cytotoxicity tests.

LC/MS/MS analysis. Analysis of the culture supernatant was performed on an Agilent 1100 series HPLC system (Agilent Technologies, CA, USA) coupled to a triple quadrupole-linear ion trap mass spectrometer (QTRAP; AB Sciex, Canada) with a TurboIon Spray ion source set with positive mode. Agilent 1100 series degasser, pump and auto sampler were used in conjunction with an Agilent eclipse XDB C18 (150  $\times$  2.1 mm, 3.5 µm) analytical column. 10 µl of sample was injected by the auto sampler in each run with a linear gradient elution at a flow rate of 200 µl/min. The mobile phase was initially run at 100% A for 5 minutes, then the organic content was increased linearly to 100% B within 20 minutes. The column was then flushed with 100% ACN for 14.5 minutes and back to 100% water in 30 seconds. Finally, the column was reconditioned using 100% water for 20 minutes. Mobile phase A was 0.1% formic acid in water and mobile phase B was 0.1% formic acid in ACN.

In the electrospray ionization (ESI) setting, the curtain gas, nebulizing gas and auxiliary gas were set to 20, 60 and 60 units, respectively. Auxiliary gas temperature was set at 350°C and the ion spray voltage was 5500 V. Information Dependent Acquisition (IDA) was implemented and Enhanced MS (EMS) mode was used for the survey scans. Four Enhanced Product Ion (EPI)

scans were used as the dependent scan. The two most intense peaks picked from each EMS were subjected to EPI with two different collision energies (CE = 20 or 40), while CE setting was 10 in EMS. To prevent the acquisition of redundant spectra, the same peaks in EMS would not be selected by IDA in the following 60 seconds after 5 occurrences. The mass range used in EMS was m/z 100 - 500 and that in EPI was m/z 50 - 500. The linear ion trap filling time was set to 50 ms in any of the scans with Q0 trapping turned on. Scanning rates of EMS and EPI were both set at 4000 amu/s. Enhanced Resolution mode was also used to confirm the charge state of +1. The declustering potential (DP) was set to 50 in each of the scans.

LC-MS/MS data processing and multivariate analysis. Markerview software 1.2.1 (AB Sciex, CA) was used for LC-MS peak finding and peak alignment (28). Peaks were found with the minimum retention time set to 1.5 minutes, minimum spectral width of 0.6 Da and noise threshold of  $1 \times 10^5$  counts per second. For peak alignment, the retention time tolerance and mass tolerance were set to 5.0% and 0.2 Da. respectively. A  $12 \times 3647$  (row  $\times$  column) data matrix with peak areas and toxicity values was generated for multivariate analysis using SIMICA-P 13.0 (Umetrics, Sweden). For each row, it represents the four different samples, each with three replicates. For each column, it represents the identified LC-MS peaks depicted with a unique pair-identifier (the mass to charge ratio and retention time). The final column in the data matrix represents the toxicity values derived from the MTT assay using the culture media. Principle component analysis (PCA) model was used to identify outliners and a supervised partial least square (PLS) model was employed to correlate LC-MS profiling data with corresponding toxicity data. The model was generated using the autofitting option with pareto scaling (19). Peakviewer software 2.0 (AB Sciex, CA) was used to process the high resolution MS data and Formula finder was used

to generate the potential molecular formulas of the identified compounds (29).

High resolution LC-MS/MS analysis for accurate mass determination. To determine the accurate mass for compound P1 and P2, Agilent 1200 series nano pump equipped with a auto-sampler 10 well-plate (Agilent Technologies, DE) was connected to TripleTOF 5600 system fitted with a Nanospray III source (AB SCIEX, Canada) used in positive mode. The RP trap (150  $\mu$ m i.d. × 50 mm length) and RP nano columns (75  $\mu$ m i.d. × 150 mm length) were packed in-house with Jupiter C18 packing materials using an ultrahigh-pressure syringe pump operating in constant pressure mode (up to 6000 psi). 5 µg of compounds P1 and P2 were mixed together, loaded onto RP trap column and then eluted on the RP nano column with a binary solvent system consisting of solvent A (H<sub>2</sub>O with 0.1% formic acid) and solvent B (ACN with 0.1% formic acid) at a flow rate of 300 nl/min. The total run time was 50 min with the following gradient conditions: 0 - 5 min, 0% B; 5.1 - 35 min, 0 - 100% B; 100% B hold for 10 min; 45.1 - 46 min, 100% - 2% B, 2% B hold for 19 min. A calibration delivery system was used to ensure the accuracy of mass to less than 20 ppm. Parameters were the following: nebulizer gas, 10 psi; CUR, 30 psi; heater gas, 0 psi; ISVF, 2800 V and IHT, 125°C. An IDA method was created to acquire both MS and MS/MS data. TOF MS spectra were acquired with the range m/z 100-1000 Da and 0.25 s ion accumulation time, DP at 30 V and CE at 10 V. Peak intensity, more than 1 cps; charge state was set +1; excluding the former target ion for 6 seconds; excluding isotopes within 4.0 Da range; maximum number of candidate ions to monitor per cycle, 20; mass tolerance, 50 mDa and dynamic background subtraction was on; product ion spectra were acquired with the range m/z 50 - 1000 Da and 0.25 s ion accumulation time, DP at 30 V and CE at 30 V.

GC-MS analysis. GC-MS analysis was performed on an Agilent 7890A gas chromatography instrument coupled to an Agilent 5975C mass spectrometer using Agilent ChemStation software (Agilent Technologies, CA). Heliflex AT-5 capillary column (30 m × 0.25 mm i.d.  $\times$  025 µm (film thickness)) with (5% phenyl)-95% methoxpolysiloxane phase (Grace, IL) was used for separation. Temperature program began at 50°C, held for 5 min, then increased from 50°C to 200°C at 10°C/min and held for 5 min. Split less injection was conducted and high purity grade helium (99.995%) was used as carrier gas with a flow rate of 1.0 ml/min. The MS spectrometer was operated in electron-impact (EI) mode, the scan range was 20-350 amu, the ionization energy was 70 eV and the scan rate was 4.27 scans per second. The inlet, auxiliary heater, ion source and quadrupole temperatures were set at 180°C, 180°C, 280°C and 150°C, respectively.

NMR spectroscopy analysis. For the NMR dehydrated-kleboxymycin, measurement of lyophilized kleboxymycin was reconstituted in acetonitrile- $d_3$  with the addition of molecular sieve beads to remove residual water in the sample and the solvent. The sample was monitored with time by ZG30 1D proton NMR experiment till the kleboxymycin was fully dehydrated after about 24 hours. For the NMR measurement of kleboxymycin, lyophilized kleboxymycin was reconstituted in 100% D<sub>2</sub>O with 43.7 mM trimethylsilylpropanoic acid (TMSP, Sigma). The following experiments, ZG30, homonuclear 2D experiments COSY (cosyesgpqfpr), TOCSY (dipsi2esgpph1) and heteronuclear experiments 2DHSOC (hsqcefgpsisp2, hsqcedetgpsisp2.3) and HMBC, were acquired at 298K on a Bruker Avance 600 MHz NMR spectrometer (<sup>1</sup>H and  $^{13}C$ frequencies of 600.133 MHz and 150.916 MHz, respectively) equipped with a 5 mm BBI probe with Z-gradient using standard Bruker pulse sequences. Data were acquired and analysed Downloaded from http://www.jbc.org/ by guest on October 4, 2017

using Topspin 3.1 (Bruker). Chemical shifts are given on a ppm scale and coupling constants are reported in Hz. All <sup>1</sup>H chemical shifts were referenced to residual acetonitrile methyl ppm for dehydratedresonance at 1.95 kleboxymycin or TMSP methyl resonance at 0 ppm for kleboxymycin sample. Chemical shifts were referenced indirectly of  $^{13}C$ bv gyromagnetic ratio method (30). A pulse length of 7.97  $\mu$ s and 15.94  $\mu$ s were used for <sup>1</sup>H and <sup>13</sup>C respectively. Data of ZG30 was acquired at 64k points with spectral width of 12335.526 Hz. Free induction decays (FIDs) were multiplied with an exponential line broadening function of 0.3 Hz before Fourier transformation. For twodimensional <sup>1</sup>H-<sup>1</sup>H and <sup>1</sup>H-<sup>13</sup>C experiments, the sizes of F2 dimension was 2048 points and that of F1 dimension was 512 points, which were Fourier transformed into  $2048 \times 1024$  points. Spectral width of COSY was 9615.385 Hz × 9014.423 Hz, HSQCETGPSISP was 8971.292 Hz × 25000.000 Hz, HMBC was 12019.230 Hz × 33195.164 Hz for F2 and F1, respectively.

Gene deletion and complementation. Gene deletion mutants of K. oxytoca were constructed using the Lambda Red recombination system (31,32). Oligonucleotide sequences used are listed in Supplemental Table S6. Briefly, cells harboring pSIM5 were grown to the mid-log phase, and induced by thermal inactivation of the  $\lambda$  cI857 repressor at 42°C for 15 minutes. Cells were made electrocompetent and transformed with PCR products containing the kanamycin-resistance cassette flanked by 500 bp flanking regions of the target gene. Transformant colonies carrying the desired modification were directly selected on kanamycin-containing LB agar. The target region was PCR-amplified and sequenced to site-specific modification. confirm The kanamycin cassette was then removed using the Cre recombinase system borne on the plasmid 705-Cre(33,34). To confirm that the resultant phenotypic changes were due to gene deletion, complementation was performed by inserting target genes into plasmid pCRII or pCR-XL (Invitrogen, CA) by Taq-amplified cloning.

#### Cloning of cytotoxin biosynthesis gene cluster.

A 17.5kb DNA fragment encompassing the complete K. oxytoca cytotoxin biosynthesis gene cluster was first amplified by PCR using forward primer

# 5'-GGCAAGATATCCGACTCGTTGTTT-3' and reverse primer

5'-GCAAAGGAATAAGCAAGAAGGGAA-3'. PCR was performed in a 25 µL reaction mix containing bacterial DNA as template, iProof GC buffer, 200 µM of each dNTP, and 0.02U iProof High Fidelity DNA polymerase (Bio-Rad Laboratories, CA). Cycling conditions were as follows: initial denaturation at 98°C for 1 minute, followed by 40 cycles of 98°C for 10 seconds, 58°C for 30 seconds, and 72°C for 7 minutes in cycler automated thermal (Applied an Biosystems, CA). The PCR product was subjected to 5'-phosphorylation using T4 polynucleotide kinase (New England Biolabs, MA) for 30 minutes at 37°C and then cloned into CopyControl pCC2FOS (Epicentre, WI) according to manufacturer's instructions. Briefly, 5'-phosphorylated PCR product was ligated into CopyControl pCC2FOS cloning-ready vector using Fast-Link DNA Ligase at 16°C overnight, and the resultant plasmid was packaged into MaxPlax Lambda Packaging Extracts, EPI300-T1R Plating Strain. Single colonies were selected on LB plate supplemented with 12.5 µl/ml chloramphenicol at 37°C overnight.

**Apoptosis assay.** Induction of apoptosis was assessed using the PE Annexin V Apoptosis Detection Kit I (BD Biosciences, NJ) according to manufacturer's instructions. Briefly,  $2 \times 10^6$ Jurket cells per well were seeded in 6-well plate with RPMI1640 containing 1% fetal bovine serum. Cells were then left untreated or treated with one of the following: 6µM camptothecin for 6 hours, 500 µl MH43-1 culture supernatant, pure synthetic kleboxymycin for overnight at 37°C. After incubation, cells were stained with PE Annexin V and 7-Amino-Actinomycin (7-

#### Acknowledgments

This work was supported by donation by Mr. Michael Tong and the Providence Foundation in memory of Mr. and Mrs. L.H.M. Lui; the Commissioned Research on Control of Infectious Diseases (Phase III) (project number: HKM-15-M06) of the Health and Medical Research Fund under the Food and Health Bureau, the Government of the Hong Kong Special Administrative Region. The funders had no role in study design, data collection and interpretation, or the decision to submit the work for publication.

We would like to thank Dr. Wing-Cheong Yam, Mr. Pok Man Lai and other colleagues of the Department of Microbiology, The University of Hong Kong for their technical support. AAD), and analyzed by flow cytometry.

#### **Conflict of Interest**

The authors declare that they have no conflicts of interest with the contents of this article.

#### Author contributions

HT, KHS and KYY conceived and coordinated the study and wrote the paper. DY, and QG produced the kleboxymycin via total synthesis. KHS, RYTJ, KCL, CWL, SYL, YK, IKC and SSCT performed LC/MS and NMR characterization on the culture supernatant, and purified and identified the toxin. RYTK, JD, EC, WMC and SPL optimized the toxin-producing conditions and performed cytotoxicity assays and genetic manipulations on the Klebsiella strains. All authors reviewed the results and approved the final version of the manuscript.

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**Table 1.** Cytotoxicity of *K. oxytoca* strain MH43-1 culture supernatants from different culture conditions as measured in a cell culture cytotoxicity assay. All cultures were incubated in a shaker at 250 rpm under aerobic conditions for 24 hours.

Culture media	Temperature	Highest dilution with observable cytopathic effects
LB broth (Sigma)	37°C	2-4×
Brain-heart infusion (Sigma)	37°C	4×
Todd-Hewitt broth	37°C	4×
Tryptic soy broth (TSB)	37°C	4×
TSB	35°C	16x
TSB	42°C	No cytotoxicity
Tryptone lactose broth (TLB)	35°C	64×
TLB	42°C	No cytotoxicity
Tryptone glucose broth	35°C	32-64×

**Table 2.** Kleboxymycin and tilivalline levels in the cultures of WT K. oxytoca at 8 and 12 hours.

		Kleboxymycin		Tilivalline		Klabovumusin/Tiliuallina	
Strain	Time	Average (µM)	STDEV (µM)	Average (µM)	STDEV (µM)	Kleboxymycin/Tilivalline ratio	
MH43-1	8hr	3.1	0.3	5.7	3.5	0.54	
MH43-1	12hr	11.2	2.1	27.2	3.7	0.41	

All data collected as triplicates.

**Table 3.** Cytotoxicity of culture supernatants from different deletion mutants of *K. oxytoca* strain MH43-1, as measured in a cell culture cytotoxicity assay. All cultures were incubated in a shaker at  $35^{\circ}$ C and 250 rpm under aerobic conditions for 24 hours. Presence of kleboxymycin and tilivalline was assessed by LC/MS/MS analysis.

Deletion mutant	Cytotoxicity detected <sup>a</sup>	Kleboxymycin detected	Tilivalline detected
Within toxin gene cluster			
$\Delta mfsX$	WT	Yes	Yes
$\Delta uvrX$	WT	Yes	Yes
$\Delta hmoX$	WT	Yes	Yes
$\Delta ads X$	ND	No	No
$\Delta i cm X$	WT	Yes	Yes
$\Delta dhbX$	ND	No	No
$\Delta aroX$	ND	No	No
$\Delta npsA$	ND	No	No
ΔthdA	ND	No	No
$\Delta npsB$	ND	No	No
$\Delta npsC$	WT	Yes	Yes
$\Delta marR$	WT	Yes	Yes
Outside toxin gene cluster			
$\Delta tnpA$ (indole non-producing)	WT	Yes	No

<sup>a</sup> WT = wild-type (strain MH43-1); ND = not detected

Table 4. Kleboxymycin and tilivalline levels in the cultures of mutant K. oxytoca at	8 and 1	2
hours		

		Klebox	ymycin	Tilivalline	
Strain	Time	Average	STDEV	Average	STDEV
		$(\mu M)$	(µM)	$(\mu M)$	$(\mu M)$
$\Delta ads X$		0.0	0.0	0.0	0.0
$\Delta adsX+3HA$	8hr	4.8	0.1	5.6	0.2
$\Delta tnpA$		4.4	0.4	0.0	0.0
$\Delta ads X$		0.0	0.0	0.0	0.0
$\Delta adsX+3HA$	12hr	12.1	1.5	28.3	1.5
$\Delta tnpA$		11.0	1.1	0.0	0.0

All data collected as triplicates. 3HA=3-hydroxyanthranilate.

	Klebox	ymycin	Tilivalline		
Medium	Average	STDEV	Average	STDEV (µM)	
	(µM)	$(\mu M)$	$(\mu M)$		
M9	0.041	0.01	0.0	0.0	
LB	0.0	0.0	0.088	0.021	

**Table 5.** Kleboxymycin and tilivalline levels *E. coli* with cytotoxin gene cluster cultured in M9 and LB media at 24 hours.

All data collected as triplicates.

#### FIGURE LEGENDS

#### Figure 1. MH43-1 growth curves in different culture conditions

**Figure 2. Multivariate analysis of** *K. oxytoca* **culture supernatant metabolite-toxicity profiles identifying potential bioactive compounds.** (A) PLS score plot of culture supernatant metabolite-toxicity profiles from 4 culture conditions. The profiles from each condition were prepared in triplicate and are color coded. NT-L, non-toxigenic strain in lactose-containing media, green; NT-NL, non-toxigenic strain in lactose-free media, grey; T-NL, toxigenic strain in lactose-free media, blue; T-L, toxigenic strain in lactose-containing media, red. (B) Three-dimensional loadings plot for the metabolite-toxicity profile model generated using PLS. Each green dot represents a unique chemical species identified by its m/z and retention time (RT). Those contributing significantly to all three principle components are labeled and highlighted in red. (C) Representative extracted-ion chromatogram of different media conditions showing presence or absence of the metabolites m/z 235.2 at RT 15.9 min and m/z 34.1 at RT 20.2 min. The color coding and labeling of the chromatograms are identical to those used in (A). Cps, counts per second.

**Figure 3.** Proposed structures of (A) compound P1 (kleboxymycin) m/z 235 at retention time (RT) 15.8 min and (B) compound P2 (tilivalline) m/z 334 at RT 20.2 min. (C) The structure of dehydrated form of kleboxymycin at m/z 217.

**Figure 4. MS spectrum for isolated** *K. oxytoca* **cytotoxin kleboxymycin** in (a) positive ionization mode and (b) negative ionization mode.

Figure 5. Positive MS/MS spectrum for dehydrated-kleboxymycin at m/z 217.

**Figure 6. Resonance assignments of dehydrated-kleboxymycin NMR spectra in acetonitrile-***d3*. (A)1D <sup>1</sup>H zg30 spectrum of dehydrated-kleboxymycin in acetonitrile-*d3* and proton assignments. (B) <sup>1</sup>H- <sup>1</sup>H COSY spectrum showing aliphatic protons (H2-H5) crosspeaks at the region 2-3.8ppm (Right top panel). Aromatic protons (H10-H12) crosspeaks were observed at the region 6.96-7.46ppm (Right bottom panel). H5 and H6 crosspeaks were also identified. (C) 2D <sup>1</sup>H-<sup>13</sup>C heteronuclear multiple bond correlation (HMBC, blue) and heteronuclear single quantum coherence (HSQC, red for –CH– and pink for –CH2–) overlaid spectrum showing the assignments of carbon without protons attached (C9, C13, C14).

Figure 7. Resonance assignment of kleboxymycin NMR spectra in  $D_2O$ . (A)1D <sup>1</sup>H zg30 spectrum of kleboxymycin in  $D_2O$  and proton assignments. Kleboxymycin has two diastereomers **a** and **b** as Carbon 6 is a chiral centre after –OH addition to the double bond. (B) <sup>1</sup>H-<sup>1</sup>H COSY spectrum showing aliphatic protons (H2-H5) crosspeaks at the region 2-3.8ppm (Right top panel). Aromatic protons (H10-H12) crosspeaks were observed at the region 6.96-7.46ppm (Right bottom panel). H5 and H6 crosspeaks were also identified. (C) 2D <sup>1</sup>H-<sup>13</sup>C heteronuclear multiple bond correlation (HMBC, blue) and heteronuclear single quantum coherence (HSQC, red for –CH– and pink for –CH2–) overlaid spectrum showing the assignments of carbon without protons attached (C9, C13, C14). (D) Chemical structures of diastereomers **a** and **b** of kleboxymycin showing the stereo-isomerism on C6.

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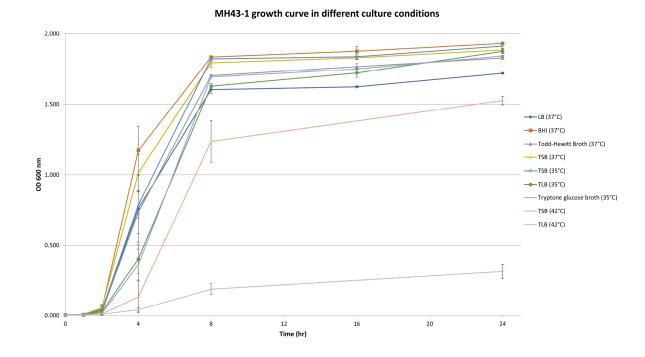
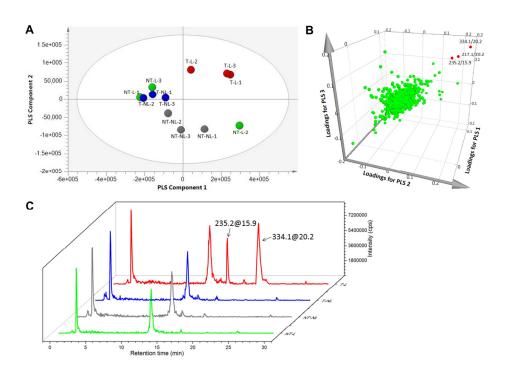
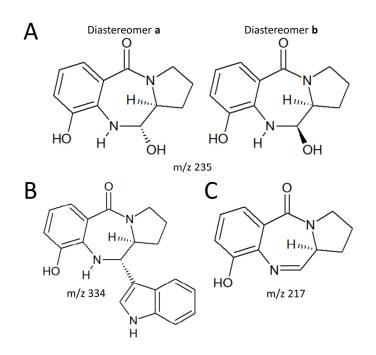


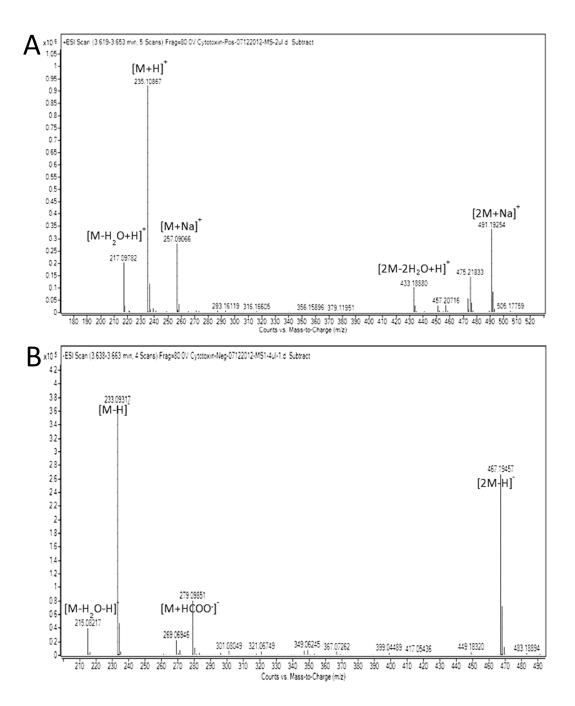
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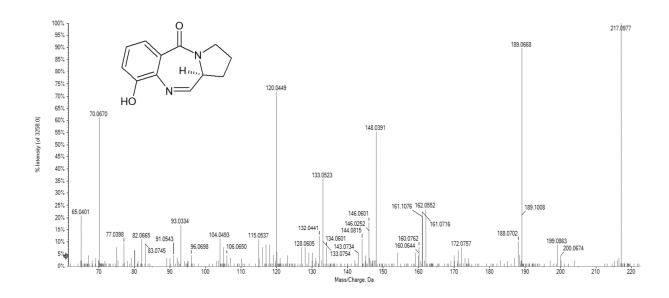
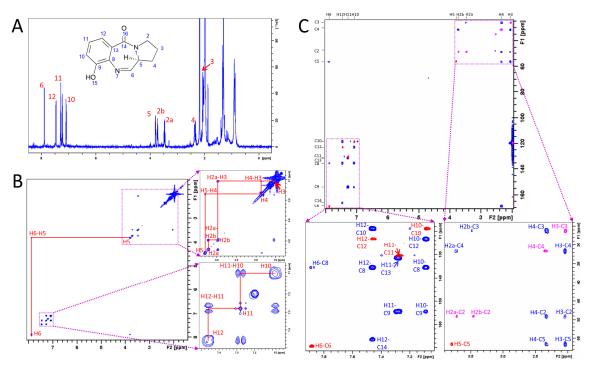
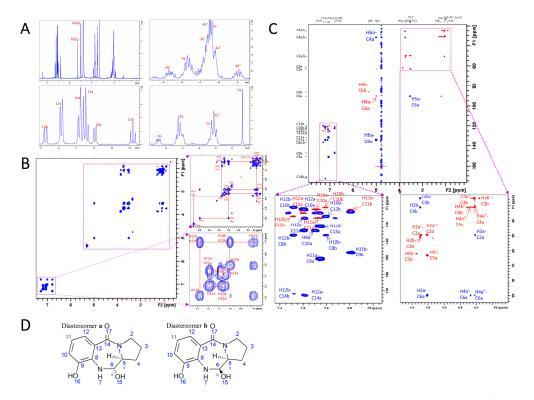


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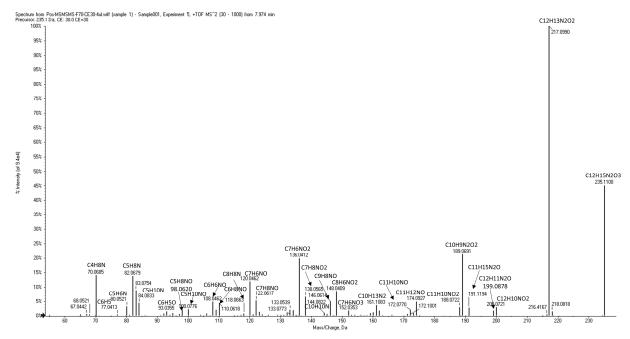
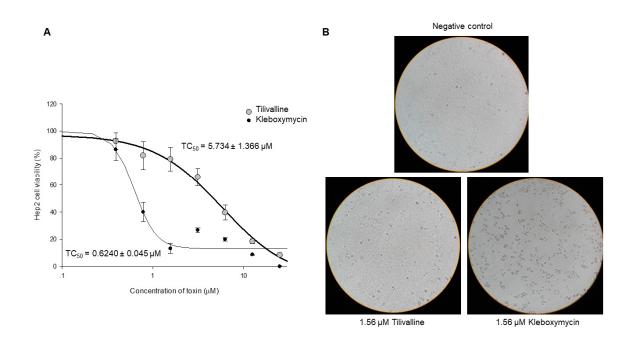


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<u>mfs</u>	X uvrX X	hmoX adsX	icmX	aroX thdA psA bX	npsC npsB marR
Gene	Closest species (non- Enterobacteriaceae)	Name/ Function	Gene	Closest species (non- Enterobacteriaceae)	Name/ Function
mfsX	Gordonia neofelifaecis	MFS transporter	aroX	Streptomyces sp.	Class-II DAHP synthetase
uvrX	Paenibacillus dendritiformis	UvrA-like transporter (ABC)	npsA	Streptomyces achromogenes	NRPS, TomA homolog
hmoX	Streptomyces achromogenes	Uncertain; anthranilate 3-monooxygenase?	thdA	Raphidiopsis brookii	NRPS fragment, PP- binding site
adsX	Streptomyces achromogenes	Anthranilate synthase	npsB	Streptomyces achromogenes	NRPS, TomB homolog
icmX	Kitasatospora setae	Isochorismatase-like family protein	npsC	Actinoplanes sp.	Polyketide hydroxylase/ cyclase
dhbX	Streptomyces acidiscabies	2,3-DHB dehydrogenase	marR	Paenibacillus elgii	MarR transcriptional regulator

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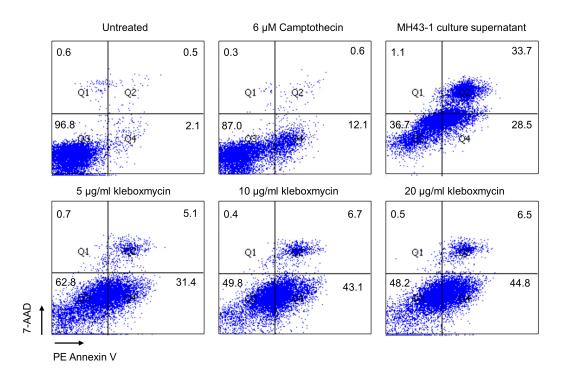


Figure 11. Results of a PE Annexin V apoptosis assay showing kleboxymycin as a strong inducer of apoptosis.

## A tricyclic pyrrolobenzodiazepine produced by *Klebsiella oxytoca* is associated with cytotoxicity in antibiotic-associated hemorrhagic colitis

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