spectrometer⁹ operating at an accelerating voltage of 8 kV. Electron ionization was carried out at 70 eV at a current of 100-500 μ A. Other details have been described.¹⁰ The preparative pyrolysis apparatus consisted of an electrically heated tubular oven, employing a 25×2 cm quartz tube, pumped at ca. 10^{-3} torr. In this case, the products were isolated in liquid N₂ traps. Melting points are uncorrected.

Diimidazo[3,4-a:3',4'-d]pyrazine-5,10-dione (14). 5-(Anilinocarbonyl)imidazole (9) (250 mg) was sublimed at 130 °C and pyrolyzed at 800 °C (10⁻³ torr) in the course of 3 h. A yellow solid (20% yield) condensed at the exit of the oven, in the air-cooled part of the cold trap, and was identified as 14 on the basis of the following data: mp 250-253 °C (from dimethoxyethane) (lit.¹¹ mp 254-255 °C); IR (KBr) 3080 (m), 1730 (s), 1550 (s), 1450 (m), 1360 (s), 1320 (w), 1270 (s), 1210 (s), 1145 (w), 1100 (m), 1090 (m), 1015 (w), 880 (m), 730 (m), 630 (m) cm⁻¹; ¹H NMR ((CD₃)₂SO) δ 8.87 (d, J = 0.7 Hz, 2 H), 8.23 (d, J = 0.7 Hz, 2 H); mass spectrum, m/z (relative intensity) 188 (M⁺, 100), 160 (15), 95 (40), 94 (41), 93 (57), 68 (60); high-resolution mass spectrum, M⁺. 188.0336 (calcd for C₈H₄N₄O₂ 188.0334), 189.0339 (calcd for ¹²C₇¹³C₁H₄N₄O₂ 189.0311). Anal. Calcd for C₈H₄N₄O₂: C, 51.07; H, 2.14; N, 29.78. Found: C, 51.03; H, 2.13; N, 29.49.

The same compound was obtained in 20% yield on pyrolysis of methyl imidazole-4-carboxylate (8) at 750 °C and identified as above

Diimidazo[3,2-a:3',2'-d]pyrazine-5,10-dione (16). Methyl imidazole-2-carboxylate (12) (50 mg) was sublimed at 100 °C and pyrolyzed at 750 °C in the course of 3 h. The yellow solid (20% yield) deposited in the air-cooled part of the cold trap was identified as 16 on the basis of the following data: mp 265-268 °C (from dimethoxyethane); IR (KBr) 3120 (m), 1740 (s), 1520 (m), 1445 (s), 1390 (s), 1330 (w), 1275 (s), 1160 (m), 1060 (m), 1020 (m), 800 (m), 750 (w), 700 (w), 650 (m) cm⁻¹; ${}^{1}H$ NMR ((CD₃)₂SO) δ 8.14 (d, J = 1.5 Hz, 2 H), 7.50 (d, J = 1.5 Hz, 2 H); mass spectrum, m/z (relative intensity) 188 (M⁺, 8), 94 (55), 68 (100); high-resolution mass spectrum, M⁺· 188.0332 (calcd for C₈H₄N₄O₂ 188.0334).

The same compound was obtained in 10% yield on pyrolysis of 2-(anilinocarbonyl)imidazole (13) at 700 °C and identified as above. In addition, N,N'-diphenylurea was isolated from the cold trap in 46% yield. No dimer 16 was isolable after pyrolysis of 13 at 800 °C; instead, the majority of the material polymerized at the exit of the pyrolysis tube.

Pyrolysis of Methyl Imidazole-1-carboxylate (4). (a) This was carried out at 750 °C (10^{-3} torr). The yellow substance condensing in the air cooled part of the cold trap was identified as a 1:2:1 mixture (20% yield) of 14, 15, and 16 by comparison of the IR and ¹H NMR spectra with those of 14 and 16 described above. Diimidazo[3,2-a:3',4'-d]pyrazine-5,10-dione (15) had the following ¹H NMR spectrum: ((CD₃)₂SO) δ 8.90 (d, J = 0.7 Hz, 1 H), 8.20 (d, J = 0.7 Hz, 1 H), 8.13 (d, J = 1.5 Hz, 1 H), 7.54 (d, J = 1.5 Hz, 1 H). The dimers were virtually insoluble in all ordinary solvents of low and medium polarity and did not elute on thin-layer chromatography except when highly polar solvents (CH_3OH) were used. Therefore, a chromatographic separation of the dimers was not feasible.

(b) 4 was pyrolyzed at various temperatures between 300 and 720 °C. The IR and NMR spectra of the pyrolysate obtained at 630 °C demonstrated the presence of the starting material as well as 1-methylimidazole (7). The abovementioned mixture of dimers was obtained in 10% yield at 700 °C. At this temperature 4 was still detectable, but in none of these experiments was 8 or 12 detectable by ¹H NMR.

Registry No. 4, 61985-23-7; 5, 99560-58-4; 6, 99560-59-5; 7, 616-47-7; 8, 17325-26-7; 9, 13189-13-4; 10, 99560-56-2; 11, 99560-57-3; 12, 17334-09-7; 13, 63678-16-0; 14, 53525-65-8; 15, 99560-60-8; 16, 79711-73-2; ethyl imidazole-1-carboxylate, 19213-72-0.

Nitrone Cycloaddition in the Stereoselective Synthesis of β -Carbolines from N-Hydroxytryptophan

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Received July 9, 1985

The cycloaddition chemistry of nitrone 6, obtained by treatment of N-hydroxytryptophan ester 4 with methyl orthoformate, has been evaluated. 1,3-Dipolar cycloadditions with alkenes proceed with high or complete regional re as well as high or complete stereoselectivity at the other newly introduced chiral center C(4') or C(5') is observed. The regiochemical course of these reactions is as predicted by frontier molecular orbital theory.

Tryptophan derivatives having a functionality in addition to the amino and carboxy group in the α -amino acid fragment have been found as characteristic structural elements of several natural products.¹ Recently, we reported² a scheme in which N-hydroxytryptophan 4 links L-tryptophan to α - and/or β -functionalized tryptophan derivatives.

Here we wish to report a reaction sequence that features the conversion of N-hydroxytryptophan 4 into the nitrone

6 which is used in a regio- and stereoselective cycloaddition to alkenes. The utility of the resulting cycloadducts for

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R. H.; Clardy, J. J. J. Agric. Food Chem. 1975, 23, 1015. (c) Neoechinulin B: Marchelli, R.; Dossena, A.; Pochini, A.; Dradi, E. J. Chem. Soc., Perkin

D. Halt Lin, Y. Boscal, K. Young, K. Kataka, K. Sato, S., Shimizu, S.; Nitta, K.; Yamamoto, Y. Chem. Pharm. Bull. 1981, 29, 1510.

⁽²⁾ Ottenheijm, H. C. J.; Plate, R.; Noordik, J. H.; Herscheid, J. D. M.
J. Org. Chem/1982, 47, 2147.
(3) Sundberg, R. I., Ed. "The Chemistry of Indoles"; Academic Press:

New York, 1970; p 236.

⁽⁴⁾ In some cases small amounts of 9 were formed as side product.



F 100(C=0 at C-4')^a These yields are based on the nitrone 6 and refer to isolated compounds.⁴ ^b These ratios are based on isolated compounds. ^c This structure assignment is based on single-crystal X-ray crystallography. ^d Structure assignment made on the basis of NMR spectroscopy. ^e Tentative structure assignment.⁵

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stereospecific syntheses of indole alkaloids is currently under investigation.

Synthesis of the Nitrone 6. The ethyl ester of Nhydroxytryptophan (4) was prepared from indole 1 and the oxime 2 as described by us previously² (Scheme I). Our first approach to 6 involves a modification of the Pictet-Spengler reaction:³ reaction of 4 with formaldehyde dimethyl acetal yielded (91%) the 2-hydroxy- β -carboline 5. Selective DDQ oxidation of the hydroxylamine function of 5 could be achieved to yield (74%) the nitrone 6.

Subsequently, we reasoned that a one-step conversion of 4 into 6 might be possible if in the condensation reaction the acetal would be replaced by an ortho ester. This approach was found to be feasible indeed; treatment of 4 with

methyl orthoformate gave 6 in excellent yield (85%). On occasion we observed that 6 is converted quantitatively to 3-(ethoxycarbonyl)- β -carboline (7) if kept in solution at room temperature for several weeks; during the cycloaddition reactions (vide infra) this β -carboline is formed in some cases as side product.

Cycloadditions. The cycloaddition reaction of the nitrone 6 to a variety of alkenes was investigated. The regio- and stereoselectivity of the reactions summarized in Scheme II are discussed below.

Regioselectivity. The concerted nature of cycloaddition reactions with nitrones as 1,3-dipoles has been generally accepted.⁶ The regioselectivity in these reactions has been rationalized by use of the frontier-orbital theory.⁷ Comparison of the values of the terminal coefficients of the interacting orbitals indicate that electron-rich dipo-

⁽⁵⁾ The tentative assignment of the 4'-isoxazolidines' structures of entry C, i.e., 10 (endo) vs. 2 (exo) is based on ¹H NMR spectroscopy. The protons H(1) and H(4') have coupling constant values of 8.1 and 7.0 Hz, respectively. It is reasonable to assume that the compound with the higher value has the endo configuration, for in general $J_{endo} > J_{exo}$: Huisgen, R.; Grashey, R.; Hauck, H.; Seidl, H. Chem. Ber. 1968, 101, 2043.

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(7) Houk, K. N.; Sins, J.; Watts, C. R.; Luskus, L. J. J. Am. Chem. Soc. 1973, 95, 7302.





larophiles will yield 5'-substituted isoxazolidines due to a LUMO (nitrone)-HOMO (dipolarophile) interaction. The results of entries A and B agree with this theory. Partial reversal of this regioselectivity occurs with the electrondeficient dipolarophile methyl acrylate (entry D). Apparently the contribution of the HOMO (nitrone)-LUMO (dipolarophile) interaction is dominant in this entry, which leads to a ratio 4'- vs. 5'-isoxazolidine of 64:36. This is due to a crossover in the frontier orbital control.⁷ A borderline case is the addition of styrene (entry C) where 12% of the two 4'-isoxazolidines is formed. With cyclohexenone (entry F) the cycloaddition proceeds with complete 4'-regiospecificity. Here the directing influences of the keto and alkyl substituents are cooperative.

The regioselectivity of entry D deserves further comment: it has been shown⁸ that the amount of 4'-substituted isoxazolidine in general depends not only on the nature of the alkene but also on that of the nitrone. The proportion of the 4'-substituted product increases as the nitrone becomes more electron rich. A measure of this electron richness is the ionization potential, which can be determined by means of photoelectron spectroscopy. On the basis of this, one expects that the amount of 4'-substituted adduct increases as the value of the nitrone's IP decreases. However, this rule holds only with dipolarophiles having sufficient electron deficiency. Conversely, the cycloadduct's ratio (4'-vs. 5'-substitution) is thus a measure for the IP value of the nitrone used. The ratio 64:36 for the 4'-vs. 5'-substituted adduct of entry D points-according to Houk's calculation⁸-to an estimated IP value for nitrone 6 in the range of 8.35-8.40 eV. This consideration prompted us to study the photoelectron spectrum of 6. The calculated value for the weighted average of the first two π -ionization potentials $(8.40 \text{ eV})^9$ is in good accordance with this estimated value.

Stereochemistry. As far as the relative stereochemistry at C(1) and C(3) is concerned it is noteworthy that the additions summarized in Scheme II proceed in a *completely stereocontrolled fashion*; the C(1) and C(3) substituents are in a trans orientation. This result may be rationalized as depicted in Scheme III.

In addition the relative stereochemistry at C(1) and C(4') or C(5') has to be discussed. For a given regioselective addition, the nitrone may approach the olefin in two, diastereoselective ways, resulting in an endo or exo adduct.

It is generally accepted that the exo approach is favored when only steric factors are to be considered.¹⁰ The results of entries B–D agree with this rule as far as the 5'-isoxazolidines are concerned. On the basis of this we assign tentatively the exo structure to the adduct derived from cyclohexene (entry E).¹¹ Entry D shows that this exo rule is not obeyed with the 4'-isoxazolidines derived from methyl acrylate, where the endo product is the major one (52 (endo) vs. 12 (exo)). This finding however, is in agreement with results reported¹² for nitrone additions with other α,β -dehydro carboxylates. These results have been rationalized by a secondary interaction of the frontier orbitals at the nitrone's nitrogen and at the alkene's carbonyl carbon atom.¹² However, CPK models indicate that an overlap geometry is not favorable. In addition, the low value of the calculated frontier orbital coefficient of the nitrone's nitrogen indicates that a possible secondary interaction must be located elsewhere in the nitrone.

The ratio 2 (exo) vs. 10 (endo) of the 4'-isoxazolidines of entry C might be rationalized by a similar secondary orbital interaction.

Finally, the endo/exo configuration of the adduct derived from cyclohexenone (entry F) has not been determined.¹³

In conclusion, the novel nitrone 6 can be used in 1,3dipolar cycloadditions with alkenes, a reaction which proceeds in high or complete regioselectivity. In addition, this reaction is stereospecific: (i) the alkene approaches the nitrone exclusively from the side opposite the C(3) substituent (see Scheme III); this approach controls the C(1) stereochemistry; (ii) the configuration of the second newly formed chiral center, i.e., C(4'), or C(5') in the isoxazolidine is determined by a preferential alkene facial selection by the nitrone. With some alkenes studied high endo or complete exo selectivity was observed.

Experimental Section

Melting points were taken on a Koefler hot stage (Leitz-Wetzlar) and are uncorrected. Ultraviolet spectra were measured with a Perkin-Elmer spectrometer, Model 555.

Proton magnetic resonance spectra were measured on a Varian Associates Model T-60 or a Bruker WH-90 spectrometer. Chemical shifts are reported as δ values (parts per million) relative to Me₄Si as an internal standard; CDCl₃ was used as the solvent unless stated otherwise. Mass spectra were obtained with a double-focusing VG 7070E spectrometer. Thin-layer chromatography (TLC) was carried out by using Merck precoated silica gel F-254 plates (thickness, 0.25 mm). Spots were visualized with a UV hand lamp, iodine vapor, Cl₂-TDM, ¹⁴ cinnimaldehyde/HCl for indole detection.¹⁵ For column chromatography Merck silica gel H (Type 60) was used on preparative HPLC (Jobin Yvon).

Solvent systems used were as follows: system A, MeOH/CH₂Cl₂ (3/97 v/v); system B, MeOH/CH₂Cl₂ (7/93 v/v); system C, MeOH/CHCl₃ (7/93 v/v); system D, hexane/EtOAc (50/50 v/v); system E, hexane/EtOAc (10/90 v/v); system F, CH₂Cl₂/MeOH/HOAc (87/10/3 v/v).

Ethyl α -(hydroxyimino)- β -indol-3-ylpropanoate (3) was prepared by the literature procedure.¹⁶

Ethyl α -(Hydroxyamino)- β -indol-3-ylpropanoate (4). A solution of HCl in ethanol (60 mL of a 7 N solution) was added dropwise to a stirred solution of **3** (9.0 g, 36.6 mmol) and (C-H₃)₃N·BH₃ (Aldrich Chemical Co., 2.9 g, 40.2 mmol) in EtOH (150 mL) at room temperature under argon atmosphere. Stirring was continued for 5 h. The mixture was then concentrated to dryness; the residue was dissolved in CH₂Cl₂; the solution was neutralized with NaHCO₃ and filtered. The resulting solution was washed with 0.1 N HCl and dried over Na₂SO₄. Evaporation of the solvent in vacuo gave crystalline material. Recrystallization from

^{(8) (}a) Houk, K. N.; Bimand, J. A.; Mukkerjee, D.; Sims, J.; Chang, Y.; Kaufman, D. C.; Domelsmith, L. N. *Heterocycles* 1977, 7, 293. (b) Bimand, A. Z.; Houk, K. N. *Tetrahedron Lett.* 1983, 435.

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⁽¹¹⁾ This assignment is supported by the comparatively low ¹H NMR coupling constant $J_{\rm H(1),H(4')}$ = 4.1 Hz (see also ref 5).

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⁽¹³⁾ The value of $J_{H(1),H(4')} = 7.5$ Hz does not permit a structure assignment.

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CH₂C₂/MeOH (99/1)-*n*-hexane gave 7.5 g (83%): mp 118–119 °C; R_f 0.40, solvent system B; high-resolution mass spectrum, exact mass calcd for C₁₃H₁₇N₂O₃ (M + 1) m/e 249.1239, found m/e249.1238; electron-impact mass spectrum, m/e 248 (M⁺); UV (methanol) λ_{max} 218, 272, 278, 287 nm; ¹H NMR δ 8.1 (br s, 1 H, NH), 7.60–7.10 (m, 4 H, indole C(4)C(7)H), 7.00 (s, 1 H, indole C(2)H), 5.20 (br s, 2 H, HNOH), 4.15 (q, 2 H, OCH₂CH₃), 3.95 (X part of ABX spectrum, 1 H, $J_{XA} = 5.4$ Hz, $J_{XB} = 7.8$ Hz, indole C(3)CH₂CH), 3.20 and 3.00 (AB part of ABX spectrum, 2 H, J_{AB} = 15.0 Hz, $J_{AX} = 5.4$ Hz, $J_{BX} = 7.8$ Hz, indole C(3)CH₂CH), 1.20 (t, 3 H, OCH₂CH₃). Anal. Calcd for C₁₃H₁₆N₂O₃: C, 62.89; H, 6.50; N, 11.28. Found: C, 62.88; H, 6.43; N, 11.20.

2-Hydroxy-3-(ethoxycarbonyl)-1,2,3,4-tetrahydro-βcarboline (5). To a stirred solution of N-hydroxytryptophan ethyl ester (4, 250 mg, 1 mmol) in dry CH₂Cl₂ (15 mL) at room temperature was added an excess of formaldehyde dimethyl acetal (10 mL). The reaction mixture was acidified with trifluoroacetic acid (0.5 mL). The reaction was monitored by TLC, solvent system B. After the mixture was stirred for 3 h, the solvents were removed in vacuo. The residue was dissolved in $\mathrm{CH}_2\mathrm{Cl}_2$ and the resulting solution washed with 5% NaHCO₃ and brine, and dried (Na_2SO_4) . The solvent was evaporated under reduced pressure. Recrystallization of the residue $(CH_2Cl_2/n$ -hexane) gave 235 mg (91%) of 5: mp 147-150 °C; high-resolution mass spectrum, exact mass calcd for $C_{14}H_{16}N_2O_3 m/e$ 260.1161, found m/e 260.1156; electron-impact mass spectrum, m/e (relative intensity) 260 (31, M^+), 243 (42, M^+ – OH), 187 (50, M^+ – $COOC_2H_5$, 143 (100, $\rm C_{10}H_9N^+);$ UV (methanol) $\lambda_{\rm max}$ 222, 281, 288 (sh) nm; ¹H NMR (CD₂Cl₂) δ 7.90 (s br, 1 H, NH), 7.50–7.00 (m, 4 H, indole C(5)-C(8)H), 4.35 and 4.15 (AB spectrum, 2 H, J_{AB} = 16 Hz, 2 H, C(1)H₂), 4.25 (q, 2 H, OCH₂CH₃), 3.80 (X part of ABX spectrum, 1 H, J_{AX} = 7.2 Hz, J_{BX} = 8.1 Hz, C(3)H), 3.15 and 3.05 (AB part of ABX spectrum, 2 H, C(4)H₂); 1.35 (t, 3 H, OCH₂CH₃). Anal. Calcd for C14H16N2O3: C, 64.60; H, 6.20; N, 10.76. Found: C, 64.80; H, 6.20; N, 10.79.

2-Oxo-3-(ethoxycarbonyl)-3,4-dihydro-β-carboline (6). Procedure A. 2-Hydroxy-3-(ethoxycarbonyl)-1,2,3,4-tetrahydro- β -carboline (5, 130 mg, 0.5 mmol) was dissolved in dry CH₂Cl₂ (25 mL). To this stirred solution was added 2,3-dichloro-5,6-dicyano-1,4-benzoquinone (115 mg, 0.5 mmol) portionwise at room temperature. The reaction was monitored by TLC. After stirring for 4 h the reaction mixture was concentrated to dryness and subjected to flash chromatography (silica gel 60; $MeOH/CH_2Cl_2$ (4/96)) to yield 96 mg (74%) of 6: mp 193-195 $^{\circ}$ C; R_{t} 0.31, solvent system B; high-resolution mass spectrum, exact mass calcd for $C_{14}H_{14}N_2O_3 m/e$ 258.1004; found m/e 258.0995; electron-impact mass spectrum, m/e (relative intensity) 258 (33, M⁺), 240 (12, M⁺ - H₂O), 168 (100, $C_{11}H_8N_2^+$); UV (methanol) λ_{max} 212, 260, 353 nm; ¹H NMR (CD₂Cl₂) δ 10.40 (s br, 1 H, NH), 8.75 (s, 1 H, C(1)H), 7.60-7.05 (m, 4 H, C(5)C(8)H), 5.10 (X part of ABX spectrum, 1 H, J_{AX} = 3.6 Hz, J_{BX} = 7.3 Hz, C(3)H), 4.20 (q, 2 H, OCH_2CH_3), 3.85 and 3.60 (AB part of ABX spectrum, 2 H, $J_{AB} = 17.7$ Hz, $J_{AX} = 3.6$ Hz, $J_{BX} = 7.3$ Hz, $C(4)H_2$), 1.25 (t, 3 H, OCH₂CH₃). Anal. Calcd for $C_{14}H_{14}N_2O_3$: C, 65.11; H, 5.46; N, 10.85. Found: C, 64.55; H, 5.45; N, 10.74.

Procedure B. Trifluoroacetic acid (2 mL) was added to a stirred solution of 4 (3.9 g, 15.7 mmol) in HC(OMe)₃ (60 mL) at room temperature under an argon atmosphere. Stirring was continued for 1 h. The mixture was then concentrated to near dryness, dissolved in CH₂Cl₂, and again concentrated. The residue was dissolved in CH₂Cl₂, and the resulting solution was washed with 0.1 N NaHCO₃ and water and finally dried over Na₂SO₄. Evaporation gave crystalline material which was recrystallized from CH₂Cl₂/MeOH, 3.45 g (85%).

3-(Ethoxycarbonyl)-\beta-carboline (7). When a solution of 6 (150 mg, 0.58 mmol) in CH₂Cl₂/MeOH (3/1, 150 mL) was stored at room temperature for 3 weeks, compound 7 was formed quantitatively. Evaporation of the solvent gave a residue, which was recrystallized from EtOAc/*n*-hexane to yield 7: mp 222–224 °C (lit.¹⁷ mp 224–229 °C). Anal. Calcd for C₁₄N₁₂N₂O₂: C, 69.99; H, 5.03; N, 11.66. Found: C, 69.82; H, 5.00; N, 11.61.

Spectroscopic data are identical with those reported previously. $^{18}\,$

2,2-Dimethyl-5-(ethoxycarbonyl)-4,5,6,11b-tetrahydroisoxazolidino[2,3-a]- β -carboline (Entry A). A stirred solution of 6 (1.81 g, 7 mmol) in dry toluene (120 mL) and isobutene (80 mL) was heated for 4 h at 120 °C in a 250-mL pressure vessel. The pressure increased up to 9 bar. Evaporation of the solvent gave the adduct of entry A quantitatively. The compound was recrystallized from CH₂Cl₂: mp 248-250 °C; R_f 0.53, solvent system C; high-resolution mass spectrum, exact mass calcd for C₁₈H₂₂N₂O₃ m/e 314.1630, found m/e 314.1624; electron-impact mass spectrum, m/e (relative intensity) 314 (54, M⁺), 241 (100, M⁺ – (COOEt), 169 (28); UV (methanol) λ_{max} 220, 270, 276, 279, 287 nm; ¹H NMR (CD₂Cl₂) δ 8.05 (br s, 1 H, N(11)H), 7.45–7.00 (m, 4 H, C(7)C(10)H), 4.85 (X part of ABX spectrum, 1 H, J_{XA} = 7.0 Hz, J_{XB} = 10.0 Hz, C(11b)HC(1)H₂), 4.23 and 4.20 (2 q from diastereotopic protons, 2 H, OCH₂CH₃), 4.05 (X part of ABX spectrum, 1 H, $J_{XA} = 5.0$ Hz, $J_{XB} = 8.5$ Hz, $C(5)HC(6)H_2$, 3.10 and 2.90 (AB part of ABX spectrum, 2 H, $J_{AB} = 15.0$ Hz, $J_{AX} =$ 5.0 Hz, $J_{BX} = 8.5$ Hz, C(6) H_2 C(5)H), 2.50 and 2.30 (AB part of ABX spectrum, 2 H, $J_{AB} = 12.0$ Hz, $J_{AX} = 7.0$ Hz, $J_{BX} = 10.0$ Hz, C(1) H_2 C(11b)H), 1.40 and 1.36 (2 × s, 6 H, 2 × C H_3), 1.28 (t, 3 H, OCH₂CH₃). Anal. Calcd for $C_{18}H_{22}N_2O_3$: C, 68.77; H, 7.05; N, 8.91. Found: C, 68.68; H, 7.02; N, 8.89.

exo-2-(Carboxymethyl)-5-(ethoxycarbonyl)-4,5,6,11btetrahydroisoxazolidino[2,3-a]-β-carboline (Entry B). This structure has been secured by single-crystal X-ray crystallography.¹⁹ A stirred solution of 6 (1.04 g, 4 mmol) and vinylacetic acid (Aldrich Chemical Co., 3.8 g, 44 mmol) in dry toluene (40 mL) under argon atmosphere was heated at 80 °C. Stirring was continued for 24 h. Crystals separated upon cooling of the reaction mixture to room temperature. The crystals were filtered off and washed with *n*-hexane. Recrystallization from CH_2Cl_2 gave 1.10 g, 80% of the adduct: mp 217-218 °C; R_f 0.35, solvent system F; high-resolution mass spectrum, exact mass calcd for C₁₈H₁₉N₂O₅ $(M^+ - 1) m/e$ 343.1294, found m/e 343.1295; chemical-ionization mass spectrum, m/e (relative intensity) 343 (80, M⁺ - 1), 281 (100, $M^+ - (COOC_2H_5)$; UV methanol) λ_{max} 220, 272, 276, 280, 288 nm; ¹H NMR δ 9.85 (br s, 1 H, N(11)H), 7.45–7.00 (m, 4 H, C(7)C-(10)H), 4.95-4.65 (X part of ABX spectrum, 1 H, C(11b)C(1)H₂, and X part of ABXA'B' spectrum, 1 H, C(1)H₂C(2)HCH₂COOH), 4.30 (q, 2 H, OCH₂CH₃), 3.95 (X part of ABX spectrum, 1 H, J_{XA} = 5.5 Hz, J_{XB} = 8.5 Hz, C(5)HC(6)H₂), 3.20–3.00 (AB part of ABX spectrum, 2 H, $J_{AX} = 5.5$ Hz, $J_{BX} = 8.5$ Hz, $C(6)H_2C(5)H)$, 2.75–2.45 (AB part of ABX spectrum, 2 H, HOOCCH₂C(2)H, and AB part of MABX spectrum, 2 H, $C(11b)HC(1)H_2C(2)H)$, 1.30 (t, 3 H, OCH₂CH₃). Anal. Calcd for C₁₈N₂₀N₂O₅: C, 62.78; H, 5.85; N, 8.13. Found: C, 62.76; H, 5.83; N, 8.16.

Entry C. A stirred solution of 6 (0.26 g, 1 mmol) and styrene (1.04 g, 10 mmol) in dry toluene (10 mL) under argon atmosphere was heated at 110 °C for 1.5 h. The residue obtained after evaporation of the solvent was subjected to HPLC (silica gel 60H), eluent hexane/EtOAc (75/25 v/v), to yield (320 mg, 85%) four stereomers in a ratio 10:3:85:2.

endo-1-Phenyl-5-(ethoxycarbonyl)-4,5,6,11b-tetrahydroisoxazolidino[2,3-a]- β -carboline. Recrystallization from Et-OAc/n-hexane gave 30 mg (10%): mp 172–173 °C; R_i 0.61, solvent system D; high-resolution mass spectrum, exact mass calcd for $C_{22}H_{22}N_2O_3 m/e$ 362.1630, found m/e 362.1620; electron-impact mass spectrum, m/e (relative intensity) (12, M⁺), 362, 289 (17, M⁺ - (COOC₂H₅)), 258 (81), 185 (94), 169 (100); UV (methanol) λ_{max} 204, 218, 272, 278, 288 nm; ¹H NMR δ 7.50–7.15 (m, 10 H, N(11)H and C(7)N(11)H and C₆H₅), 4.70 and 4.62 (AB part of ABX spectrum, 2 H, J_{AB} = 8.5 Hz, J_{AX} = 3.6 Hz, J_{BX} = 4.5 Hz, C(2) H_2 C(1)H), 4.30 (q, 2 H, OCH₂CH₃), 4.10 (d, 1 H, C(11b)-HC(1)H, J = 8.1 Hz), 4.05 (X part of ABX spectrum, 1 H, C-(5)HC(6)H₂), 3.70 (X part of ABXM spectrum, 1 H, C(2)H₂C-(1)HC(11)H), 3.15–3.00 (AB part of ABX spectrum, 2 H, C-(6) H_2 C(5)H), 1.30 (t, 3 H, OCH₂CH₃). Anal. Calcd for C₂₂H₂₂N₂O₃: C, 72.91; H, 6.12; N, 7.73. Found: C, 72.47; H, 6.12; N, 7.56.

exo-1-Phenyl-5-(ethoxycarbonyl)-4,5,6,11b-tetrahydroisoxazolidino[2,3-a]- β -carboline. This compound has been isolated as an oil: 10 mg (3%); R_f 0.38, solvent system D; highresolution mass spectrum, exact mass calcd for $C_{22}H_{22}N_2O_3 m/e$ 362.1630, found m/e 362.1624; electron-impact mass spectrum

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m/e (relative intensity) 362 (26, M⁺), 289 (49, M⁺ – (COOC₂H₅)), 258 (71), 185 (88), 169 (47.7); UV (methanol) λ_{max} 204, 218, 272, 278, 288 nm; ¹H NMR δ 8.0 (br s, 1 H, N(11)H), 7.50–7.00 (m, 9 H, C(7)C(10)H and C₆H₅), 4.90–4.70 (AB part of ABX spectrum, 2 H, C(2)H₂C(1)H), 4.25 (q, 2 H, OCH₂CH₃), 4.05–3.80 (X part of ABX spectrum, 1 H, C(5)HC(6)H₂, and d, 1 H, C(11b)HC(1)H, J = 7.0 Hz), 3.45–3.20 (X part of ABXM spectrum, 1 H, C-(2)H₂C(1)HC(11b)H), 3.10–3.00 (AB part of ABX spectrum, 2 H, C(6)H₂C(5)H), 1.30 (t, 3 H, OCH₂CH₃).

exo-2-Phenyl-5-(ethoxycarbonyl)-4,5,6,11b-tetrahydroisoxazolidino[2,3-a]-\$-carboline. This structure has been secured by single-crystal X-ray crystallography.¹⁹ Recrystallization from CH_2Cl_2/n -hexane gave 275 mg (85%) of the adduct; mp 212-215 °C; R_f 0.56, solvent system D; high-resolution mass spectrum, exact mass calcd for $C_{22}H_{22}N_2O_3 m/e$ 362.1630, found m/e 362.1624; electron-impact mass spectrum, m/e (relative intensity) 362 (46, M⁺), 289 (70), 258 (37), 185 (100), 169 (34); UV (methanol) λ_{max} 204, 220, 272, 276, 280, 288 nm; ¹H NMR δ 7.80 (br s, 1 H, N(11)H), 7.50-7.10 (m, 9 H, C(7)C(10)H and C₆H₅), 5.30 (X part of ABX spectrum, 1 H, J_{XA} = 3.6 Hz, J_{XB} = 8.4 Hz, $C(2)HC(1)H_2$, 4.95 (X part of ABX spectrum, 1 H, $J_{XA} = J_{XB}$ = 5.0 Hz, $C(11b)HC(1)H_2$, 4.30 and 4.27 (2 q from diastereotopic protons, 2 H, OCH₂CH₃), 4.05 (X part of ABX spectrum, 1 H, $J_{\rm XB} = 3.3$ Hz, $J_{\rm XA} = 4.5$ Hz, C(5)HC(6)H₂), 3.20 and 3.00 (AB part of ABX spectrum, 2 H, $J_{AX} = 4.5$ Hz, $J_{BX} = 3.3$ Hz, $J_{AB} = 10.0$ Hz, C(6) H_2 C(5)H), 2.80–2.45 (AB part of MABX spectrum, $2 H, C(11b)HC(1)H_2C(2)H), 1.20 (t, 3 H, OCH_2CH_3)$. Anal. Calcd for C₂₂H₂₂N₂O₃: C, 72.91; H, 6.12; N, 7.73. Found: C, 72.92; H, 6.06; N, 7.56.

endo-2-Phenyl-5-(ethoxycarbonyl)-4,5,6,11b-tetrahydroisoxazolidino[2,3-a]- β -carboline. This compound has been isolated as an oil: 5 mg, (2%); R_f 0.32, solvent system D; highresolution mass spectrum, exact mass calcd for $C_{22}H_{22}N_2O_3 m/e$ 362.1630, found m/e 362.1624; electron-impact mass spectrum, m/e (relative intensity) 362 (32, M⁺), 289 (58, M⁺ - (COOC₂H₅)), 258 (37), 185 (100), 169 (39); UV (methanol) λ_{max} 204, 220, 272, 276, 280, 288 nm; ¹H NMR δ 8.65 (br s, 1 H, N(11)H), 7.45–7.00 (m, 9 H, C(7)C(10)H and C₆H₅), 5.00–4.70 (X part of ABX spectrum, 1 H, C(11b)HC(1)H₂, and X part of ABX spectrum, 1 H, C(2)HC(1)H₂), 4.45–4.40 (2 q from diastereotopic protons, 2 H, OCH₂CH₃, and X part of ABX spectrum, 1 H, C(5)HC(6)H₂), 3.20–3.00 (AB part of ABX spectrum, 2 H, C(11b)HC(1)H₂C-(2)H), 1.30 (t, 3 H, OCH₂CH₃).

Entry D. A solution of 6 (0.26 g, 1 mmol) and methyl acrylate (0.86 g, 10 mmol) in toluene/methanol (9/1 v/v, 10 mL) was stirred for 5 h at room temperature under an argon atmosphere. The residue obtained after evaporation of the solvent was subjected to HPLC, eluent hexane/EtOAc (60/40 v/v), to yield four stereoisomers, 325 mg (93%), in a ratio 12:52:31:5. The structures of the first three compounds were secured by single-crystal X-ray crystallography.¹⁹

exo-1-(Methoxycarbonyl)-5-(ethoxycarbonyl)-4,5,6,11btetrahydroisoxazolidino[2,3-a]-\$-carboline. Recrystallization from EtOAc/n-hexane gave 40 mg (12%): mp 162–163 °C; R_t 0.38, solvent system E; high-resolution mass spectrum, exact mass calcd for C₁₈H₂₀N₂O₅ m/e 344.1372, found m/e 344.1375; electron-impact mass spectrum, m/e (relative intensity) 344 (23, M⁺), 271 (100, M^+ – (COOC₂H₅)), 185 (56); UV (methanol) λ_{max} 220, 272, 278, 288 nm; ¹H NMR δ 8.50 (br s, 1 H, N(11)H), 7.45-7.05 (m, 4 H, C(7)C(10)H), 4.90 (d, 1 H, J = 9.0 Hz, C(11b)HC(1)H), 4.60-4.20 (q, 2 H, OCH₂CH₃, and X part of ABX spectrum, 1 H, $C(5)HC(6)H_2$, and X part of ABXM spectrum, 1 H, $C(2)H_2C$ (1)HC(11b)H), 3.90 (s, 3 H, OCH₃), 3.85-3.40 (AB part of ABX spectrum, 2 H, C(2)H₂C(1)H), 3.20-3.00 (AB part of ABX spectrum, 2 H, C(6) H_2 C(5)H), 1.20 (t, 3 H, OCH₂CH₃). Anal. Calcd for C₁₈N₂₀N₂O₅: Č, 62.78; H, 5.85; N, 8.13. Found: C, 62.57; H, 5.75; N, 7.98.

endo-1-(Methoxycarbonyl)-5-(ethoxycarbonyl)-4,5,6,11btetrahydroisoxazolidino[2,3-a]- β -carboline. Recrystallization from EtOAc/n-hexane gave 170 mg (52%): mp 180–182 °C; R_f 0.15, solvent system E; high-resolution mass spectrum, exact mass tron-impact mass spectrum, m/e 344 (18, M⁺), 271 (33, M⁺ – (COOC₂H₅)), 185 (100), 169 (47); UV (methanol) λ_{max} 220, 272, 278, 288 nm; ¹H NMR δ 8.10 (br s, 1 H, N(11)H), 7.45–7.05 (m, 4 H, C(7)C(10)H), 5.00 (d, 1 H, J = 9.2 Hz, C(11b)HC(1)H), 4.60–4.10 (q, 2 H, OCH₂CH₃, and AB part of ABX spectrum, 2 H, C(2)H₂C(1)H, and X part of ABX spectrum, 1 H, C(5)HC-(6)H₂), 3.85 (X part of MXAB spectrum, 1 H, C(11b)HC(1)HC(2)H₂), 3.40 (s, 3 H, OCH₃), 3.15–3.05 (AB part of ABX spectrum, 2 H, C(6)H₂C(5)H), 1.30 (t, 3 H, OCH₂CH₃). Anal. Calcd for C₁₈H₂₀N₂O₅: C, 62.78; H, 5.85; N, 8.13. Found: C, 62.72; H, 5.79; N, 8.13.

calcd for C₁₈H₂₀N₂O₅ m/e 344.1372, found m/e 344.1375; elec-

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exo-2-(Methoxycarbonyl)-5-(ethoxycarbonyl)-4,5,6,11btetrahydroisoxazolidino[2,3-a]-β-carboline. Recrystallization from EtOAc/*n*-hexane gave 100 mg (31): mp 165–167 °C; $R_f 0.24$, solvent system E; high-resolution mass spectrum, exact mass calcd for $C_{18}H_{20}N_2O_5 m/e$ 344.1372, found m/e 344.1375; electron-impact mass spectrum, m/e (relative intensity) 344 (10, M⁺), 271 $(53, M^+ - (COOC_2H_5)), 258 (38), 185 (90), 169 (82), 168 (100); UV$ (methanol) λ_{max} 220, 272, 278, 280, 288 nm; ¹H NMR δ 8.0 (br s, 1 H, N(11)H), 7.55-7.05 (m, 4 H, C(7)C(10)H), 5.05-4.75 (X part of ABX spectrum, 1 H, C(11b)HC(1)H₂, and X part of ABX spectrum, 1 H, C(2) $HC(1)H_2$), 4.27 and 4.25 (2 q from diastereotopic protons, 2 H, OCH_2CH_3), 4.05 (X part of ABX spectrum, 1 H, J_{XA} = 3.3 Hz, J_{XB} = 4.5 Hz, C(4)*H*C(5)H₂), 3.80 (s, 3 H, OCH₃), 3.20–3.10 (AB part of ABX spectrum, 2 H, J_{XA} = 3.3 Hz, $J_{\rm BX} = 4.5$ Hz, C(6) H_2 C(5)H), 3.05-2.40 (AB part of MABX spectrum, 2 H, C(11b) $HC(1)H_2C(2)H$), 1.30 (t, 3 H, OCH₂CH₃). Anal. Calcd for $C_{18}H_{20}N_2O_5$: \overline{C} , 62.78; H, 5.85; N, 8.13. Found: C, 62.48; H, 5.48; N, 8.07.

endo-2-(Methoxycarbonyl)-5-(ethoxycarbonyl)-4,5,6,11btetrahydroisoxazolidino[2,3-a]-β-carboline. Recrystallization from EtOAc/*n*-hexane gave 15 mg (5%): mp 163–167 °C; R_{f} 0.11, solvent system E; high-resolution mass spectrum, exact mass calcd for $C_{18}H_{20}N_2O_5 m/e$ 344.1372, found m/e 344.1375; electron-impact mass spectrum, m/e (relative intensity) 344 (32, M⁺), 271 $(70, M^+ - (COOC_2H_5)), 185 (48), 169 (100); UV (methanol) \lambda_{max}$ 220, 272, 278, 280, 288 nm; ¹H NMR δ 7.85 (br s, 1 H, N(11)H), 7.50-7.05 (m, 4 H, C(7)C(10)H), 5.00-4.65 (X part of ABX spectrum, 1 H, $C(11b)HC(1)H_2$, and X part of ABX spectrum, 1 H, C(2)HC(1)H₂), 4.30 (q, 2 H, OCH₂CH₃), 4.15 (X part of ABX spectrum, 1 H, $J_{XA} = 3.0$ Hz, $J_{XB} = 4.8$ Hz, $C(5)HC(6)H_2$, 3.65 (s, 3 H, OCH₃), 3.20–3.05 (AB part of ABX spectrum, 2 H, J_{AX} = 3.0 Hz, J_{BX} = 4.8 Hz, C(6) H_2 C(5)H), 2.95–2.40 (AB part of MABX spectrum, 2 H, C(11b)HC(1)H₂C(2)H), 1.30 (t, 3 H, OCH₂CH₃). Anal. Calcd for $C_{18}H_{20}N_2O_5 \cdot 1/_7n - C_6H_{14}$: C, 63.50; H, 6.22; N, 7.85. Found: C, 63.44; H, 6.22; N, 7.82.

Entry E. A stirred solution of 6 (0.26 g, 1 mmol) and freshly distilled cyclohexene (4.1 g, 50 mmol) in dry toluene (10 mL) was heated at 110 °C in an argon atmosphere. Stirring was continued for 6 days. Evaporation of the solvent gave crystalline adduct. Recrystallization from EtOAc/n-hexane gave the adduct in 86% yield (290 mg): mp 208-209 °C; R_f 0.61, solvent system C; high-resolution mass spectrum, exact mass calcd for C₂₀H₂₄N₂O₃ m/e 340.1787, found m/e 340.1795; electron-impact mass spectrum, m/e (relative intensity) 340 (32, M⁺), 267 (100, (M⁺ – $(COOC_2H_5)$, 185 (26), 169 (23); UV (methanol) λ_{max} 220, 272, 278, 288 nm; ¹H NMR δ 7.80 (br s, 1 H, N(13)H), 7.50-7.00 (m, 4 H, C(9)C(12)H), 4.65 (d, 1 H, C(13b)H, J = 4 Hz), 4.30 (X part of ABX spectrum, 1 H, $J_{XA} = J_{XB} = 5.5$ Hz, C(7)HC(8)H₂), 4.15 and 4.10 (2 q from diastereotopic protons, 2 H, OCH₂CH₃), 4.20-4.00 (X part of MXAB spectrum, 1 H, C(13c)HC(4a)HC(4)H₂), 3.10 (AB part of ABX spectrum, 2 H, $J_{AX} = J_{BX} = 5.5$ Hz, C(8) H_2 C-(7)H), 2.50 (m, 1 H, C(13c)H), 2.00–1.40 (m, 8 H, C(1)C(4)H₂), 1.20 (t, 3 H, OCH₂CH₃). Anal. Calcd for C₂₀H₂₄N₂O₃: C, 70.61; H, 7.20; N, 8.25. Found: C, 70.57; H, 7.11; N, 8.23.

Entry F. A stirred solution of 6 (0.26 g, 1 mmol) and 2cyclohexen-1-one (Aldrich Chemical Co., 0.96 g, 10 mmol) in dry toluene (10 mL) was heated at 70 °C for 1 h under an argon atmosphere. Evaporation of the solvent and excess 2-cyclohexen-1-one gave quantitatively the adduct (354 mg). The analytical sample was obtained from recrystallization from THF/n-hexane: mp 180–182 °C; R_f 0.77, solvent system C; high-resolution mass spectrum, exact mass calcd for C₂₀H₂₂N₂O₄ m/e 354.1580, found m/e 354.1570; electron-impact mass spectrum, m/e (relative intensity) 354 (10, M⁺), 281 (25, M⁺ –

⁽¹⁹⁾ The X-ray crystallography data will be published in J. Crystallogr. Spectrosc. Res.

 $(COOC_2H_5)$), 258 (38), 185 (100), 169 (53); UV (methanol) λ_{max} 220, 272, 278, 288 nm; ¹H NMR δ 8.70 (br s, 1 H, N(13)H), 7.45-7.05 (m, 4 H, C(9)C(12)H), 4.95 (X part of MXAB spectrum, 1 H, C(13c)H)C(4a)HC(4)H₂); 4.70 (d, 1 H, C(13b)H, J = 7.5 Hz), 4.40 (q, 2 H, OCH₂CH₃), 3.80 (X part of ABX spectrum, 1 H, J_{XA} = 4.5 Hz, J_{XB} = 8.2 Hz, C(7)HC(8)H₂), 3.30–3.05 (AB part of ABX spectrum, 2 H, C(8) H_2 C(7)H, and X part of AXM spectrum, 1 H, C(13b)HC(13c)HC(4a)H), 2.60-2.30 (m, 2 H, C(2)H₂), 2.20 (m,

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4 H, C(3)H₂C(4)H₂), 1.30 (t, 3 H, OCH₂CH₃). Anal. Calcd for C₂₀H₂₂N₂O₄: C, 67.78; H, 6.26; N, 7.90. Found: C, 67.80; H, 6.25; N. 7.79.

Acknowledgment. We gratefully acknowledge Prof. Dr. R. J. F. Nivard for his support and we are indebted to Dr. D. Ajo, Padova, for measuring the photoelectron spectrum of 6.

Thermal Cycloaddition Reactions of Thiocarbonyl Compounds. 4.¹ Synthesis of Novel Adamantane-2-spirothiaheterocycles via [4 + 2]Cycloaddition Reactions of Adamantanethione with Conjugated Dienes

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Received December 28, 1984

Thermal cycloaddition reactions of adamantanethione (1) with conjugated dienes such as cyclopentadiene, 2.3-dimethyl-1.3-butadiene, piperylene, isoindole, and isobenzofuran occurred smoothly at 20-110 °C to afford [4 + 2] cycloadducts (3a, 3b, 3d, 23a, and 23b) in good yields. On the other hand, similar treatment of 1 with isoprene gave a 45:55 mixture of regioisomers 3e and 4e. Furthermore, reaction of 1 with Danishefsky's diene (2f) occurred at 110 °C to afford regioselectively adamantane-2-spiro-(2'-thiacyclohex-5'-en)-4'-one (9) in a good yield after desilylative elimination of the initial adduct. On the other hand, reaction of thiobenzophenone (11) with 2f gave 2,2-diphenyl-6-methoxythiacyclohexan-4-one (14) and 2,2-diphenylthiacyclohex-3-en-5-one (17) in 16% and 52% yields, respectively. The nature of these cycloadditions is discussed on the basis of FMO and steric effects.

The use of thiocarbonyl compounds as a cycloaddition component in organic synthesis has developed quite rapidly in recent years.³⁻⁵ Numerous studies based on quantum theoretical calculations about [4 + 2] cycloaddition reactions have been reported,6 and the methanistic aspect has been discussed at length.⁷ Recently, the interest in cycloaddition reaction of thiocarbonyl compounds has grown significantly.⁸ However, only a few



reports are available on the cycloaddition reaction involving adamantanethione (1).⁹ In this paper, we describe thermal [4 + 2] cycloaddition reactions of 1, a stable alicyclic thiocarbonyl compound, with conjugated dienes, which provided a facile route to some novel adamantane-2-spirothiaheterocycles.

Results and Discussion

Cycloaddition Reaction of 1 with Cyclic Polyenes. Reaction of 1 with an 8-fold excess of cyclopentadiene (2a)in dry benzene at 80 °C gave cycloadduct 3a and thione dimer 5^{10} in 91% and 4% yields, respectively (Scheme I

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