Synthesis and Antibacterial Activity of Dendritic Architectures

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Abstract: Synthesis of dendrimers with pentanone as core unit and *m*-terphenyl as surface end group has been achieved. The inhibitory activity of pentanone and its dendrimers against human pathogenic bacteria is well-documented.

Key words: pentanone, *m*-terphenyl, bactericidal screening, *Enterococcus faecalis, Klebsiella pneumoniae, Escherichia coli*

Dendrimers are used as carrier macromolecules for drug delivery, gene transfer, and as catalyst in homogeneous as well as heterogeneous catalysis.¹ Dendrimers offer innumerable applications in pharmaceutical, biological and biomedical fields. Due to the presence of suitable functional group at the core and surface, dendrimers find application as multivalent bioconjugates, antibacterial and antiviral agents.²⁻⁴ Hybrid dendrimers such as glycodendrimers exhibits potential application in medical field.⁵ Covalent attachment of drugs through various degradable linkers such as lactosaminylated and galactosylated human serum albumin has allowed the targeted delivery of antiinflammatory agents such as Naproxen.^{6,7} Synthesis of permanent fluorescence sensing hyperbranched dendritic architectures⁸ as well as bactericidal efficacy of novel dendrimers9 and axially chiral enantiopure dendrimers¹⁰ were reported from our laboratory recently. The pentanone nucleus is present in various natural products^{11,12} and is known to be a very important precursor to many potentially bioactive compounds.¹³ However the synthesis and biological activity of pentanone core based *m*-terphenyl hyperbranched dendritic architecture are yet to be investigated. We report herein the synthesis of pentanone core based dendritic architecture (1a-3a, 1b-3b, Figure 1) and their antibacterial activity against Enterococcus faecalis, Klebsiella pneumoniae and Escherichia coli bacteria.

5'-Methyl-1,1':3',1"-terphenyl (**4**) was prepared by the application of the Hart reaction¹⁴ from 3,5-dibromo-4-io-dotoluene. Radical bromination of **4** with *N*-bromosuccinimide in tetracholoromethane in the presence of Bz_2O_2 afforded 5'-bromomethyl-1,1':3',1"-terphenyl (**5**) in 84% yield.¹⁵ Reaction of the pentanone core unit **6a/6b**¹⁶ derived from cyclopentanone and 4-hydroxybenzaldehyde/3-methoxy 4-hydroxybenzaldehyde with 2.1 equivalents of 5'-bromomethyl-1,1':3',1"-terphenyl in DMF in the

SYNTHESIS 2006, No. 3, pp 0528–0532 Advanced online publication: 11.01.2006 DOI: 10.1055/s-2006-926275; Art ID: Z15605SS © Georg Thieme Verlag Stuttgart · New York





presence of K_2CO_3 gave the dendrimers **1a/1b** in 73% and 70% yields, respectively (Scheme 1). The pentanone core unit **6a/6b** could be also obtained using iodotrimethylsilane¹⁷ or Yb(OTf)₃¹⁸ as catalyst for cross-aldol condensation.

The ¹H NMR spectrum of dendrimer **1a** displayed a singlet at $\delta = 3.04$ for the methylene protons of cyclopentanone moiety and another sharp singlet at $\delta = 5.20$ for OCH₂ protons in addition to olefinic and aromatic protons. In ¹³C NMR spectrum CH₂, OCH₂ and C=O carbon appeared at $\delta = 36.5$, 70.2 and $\delta = 196.4$ in addition to ten aromatic carbons. The appearance of a molecular ion peak



Scheme 1 Reagent and conditions: i) PhMgBr (3.1 equiv), THF, reflux, 12 h; ii) H_3O^+ ; iii) NBS, Bz_2O_2 , CCl_4 , reflux; iv) K_2CO_3 , DMF, 60 °C, 48 h.

at m/z = 808 in the FAB mass spectrum also confirmed the structure of the dendrimer **1a**. The structure of the dendrimers **1b** was also confirmed based on the spectral and analytical data.

In order to synthesize the first-generation dendrimer **2a**, methyl 3,5-dihydroxybenzoate was reacted with the 5'bromomethyl-1,1':3',1"-terphenyl (**5**) to give compound **7** and was followed by the conventional functional-group transformation¹⁹ which afforded the corresponding dendritic bromide **9**²⁰ (G₁)-CH₂Br in 56% yield. Reaction of 2.1 equivalents of the dendritic bromide **9** (G₁)-CH₂Br with one equivalent of the pentanone core unit **6a/6b** in the presence of K₂CO₃ in DMF gave dendrimer **2a/2b** in 68% and 62% yields, respectively (Scheme 2).



The ¹H NMR spectrum dendrimer **2a** displayed a singlet at $\delta = 2.98$ for the two identical CH₂ protons of pentanone moiety. Two sharp singlets for 4-H and 8-H were observed at $\delta = 5.06$ and 5.17 for OCH₂ protons in addition to olefinic and aromatic protons. In the ¹³C NMR spectrum CH₂, OCH₂ and C=O carbon appeared at $\delta = 36.5$, 70.0, 70.2 and at $\delta = 196.4$ in addition to thirteen aromatic carbons. The appearance of molecular ion peak at m/z =1504 in the FAB mass spectrum also confirmed the structure **2a**. Similarly structure of the dendrimer **2b** was also confirmed based on the spectral and analytical data.

With a view to synthesize the second-generation dendrimers 3a/3b methyl 3,5-dihydroxybenzoate was reacted with 2.1 equivalents of dendritic bromide 9 (G₁)-CH₂Br to give the methyl carboxylate 10 (G₂)-CO₂Me, which was converted to the dendritic bromide 12 (G₂)-CH₂Br through

dendritic alcohol **11** (G_1)-CH₂OH as described under the synthesis of **2a/2b**.

Reaction of 2.1 equivalents of dendritic bromide 12^{20} (G₂)-CH₂Br with one equivalent of parent compound **6a**/**6b** gave the dendrimer **3a**/**3b** in 44% and 38% yields, respectively (Scheme 3).



Dendrimers **3a** and **3b** were characterized from the spectral and analytical data.

Antibacterial Activity

The bactericidal activity of the parent compounds, dendrimer 1a-3a and 1b-3b, were assayed against Enterococcus faecalis, Klebsiella pneumoniae and Escherichia coli by disc diffusion method. Parent compound 6a/6b exhibited significant activity towards Enterococcus faecalis and Klebsiella pneumoniae and less significant activity towards Escherichia coli. Dendrimers 1a-3a and 1b-3b showed good inhibition against the test bacteria when compared with the parent compounds 6a/6b. Dendrimer 3a/3b possessed higher inhibitory activity than all other dendrimers and parent compounds. The standard antibiotic disc (Streptomycin 10 µg/ disc) inhibited the growth of Enterococcus faecalis by 14 mm, Klebsiella pneumoniae by 15 mm and *Escherichia coli* by 12 mm, respectively. The diameter of inhibition zone for each concentration against all the test bacteria is depicted in Tables 1-3.

Table 1 Inhibition Effects of Parent and Dendrimers on the Growth of *Enterococcus faecalis*

Compound	Zone of Inhibition (mm)			
	30 µg/mL	50 μg/mL	70 μg/mL	
Parent 6b	3.0	4.0	5.5	
Dendrimer 1a	4.2	5.6	6.8	
Dendrimer 1b	4.8	6.4	7.2	
Dendrimer 2a	5.0	6.5	7.5	
Dendrimer 2b	5.8	7.2	8.4	
Dendrimer 3a	7.2	8.4	10.5	
Dendrimer 3b	7.6	8.8	11.2	

Table 2 Inhibition Effects of Parent and Dendrimers on the Growth of *Klebsiella pneumoniae*

Compound	Zone of Inhibition (mm)			
	30 µg/mL	50 µg/mL	70 µg/mL	
Parent 6b	3.0	4.0	5.5	
Dendrimer 1a	4.2	5.8	6.6	
Dendrimer 1b	4.6	6.2	7.2	
Dendrimer 2a	4.8	6.4	7.4	
Dendrimer 2b	5.0	6.8	7.8	
Dendrimer 3a	6.2	7.6	10.2	
Dendrimer 3b	7.4	8.4	10.8	

Table 3 Inhibition Effects of Parent and Dendrimers on the Growth of *Escherichia coli*

Compound	Zone of inhibition (mm)			
	30 µg/mL	50 μg/mL	70 µg/mL	
Parent 6b	2.5	3.5	5.0	
Dendrimer 1a	3.6	4.4	5.4	
Dendrimer 1b	4.0	5.6	6.6	
Dendrimer 2a	4.4	6.2	7.2	
Dendrimer 2b	5.2	6.6	7.6	
Dendrimer 3a	5.6	7.2	8.2	
Dendrimer 3b	6.8	7.4	8.4	

All dendrimers other than the parent compound significantly inhibited the growth of *Enterococcus faecalis*, *Klebsiella pneumoniae* and *Escherichia coli*. Dendrimers **1a–3a** and **1b–3b** could be used as potential compounds to ward off diseases caused by the bacteria, especially pneumonia.

Dichloromethane was distilled from P_2O_5 and stored over 4 Å molecular sieves; THF was distilled from sodium prior to use. Materials used were purchased from Lancaster chemicals. ¹H NMR and ¹³C NMR spectra were obtained on a Jeol 500 spectrometer operating at 500 MHz and 125 MHz respectively. The FAB-mass spectral data were recorded on a Jeol SX 102/DA-6000 mass spectrometer using *p*-nitrobenzyl alcohol (NBA) as matrix, MALDI-TOF mass spectra on a Voyager–DE PRO mass spectrometer using *a*-cyano-4-hydroxycinnamic acid as (CHCA) matrix and EI-mass spectra on a Jeol DX-303 mass spectrometer. Elemental analyses were determined on a Perkin-Elmer 240B elemental analyzer.

Synthesis of Dendritic Esters 7/10; General Procedure

Mixture of methyl 3,5-dihydroxybenzoate (1.0 mmol) and dendritic bromide **5/9** (2.1 mmol) was stirred with K_2CO_3 (5 mmol) in DMF (20 mL) at 60 °C for 48 h. The reaction mixture was poured into H_2O and extracted with CH_2Cl_2 (3 × 150 mL). The combined organic extracts were washed with brine and dried over $MgSO_4$. Evaporation of organic layer gave a residue, which was chromatographed over SiO_2 using hexane–CHCl₃ as eluent.

Dendritic Ester [G₁]-CO₂Me (7)

Yield: 5.4 g (58%); mp 148 °C; eluent: hexane-CHCl₃ (1:1).

¹H NMR (CDCl₃): δ = 3.83 (s, 3 H), 5.11 (s, 4 H), 7.15–7.68 (m, 29 H).

¹³C NMR: δ = 52.3, 70.3, 107.2, 108.4, 125.3, 125.9, 127.2, 127.5, 128.8, 132.1, 137.4, 140.7, 142.2, 159.7, 166.7.

MS (EI): m/z = 652.

Anal. Calcd for $C_{46}H_{36}O_4$: C, 84.66; H, 5.52. Found: C, 84.03; H, 5.32.

Dendritic Ester [G₂]-CO₂Me (10)

Yield: 1.30 g (62%); mp 95–98 °C; eluent: hexane–CHCl₃ (1:2).

¹H NMR (CDCl₃): δ = 3.85 (s, 3 H), 4.99 (s, 4 H), 5.12 (s, 8 H), 7.21–7.73 (m, 61 H).

¹³C NMR: δ = 52.2, 70.1, 101.1, 106.4, 107.1, 108.3, 125.3, 127.2, 127.5, 128.7, 137.7, 138.9, 140.7, 142.1, 159.6, 160.1, 166.6.

MS (FAB): m/z = 1380.

Anal. Calcd for $C_{98}H_{76}O_8$: C, 85.21; H, 5.51. Found: C, 85.19; H, 5.48.

Synthesis of Dendritic Alcohol 8/11; General Procedure

To a solution of dendritic ester **7/10** (1.0 mmol) in anhyd THF (100 mL) was added LiAlH₄ (5.0 mmol) portionwise at r.t. The reaction mixture was stirred at reflux for 12 h and slowly poured into Na₂SO₄·10H₂O (10 g) and stirred. It was then digested on a steam bath for 20 min and filtered. The inorganic residue was further extracted (Soxhlet) with THF (100 mL). The combined organic layer on evaporation gave the corresponding alcohol.

Dendritic Alcohol [G₁]-CH₂OH (8)

Yield: 5.10 g (98%); mp 62–65 °C; eluent: CHCl₃. ¹H NMR (CDCl₃): δ = 4.42 (s, 2 H), 4.92 (s, 4 H), 7.18–7.58 (m, 29 H).

¹³C NMR: δ = 65.5, 70.1, 102.2, 108.2, 125.9, 127.2, 127.5, 128.7, 137.5, 139.8, 140.7, 142.1, 160.0.

MS (FAB): m/z = 624.

Anal. Calcd for $C_{45}H_{36}O_3$: C, 86.53; H, 5.76. Found: C, 86.43; H, 5.72.

Dendritic Alcohol [G₂]-CH₂OH (11)

Yield: 94%; mp 98–100 °C; eluent: CHCl₃.

¹H NMR (CDCl₃): δ = 4.58 (s, 2 H), 4.98 (s, 4 H), 5.14 (s, 8 H), 7.25–7.77 (m, 61 H).

¹³C NMR: δ = 65.3, 70.0, 70.2, 101.7, 105.8, 106.5, 128.4, 126.0, 127.4, 127.6, 128.9, 140.2, 160.1 160.2.

MS (FAB): m/z = 1352.

Anal. Calcd for $C_{97}H_{76}O_7$: C, 71.74; H, 5.62. Found: C, 71.68; H, 5.58.

Synthesis of Dendritic Bromide 9/12; General Procedure

To a solution of the dendritic alcohol 8/11 (1.0 mmol) in THF (30 mL), a solution of CBr₄ (2 × 1.2 mmol) and PPh₃ (2 × 1.2 mmol) in THF (20 mL) was added during 10 min. Upon complete addition, the mixture turned bright yellow and was subsequently quenched by the addition of H₂O, and extracted with CH₂Cl₂ (3 × 150 mL). The combined organic layers were dried with MgSO₄ and evaporated to

dryness and the residue was purified by column chromatography $(\mathrm{SiO}_2).$

Dendritic Bromide [G₁]-CH₂Br (9)

Yield: 2.2 g (56%); mp 142–145 °C; eluent: hexane–CHCl₃ (2:1).

¹H NMR (CDCl₃): δ = 4.41 (s, 2 H), 5.12 (s, 4 H), 7.20–7.75 (m, 29 H).

¹³C NMR: δ = 33.5, 70.1, 102.2, 108.2, 125.9, 127.2, 127.5, 128.7, 137.5, 139.8, 140.7, 142.1, 160.0.

MS (FAB): m/z = 687.

Anal. Calcd for $C_{46}H_{35}O_2Br$: C, 78.60; H, 5.09. Found: C, 78.58; H, 5.02.

Dendritic Bromide [G₂]-CH₂Br (12)

Yield: 1.25 g (43%); mp 95–98 °C; eluent: hexane–CHCl₃ (2:2).

¹H NMR (CDCl₃): δ = 4.24 (s, 2 H), 4.85 (s, 4 H), 5.03 (s, 8 H), 6.51–7.64 (m, 61 H).

 ^{13}C NMR: δ = 69.9, 70.1, 101.7, 106.4, 108.1, 125.3, 125.8, 127.2, 127.5, 128.7, 137.7, 140.7, 142.1, 160.1.

MS (FAB): *m*/*z* = 1419

Anal. Calcd for $C_{91}H_{75}O_6Br;\,C,\,82.17;\,H,\,5.29.$ Found: C, 82.10; H, 5.22.

Synthesis of Enone Based Dendrimers; General Procedure

A mixture of pentanone **6a/6b** (1.0 mmol) and the bromide **5/9/12** (2.1 mmol) was stirred with K_2CO_3 (5.0 mmol) in DMF (20 mL) at 60 °C for 48 h. The reaction mixture was then poured into H_2O and extracted with CH_2Cl_2 (3 × 150 mL). The combined organic extract was washed with brine and dried over MgSO₄. Evaporation of the organic layer gave a residue, which was chromatographed over SiO₂ using hexane–CHCl₃ to give the corresponding dendrimers.

Dendrimer 1a

Yield: 176 mg (73%); mp 88-90 °C; eluent: CHCl₃.

¹H NMR (500 MHz, CDCl₃): δ = 3.04 (s, 4 H), 5.20 (s, 4 H), 7.02–8.01 (m, 36 H).

¹³C NMR (125 MHz, CDCl₃): δ = 36.5, 70.2, 115.2, 125.3, 126.1, 127.4, 127.7, 128.9, 132.6, 140.8, 142.3, 159.7, 196.4.

MS (FAB): m/z = 808.

Anal. Calcd for $C_{57}H_{44}O_5$: C, 84.65; H, 5.44. Found: C, 84.60; H, 5.40.

Dendrimer 1b

Yield: 250 mg (70%); mp 74-78 °C; eluent: CHCl₃.

¹H NMR (500 MHz, CDCl₃): δ = 3.05 (s, 4 H), 3.91 (s, 6 H), 5.31 (s, 4 H), 7.02–8.01 (m, 34 H).

¹³C NMR (125 MHz, CDCl₃): δ = 36.5, 56.0, 71.1, 113.7, 114.1, 124.4, 125.1, 126.0, 127.4, 127.7, 128.9, 140.9, 142.3, 149.5, 196.1.

MS (FAB): m/z = 868.

Anal. Calcd for $C_{59}H_{48}O_7$: C, 81.56; H, 5.52. Found: C, 81.50; H, 5.48.

Dendrimer 2a

Yield: 128 mg (68%); mp 94–98 °C; eluent: CHCl₃.

¹H NMR (500 MHz, CDCl₃): δ = 2.98 (s, 4 H), 5.06 (s, 4 H), 5.17 (s, 8 H), 6.97–8.04 (m, 68 H).

¹³C NMR (125 MHz, CDCl₃): δ = 36.5, 70.0, 70.2, 101.8, 106.4, 115.2, 125.4, 126.0, 127.4, 127.7, 128.9, 137.8, 140.9, 142.3, 159.6, 160.3, 196.4.

MS (FAB): m/z = 1504.

Anal. Calcd for $C_{99}H_{84}O_7$: C, 85.83; H, 6.06. Found: C, 85.80; H, 6.62.

Dendrimer 2b

Yield: 110 mg (62%); mp 114–116 °C; eluent: CHCl₃.

 1H NMR (500 MHz, CDCl_3): δ = 2.98 (s, 4 H), 3.89 (s, 6 H), 5.16 (s, 4 H), 5.18 (s, 8 H), 6.88–8.02 (m, 66 H).

¹³C NMR (125 MHz, CDCl₃): δ = 36.5, 56.0, 70.2, 70.8, 101.7, 106.1, 115.2, 125.4, 126.0, 127.4, 127.6, 128.9, 137.8, 139.4, 140.9, 142.2, 149.4, 160.3, 196.4.

MS (FAB): m/z = 1384.

Anal. Calcd for $C_{101}H_{88}O_9$: C, 83.93; H, 6.09. Found: C, 83.88; H, 6.64.

Dendrimer 3a

Yield: 90 mg (44%); mp 126-130 °C; eluent: CHCl₃.

 ^1H NMR (500 MHz, CDCl_3): δ = 2.94 (s, 4 H), 4.98 (s, 4 H), 5.06 (s, 8 H), 5.17 (s, 16 H), 6.97–8.04 (m, 132 H).

¹³C NMR (125 MHz, CDCl₃): δ = 36.5, 68.1, 70.0, 70.2, 101.8, 106.4, 115.2, 125.4, 126.0, 127.4, 127.7, 128.9, 137.8, 140.9, 142.3, 159.6, 160.3, 196.4.

MS (MAL–TOF): m/z = 2960.

Anal. Calcd for $C_{213}H_{164}O_{15}$: C, 86.35; H, 5.54. Found: C, 86.30; H, 5.40.

Dendrimer 3b

Yield: 130 mg (38%); mp 136–140 °C; eluent: CHCl₃.

 1H NMR (500 MHz, CDCl_3): δ = 2.93 (s, 4 H), 3.87 (s, 6 H), 4.96 (s, 4 H), 5.06 (s, 8 H), 5.17 (s, 16 H), 6.97–8.04 (m, 130 H).

 ^{13}C NMR (125 MHz, CDCl₃): δ = 36.5, 56.0, 68.1, 70.1, 70.2, 101.7, 106.5, 114.8, 125.4, 126.0, 127.4, 127.6, 128.9, 137.8, 139.4, 140.9, 142.2, 160.2, 160.3, 196.4.

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MS (MAL–TOF): *m*/*z* = 3020.

Anal. Calcd for $C_{215}H_{168}O_{17}$: C, 85.43; H, 5.56. Found: C, 85.38; H, 5.42.

Acknowledgment

PR and KG thanks CSIR, New Delhi for financial assistance and RSIC, CDRI, Lucknow for spectral data.

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