Comparative study of CO<sub>2</sub> hydrogenation to methanol on cubic bixbyite-type and rhombohedral corundum-type indium oxide



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Bin Yang, Longtai Li, Ziye Jia, Xiping Liu, Chunjie Zhang, Limin Guo

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#### Communication

# Comparative study of CO<sub>2</sub> hydrogenation to methanol on cubic bixbyite-type and rhombohedral corundum-type indium oxide Bin Yang<sup>a</sup>, Longtai Li<sup>a</sup>, Ziye Jia<sup>a</sup>, Xiping Liu<sup>b</sup>, Chunjie Zhang<sup>b</sup>, Limin Guo<sup>a,\*</sup>

<sup>a</sup> School of Environmental Science and Engineering, Huazhong University of Science and Technology, Wuhan 430074, China <sup>b</sup> Research Institute of Air Purification Equipment, Shanxi Xinhua Chemical Co., LTD, Taiyuan 03008, China

\* Corresponding author. Tel: +86-2787792101 E-mail address: <u>lmguo@hust.edu.cn</u> (L. Guo).

#### **Graphical Abstract**



The cubic bixbyite-type  $In_2O_3$  showed higher CH<sub>3</sub>OH productivity than rhombohedral corundum-type  $In_2O_3$  due to the impressive reducibility and reactivity. Further characterization indicated the identical catalytic mechanism,  $CO_2$  can be reduced to CO through redox cycling and hydrogenation to CH<sub>3</sub>OH through formate path.

ARTICLE INFO	ABSTRACT
<i>Article history:</i> Received 15 April 2020 Received in revised form 9 May 2020 Accepted 15 May 2020 Available online	Hydrogenation of CO <sub>2</sub> to value-added chemicals has attracted much attention all through the world. In <sub>2</sub> O <sub>3</sub> with cubic bixbyite-type (denoted as c-In <sub>2</sub> O <sub>3</sub> ) is well known for its high CO <sub>2</sub> hydrogenation activity and CH <sub>3</sub> OH selectivity at high temperature. However, the other structure of In <sub>2</sub> O <sub>3</sub> with rhombohedral corundum-type (denoted as rh-In <sub>2</sub> O <sub>3</sub> ) rarely been investigated as catalyst. Herein, c-In <sub>2</sub> O <sub>3</sub> and rh-In <sub>2</sub> O <sub>3</sub> were prepared and comparatively studied for CO <sub>2</sub> hydrogenation. The results indicated that c-In <sub>2</sub> O <sub>3</sub> showed higher CO <sub>2</sub> conversion activity than rh-In <sub>2</sub> O <sub>3</sub> due to the impressive reducibility and reactivity. Whereas rh-In <sub>2</sub> O <sub>3</sub> had higher CH <sub>3</sub> OH selectivity due to weaker CH <sub>3</sub> OH and stronger CO adsorption on rh-In <sub>2</sub> O <sub>3</sub> . Although c-In <sub>2</sub> O <sub>3</sub> and rh-In <sub>2</sub> O <sub>3</sub> catalysts showed different CO <sub>2</sub> hydrogenation performance, <i>in-situ</i> diffuse reflectance infrared Fourier transform spectroscopy showed CO <sub>2</sub> can be reduced to CO through redox cycling and hydrogenation to CH <sub>3</sub> OH through formate path.
Keywords: CO <sub>2</sub> hydrogenation; In <sub>2</sub> O <sub>3</sub> Methanol Structure effect.	

 $CO_2$  emission caused by human activities results in global warming and ecological issues [1,2]. The conversion of  $CO_2$  into valueadded hydrocarbon is a promising way to eliminate  $CO_2$  [3]. Hydrogenation of  $CO_2$  to methanol (CH<sub>3</sub>OH) is one of the most promising approaches for its transformation [1,4]. Moreover, CH<sub>3</sub>OH can be directly used to synthesize olefin and high value-added hydrocarbons through zeolite catalysis [5-8]. By coupling of methanol-synthesis and methanol-to-hydrocarbons reaction with a bifunctional catalyst can realize the direct conversion of  $CO_2$  to hydrocarbon products, *e.g.* gasoline( $C_5$ - $C_{11}$ ), lower olefin( $C_2$ - $C_4$ ) and aromatic hydrocarbons through zeolites catalysts [10]. It is an essential prerequisite that the reaction temperature of methanol to hydrocarbons should be over 340 °C [9,10], which is thermodynamically restrained for methanol synthesis from  $CO_2$  and  $H_2$ . The CH<sub>3</sub>OH selectivity of conventionally Cu-based catalysts is lower than 5% over 320 °C [5,7]. Obviously, significant and effective catalysts are required for this strategy. As Oliver Martin reported, the methanol selectivity of  $In_2O_3$  with cubic bixbyite-type can be tuned up to 100% from 200 °C to 300 °C and over 25% at 340 °C, which was closed to thermodynamic limit [11,12]. Further researches showed  $In_2O_3$  had emerged as an outstanding catalytic system for  $CO_2$  hydrogenation to methanol with highly selectivity under industrially relevant conditions [12].

 $In_2O_3$  is known as a conductive transparent layer and thin-film transistors [13,14]. There are two representative crystal structures, namely cubic bixbyite-type (denoted as c-In<sub>2</sub>O<sub>3</sub>) and rhombohedral corundum-type (denoted as rh-In<sub>2</sub>O<sub>3</sub>) [14]. As the most studied structure, c-In<sub>2</sub>O<sub>3</sub> was easily lost oxygen atoms to form defective surface with oxygen vacancy [11,15,16]. These surface sites assist CO<sub>2</sub>

activation and hydrogenation by stabilizing the key reaction intermediates, such as surface bound formate (HCOO), dioxymethylene (H<sub>2</sub>COO), and H<sub>2</sub>CO species; the hydrogenation of the latter gives surface methoxy species, supposedly *via* the rate-determining step [15,17]. H<sub>2</sub> can re-generate the vacancy which is replenished during methanol formation. This catalytic cycle may play an important role on the formation of methanol from CO<sub>2</sub> [12,18,19]. Due to the excellent CH<sub>3</sub>OH selectivity of In<sub>2</sub>O<sub>3</sub>, CO<sub>2</sub> had been successfully hydrogenated to lower olefin through In<sub>2</sub>O<sub>3</sub>/SAPO-34 catalyst [10] and aromatic hydrocarbon through In<sub>2</sub>O<sub>3</sub>/HZSM-5 catalyst [14]. Except for CO<sub>2</sub> hydrogenation, c-In<sub>2</sub>O<sub>3</sub> also can be used for methanol steam reforming, water-gas shift reaction, formaldehyde reforming and so on [20,21].

However, there is little knowledge about the intrinsic adsorption and catalytic property of rh-In<sub>2</sub>O<sub>3</sub> except the electronic and structural characterization by theoretical calculations [13,22]. The prevalent scientific interest is the transition between these two phases as a case of ccp (cubic close-packed structure) to hcp (hexagonal closed-packed) transition at high-pressure and high-temperature condition [14,23,24]. As a meta-stability structure, rh-In<sub>2</sub>O<sub>3</sub> was considered to be unstable at high temperature and pressure. However, Simon Penner *et al.* found rh-In<sub>2</sub>O<sub>3</sub> remained stable over 430 °C in He or CO<sub>2</sub> atmospheres [25]. Thus stability of rh-In<sub>2</sub>O<sub>3</sub> is satisfied for most of catalysis reactions. Rh-In<sub>2</sub>O<sub>3</sub> have been proved that this nano-rhombodedra are terminated by (012) facets due to the geometric arrangement of face-sharing octahedral [26]. There is little information about the properties of surface chemistry, e.g. CO<sub>2</sub> hydrogenation activity, adsorption-desorption properties or reaction mechanisms.

Herein, the c- $In_2O_3$  and rh- $In_2O_3$  were synthesized for comparative study of  $CO_2$  hydrogenation to elucidate the structure-activity relationship. The crystal phase of catalysts was characterized by X-ray diffraction (XRD) and shown in Fig. 1a. The diffraction peaks of black line were well matched with JCPDS No. 06-0416, which can be indexed to cubic bixbyite-type structure  $In_2O_3$  (c- $In_2O_3$ ) [11]. Another diffraction pattern could be assigned to rhombohedral corundum-type (rh- $In_2O_3$ ), which was well matched with JCPDS 22-0336 [27]. There were no impurity peaks, indicating the single phase of the product. The average crystal size of c- $In_2O_3$  and rh- $In_2O_3$  calculated by Scherer equation in Fig. 1a were 19.8 and 24.5 nm, respectively.

During synthetic process, the difference between the catalysts was the solvent, *i.e.* methanol-based route for rh-In<sub>2</sub>O<sub>3</sub> and water-based route for c-In<sub>2</sub>O<sub>3</sub>. As shown in Fig. 1b, In(OH)<sub>3</sub> was formed in the water-based route and then decomposed to c-In<sub>2</sub>O<sub>3</sub> after calcination. In contrast, InOOH was formed in the methanol-based route and then rh-In<sub>2</sub>O<sub>3</sub> was obtained after thermal decomposition of InOOH. During the calcination, the decomposition of In(OH)<sub>3</sub> was accompanied by water vapor and toward the stable phase. But for methanol-based route, InOOH with the residual methanol favored a transition coordination sphere of In<sup>3+</sup> ions by avoiding fast hydrolysis and condensation, which evolved to the rhombohedral corundum-type [28,29]. The formation of precursors was critical for the successful synthesis of c-In<sub>2</sub>O<sub>3</sub> and rh-In<sub>2</sub>O<sub>3</sub>. The rh-In<sub>2</sub>O<sub>3</sub> was terminated by (012) plane and the presence of ammonia/methanol had strongly influence on the surface energy of the exposed facet [26]. However, the thermodynamically stable facet of c-In<sub>2</sub>O<sub>3</sub> (110) face with surface energy of 0.969 J/m<sup>2</sup> was formed in the presence of water [11].

As Table S1 (Supporting information) and diagrammatic sketch (Figs. 1c and d) showed,  $c-In_2O_3$  was Ia3 space group with lattice constant a = b = c = 10.126 Å and described as an oxygen-vacancy fluorite structure with  $O^{2-}$  anions missing in an ordered way [28]. There were two types of  $In^{3+}$  ions in c-In<sub>2</sub>O<sub>3</sub> located at the end of a face-diagonal and a body-diagonal, which occupied octahedral and trigonal prismatic interstices of the  $O^{2-}$  anions [28,30]. The lattice parameters of rh-In<sub>2</sub>O<sub>3</sub> with R3C space group were a = b = 5.491 Å and c = 14.526 Å. In comparison with c-In<sub>2</sub>O<sub>3</sub>, rh-In<sub>2</sub>O<sub>3</sub> showed different atomic arrangement with a rather regular octahedron, including trigonal bi-prism coordinated  $In^{3+}$  with  $O^{2-}$  anions [26]. This structural difference was mainly due to the  $O^{2-}$  anions lattice change and  $In^{3+}$  ions shifted from octahedral and trigonal prismatic to trigonal bi-prism sites.

Raman was also conducted to understand the structural difference and the result was shown in Fig. 1e. The representative Raman spectra of the indium oxides (black line) was corresponded to  $c-In_2O_3$ . The peaks at 303, 495 and 629 cm<sup>-1</sup> were associated with InO<sub>6</sub> octahedral, 133 and 363 cm<sup>-1</sup> were associated with the bending vibrations of In<sub>4</sub>O<sub>4</sub> and stretching vibrations of In-O-In [31]. Rh-In<sub>2</sub>O<sub>3</sub> also exhibited the typical Raman-active phonons. The peaks at 161, 218 and 267 cm<sup>-1</sup> were assigned to the symmetric stretching vibration of O-In-O, 377 cm<sup>-1</sup> was the symmetric bending vibration of O-In-O [31]. The other frequencies were caused by the symmetric stretching and symmetric bending vibration of O-In-O [32].





Fig. 1. Structural characterizations of c-In<sub>2</sub>O<sub>3</sub> and rh-In<sub>2</sub>O<sub>3</sub>, (a) XRD, (b) XRD of precursor before calcination. Diagrammatic sketch of c-In<sub>2</sub>O<sub>3</sub> (c) and (d) rh-In<sub>2</sub>O<sub>3</sub>, (e) Raman spectra.

Transmission electron microscopy (TEM) showed the average diameter of  $c-In_2O_3$  and  $rh-In_2O_3$  was around 17.9 nm and 24.9 nm, respectively. The summary of particle size distribution was showed in Fig. S1 (Supporting information). High resolution transmission electron microscopy (HRTEM) of  $c-In_2O_3$  (Fig. S2 in Supporting information) exhibited the characteristic spacing of 0.505, 0.417 and 0.264 nm, corresponding to the (010), (211) and (104) faces of  $c-In_2O_3$ , respectively [33]. The spacing of 0.475 and 0.221 nm of  $rh-In_2O_3$  (Fig. S2) well matched with the representative lattice distance of (211) and (222) faces of  $rh-In_2O_3$  [32]. The selected area electron diffraction patterns were presented in Fig. S3 (Supporting information). In both catalysts, the discrete spots indicated well crystallinity and the distinct diffraction rings can be ascribed to many tiny crystallites.

X-ray photoelectron spectroscopy (XPS) was used to understand the surface chemical state and the results were enclosed in Fig. S4 (Supporting information). The binding energy was calibrated using C 1s energy of 285 eV in Fig. S5. As Fig. S4 showed, the broad and symmetric peak locked at 444.6 eV and 452.2 eV were belonged to In  $3d_{5/2}$  and  $3d_{3/2}$  of  $In_2O_3$  [27,34]. Negligible binding energy value difference for both catalysts suggested similar surface chemical electronic property. O 1s core level of catalysts was composed of two components and the intensity was determined by curve fitting procedure. 530.1 eV can be assigned to lattice oxygen (O lattice) and 531.7 eV can be assigned to oxygen defect (O defect) [2]. This oxygen defects may be the sub-coordinated In atom that was activated by thermal calcination. The oxygen vacancy concentration was the fraction of surface oxygen atoms adjacent to a defect calculated from the de-convoluted O 1s XPS signal. It revealed that the oxygen vacancy amount of c-In<sub>2</sub>O<sub>3</sub> (24.5%) was more than the amount of rh-In<sub>2</sub>O<sub>3</sub> (21.2%).

The CO<sub>2</sub> hydrogenation performance was shown in Fig. 2. Both catalysts showed CO<sub>2</sub> catalytic activity and highly methanol selectivity at all tested temperatures. In addition to CH<sub>3</sub>OH formation, these catalysts also generated CO as a primary byproduct (The detailed products information was enclosed in Fig. S6 in Supporting information). However, CO<sub>2</sub> conversion over catalysts under 300 °C was lower than 5% and gradually increased with reaction temperature increasing (Fig. 2a). Meanwhile, the selectivity of CH<sub>3</sub>OH decreased and CO gradually became the dominate product, which was thermodynamically favorable with the increasing reaction temperature. In Fig. S6, the presence of CH<sub>4</sub> for c-In<sub>2</sub>O<sub>3</sub> and absence for rh-In<sub>2</sub>O<sub>3</sub> at high reaction temperature indicated the different hydrogenation ability. The hydrocarbon products of rh-In<sub>2</sub>O<sub>3</sub> was merely methanol and c-In<sub>2</sub>O<sub>3</sub> can further hydrogenate CO<sub>2</sub> to CH<sub>4</sub> at high temperature, suggesting higher hydrogenation ability of c-In<sub>2</sub>O<sub>3</sub>.

C-In<sub>2</sub>O<sub>3</sub> showed higher CO<sub>2</sub> conversion than rh-In<sub>2</sub>O<sub>3</sub>. At 360 °C (Fig. 2a), CO<sub>2</sub> conversion of c-In<sub>2</sub>O<sub>3</sub> was 17.02%, which was about three times higher than rh-In<sub>2</sub>O<sub>3</sub>. It was worthwhile mentioned that the specific surface area obtained from N<sub>2</sub>-sorption (Fig. S7 in Supporting information) was 24.8 m<sup>2</sup>/g for c-In<sub>2</sub>O<sub>3</sub> and 22.8 m<sup>2</sup>/g for rh-In<sub>2</sub>O<sub>3</sub>. The similar surface area for the two catalysts indicated that the catalytic difference was not the result of surface area. In addition, the rh-In<sub>2</sub>O<sub>3</sub> showed higher methanol selectivity than c-In<sub>2</sub>O<sub>3</sub>. At 260 °C, the methanol selectivity of both catalysts were all over 80% and decreased gradually with the temperature increasing. Especially at 340 °C, the methanol selectivity of rh-In<sub>2</sub>O<sub>3</sub> maintained approximate 30%. But the value of c-In<sub>2</sub>O<sub>3</sub> was only 20%. As Table S2 showed, the two catalytic processes of methanol synthesis and methanol to hydrocarbon can combined into one integrated process and directly hydrocarbon molecule from CO<sub>2</sub> and H<sub>2</sub>, which need >30% methanol selectivity at the optimized temperature over 340 °C [21]. Thus, both c-In<sub>2</sub>O<sub>3</sub> and rh-In<sub>2</sub>O<sub>3</sub> showed wide temperature range for high methanol selectivity and promising catalysts for hydrocarbon production coupling with zeolite catalysts. The apparent activation energy for CO<sub>2</sub> conversion was 55.33 and 55.39 kJ/mol over c-In<sub>2</sub>O<sub>3</sub> and rh-In<sub>2</sub>O<sub>3</sub>, respectively in Fig. 2b. The quite close activation energy may indicate the same CO<sub>2</sub> hydrogenation mechanism, which was further confirmed by the following results of *in-situ* DRIFTS.

The space-time yield (STY) of methanol over the catalysts was also shown in Fig. 2c.  $C-In_2O_3$  generated about 3.0 mmol·g<sup>-1</sup>·h<sup>-1</sup> CH<sub>3</sub>OH at 340 °C, which was higher than 1.8 mmol·g<sup>-1</sup> h<sup>-1</sup> of rh-In<sub>2</sub>O<sub>3</sub>. The stability of both catalysts showed slight deactivation after 12 h reaction on stream. The XRD patterns of used catalysts was shown in Fig. S8 (Supporting information). In compared with Fig. 1, the intensities of peaks in both catalysts were decreased. However, the diffraction peaks of both samples were still sharp and intense, indicating their highly crystalline nature. No impurity peaks were observed, confirming the high purity of the used catalysts. Moreover, the transformation between c-In<sub>2</sub>O<sub>3</sub> and rh-In<sub>2</sub>O<sub>3</sub> was not observed, which showed the structural stability under reaction condition. Moreover, the influence of experimental conditions on CH<sub>3</sub>OH selectivity was also evaluated (Figs. 2d and e), which showed higher gas

hourly space velocity (GHSV) and pressure were beneficial for  $CH_3OH$  selectivity. And  $rh-In_2O_3$  had higher  $CH_3OH$  selectivity during all experimental conditions.



**Fig. 2.** Catalytic performance of the catalysts. (a) catalytic performance at the reaction temperatures from 260 °C to 360 °C with  $H_2/CO_2 = 4/1$ , 4 MPa, and 16,000 mL g<sup>-1</sup>·h<sup>-1</sup>. (b) Arrhenius plot for CO<sub>2</sub> conversion. (c) Evolution of the methanol STY with time on stream. (d) The effect of pressure on CO<sub>2</sub> hydrogenation. The reaction condition: 340 °C,  $H_2/CO_2 = 4/1$  and 16,000 mL· g<sup>-1</sup>·h<sup>-1</sup>. (e) The effect of GHSV on CO<sub>2</sub> hydrogenation. The reaction condition: 340 °C,  $H_2/CO_2 = 4/1$  and 16,000 mL· g<sup>-1</sup>·h<sup>-1</sup>. (e) The effect of GHSV on CO<sub>2</sub> hydrogenation. The reaction condition: 340 °C,  $H_2/CO_2 = 4/1$  and 16,000 mL· g<sup>-1</sup>·h<sup>-1</sup>. (e) The effect of GHSV on CO<sub>2</sub> hydrogenation. The reaction condition: 340 °C,  $H_2/CO_2 = 4/1$  and 16,000 mL· g<sup>-1</sup>·h<sup>-1</sup>. (e) The effect of GHSV on CO<sub>2</sub> hydrogenation.

Temperature-programmed reduction (TPR) in hydrogen (H<sub>2</sub>-TPR) was carried out to understand the reducibility of catalysts and the results were shown in Fig. 3a. Prior to the bulk reduction into metallic indium over 580 °C (c-In<sub>2</sub>O<sub>3</sub>) or 626 °C (rh-In<sub>2</sub>O<sub>3</sub>), there was one primary reduction peak [11,17]. The diverse reduction peak between c-In<sub>2</sub>O<sub>3</sub> (212 °C) and rh-In<sub>2</sub>O<sub>3</sub> (307 °C) was determined by the reducible oxygen atoms and exposing facets [35]. The reduction peak of rh-In<sub>2</sub>O<sub>3</sub> mainly was associated with lattice oxygen atoms from (012) planes [27]. The reduction peak of c-In<sub>2</sub>O<sub>3</sub> at 212 °C was associated with oxygen reduction from (110) planes [22,23]. Oxygen vacancy in In<sub>2</sub>O<sub>3</sub>-based catalysts was considered to be the active sites for CO<sub>2</sub> hydrogenation [11]. TPR profiles suggested that the oxygen of c-In<sub>2</sub>O<sub>3</sub> was more active and easy to release than rh-In<sub>2</sub>O<sub>3</sub>. Thus, oxygen vacancy was easily generated in c-In<sub>2</sub>O<sub>3</sub>, which was linked with the CO<sub>2</sub> catalytic activity.

Temperature-programmed desorption (TPD) of CO<sub>2</sub> (CO<sub>2</sub>-TPD) in Fig. 3b was used to understand the interaction between CO<sub>2</sub> and In<sub>2</sub>O<sub>3</sub>. CO<sub>2</sub> adsorbed as bicarbonate bridging two In atoms around oxygen vacancy sites and carbonate formed over oxygen atom [11,15,16,19]. Aside from the peak of physically adsorbed CO<sub>2</sub> appeared at approximately 100 °C, two additional peaks were observed in both catalysts. The desorption peaks at approximate 379 and 454 °C in c-In<sub>2</sub>O<sub>3</sub> can be assigned to bicarbonate and carbonate, respectively. *In-situ* DRIFTS later found the transformation from bicarbonate to carbonate during heating-up. CO<sub>2</sub> desorption peaks of rh-In<sub>2</sub>O<sub>3</sub> were appeared at 275 and 325 °C. Lower desorption temperature of rh-In<sub>2</sub>O<sub>3</sub> suggested weaker basicity and interaction between CO<sub>2</sub> and rh-In<sub>2</sub>O<sub>3</sub> than c-In<sub>2</sub>O<sub>3</sub>. Moreover, c-In<sub>2</sub>O<sub>3</sub> with a large CO<sub>2</sub> desorption area featured higher density of adsorption sites. This strong and high density of basicity sites of c-In<sub>2</sub>O<sub>3</sub> was conducive to enhance the CO<sub>2</sub> conversion.

The reason influenced the products selectivity can be explored by TPD experiment by using the main byproducts (CH<sub>3</sub>OH and CO) as adsorbates 33. Before the TPD measurements, the samples were treated at 300 °C and then cooling to room temperature. CH<sub>3</sub>OH was introduced through Ar bubbling at 60 °C and the adsorption procedure was last 2 h. As Fig. 3c showed, CH<sub>3</sub>OH desorbed from rh-In<sub>2</sub>O<sub>3</sub> was more difficult than c-In<sub>2</sub>O<sub>3</sub> (peak at 384 °C versus 250 °C), suggesting stronger CH<sub>3</sub>OH adsorption ability of rh-In<sub>2</sub>O<sub>3</sub>. In addition, the methanol adsorption area over rh-In<sub>2</sub>O<sub>3</sub> was far larger than that of c-In<sub>2</sub>O<sub>3</sub>, which showed the more adsorptive sites for CH<sub>3</sub>OH over rh-In<sub>2</sub>O<sub>3</sub>.

CO-TPD result was shown in Fig. 3d. c-In<sub>2</sub>O<sub>3</sub> showed weak interaction with CO due to the absence of chemical desorption peak at high temperature except the physical desorption peak at about 100 °C. For rh-In<sub>2</sub>O<sub>3</sub>, there was a width desorption peak at about 432 °C aside from the physical adsorption peak. CO<sub>2</sub> hydrogenation experiments indicated higher CH<sub>3</sub>OH selectivity of rh-In<sub>2</sub>O<sub>3</sub> than c-In<sub>2</sub>O<sub>3</sub>, which could be partially explained by the results of by-products TPD experiment. For c-In<sub>2</sub>O<sub>3</sub>, CO was more easily desorbed from the surface than CH<sub>3</sub>OH due to the weaker interaction between CO and c-In<sub>2</sub>O<sub>3</sub>. The rh-In<sub>2</sub>O<sub>3</sub> showed CH<sub>3</sub>OH desorbed at a lower temperature (384 °C and showed in Fig. 3c) than CO (432 °C and showed in Fig. 3d), which may be beneficial for CH<sub>3</sub>OH selectivity improvement over rh-In<sub>2</sub>O<sub>3</sub>.



Fig. 3. Temperature programmed reduction and desorption of c-In<sub>2</sub>O<sub>3</sub> and rh-In<sub>2</sub>O<sub>3</sub>. (a) H<sub>2</sub>-TPR, (b) CO<sub>2</sub>-TPD, (c) CH<sub>3</sub>OH-TPD and (d) CO-TPD.

To further investigate the interaction between CO<sub>2</sub> and catalysts, *in-situ* DRIFTS experiment of CO<sub>2</sub> adsorption were carried out. It represented the characteristic peaks of adsorbed CO<sub>2</sub> on the surface at 40 °C and reaction intermediates along with the temperature increasing. There were three strong peaks located at 1356, 1420,1649 cm<sup>-1</sup> and a weak peak at 1227 cm<sup>-1</sup> (Fig. 4a and Table S3 in Supporting information). The intensity of these peaks gradually strengthened after 30 min exposure. In detail, the peak at 1649, 1420 and 1227 cm<sup>-1</sup> could be identified as asymmetric stretching vibration  $v_{as}$  (O-C-O), bending vibration  $\delta$ (OH) and symmetric stretching vibration  $v_s$ (O-C-O) of bicarbonate species (HCO<sub>3</sub><sup>-1</sup>) (as summarized in Table S1), respectively [36]. The other one at 1356 cm<sup>-1</sup> can be assigned to  $v_s$ (O-C-O) of carbonate (CO<sub>3</sub><sup>2-</sup>) species and  $v_{as}$ (O-C-O) of carbonate may hide or overlap in the peak shoulder of bicarbonate species (1400-1500 cm<sup>-1</sup>). The surface of In<sub>2</sub>O<sub>3</sub> contained lots of hydroxyl (-OH group) and easily reacted with CO<sub>2</sub> to form bicarbonate species as Scheme S1 (Supporting information) briefly illustrated [15,37].

Once reached 150 °C, it can be clearly seen that the vibration of bicarbonate weakened and the vibration of carbonate strengthened due to the  $v_{as}$ (O-C-O) at 1501 cm<sup>-1</sup> of carbonate appeared. This transformation meant bicarbonate turned to carbonate and was significantly enhanced until bicarbonate disappeared as the temperature up to 300 °C. Meanwhile, a new peak at 2077 cm<sup>-1</sup> that assigned to adsorbed CO species appeared [25]. This CO species suggested CO<sub>2</sub> can be directly reduced to CO by In<sub>2</sub>O<sub>3</sub>. This result was consistent with the Density Functional Theory (DFT) simulation of previous reports, which indicated CO<sub>2</sub> reacted with -OH on the In<sub>2</sub>O<sub>3</sub> surface to form a bicarbonate specie [15,16]. CO<sub>2</sub> gradually turned to CO and leaved O atom quenching the oxygen vacancy, which could be regenerated by hydrogen or thermal treatment, as the temperature increased. The similar adsorption and transformation phenomena could be also found in rh-In<sub>2</sub>O<sub>3</sub> (Fig. 4b and Table S3). It was worthwhile mentioned that the intensity of carbonate for rh-In<sub>2</sub>O<sub>3</sub> was much lower and even disappeared at 300 °C compared to c-In<sub>2</sub>O<sub>3</sub>, which was consistent with the weak interaction between CO<sub>2</sub> and rh-In<sub>2</sub>O<sub>3</sub> resulted from CO<sub>2</sub>-TPD experiments.

There was an urgent need but it was a significant challenge to directly study the reaction between H<sub>2</sub> or CO<sub>2</sub>/H<sub>2</sub> and In<sub>2</sub>O<sub>3</sub> by *in-situ* DRIFTS. Because the interaction of In<sub>2</sub>O<sub>3</sub> with H<sub>2</sub> strongly decreased the IR diffuse reflection and disturbed the surface stability (Fig. S9 in Supporting information), which prevented the reliable study by *in situ* DRIFTS [11,38,39]. Thus, the indirect strategy of HCOOH reaction was usually adopted instead. The mechanism of methanol synthesis from CO<sub>2</sub> hydrogenation on the In<sub>2</sub>O<sub>3</sub> surface was formate-path as the previous reports, which meant CO<sub>2</sub> firstly hydrogenation to formate (HCOO<sup>-</sup>) and subsequently to methoxy and CH<sub>3</sub>OH [11,15,16,33]. The interaction of formate and catalysts can be used to indirectly testify CO<sub>2</sub> hydrogenation mechanism.

After treatment with formic acid and Ar sweeping at 40 °C, c-In<sub>2</sub>O<sub>3</sub> showed typical stretching vibration of formate species in Fig. 4c. The characteristic absorption bands at 1619, 1563, 1384 and 1359 cm<sup>-1</sup> can be assigned to formate [40]. The band at 1359 cm<sup>-1</sup> was  $v_s$  (O-C-O) vibration and 1384 cm<sup>-1</sup> was  $\delta$  (CH) characteristic vibration of formate species [40]. 1619 and 1563 cm<sup>-1</sup> were  $v_{as}$  (O-C-O) vibration which indicated different adsorption sections of formate species, *i.e.* 1619 cm<sup>-1</sup> was characteristic of the vibration of unsymmetrical formate and 1563 cm<sup>-1</sup> was the symmetrical bidentate formate [40], as illustrated in Scheme S2 (Supporting information).

Further investigation explored the temperature dependence of the surface coverage with unsymmetrical and symmetrical bidentate formate species. At 100 °C, the adsorption bands of unsymmetrical formate species at 1619 cm<sup>-1</sup> decreased. On the contrary, the symmetrical bidentate formate species at 1563 cm<sup>-1</sup> enhanced. This opposite change for these formates on the surface could be indicative of the transformation of unsymmetrical to symmetrical bidentate formate species. The unsymmetrical formate was metastable state and easily changed to symmetrical bidentate formate under thermal treatment [41].

When temperature increased to 200 °C, the unsymmetrical bidentate formate became obscured and symmetrical bidentate formate remained stable. The band at 1171 cm<sup>-1</sup> can be assigned to v(C-O) of methoxy (CH<sub>3</sub>O) species, 1730 cm<sup>-1</sup> assigned to v(C=O) vibration

of aldehyde and the other two bands between 2300-2400 assigned to  $CO_2$  gas phase [42,43]. These new bands became stronger and the HCOO species vanished as the temperature increased to 250 °C. These new species suggested the formate transformation on c-In<sub>2</sub>O<sub>3</sub>, which contained decomposition and hydrogenation reaction. Aldehyde and carbon dioxide were decomposed from formate species. The hydrogen was generated from the reaction between In-H and proton of formic acid gas (Scheme S2) [44]. Methoxyl, which was apparently as the precursor of methanol, may come from the hydrogenation of HCOO species.

As for rh-In<sub>2</sub>O<sub>3</sub>, it was easily found the bands were similar to c-In<sub>2</sub>O<sub>3</sub> in spite of some peaks shift in Fig. 4d. The peak position of all bands were summarized in Table S4 (Supporting information). At 250 °C, the formate species on rh-In<sub>2</sub>O<sub>3</sub> was completely disappeared and even formed the negative spikes, suggesting that formic acid was easily decomposed over rh-In<sub>2</sub>O<sub>3</sub>. Overall, the oxygen vacancy on the In<sub>2</sub>O<sub>3</sub> surface assists CO<sub>2</sub> activation and hydrogenation and also stabilized the key intermediates involved in methanol formation. In addition, methanol formation replenishes the oxygen vacancy sites whereas H<sub>2</sub> helps to generate the vacancies. The cycle between the perfect and defective states of the surface catalyzes the formation of methanol from CO<sub>2</sub> hydrogenation [15,16].



Fig. 4. *In-situ* DRIFTS spectra of CO<sub>2</sub> adsorption and conversion over the catalysts, (a) c-In<sub>2</sub>O<sub>3</sub> and (b) rh-In<sub>2</sub>O<sub>3</sub>. HCOOH adsorption and conversion over the catalysts, (c) c-In<sub>2</sub>O<sub>3</sub> and (d) rh-In<sub>2</sub>O<sub>3</sub>

 $In_2O_3$  showed significant advantage in CH<sub>3</sub>OH synthesis at high temperature. The active sites of  $In_2O_3$  catalyst was ascribed to oxygen vacancy sites under reaction conditions. These surface sites assisted CO<sub>2</sub> activation and hydrogenation by stabilizing the key reaction intermediates, such as surface bound formate (HCOO), and further hydrogenation to CH<sub>3</sub>OH. This mechanism suggested that oxygen vacancy sites could be replenished during CO<sub>2</sub> hydrogenation. As a reducible oxide,  $In_2O_3$  was different from conventional binary or ternary metal-metal oxide catalysts. CO<sub>2</sub> activation, H<sub>2</sub> activation and CH<sub>3</sub>OH synthesis can be completed by  $In_2O_3$ , not the combination or interface of metal-metal oxide catalysts. This feature successfully restrained the CO formation and enhanced the CH<sub>3</sub>OH selectivity. Both c-In<sub>2</sub>O<sub>3</sub> and rh-In<sub>2</sub>O<sub>3</sub> catalysts showed catalytic activity and thermal stability at high reaction temperature. And the activation energy and reaction mechanism of CO<sub>2</sub> hydrogenation was identical. The results of *in-situ* DRIFTS showed CO can be directly generated from CO<sub>2</sub> on the surface of  $In_2O_3$ . This redox reaction was not sustainable because the oxygen vacancy was gradually vanished by CO<sub>2</sub>. So the cyclic creation and annihilation of oxygen vacancy was necessary for the continuous methanol formation from CO<sub>2</sub> hydrogenation. The intermediate formate was used to indirectly explore the reaction mechanism, which showed formate can decompose to CO<sub>2</sub> and

hydrogenate to  $CH_3OH$ . Meanwhile, the absence of CO signal may be ascribed to its very low concentration, indicating the generation of CO from formate can be well restrained on  $In_2O_3$ . The oxygen vacancy on the  $In_2O_3$  were beneficial for  $CO_2$  activation and stabilization of the key intermediates.  $CH_3OH$  generation replenished the oxygen vacancy and  $H_2$  regenerated the vacancy to achieve the catalytic cycle. Thus, the generation of CO and  $CH_3OH$  on the surface of  $In_2O_3$  can separate to two pathways that redox-path reaction from  $CO_2$ to CO and formate-path reaction from  $CO_2$  to  $CH_3OH$ .  $CO_2$  and  $H_2$  favored to form formate and then hydrogenation to  $CH_3OH$  on the surface of  $In_2O_3$ , suggesting the high  $CH_3OH$  selectivity.

However, due to the thermodynamic restrictions, CO still was the main product at high temperature. With the higher reducibility and basicity,  $c-In_2O_3$  showed higher CO<sub>2</sub> conversion and CH<sub>3</sub>OH productivity than rh-In<sub>2</sub>O<sub>3</sub>. And the adsorbed CH<sub>3</sub>OH molecules desorbed at lower temperature than that of the adsorbed CO molecules on rh-In<sub>2</sub>O<sub>3</sub>. In contrast, CO was more easily desorbed from the surface than CH<sub>3</sub>OH on  $c-In_2O_3$ . So the rh-In<sub>2</sub>O<sub>3</sub> showed higher CH<sub>3</sub>OH selectivity during CO<sub>2</sub> hydrogenation compared with the  $c-In_2O_3$ .

Above all, the comparative study of  $c-In_2O_3$  and  $rh-In_2O_3$  for  $CO_2$  hydrogenation was carried out.  $C-In_2O_3$  showed higher  $CO_2$  conversion activity than  $rh-In_2O_3$ , which was ascribed to the higher reducibility and basicity within  $c-In_2O_3$  and associated with the generation of the oxygen vacancy.  $rh-In_2O_3$  showed higher methanol selectivity than  $c-In_2O_3$ . Although different structures showed different  $CO_2$  hydrogenation performance, the hydrogenation mechanism was identical for the two catalysts.  $CO_2$  can be directly reduced to CO through redox mechanism and  $CO_2$  hydrogenation to  $CH_3OH$  was through formate-path. This work demonstrated the fundamental understanding of the structure-activity relationship for  $rh-In_2O_3$  and  $c-In_2O_3$  catalysts and offered some hint for superior catalytic system for  $CO_2$  hydrogenation with high  $CH_3OH$  selectivity over 340 °C.

#### **Declaration of interests**

 $\square$  The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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