Syntheses of 3,4-Benzotropolones by Ring-Closing Metatheses

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Deniz Arican and Reinhard Brückner*

Institut für Organische Chemie, Albert-Ludwigs-Universität, Albertstraße 21, 79104 Freiburg im Breisgau, Germany

reinhard.brueckner@organik.chemie.uni-freiburg.de

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Ortho-lithiated styrenes or *ortho*-lithiated benzaldehyde dimethyl acetals were added to 2,2-dimethoxypent-4-enals 7. The resulting alcohols were carried on to the aromatic dienones 10. These were ring-closed by olefin metathesis. Hydrolysis of the dimethyl ketal moiety and enolization provided the 3,4-benzotropolones 5. Overall, this access comprises 4-6 steps and totaled a 22-81% yield.

In 1945 Dewar deduced the correct structure of the fungal metabolite stipitatic acid (Figure 1) and named its hydroxycycloheptatrienone core tropolone (1).¹ This assignment was confirmed by Todd et al.² The latter also demonstrated that the mold product puberulic acid is hydroxystipitatic acid.³ β -Thujaplicin was described as a naturally occurring tropolone at that time as well.⁴ Figure 1 shows stipitatic and puberulic acid as single tautomers arbitrarily, and β -thujaplicin is depicted as a mixture of tautomers,⁵ because tropolone tautomerizes quickly.⁶

Benzannulation to the tropolone scaffold gives rise to 3,4- (3) and 5,6-benzotropolones (4) but not to 6,7- (*tautom*-3) or 4,5-benzotropolones (*tautom*-4). The latter gain a Clar electron sextet⁷ if they isomerize to give the former. Only a few 5,6-benzotropolones (4) occur in nature,⁸

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(b) Kabouche, A.; Boutaghane, N.; Kabouche, Z.; Seguin, E.; Tillequin, F.; Benlabed, K. *Fitoterapia* **2005**, *76*, 450–452 (*CAN* 144:167135). (c) Seephonkai, P.; Sangdee, A.; Bunchalee, P.; Pyne, S. G. J. Nat. Prod. **2009**, *72*, 1892–1894.



Figure 1. Tropolones (red), 3,4-benzotropolones (blue), and 6,7-(*tautom*-3), 4,5- (4), and 5,6-benzotropolone (*tautom*-4).

but various 3,4-benzotropolones (**3**) do, e.g. purpurogallin⁹ (Figure 1). In nature 3,4-benzotropolones are found in plants¹⁰ and fungi.¹¹ In industry 3,4-benzotropolones have gained patent protection as antimicrobial, antiretroviral, and

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⁽⁵⁾ Two phenols cocrystallized with a given tautomer of β -thujaplicin,

and a third phenol cocrystallized with the other tautomer: Tanaka, K.; Nagahiro, R.; Ohba, S.; Eishima, M. *Tetrahedron Lett.* **2001**, *42*, 925–929.

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⁽⁹⁾ Barltrop, J. A.; Nicholson, J. S. J. Chem. Soc. 1948, 116-120.

⁽¹⁰⁾ For example: (a) Watanabe, N.; Sekine, T.; Takagi, M.; Iwasaki, J.-i.; Imamoto, N.; Kawasaki, H.; Osada, H. *J. Biol. Chem.* 2009, 284, 2344–2353. (b) Mesa-Siverio, D.; Estévez-Braun, A.; Ravelo, Á. G.; Murguia, J. R.; Rodríguez-Afonso, A. *Eur. J. Org. Chem.* 2003, 4243–4247.

⁽¹¹⁾ For example: (a) Kerschensteiner, L.; Löbermann, F.; Steglich, W.; Trauner, D. *Tetrahedron* **2011**, *67*, 1536–1539. (b) Klostermeyer, D.; Knops, L.; Sindlinger, T.; Polborn, K.; Steglich, W. *Eur. J. Org. Chem.* **2000**, 603–609.

Scheme 1. Our Retrosynthetic Analysis of 3,4-Benzotropolones



antiobesity agents, for stabilizing household, cosmetic, and nutritional products, and as UV-absorbers in sunscreens.¹² Several 3,4-benzotropolones inhibit a regulator of our immune system.¹³

A one-step synthesis of 3,4-benzotropolones from catechols and pyrogallols has been known since the 19th century.¹⁴ It is still being used¹⁵ but entails limited variability of the substitution pattern. Multistep routes to 3, 4-benzotropolone comprise the functionalization of benzocycloheptenones¹⁶ and ring expansions.¹⁷ A route to ether-annulated 3,4-benzotropolones by an intramolecular 1,3-dipolar cycloaddition¹⁸ was extended to making polycyclic 3,4-benzotropolones by hetero-Diels– Alder reactions.¹⁹

In our retrosynthetic analysis (Scheme 1) we perceived 3,4-benzotropolones **5** as thermodynamically favored enol tautomers *enol*-**5** of much less stable 1,2-diketones *keto*-**5**.

(13) (a) Cheng, K.; Wang, X.; Zhang, S.; Yin, H. Angew. Chem., Int. Ed. 2012, 51, 12246–12249.

(14) (a) First chemical synthesis of purpurogallin (Figure 1): Girard,
M. A. C. R. Hebd. Séances Acad. Sci. 1869, 69, 865–868. (b) First enzymatic synthesis of purpurogallin: Bertrand, M. G. C. R. Hebd. Séances Acad. Sci. 1895, 120, 267–269. Isolations of analogues of the putative 1,9-dihydroxy-4a,5-dihydro-9H-5,9-methanobenzocycloheptene-2,8,10-trione and 3,4, 5-trihydroxy-5,9-dihydro-5,9-methanobenzocycloheptene-6,10-dione intermediates, respectively: (c) Dürckheimer, W.; Paulus, E. F. Angew. Chem., Int. Ed. Engl. 1985, 24, 224–225. (d) Yanase, E.; Sawaki, K.; Nakatsuka, S.-i. Synlett 2005, 17, 2661–2663.

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(16) (a) Synthesis of **3**: Cook, J. W.; Somerville, A. R. *Nature* **1949**, *163*, 410–410. (b) Recent example: Daştan, A.; Güney, M.; Balci, M. *Helv. Chim. Acta* **2005**, *88*, 830–838.

(17) For example: (a) Fukui, N.; Ohmori, K.; Suzuki, K. *Helv. Chim. Acta* **2012**, *95*, 2194–2217. (b) Iwaya, K.; Tamura, M.; Nakamura, M.; Hasegawa, E. *Tetrahedron Lett.* **2003**, *44*, 9317–9320.

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Scheme 2. Syntheses of 2,2-Dimethoxypent-4-enals 7a and b



Accordingly, a synthetic plan targeting diketones *keto*-5 should conclude with 3,4-benzotropolones *enol*-5. We traced back these diketones *keto*-5 to their monoketals 6. Constituting cycloheptenes of sorts we envisaged accessing them by ring-closing metatheses ("RCM") of benzannulated dienes 10. The latter are aromatic ketones. This indicated that 10 could stem from the acylation²⁰—or an equivalent hydroxyalkylation/oxidation sequence starting with the incorporation of 2,2-dimethoxypent-4-enals 7—of *ortho*-metalated styrenes or *ortho*-metalated precursors of styrenes. Such reagents seemed accessible from *ortho*-bromostyrenes 8 (by Br/Li exchange) or benzaldehyde dimethyl acetals 9 (by *ortho*-lithiation), respectively.

Our syntheses of 2,2-dimethoxypent-4-enals **7a** and **b** began with the dimethoxyacetate **11** (available from glyoxylic acid in one step;²¹ Scheme 2). Allylating the **11**-enolate by modifying the procedure from Conia et al.²² delivered the ester **12a** in 74% yield (ref 22, 50%). Methallylating the **11**-enolate analogously furnished ester **12b** readily. Esters **12a** and **b** were reduced with *i*Bu₂AlH²³ to provide aldehydes **7a** (74% yield) and **b** (95%).





A Br/Li exchange reaction of *ortho*-bromostyrene (8a) followed by the addition of aldehyde $7a^{24}$ gave the benzylic alcohol 13a (Scheme 3). Oxidation with Dess-Martin periodinane²⁵ led to the benzannulated dienone 10a. In the presence of 1 mol % of the second generation Grubbs

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⁽¹⁸⁾ Plüg, C.; Friedrichsen, W.; Debaerdemaeker, T. J. Prakt. Chem. 1997, 339, 205–216.

⁽²⁰⁾ Attempted acylations of *ortho*-lithiostyrene (obtained from bromostyrene **8a** like in step 1 of Scheme 3) or 2-lithio-3,4-dimethoxybenzaldehyde dimethyl acetal (obtained from acetal **9a** like in step 1 of Scheme 5) failed with ester **12a** or the corresponding Weinreb amide. They also did not proceed cleanly with the corresponding acid chloride.

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⁽²²⁾ Huet, F.; Pellet, M.; Conia, J. M. Synthesis 1979, 33-34.

⁽²³⁾ Reduction protocol: Trost, B. M.; Fettes, A.; Shireman, B. T. J. Am. Chem. Soc. 2004, 126, 2660–2661.

catalyst²⁶ ("Grubbs II catalyst") the benzocycloheptadienedione monoketal **6a** resulted in nearly quantitative yield. Hydrolysis of **6a** with excess *p*TsOH in aqueous acetonitrile required heating at 75 °C for 4 h. This sluggishness reflects the destabilization of the carboxonium ion, which precedes the hemiacetal intermediate, through the benzoyl group. Completion of hydrolysis and keto–enol tautomerism furnished the unsubstituted 3,4-benzotropolone **5a**. Altogether our synthesis comprised four steps and gave 71% of **5a**. This resembles the best previous synthesis of **5a**, which required four steps as well and totaled a 68% yield.^{16b}

Scheme 4. Synthesis of 3,4-Benzotropolones 5b-d: Embellishing the *ortho*-Lithiostyrene Approach of Scheme 3,^{*a*}



We employed the strategy of Scheme 3 for synthesizing the substituted benzotropolones 5b-d (Scheme 4). None of them nor their core 5a is substituted such that it could be reached by the mentioned¹⁴ co-oxidation of a catechol and a pyrogallol. By subjecting the *ortho*-bromostyrenes $8b^{27}$ -d to Br/Li-exchange reactions, adding aldehyde 7a, and oxidizing the resulting carbinols without prior purification by the Dess-Martin reagent²⁵ rendered the benzannulated dienones 10b-d in 39%, 59%, and 54% yield, respectively. Dienone 10b needed 3 mol % Grubbs II catalyst and 90 °C (9 h) for an effective ring closure to the benzocycloheptadienedione monoketal 10b (93% yield). Acidic hydrolysis completed the unprecedented benzotropolone **5b** in 32% yield from styrene **8b**. In the presence of 2 mol % Grubbs II catalyst²⁶ the dienones **10c** and **d** ring-closed at 110 °C (3 h) and 100 °C (90 min), whereupon the respective hydrolyses provided the known²⁸ benzotropolone **5c** (45% overall yield) and the hitherto unknown benzotropolone **5d** (52% overall yield). In the latter the RCM had established a trisubstituted C=C bond.

Scheme 5. Accessing Benzotropolone 5e Upon *Ortho*-Lithiation of the Benzaldehyde Dimethyl Acetal $9a^{a}$



^{*a*} Prepared from $Ph_3MeP^{\oplus} Br^{\Theta}$ and sodium hexamethyldisilazide. ^{*b*} From **9a**.

The sequence in Scheme 5 shows a modified entry into our benzotropolone synthesis. It warrants consideration when proceeding similarly to Scheme 3 or 4 would require an ortho-bromostyrene substrate, which is neither commercially available nor readily synthesized. In the first step benzaldehyde dimethyl acetal $9a^{29}$ and *n*-BuLi gave an ortho-lithioacetal, which was added to the aldehyde 7b. The resulting benzylic alcohol 13e was oxidized with the Dess-Martin reagent²⁵ in the presence of pyridine.³⁰ Hydrochloric acid selectively cleaved the benzylic acetal of the crude product, furnishing ketoaldehyde 14e (71% yield from 9a). 14e was dimethylenated under "salt-free" Wittig conditions, providing 78% of the benzannulated dienone 10e. This substrate required the harshest RCM conditions of the present study: Within 7 h at 100 °C, 5 mol % of the Grubbs II catalyst²⁶ led to the bicyclic monoketal **10e** in 91% yield. Hydrolysis afforded the benzotropolone 5e (49% overall yield for the five steps).

The benzaldehyde dimethyl acetals $9a^{29}$ and b^{29} were the starting materials for the benzotropolone syntheses in Scheme 6. Following the course of our proof-of-principle sequence $9a \rightarrow 10e$ (Scheme 5) we advanced to the

⁽²⁴⁾ Recent Br/Li exchanges in *ortho*-bromostyrenes with ensuing hydroxyalkylations: (a) Snyder, S. A.; Sherwood, T. C.; Ross, A. G. *Angew. Chem., Int. Ed.* **2010**, *49*, 5146–5150. (b) Snyder, S. A.; Breazzano, S. P.; Ross, A. G.; Lin, Y.; Zografos, A. L. J. Am. Chem. Soc. **2009**, *131*, 1753–1765. (c) Kim, J.; Li, H. B.; Rosenthal, A. S.; Sang, D.; Shapiro, T. A.; Bachi, M. D.; Posner, G. H. *Tetrahedron* **2006**, *62*, 4120–4127. (d) Tietze, L. F.; Stewart, S. G.; Polomska, M. E.; Modi, A.; Zeeck, A. Chem.—Eur. J. **2004**, *10*, 5233–5242.

⁽²⁵⁾ Dess, D. B.; Martin, J. C. J. Am. Chem. Soc. 1991, 113, 7277–7287.
(26) [1,3-Bis-(2,4,6-trimethylphenyl)-2-imidazolidinylidene]dichloro-(phenylmethylene)(tricyclohexylphosphane)ruthenium.

⁽²⁷⁾ **8b** had been obtained from 2-bromo-3,5-dimethoxybenzaldehyde: Broering, T. J.; Morrow, G. W. Synth. Commun. **1999**, 29, 1135–

^{1142.} However, we made 8b differently (see Supporting Information).

⁽²⁸⁾ **5c** was synthesized in 3 steps and 7% total yield by: Barltrop, J. A.; Johnson, A. J.; Meakins, G. D. J. Chem. Soc. **1951**, 181–185.

⁽²⁹⁾ Obtained by acetalization of the corresponding aldehyde as described by: Napolitano, E.; Giannone, E.; Fiaschi, R.; Marsili, A. J. Org. Chem. **1983**, *48*, 3653–3657.

⁽³⁰⁾ When the base was absent the benzylic acetal hydrolyzed partially. Thereupon the OH and CH=O groups combined forming a lactol, which was inert to the oxidant. The same kind of lactolization thwarted our attempts of *ortho*-lithiating benzaldehydes rather than benzaldehydedimethyl acetals by Comins in situ protection/*ortho*-lithiation strategy (Comins, D. L. *Synlett* **1992**, 615–625).

⁽³¹⁾ The dimethylenation of ketoaldehyde **14g** giving dienone **10g** afforded a 92% yield only under "salt-free" conditions. The ylide resulting from MePh₃P^{\oplus} Br^{\oplus} and *n*-BuLi gave **10g** in 45% yield at best.

Scheme 6. Benzotropolone Syntheses Based on the *ortho*-Lithioacetal Approach of Scheme 5 (a Non-Hydrolytic Ketoketal Cleavage of $6g \rightarrow 15g$ Is Included)^{*a*}



benzannulated dienones **10f** and **g** uneventfully.³¹ Ring closure by metathesis and ketal hydrolysis delivered 4-methoxybenzotropolone (**5f**) and 3,4-dimethoxybenzotropolone (**5g**), respectively. Both compounds had not been described. Optimizing their syntheses paid off by overall yields of 48% (**5f**) and 81% (**5g**). Bis(demethylation) of **5g** gave 75% of 3,4-dihydroxybenzotropolone (**5h**). 50 years ago the latter compound was synthesized by the mentioned¹⁴ co-oxidation of a catechol (in this case: the catechol) and a pyrogallol (in this case: the pyrogallol)³² in a single step with a 47–50% yield. Remarkably, the latter is less than the total yield of our six-step sequence: 61%. This underscores the efficiency of our strategy.³³

A bypass for the ketal cleavage $6g \rightarrow 5g$ (Scheme 6, middle), which we had performed at 75 °C under equally acidic conditions as the analogous cleavages $6a-f \rightarrow 5a-f$ (Schemes 3–6), deserves mentioning. An LDA-induced β -elimination of methanol from the benzocycloheptadienedione monoketal 6g provided 80% of 3,4-dimethoxybenzotropolone methyl ether (15g; Scheme 6, bottom). The latter was mono(demethylated) with BBr₃ at -78 °C at

Scheme 7. Synthesis of the Benzotropolone Methyl Ether **15i** via a Ring-Closing Enyne Metathesis^{*a*}



4-O (\rightarrow 15h).³⁴ 15h was di(demethylated) at rt giving 3,4dihydroxybenzotropolone (5h) in 77% yield.

Finally we tested our benzotropolone strategy replacing the ring-closing diene metathesis by a ring-closing enyne metathesis (Scheme 7). The requisite substrate **17** was obtained in a manner similar to that for the dienes **10a**–**d** by our *ortho*-lithiostyrene route (Schemes 3 and 4), namely by adding the lithioarene derived from (*ortho*-bromophenyl)acetylene **16**³⁵ to the aldehyde **7a**. After oxidation and deprotection we obtained the enyne **17** in 58% yield over three steps. Ring-closing metathesis in the presence of 5 mol % of the Grubbs II catalyst²⁶ delivered the vinylsubstituted benzocycloheptadienedione monoketal **6i** in 45% yield. Attempted ketal cleavage with *p*TsOH led to decomposition rather than to the benzotropolone **5i**. However, a DBU-induced β -elimination of methanol delivered 9-vinyl-3,4-benzotropolone methyl ether (**15i**) in 33% yield.

The fact that benzoid aromatics can emerge from ringclosing metatheses may not be obvious but is wellknown.³⁶ In the present study, it was established for the first time that a nonbenzenoid aromatic such as a tropolone can emerge from a ring-closing metathesis. This allowed access to the 3,4-benzotropolones 5a-h in 4–6 steps with 22–81% overall yield and the 3,4-benzotropolone methyl ethers 15g-i in 5 steps with 8.6–69% overall yield.

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Supporting Information Available. Experimental procedures, characterization data, copies of NMR spectra, and efficiency comparisons with previous syntheses of benzotropolones 5a-h (if existing). This material is available free of charge via the Internet at http://pubs.acs.org.

⁽³²⁾ Horner, L.; Dürckheimer, W.; Weber, K.-H.; Dölling, K. Chem. Ber. 1964, 97, 312–324.

⁽³³⁾ Of course, this efficiency is more valuable when applied to the generation of benzotropolones off the co-oxidation manifold.

⁽³⁴⁾ The triflate derived from phenol **15h** suggests the possibility of preparing 4-modified benzotropolones in the sequel of Pd-catalyzed cross-coupling reactions.

⁽³⁵⁾ Compound **16** was prepared in 2 steps from 2-bromobenzaldehyde in 89% overall yield (see Supporting Information).

⁽³⁶⁾ van Otterlo, W. A. L.; de Koning, C. B. Chem. Rev. 2009, 109, 3743–3782.

The authors declare no competing financial interest.