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N-Acyliminium ion cyclisation versus rearrangement. The synthesis of 13,13-dimethylberberines and 3,4-dimethylisoquinolin-1-ones

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Abstract

The regioselective reduction of a range of *N*-substituted-4,4-dimethylhomophthalimide derivatives gave the related carbinol amides that function as acyliminium ion precursors in the presence of Lewis or protic acids; the fate of the acyliminium ions is determined in part by the nucleophilicity of the β -arylethyl group on nitrogen, the electrophile used to generate the ion, and the precise reaction conditions and led either to cyclisation reactions or methyl migration. © 2000 Elsevier Science Ltd. All rights reserved.

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The chemistry of acyliminium ions has been studied in considerable detail during the past two decades,¹ and the method continues to attract considerable attention.² A number of research groups have used the chemistry of acyliminium ions in routes to alkaloids or in the synthesis of compounds with potential biological activity.³ Other targets have included isoquinolones by Friedel–Crafts amidoacylation reactions,^{4a} and antagonists of platelet aggregation.^{4b} Our interest in this area is concerned with both mechanistic and synthetic applications of acyliminium ions.⁵ Our most recent work has been concerned with ring annelated tetrahydroisoquinolin-1[2*H*]-ones in which a key synthetic step involved 1,2-alkyl-shifts involving cations derived from 4,4-dialkyl-3-hydroxy-3,4-dihydroisoquinolin-1[2*H*]-one derivatives.^{5c} A limited number of cyclisation reactions of *N*- β -arylacyliminium ions have been reported.⁶ We now describe the use of a number of protic and Lewis acids in reactions of carbinolamides that result in the formation of 13,13-dimethylberberine derivatives and 3,4-dimethylisoquinolones.

The carbinolamides were prepared in three steps from commercially available homophthalic anhydride. Heating the anhydride with a variety of arylalkylamines at 200°C for 90–120 min gave the homophthalimide derivatives (1a-e) in yields ranging from 31 to 92%. The methylation of the compounds (1a-e) was carried out in aqueous ethanolic sodium hydroxide solution with an excess of

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methyl iodide and gave the 4,4–dimethyl-3,4-isoquinolin-1[2*H*],3[4*H*]-dione derivatives (**2a**–**e**) in yields ranging from 74 to 95%.[†] The regioselective reduction of the compounds (**2a**–**e**) were carried out using an excess of NaBH₄ in ethanol at -7° C followed by extraction after the addition of sodium hydrogen carbonate and gave the carbinolamides (**3a**–**e**) in 21 to 85% yields. The homophthalimide (**1e**) and the carbinolamide (**3e**) were those associated with the lowest yields.



The iminium ion is formed particularly easily from the carbinolamide 3c as evidenced by the partial conversion of a solution of 3c in DMSO into 4 in 11% yield over a period of 10 days. When the carbinolamide 3c was allowed to stand with aluminium chloride in THF at 0°C for 1 h it was converted into the β -carboline derivative 4 in 87% yield. On the other hand, the products formed in reactions of the carbinolamides 3a and 3b were found to depend on the reaction conditions used. When the carbinolamide **3a** was allowed to react with either aluminium chloride or bromide a mixture of the berberine derivative $5a^{\ddagger}$ and the isoquinolone $6a^{\$}$ was formed in ratios[¶] that were dependent on the choice of reaction temperature and solvent. In view of the low solubility of aluminium chloride at low temperatures in either dichloromethane or o-dichlorobenzene we used aluminium bromide as the preferred Lewis acid. At low temperatures the compound **5a** was the major product while at high temperatures the compound **6a** predominated. Thus at -5° C in *o*-dichlorobenzene the ratio of **5a** to **6a** was 7:3 while in dichloromethane the ratio was 9.5:0.5. At ambient temperatures the ratios were almost 1:1 but at 180°C using either aluminium bromide or aluminium chloride as the Lewis acid the ratio of **5a** to **6a** was 0.6:9.4. Although the reactions leading to the two types of products are clearly irreversible the results suggest that the transition state leading to **5a** is early on the reaction coordinate while that leading to **6a** is a late transition state. Global energy calculations, conducted using SYBYL, showed that the minimum energies for 5a and **6a** are 2.98 and 0.28 kJ/mol, respectively, thus supporting the view that although **5a** is kinetically accessible, 6a is the thermodynamically preferred product.

[†] New compounds have been fully characterised by elemental analysis or by accurate mass measurement of the molecular ion by high resolution mass spectrometry and by spectroscopic methods including appropriate ¹H and ¹³C NMR studies on homogeneous material and by infrared measurements.

[‡] M⁺ 277.1468, C₁₉H₁₉NO requires 277.1467: ν_{max} : 2955, 1647, and 1602 cm⁻¹: δ_{H} 250 MHz (CDCl₃) 0.90 (s, 3H, Me), 1.40 (s, 3H, Me), 2.72–3.0 (m, 3H), 4.81 (s, 1H), 4.97–5.04 (m, 1H), 7.17–7.48 (m, 7H), and 8.12–8.16 (m, 1H) ppm: δ_{C} 62.8 MHz (CDCl₃) 23.07 (Me), 23.79 (Me), 29.97 (CH₂), 39.56 (CH₂), 40.70 (C), 64.88 (CH), 123.35 (CH), 125.23 (CH), 126.84 (CH), 127.27 (CH), 128.24 (C), 128.47 (CH), 128.98 (CH), 130.13 (CH), 131.76 (C), 132.01 (CH), 138.61 (C), 147.68 (C), and 164.38 (C=O) ppm. DEPT, COSY 45, and ¹H–¹³C HETCOR experiments support the assignments.

⁸ M⁺ 277.1458, C₁₉H₁₉NO requires 277.1467: ν_{max} : 3050,1645, and 1614 cm⁻¹: δ_{H} 250 MHz (CDCl₃) 2.32 (s, 3H, Me), 2.40 (s, 3H, Me), 3.01–3.07 (m, 2H), 4.33–4.40 (m, 2H), 7.27–7.69 (m, 8H), and 8.49–8.52 (m, 1H) ppm: δ_{C} 100.5 MHz (CDCl₃) 13.75 (Me), 16.22 (Me), 34.79 (CH₂), 46.34 (CH₂), 109.01 (C), 122.41 (CH), 124.39 (C), 125.52 (CH), 126.37 (CH), 127.81(CH), 128.33 (2×CH), 128.41 (2×CH), 131.90 (CH), 134.82 (C), 136.95 (C), 138.48 (C), and 162.18 (C=O) ppm. DEPT, COSY 45, and ¹H–¹³C HETCOR experiments support the assignments.

[¶] The ratios of mixtures of products were estimated from ¹H NMR spectra of crude reaction mixtures.



Reactions involving the carbinolamide **3b** showed a different trend. The electron releasing methoxy group at the 3-position in the carbinolamide **3b** clearly promotes the cyclisation pathway both at ambient and elevated temperatures when only one equivalent of aluminium bromide was used. The yields of **5b** and **6b** were 72% and 20%, respectively, at ambient temperature in dichloromethane and 78% and 22%, respectively, when the reaction was carried out at 180°C in *o*-dichlorobenzene. On the other hand reactions carried out at -5° C with either 1 or 3 mol equivalents of aluminium bromide or with 3 mol equivalents of aluminium bromide at ambient temperatures strongly favour the migration route to the isoquinolone derivative **6b**. A reaction carried out at -5° C was incomplete after 2 h but the isoquinolone derivative **6b** was the only product formed in 42% yield whereas at ambient temperature the compound **6b** was formed in a quantitative yield after 2 h. These results clearly reflect the ability of aluminium bromide to complex with the oxygen atoms that are present in the 3,4-dimethoxyphenyl residue and thereby reduce the nucleophilicity of the aryl residue. Similar effects have been noted in connection with Friedel–Crafts acylation reactions of a range of benzene derivatives that carry *ortho*-oxygen substituents.⁷

We were also interested to investigate possible diastereoselectivity effects in the cyclisation reactions and selected for that purpose the carbinolamide **3e** that was prepared starting from the ethyl ester of tryptophan. The β -carboline derivative **7** was isolated in 77% yield as a single diastereomer when a solution in CH₂Cl₂ was treated with a catalytic amount of hydrogen chloride. The gross structure was confirmed^{††} using the usual spectroscopic methods and the configuration at the newly formed ringjunction was established by a consideration of the ¹H–¹H spin–spin coupling constants and ¹H–¹H NOESY experiments. We assume that the *cis*-relationship of the ethoxycarbonyl group and the hydrogen at the newly formed ring-junction is formed with high diastereoselectivity as a result of rotation about the bond between the β -indolyl residue and the remainder of the acyliminium ion fragment. Cyclisation is presumed to be strongly favoured when rotation proceeds in a direction away from the ethoxycarbonyl group that places the two hydrogen atoms *trans* to each other and the ethoxycarbonyl moiety in a pseudoaxial position (Scheme 1).

Cyclisation reactions were not restricted to the formation of six-membered ring products. The conversion of the carbinolamide **3d** into the oxazepinoisoquinolone derivative **8** was achieved in modest yield (32%) in a reaction carried out using titanium tetrachloride in dichloromethane, by starting at -78° C and allowing the reaction mixture to warm slowly to ambient temperature (Scheme 2).

^{††} M⁺ 388.1784, C₂₄H₂₄N₂O₃ requires 388.1787: ν_{max} : 3302 (br), 2978, 1734, 1638, and 1602 cm⁻¹: δ_{H} 400 MHz (CDCl₃) 0.82 (t, 3H, *J*=7.1 Hz), 0.94 (s, 3H, Me), 1.56 (s, 3H, Me), 3.00 (d×d, 1H, B of ABX, *J*_{AB}=15.2 Hz, *J*_{BX}=5.4 Hz), 3.45 (d×d, 1H, A of ABX, *J*_{AB}=15.2 Hz, *J*_{AX}=1.8 Hz), 3.77–3.86 (m, 2H), 5.02 (s, 1H), 5.85 (dxd, X of ABX, *J*_{AX}=1.8 Hz, *J*_{BX}=5.4 Hz), 7.02–7.47 (m, 7H), 8.12–8.14 (m, 1H), and 8.18 (br s, 1H, N–H) ppm: δ_{C} 62.8 MHz (CDCl₃) 14.00 (Me), 23.14 (Me), 23.26 (Me), 23.67 (CH₂), 40.35 (C), 52.32 (CH), 61.10 (CH), 61.21 (CH₂), 110.35 (C), 111.03 (CH), 118.15 (CH), 119.78 (CH), 122.41 (CH), 123.43 (CH), 126.35 (C), 127.09 (CH), 127.47 (C), 129.54 (CH), 129.85 (C), 132.68 (CH), 136.34 (C), 147.09 (C), 165.65 (C=O), and 171.22 (C=O) ppm. DEPT, COSY 45, and ¹H–¹³C HETCOR experiments support the assignments. The relative orientations of the ethoxycarbonyl group and the vicinal methylene and bridgehead methine hydrogen atoms were established by 400 MHz ¹H–¹H NOESY experiments carried out in CDCl₃ at 298 K over a mixing time of 0.7 sec.



Scheme 2.

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1996