

Accepted Article

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This manuscript has been accepted after peer review and appears as an Accepted Article online prior to editing, proofing, and formal publication of the final Version of Record (VoR). This work is currently citable by using the Digital Object Identifier (DOI) given below. The VoR will be published online in Early View as soon as possible and may be different to this Accepted Article as a result of editing. Readers should obtain the VoR from the journal website shown below when it is published to ensure accuracy of information. The authors are responsible for the content of this Accepted Article.

To be cited as: *Angew. Chem. Int. Ed.* 10.1002/anie.201907247
Angew. Chem. 10.1002/ange.201907247

Link to VoR: <http://dx.doi.org/10.1002/anie.201907247>
<http://dx.doi.org/10.1002/ange.201907247>

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Unravelling the Light-Activated Reaction Mechanism in a Catalytically Competent Key Intermediate of a Multifunctional Molecular Catalyst for Artificial Photosynthesis

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Abstract: Understanding photodriven multielectron reaction pathways requires the identification and spectroscopic characterization of intermediates and their excited-state dynamics, which is very challenging due to their short lifetimes. To the best of our knowledge, the manuscript reports for the first time on *in-situ* spectroelectrochemistry as an alternative approach to study the excited-state properties of reactive intermediates of photocatalytic cycles. UV-vis, resonance Raman and transient absorption spectroscopy have been employed to characterize the catalytically competent intermediate $[(\text{tbbpy})_2\text{Ru}^{\text{II}}(\text{tpphz})\text{Rh}^{\text{I}}\text{Cp}^*]$ of $[(\text{tbbpy})_2\text{Ru}(\text{tpphz})\text{Rh}(\text{Cp}^*)\text{Cl}]\text{Cl}(\text{PF}_6)_2$ (**Ru(tpphz)RhCp***), a photocatalyst for hydrogenation of nicotinamide (NAD-analogue) and proton reduction, generated by electrochemical and chemical reduction. Electronic transitions shifting electron density from the activated catalytic center to the bridging tpphz ligand significantly reduce the catalytic activity upon VIS irradiation.

Introduction

Solar-driven water-splitting to release molecular hydrogen as a carbon neutral energy source is an attractive solution to satisfy the rising global energy demand.^[1] Despite considerable progress, artificial photosynthesis does not reach its full potential in sustainably converting solar energy into chemical energy. Attempts to develop visible light-driven hydrogen-evolution catalysts generally include a photosensitizer for light harvesting, an inter- or intramolecular electron relay to achieve charge separation and a catalytic center for hydrogen generation. Many

approaches for heterogeneous and homogeneous systems have been established and studied spectroscopically to elucidate the reaction mechanism and to explore the factors determining catalytic activity.^[2] However, intramolecular photocatalytic reactions often involve complex multi-step reactions with short-lived and highly reactive intermediates. As water splitting is an inherent multielectron process, elucidation of the properties of the redox-activated intermediates is of paramount importance to understanding overall catalytic activity. The identification of those intermediates and their excited-state processes within the electron transfer cascades are of fundamental importance to understand the catalytic mechanism and to identify competing deactivation pathways. Therefore, detailed knowledge with respect to the structure, the photophysical properties and photoinduced dynamics of short-lived photoexcited intermediates is of utmost importance to develop highly active and stable photocatalysts for e.g. hydrogen production.

Therefore, the presented work investigates these intermediates resulting from photoexcitation and subsequent electron transfer by spectroelectrochemical methods (UV-vis absorption and resonance Raman (rR) spectroscopy) in combination with quantum chemical simulations.^[3] RR is specifically suitable to identify the initially photoexcited state as exclusively vibrations are enhanced, which are connected to structural changes coupled to the electronic transition.^[4] Time-resolved transient absorption (TA) spectroelectrochemistry (SEC) enables the investigation of the photoinduced electron transfer in intermediates of the catalytic cycles on femto- to nanoseconds timescales.

Here, we combine rR- and TA-SEC with the photocatalytic characterization of a key intermediate in the photocatalytic cycle of $[(\text{tbbpy})_2\text{Ru}(\text{tpphz})\text{Rh}(\text{Cp}^*)\text{Cl}]\text{Cl}(\text{PF}_6)_2$ (**Ru(tpphz)RhCp***) (Figure 1A, left structure).^[5] The catalyst features a Ru^{II} chromophore, a tetrapyridophenazine (tpphz) bridging ligand and a $\text{Rh}^{\text{III}}(\text{Cp}^*)\text{Cl}$ catalytic center and – in the presence of sacrificial electron donors – photocatalytically reduces nicotine amides as biologically usable NAD-like (nicotinamide adenine dinucleotide) cofactors (Figure 1A).^[6] The preorganized structure of the chromophore and the catalyst prevents formation of bioinactive NAD(P) dimers.^[6–7] In addition, catalytic hydrogen evolution was observed more than six times longer (650 hours) than for structurally similar tpphz-bridged photocatalysts.^[2c, 8] However, using **Ru(tpphz)RhCp*** as a photocatalyst for hydrogen production, the catalytic activity was much lower than for NAD^+ -reduction. Thus, the study presented here focuses on the mechanism of the light-driven reduction of NAD-like cofactors. The reductively fully activated intermediate in the respective photocatalytic cycle containing two reduction equivalents, i.e. $[(\text{tbbpy})_2\text{Ru}^{\text{II}}(\text{tpphz})\text{Rh}^{\text{I}}\text{Cp}^*]$ (Figure 1, middle), is likely formed in presence of an excess of sacrificial electron donor upon irradiation with visible light. Here we exploit electrochemical

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reduction and chemical reduction with CoCp_2 to form this species. It should be noted that finding a suitable reductant to access the catalytically competent intermediate presents a delicate task as the respective redox potentials have to be balanced to selectively reduce the Rh ion only, a task however easily accomplished by electrochemical reduction. The catalytic competence of the fully reduced **Ru(tpphz)RhCp*** towards the formation of reduced nicotine amides is shown (Figure 1).^[6] To the best of our knowledge, we present for the first time the results of early-time photodynamics of electrochemically generated intermediates of a fully competent photocatalyst, i.e., the doubly reduced **Ru(tpphz)RhCp***, under non-catalytic conditions to gain important mechanistic insights into electron transfer cascades occurring during the catalytic cycle. These joint catalytic-spectroscopic mechanistic insights provide a detailed picture of structure-function-activity correlations in this class of photocatalysts for artificial photosynthesis.

Results and Discussion

Figure 1 schematically indicates key reaction steps underlying the light-driven multielectron proton-coupled hydrogenation of N-benzylnicotinamide (BNA^+) by **Ru(tpphz)RhCp***. To unravel mechanistic details of the reaction, specifically the photoinduced reactivity of the key intermediate (shown in the red box of Figure 1), the photocatalytic reaction was first monitored by UV-vis spectroscopy (Figure 1B). In a second step, the catalytically active species (Figure 1A, middle) was generated by chemical reduction with CoCp_2 and tested for its reactivity (Figure 1C, 1D, S18). Here, irradiation of the chemically doubly reduced **Ru(tpphz)RhCp*** in presence of BNA^+ significantly hampered the catalytic turnover as indicated by comparing the much stronger absorbance increase at 355 nm (ascribed to the formation of the reduced product BNAH) in the dark compared to the same sample under continuous irradiation with visible light. Finally, the intermediate was prepared in an electrochemical approach and characterized by UV-vis, rR-SEC and TA-SEC and quantum chemical simulations. Electrochemical characterization reveals that the first and second reduction in **Ru(tpphz)RhCp*** is located at the Rh ion: The irreversible reduction at -0.6 V vs. a silver pseudo reference electrode is due to a two-electron process in the $\text{Rh}^{\text{III/II}}$ couple (Figure 2B, inset).^[9] This process can be explained by two possible pathways: Either a one-electron reduction is followed by fast disproportionation of the Rh^{II} intermediate^[9b] or potential inversion of the redox potential of the $\text{Rh}^{\text{II/RhI}}$ and the $\text{Rh}^{\text{III/RhII}}$ couples allows for an ECE (electrochemical reaction, chemical reaction, electrochemical reaction) process at the applied potential.^[10] The reduction induces a loss of the chloro ligand altering the original piano stool geometry of the $\text{Rh}^{\text{III}}(\text{Cp}^*)\text{Cl}$ center in **Ru(tpphz)RhCp***, i.e., the plane of the cyclopentadienyl ligand orients perpendicular to the tpphz ligand (Figure 1A, middle).^[11] In analogy with previous studies, the reduction at -0.9 V is assigned to the first reduction of the tpphz ligand (Figure 2B).^[5] **Ru(tpphz)RhCp*** exhibits similar absorption properties as the structurally related compounds $\text{Ru}(\text{tpphz})\text{PdCl}_2$ and $\text{Ru}(\text{tpphz})\text{PtX}_2$ ($\text{X} = \text{Cl}, \text{I}$).^[3b, 12] The different catalytic centers do not affect the steady-state absorption properties significantly.^[5, 13]

The band at 440 nm is due to metal-to-ligand charge transfer (MLCT) transitions from the Ru^{II} center to both the tpphz and the tbbpy ligands (Figure 2B).^[12] Bands between 350 and 400 nm stem from tpphz-centered $\pi\text{-}\pi^*$ transitions, whereas the sharp band peaking at 286 nm is due to intra-ligand $\pi\text{-}\pi^*$ transitions located at the Cp^* and the tbbpy moieties. Upon chemical reduction of **Ru(tpphz)RhCp*** by CoCp_2 , a broad absorption at 650 nm arises, while the spectral changes in the other spectral regions remain minute (Figure 2A). These reduction-induced changes are also apparent upon electrochemical reduction (Figure 2B), which can be performed at specific potentials enabling a stepwise reduction of **Ru(tpphz)RhCp***. Based on literature no alterations in the UV-vis absorption is expected upon reduction of Rh^{III} to Rh^{I} .^[5] Hence, it is concluded that the electronic configuration of the Ru^{II} -ion photocenter and, thus, Ru-MLCT transitions are not affected by the reduced catalytic center. However, UV-vis SEC experiments show that already the first reduction (yielding a Rh^{I} center, vide supra) gives rise to a new band at 650 nm (red line, Figure 2B). A similar absorption feature was previously observed for singly reduced $\text{Ru}(\text{tpphz})\text{PtCl}_2$ and assigned to an intra-ligand $\pi\text{-}\pi^*$ transition of the reduced tpphz-ligand by rR-SEC and TDDFT simulations (red line, Figure 2C).^[3b] This startling coincidence can be rationalized considering that photoexcitation of the reduced **Ru(tpphz)RhCp*** may occur at the Rh^{I} center, i.e., a MLCT from the Rh^{I} to the tpphz ligand is excited. Such transition is observed in the same range as intra-ligand $\pi\text{-}\pi^*$ transitions of the reduced tpphz-ligand as evident from studies on the model complex $(\text{phen})\text{Rh}^{\text{I}}\text{Cp}^*$.^[14] Further evidence is provided by means of quantum chemical calculations, predicting bright MLCT transitions into S_7 at 606 nm (Table S4 and Figure S8) and T_{11} at 652 nm (Table S5 and Figure S10) for the doubly reduced **Ru(tpphz)RhCp*** within singlet and triplet multiplicity, respectively. UV-vis SEC during the third reduction of **Ru(tpphz)RhCp*** reveals a strong absorption increase between 500 and 800 nm, related to $^2\text{MLCT}$ transitions from Rh^{I} to the tbbpy ligands (D_{23} and D_{24}) as well as from Rh^{I} to the tpphz ligand (D_{25}), and to the intra-ligand $\pi\text{-}\pi^*$ transition (D_{28}) of the now reduced tpphz ligand (blue line, Figure 2B and C, see supporting information for details).

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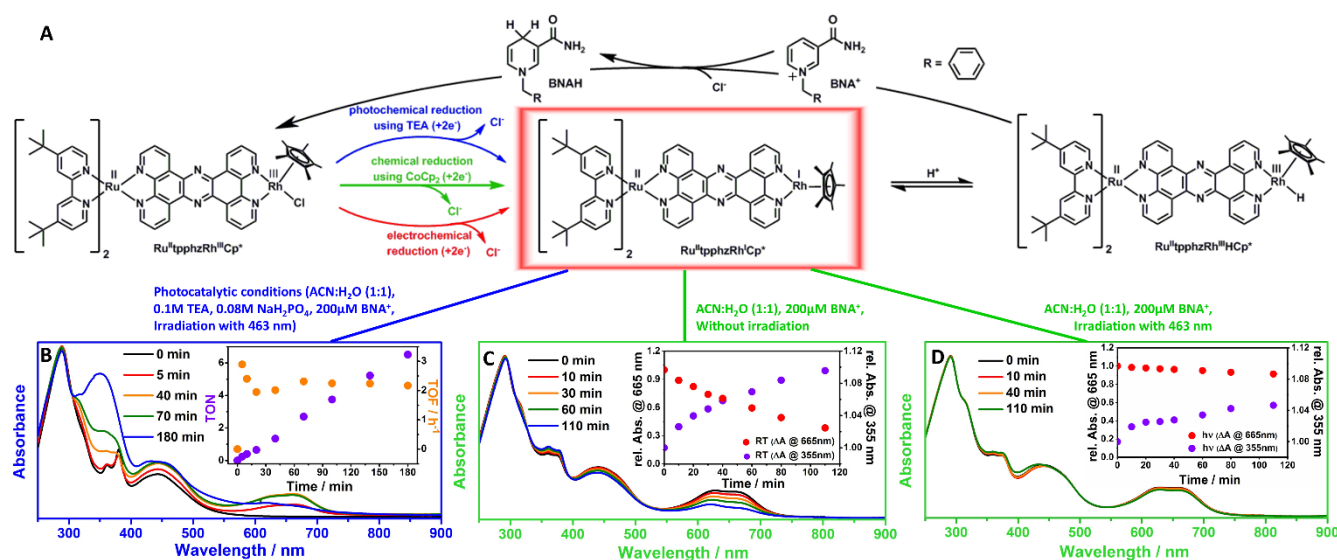


Figure 1. A: Molecular structure of the hetero-binuclear photocatalyst (left). Cleavage of the chloro ligand is observed during 2e-reduction, resulting in a Rh^I intermediate Ru^{II}(tpphz)RhCp* (framed in red). The general mechanism of the light-driven catalytic hydrogenation of N-benzylnicotinamide (BNA⁺ to BNAH) is displayed including various possibilities to generate the fully charged Ru^{II}(tpphz)RhCp* (blue: photocatalytic conditions; green: chemical reduction with CoCp₂; red: electrochemical reduction). B: UV-Vis spectroscopic monitoring of the light-driven ($\lambda_{\text{exc}} = 463$ nm) catalytic hydrogenation of a nicotinamide using 20 μM Ru(tpphz)RhCp* in ACN:H₂O = 1:1 with 0.1 M TEA and 0.08 M NaH₂PO₄. Inset in B: Calculated catalysis parameters TON and TOF based on the spectroscopic changes at 355 nm induced by the formation of BNAH. C and D: UV-Vis spectroscopic changes during addition of 200 μM of substrate BNA⁺ to a solution containing chemically (by CoCp₂) reduced Ru(tpphz)RhCp* and during irradiation with a 463 nm LED-stick. Insets in C and D: Relative Absorbance changes at 665 nm and 355 nm with time.

To reveal structural changes of Ru(tpphz)RhCp* upon reduction, rR-SEC was performed at 405, 473 and 643 nm (Figure 2D-2F).^[3b, 3c, 4, 12, 15] At 405 and 473 nm MLCT transitions are probed, which involve both the tbbpy and the tpphz ligands around the Ru^{II} center.^[4, 16] In line with previous studies on closely related complexes, the contribution of the tpphz ligand to the rR spectra is higher upon 473 nm excitation as compared to excitation at 405 nm (Figure 2D and 2E).^[12-13, 17]

Rh^{III}/Rh^I reduction causes only minor changes in the rR spectra of Ru(tpphz)RhCp* excited at 405 nm (Figure 2D): The tbbpy-assigned bands at 1320, 1480 and 1540 cm⁻¹ roughly keep their intensity upon reduction, while tpphz-associated modes decrease notably. Also the rR spectra recorded at 473 nm (Figure 2E) show an intensity increase of tbbpy-related bands, while the intensity of tpphz-associated bands diminishes. Hence, photoexcitation of the reduced Ru(tpphz)RhCp* at 405 and 473 nm leads to a tbbpy-MLCT being populated. Reduction of the Rh-center appears to impede an MLCT transition to the tpphz ligand. This finding agrees with the quantum chemical calculations and a previous report^[18] showing the excess charge at the Rh-center to be partially delocalized on the phenanthroline fragment of the tpphz ligand (see Figure S15). This charge distribution apparently inhibits (additional) charge transfer from the Ru^{II} towards the tpphz ligand (Figure S8).

rR-SEC at 643 nm interrogates the reduction-induced absorption band at 650 nm, which is characteristic for the doubly reduced Ru(tpphz)RhCp* (Figure 2B). The rR spectrum at 643 nm is

dominated by features, which are neither visible upon excitation at 405 nor 473 nm (Figure 2F). Particularly, no bands associated with the tbbpy ligands are observed, pointing to the fact that the reduction-induced transition in the red part of the UV-vis absorption spectrum does not involve excitation of the Ru^{II}-center and the tbbpy ligands. Excitation of a Rh^I → Cp* transition is unlikely due to the large electron density of the ligand.^[9c, 14] However, the 643-nm rR-SEC spectrum compares to the spectrum of reduced Ru(tpphz)PtCl₂ (Figure 2F, inset). Ru(tpphz)PtCl₂ is structurally similar to Ru(tpphz)RhCp*, but, the first reduction is localized on the tpphz ligand instead of the catalytic metal-center.^[3b] When comparing the 643 nm rR spectrum of the reduced Ru(tpphz)RhCp* with the respective spectrum of the reduced Ru^{II}(tpphz²⁻)Pt^ICl₂ excited at 514 nm, it becomes evident that the bands observed for the reduced Ru(tpphz)RhCp* are associated with the tpphz ligand. Hence, either a Rh^I → tpphz MLCT or a tpphz-intra-ligand (IL) is excited at 643 nm. The rR-SEC studies do not allow for discrimination between these two scenarios. TDDFT simulations on the doubly reduced Ru(tpphz)RhCp* - both in singlet and triplet multiplicity - show bright MLCT transitions from the Rh^I center to the tpphz ligand, partially mixed with a local excitation of the tpphz ligand, see S₇ (606 nm) and T₁₁ (at 652 nm) in Figure 2C. Furthermore, a bright IL transition centered on the tpphz ligand (T₂₁), typical for the reduced tpphz, is predicted by TDDFT at 548 nm for the doubly reduced triplet species.

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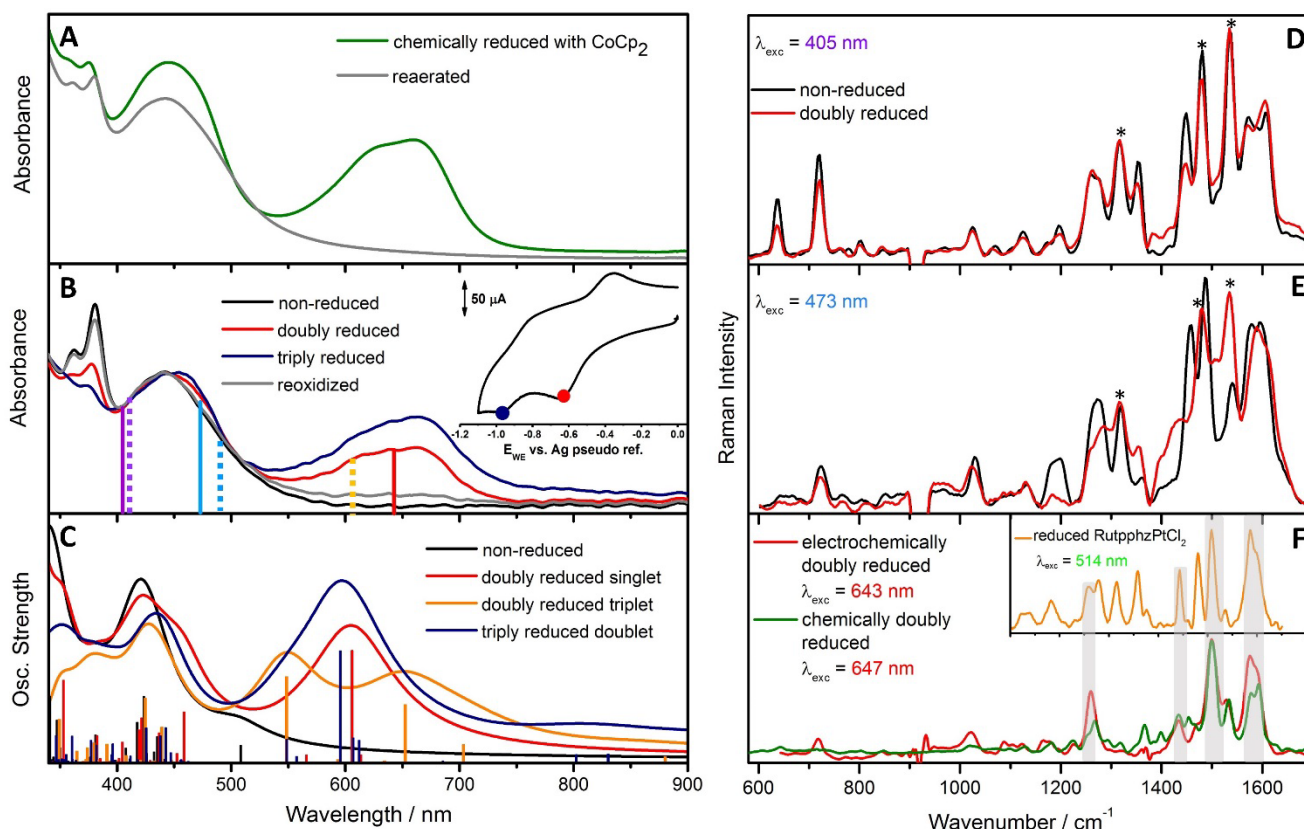


Figure 2. UV-Vis spectrum of the chemically reduced $\text{Ru}(\text{tpphz})\text{RhCp}^*$ (green trace) using CoCp_2 as reducing agent and the spectrum recorded after re-aeration (grey trace) of the solution which regenerates the parent complex (A). Experimental in situ (B) and calculated (C) UV-vis spectroelectrochemical results of reduction states of $\text{Ru}(\text{tpphz})\text{RhCp}^*$. Inset in B: CV of $\text{Ru}(\text{tpphz})\text{RhCp}^*$ in ACN containing 0.1 M TBABF₄ electrolyte. The applied potentials for acquisition of the UV-vis spectra are coloured (scan rate 100 mV/s, glassy carbon working, Pt-counter, and Ag/AgCl-pseudo-reference electrodes). RR excitation and transient absorption pump wavelengths are displayed as continuous and dashed vertical lines in the spectra, respectively. Experimental rR spectra of non-reduced (black), electrochemically doubly reduced (red) and chemically reduced (green) $\text{Ru}(\text{tpphz})\text{RhCp}^*$, excited at 405 (D), 473 (E), 643 and 647 nm (F). Modes assigned to the tbbpy ligands are marked with an asterisk. Inset: RR spectrum of reduced $\text{Ru}(\text{tpphz})\text{PtCl}_2$, excited at 514 nm for comparison. Grey shaded Raman bands are associated with tpphz vibrations.

Thus, rR-SEC shows that reduction of the Rh^{III} center in $\text{Ru}(\text{tpphz})\text{RhCp}^*$ alters the electronic transitions available at the Ru^{II} photocenter, i.e., the additional charge density upon $\text{Rh}^{\text{III}}/\text{Rh}^{\text{I}}$ reduction becomes partially delocalized over the tpphz ligand and prohibits further charge density shift on the bridging ligand by excitation of a $\text{Ru}^{\text{II}} \rightarrow \text{tpphz}$ MLCT. For $\text{Ru}(\text{tpphz})\text{PtCl}_2$, the transfer of the second electron, which is indispensable for hydrogen evolution at the Pt center, onto the tpphz bridging ligand is impeded by the additional charge localized on the phenazine (phz) moiety.^[3b] Contrary to that, the initial localization of the excited state on the tbbpy ligands in the doubly reduced $\text{Ru}(\text{tpphz})\text{RhCp}^*$ should not adversely affect the turnover at the RhCp^* moiety as no third electron is required on the Rh^{I} center to promote typical metal-mediated catalysis.^[19]

Resonance Raman experiments upon excitation at 647 nm on the chemically reduced $\text{Ru}(\text{tpphz})\text{RhCp}^*$ (see Figure 2F) yield identical band pattern as the corresponding experiment on the electrochemically reduced catalysts. Together with the striking similarities of the respectively generated UV-vis spectra (Figure 2A and B), this provides clear evidence that both approaches yield the same product, i.e. $[(\text{tbbpy})_2\text{Ru}^{\text{II}}(\text{tpphz})\text{RhCp}^*]$. Furthermore, UV-vis spectroscopic

investigations also proved the similarity of the electrochemically and photochemically (Figure 1, 2A and S17) generated doubly reduced $\text{Ru}(\text{tpphz})\text{RhCp}^*$.

Evaluating the Catalytic Competences of $(\text{tbbpy})_2\text{Ru}^{\text{II}}(\text{tpphz})\text{RhCp}^*$

The reactivity of $\text{Ru}^{\text{II}}(\text{tpphz})\text{RhCp}^*$ towards the hydrogenation of N-benzylnicotinamide (BNA^+ to BNAH), can be monitored by the increased absorbance of the product around 355 nm (Figure 1B).^[6] Here, we generated the “fully charged” $\text{Ru}^{\text{II}}(\text{tpphz})\text{RhCp}^*$ by chemical reduction with CoCp_2 . Upon reaction with BNA^+ in the dark, formation of BNAH is apparent by an increased absorbance around 355 nm, the loss of absorbance of the $\text{Rh}^{\text{I}}\text{-tpphz}$ MLCT band around 650 nm proved the re-oxidation of Rh^{I} to Rh^{III} (Figure 1C). Performing the same reaction under irradiation with an LED at $\lambda = 463 \pm 12 \text{ nm}$ ($45 \text{ mW}/\text{cm}^2$) showed only insignificant changes of the absorption both at 650 and 340 nm (Figure 1D), i.e. no signs for catalytic hydrogenation are observed.

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Thus, we conclude that irradiation of the sample obviously impairs the catalysts competence and limits its overall efficiency. To identify and trace the deactivation pathway of the fully charged and competent catalytic species during irradiation, transient absorption experiments will be discussed in the following.

Femtosecond Time-Resolved Transient Absorption-Spectroelectrochemistry

Time-resolved transient absorption experiments investigating the light-induced electron transfer dynamics on timescales of sub-ps to ns are performed as TA-SEC measurements using pump wavelengths of 403, 492 and 600 nm. At 403 and 492 nm, both the parent and the doubly reduced **Ru(tpphz)RhCp*** complex absorb (see Figure 2B). The parent complex shows transient absorption features reminiscent of those observed for related [(tbbpy)₂Ru(tpphz)MX₂]²⁺ (MX₂ = PdCl₂, PtCl₂, PtI₂) upon MLCT excitation.^[2d, 13, 17] An instantaneous bleach of the ¹MLCT band reflects the shift of electron density from the Ru^{II} to both tbbpy and tpphz ligands (Figure 3A). In the latter case, the phenanthroline (phen) moiety serves as primary electron density acceptor. The 1 ps process contains contributions from ¹MLCT → ³MLCT intersystem crossing (ISC), vibrational relaxation and an inter-ligand charge transfer (ILCT) from the tbbpy moiety to the phenanthroline sphere of the tpphz ligand (Figure 2D and S16). (Due to the limited temporal resolution and the spectral congestion of the processes, the individual contributions cannot be resolved.) The phenanthroline-centered state relaxes into a phenazine-centered ³MLCT state (τ₂ = 11 ps), which decays with a lifetime of 450 ps (τ₃) (Figure 3D and S16). The assignment of the phenazine-centered ³MLCT state is based on the broad absorption of the phenazine radical anion at about 590 nm, which builds up within 20 ps concomitantly with a slight blue shift of the absorption maximum (Figure 3A).

Further evidence for the origin of the excited-state absorption (ESA) at 590 nm is provided by TDDFT addressing the absorption by spin-allowed triplet-triplet excitations, which reveal a bright ³IL transition at 609 nm centered on the phenazine moiety, see T₂₇ in Figure 3B. This absorption subsequently loses intensity - in particular - the blue part of the band (Figure 3A and 3C).

As a result, the 450 ps component in the decay-associated spectra (DAS) shows no intensity towards the near IR, while the infinite spectrum appreciably absorbs at 750 nm (Figure 3D). This feature indicates electron transfer from the formally reduced phenazine species towards the Rh^{III} catalytic center: TDDFT reveals that the [(tbbpy)₂Ru^{III}(tpphz)Rh^{II}Cp*] species shows appreciable absorption at 738 nm due to a bright Rh^{II} → tpphz ³MLCT (T₁₂), while [(tbbpy)₂Ru^{III}tpphz*Rh^{III}Cp*Cl] strongly absorbs at around 600 nm based on the ³IL excitation into T₂₇ (Figure 3B). These results explain the spectral shape of the visible excited-state absorption reflected in the DAS of τ₃- and the long-lived component (Figure 3D).

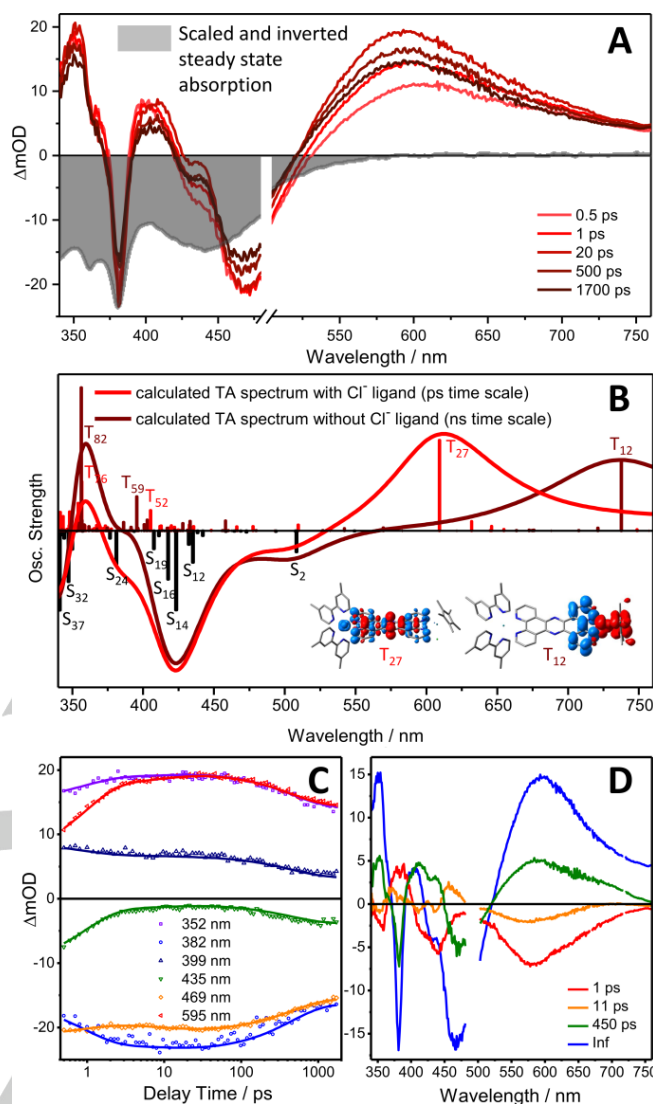


Figure 3. Transient absorption data including experimental (A) and calculated (B) transient absorption spectra at selected delay times, transient kinetics at key wavelengths (C) and spectral changes associated with each kinetic process (DAS) (D) for non-reduced **Ru(tpphz)RhCp*** pumped at 492 nm. For comparison, the inverted, i.e., negative, steady state absorption of the complex **Ru(tpphz)RhCp*** is also plotted in grey and scaled to the largest ground state bleach signal at 360 nm (A). Inset in B: Charge density differences for bright spin-allowed triplet-triplet excitations into intra-ligand State T₂₇ (with Cl⁻) and into metal-to-ligand charge transfer state T₁₂ (without Cl⁻); charge transfer takes place from red to blue.

The excellent match between the experimentally obtained DAS and the calculated spectra indicates not only electron transfer from the photoactive [(tbbpy)₂Ru^{II}(tpphz)]-fragment to the catalytically active Rh-center, it also proves Cl⁻-dissociation on the 450 ps time-scale upon metal-centered one-electron reduction.^[20] Notably, structural reorganization at the reduced Rh^{II}-center upon Cl⁻-dissociation leads to a linear tpphz-Rh-Cp* geometry, in which the Rh^{II}-center is shielded by steric and electronic factors from geminate recombination with the solvated Cl⁻, hence leading to the long-lived species and in consequence to the long-lived red-absorbing component in the transient absorption (blue infinite

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component in the DAS, Figure 3D, S16). In a recent report, this slow decay of the Rh^{II} species has been shown to obey second-order kinetics, which was interpreted as a disproportionation reaction yielding Rh^{I} and Rh^{III} in the μs to ms time range.^[21]

After two-electron reduction of $\text{Ru}(\text{tpphz})\text{RhCp}^*$, accompanied by Cl^- -dissociation from the pentamethylcyclopentadienyl- Rh^{III} center,^[11, 22] the photodynamics of $[(\text{tbbpy})_2\text{Ru}^{\text{II}}(\text{tpphz})\text{Rh}^{\text{I}}\text{Cp}^*]$, i.e., the photoinduced processes in a “fully charged” molecular photocatalyst, are observed (Figure 4). To the best of our knowledge, the data discussed in the following present the first ultrafast transient absorption study on an isolated, molecular photocatalyst in its fully active electronic configuration.

As discussed in the context of the rR data, excitation of $[(\text{tbbpy})_2\text{Ru}^{\text{II}}(\text{tpphz})\text{Rh}^{\text{I}}\text{Cp}^*]$ at 403 nm populates an MLCT state, in which electron density is shifted to the tbbpy ligands, i.e., $[(\text{tbbpy})(\text{tbbpy}^{\cdot-})\text{Ru}^{\text{II}}(\text{tpphz})\text{Rh}^{\text{I}}\text{Cp}^*]$ is formed (Scheme 1). The ESA at 360 nm reflects intra-ligand absorption of the tbbpy radical anion (Figure 4A). Nonetheless, photoexcitation leads to an instantaneous (within the experimental time-resolution) formation of a rather strong bleach signal at 650 nm, which is assigned to a $\text{Rh}^{\text{I}} \rightarrow \text{tpphz}$ MLCT transition based on TDDFT and UV-vis SEC results (Figures 2B, 2C and 4A). This feature thus indicates an interaction of the two metal centers and hole transfer from the photoexcited Ru^{III} -center to the Rh^{I} -center. This process occurs rapidly, i.e., sub-500 fs, and leads to the formation of $[(\text{tbbpy})(\text{tbbpy}^{\cdot-})\text{Ru}^{\text{II}}(\text{tpphz})\text{Rh}^{\text{I}}\text{Cp}^*]$. This state is initially vibrationally hot and cools down with the time constant $\tau_1 = 1.8$ ps. Cooling causes a slight shift of both the ESA maxima at 470 nm and the $\Delta\text{OD} = 0$ crossing at 725 nm (Figure 4A). The relaxed $[(\text{tbbpy})(\text{tbbpy}^{\cdot-})\text{Ru}^{\text{II}}(\text{tpphz})\text{Rh}^{\text{I}}\text{Cp}^*]$ reveals a strong ESA above 720 nm, which the calculations assign to a $\text{tpphz} \rightarrow \text{Rh}^{\text{II}}$ -LMCT transition. In addition the tbbpy $^{\cdot-}$ absorption below 440 nm and the bleach of the $\text{Rh}^{\text{I}} \rightarrow \text{tpphz}$ MLCT transition at around 630 nm is apparent (Figure 4A). The strong bleach of the Ru^{II} -center at about 480 nm is not visible in DAS (τ_1) and DAS (τ_2) but only appears on a 100 ps timescale. On this timescale, associated with $\tau_2 = 43$ ps, inter-ligand $\text{tbbpy}^{\cdot-} \rightarrow \text{tpphz}$ electron transfer takes place, i.e., $[(\text{tbbpy})_2\text{Ru}^{\text{II}}(\text{tpphz}^{\cdot-})\text{Rh}^{\text{I}}\text{Cp}^*]$ is formed (Scheme 1). We hypothesize that the partial Ru-center bleach in $[(\text{tbbpy})(\text{tbbpy}^{\cdot-})\text{Ru}^{\text{II}}(\text{tpphz})\text{Rh}^{\text{I}}\text{Cp}^*]$ is superimposed by a $\text{tbbpy}^{\cdot-} \rightarrow \text{tpphz}$ excited-state absorption. This renders the net optical density changes in the spectral region below 500 nm (slightly) positive. Upon inter-ligand $\text{tbbpy}^{\cdot-} \rightarrow \text{tpphz}$ electron transfer, more prominent GSB features below 500 nm are observed, while the bleach of the Rh-center at 650 nm decreases (Figure 4A).

Interestingly, the inter-ligand charge transfer process is comparably slow compared to inter-ligand hopping processes observed in Ru^{II} -complexes and the parent non-reduced $\text{Ru}(\text{tpphz})\text{RhCp}^*$ (11 ps), which is attributed to the fact that electron density in the Rh^{II} -center (partially) extends towards the tpphz -bridging ligand, as indicated by the respective spin density in Figure S15. Hence, the additional negative charge on the phenanthroline fraction of the tpphz -ligand coordinating the Rh^{II} slows the kinetics of inter-ligand electron transfer towards the bridging ligand. With the characteristic time constant of $\tau_3 = 600$ ps, the excess charge density on the tpphz -ligand thermalizes into the lowest orbital available, i.e., charge density shifts from the phenanthroline to the phenazine moiety of the bridging ligand. This is manifested in the comparably low negative ΔOD amplitude at around 630 nm, which stems from the superposition of the Rh-center ground state bleach and the excited-state absorption of the reduced tpphz -ligand, more specifically the reduced central phenazine unit (DAS, Figure 4A).^[23] The resultant $[(\text{tbbpy})_2\text{Ru}^{\text{II}}(\text{phen-phz}^{\cdot-}\text{-phen})\text{Rh}^{\text{I}}\text{Cp}^*]$ bearing the electron in the central phenazine sphere forms the long-lived species, which decays back to the ground-state with a time-constant beyond the experimentally accessible time range (Scheme 1).

The slow ns-decay component observed in the TA-SEC data upon 403-nm excitation corresponds to the intramolecular electron transfer of the second electron onto a mono-reduced Rh^{II} catalytic center. This electron transfer step is apparently much slower than the corresponding first electron transfer yielding the $\text{Rh}^{\text{III}} \rightarrow \text{Rh}^{\text{II}}$ reduction (450 ps, see Figure 4A). As the electrochemical analysis showed potential inversion of the $\text{Rh}^{\text{III}}/\text{Rh}^{\text{II}}$ and $\text{Rh}^{\text{II}}/\text{Rh}^{\text{I}}$ reduction, the slowed electron transfer must be attributed to the change in the relative orientation between the tpphz bridging ligand and the Cp^* ligand, which results in a kinetic barrier for the electron transfer process.

As concluded from the TA-measurements and the quantum chemical calculations, a perpendicular arrangement between tpphz bridging and Cp^* ligand also exists at the $[(\text{tbbpy})_2\text{Ru}^{\text{II}}(\text{tpphz})\text{Rh}^{\text{I}}\text{Cp}^*]$ state (Figure S16). Similar to the Rh^{I} species, electron density redistribution from the strong donor ligand Cp^* over the connecting Rh^{II} core to the phenanthroline sphere of the tpphz ligand might establish an electronic barrier for the electron localized at the phenazine sphere which drastically reduces the transfer kinetics of the second electron onto the catalytic unit. 600 nm excitation of the “fully charged” $\text{Ru}(\text{tpphz})\text{RhCp}^*$ photocatalysts allows for cross-validation of the model put forward before.

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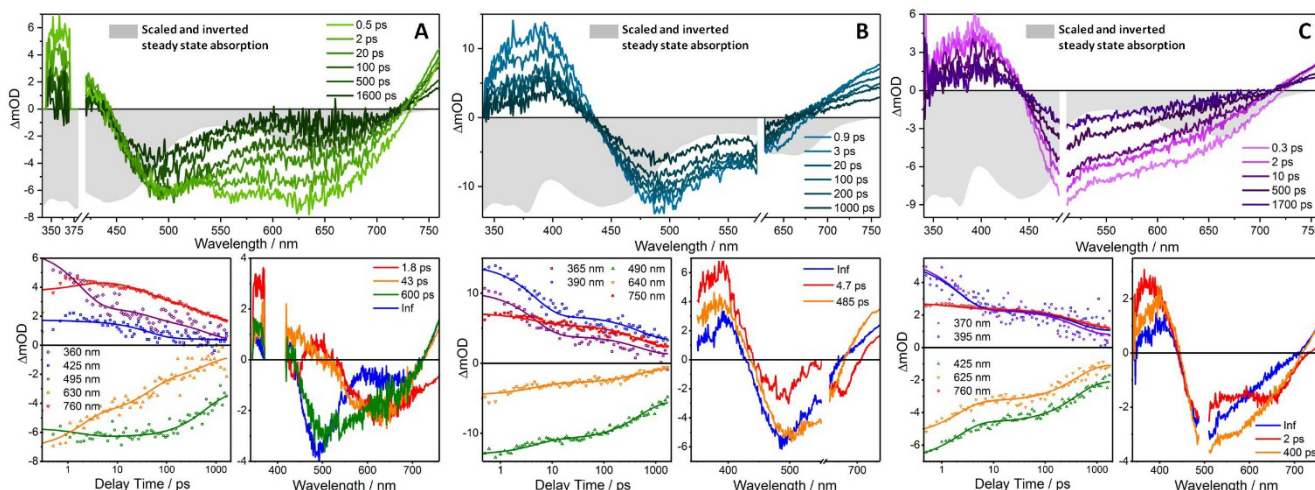


Figure 4. Transient absorption data including transient absorption spectra at selected delay times, transient kinetics at key wavelengths and spectral changes associated with each kinetic process (DAS), for doubly reduced **Ru(tpphz)RhCp*** pumped at 403 (A), 600 (B) and 492 nm (C). For comparison, the inverted, i.e., negative, steady state absorption spectra of doubly reduced **Ru(tpphz)RhCp*** are plotted in addition in grey and scaled to the maximum ground state bleach signal within the individual graphs.

Excitation within the reduction-induced absorption band (see Figure 2B) leads to a charge-density shift associated with a $\text{Rh}^{\text{I}} \rightarrow \text{tpphz}$ MLCT transition. TDDFT calculations show that the excess charge density is localized on the phenanthroline part of the bridging ligand that coordinates the Rh^{I} -ion. Hence, 600 nm excitation of the doubly reduced **Ru(tpphz)RhCp*** catalyst initially leads to a vibrationally hot $[(\text{tbbpy})_2\text{Ru}^{\text{II}}(\text{phen-phz-phen}^+)\text{Rh}^{\text{II}}\text{Cp}^*]$ state (Scheme 1). The subsequent dynamics are characterized by a 4.7 and a 485 ps process (DAS, Figure 4B), which lead to the population of a molecular species that outlives the experimentally accessible delay-time window of 1.8 ns. The fastest component, $\tau_1 = 4.7$ ps, is spectrally characterized by a blue-shift of the $\Delta\text{OD} = 0$ crossing at around 680 nm and the disappearance of a (negative) shoulder in the red-edge of the Rh^{I} -ground state bleach feature (Figure 4B). Hence, it is associated with cooling of the $[(\text{tbbpy})_2\text{Ru}^{\text{II}}(\text{phen-phz-phen}^+)\text{Rh}^{\text{II}}\text{Cp}^*]$ state, however, it should be noted that the excess electron density on the tpphz-ligand is still considered to be localized on the phenanthroline moiety of the ligand. Only during the process associated with $\tau_2 = 485$ ps, the charge density relaxes to the central phenazine part of the tpphz ligand, i.e., forming the species $[(\text{tbbpy})_2\text{Ru}^{\text{II}}(\text{phen-phz}^+-\text{phen})\text{Rh}^{\text{II}}\text{Cp}^*]$ (Scheme 1). This species is found to be long-lived on the time-scale of the femtosecond transient absorption experiment.

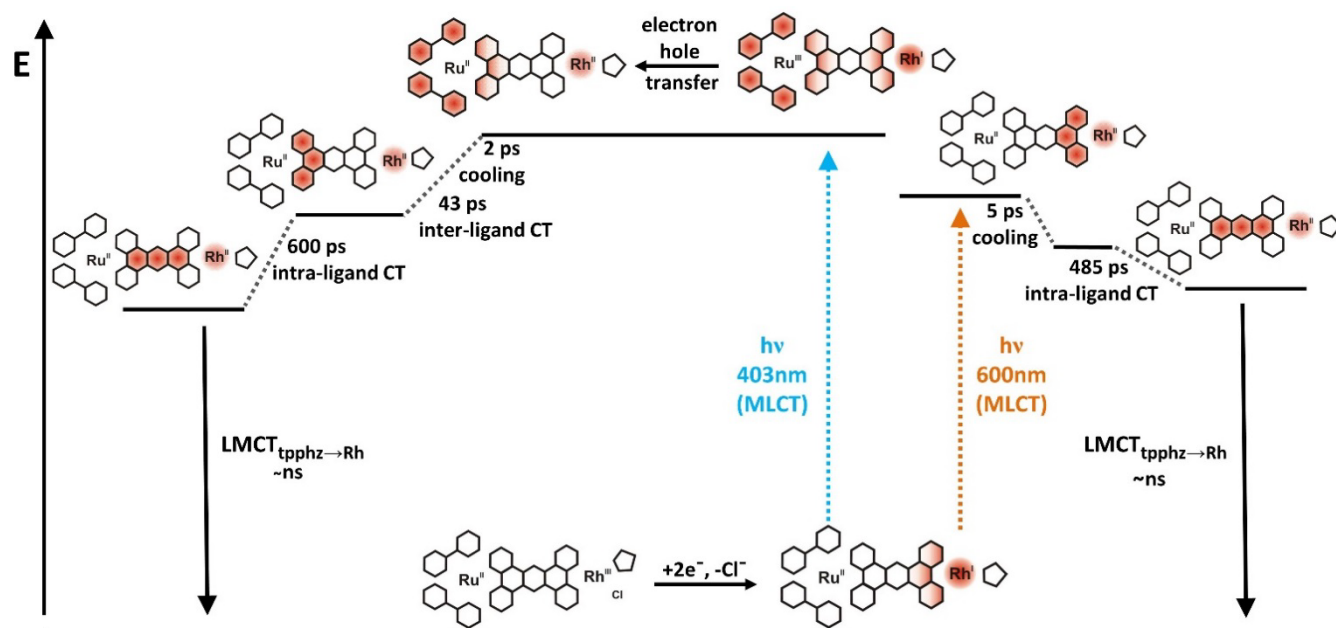
It should be pointed out that the formation of $[(\text{tbbpy})_2\text{Ru}^{\text{II}}(\text{phen-phz}^+-\text{phen})\text{Rh}^{\text{II}}\text{Cp}^*]$ occurs with a charge density shift from the Rh^{I} -fragment upon 600 nm excitation with a time-constant of 485 ps as well as from the Ru^{II} -fragment upon 403 nm excitation with a time-constant of 600 ps (Figures 4A and 4B). The similarity of characteristic timescales reflects the apparent energetic symmetry of the tpphz ligand even though it coordinates two different metal ions. In either of these cases the phenanthroline \rightarrow phenazine charge density shift is spectrally manifested in an apparent decrease of ground-state bleach in the red part of the $\Delta\text{OD} < 0$ band. This is due to the fact that the phz^+ -fragment strongly absorbs at around 550 nm, i.e., partially overriding the

ground-state bleach in the same spectral region (Figures 4A, 4B).^[23]

Photoexcitation of doubly reduced **Ru(tpphz)RhCp*** at 492 nm leads to similar transient absorption features obtained with 403 nm excitation (Figure 4C). In agreement with rR-SEC at 473 nm, a $\text{Ru}^{\text{II}} \rightarrow \text{tbbpy}$ MLCT state is initially populated (Figures 2E and 4C). Additionally, a $\text{Rh}^{\text{I}} \rightarrow \text{tpphz}$ MLCT transition is excited, since both absorption features are spectrally broad and overlapping. Consequently, two overlapping decay processes from both MLCT transitions are observed in parallel. Therefore, the TA spectra obtained under 403 and 492 nm excitation are qualitatively similar, but interpretation is significantly complicated. Therefore, the data was not analyzed in detail, because too many decay constants would be required rendering an in-depth kinetic analysis unreliable.

The combined catalytic, photophysical, electrochemical and theoretical data paint a very similar picture, i.e., photoexcitation of the “fully charged” **Ru(tpphz)RhCp*** complex containing a Rh^{I} ion leads to the photochemical formation of a Rh^{II} intermediate. The same light required for photochemical generation of the catalytically competent Rh^{I} state thus leads to its deactivation by MLCT formation. This is directly reflected by the absence of catalytic activity of the intermediate **Ru(tpphz)RhCp*** under irradiation conditions. The photoinduced discharging produces a relatively long-lived Rh^{II} state describing for the first time the catalytic inactivity of a mono-reduced (N,N)RhCp* complex, i.e. the Rh^{II} state, towards nicotinamide reduction. This is particularly important as the electrochemical properties of virtually all (N,N)RhCp* complexes only permit experimental access to the directly generated Rh^{I} state during metal-centered reduction. Based on our mechanistic conclusions, we suggest to control the overall catalytic process by sequential photochemical Rh^{I} generation and thermal consumption of Rh^{I} coupled to substrate conversion. Utilizing this approach, BNAH formation was successfully implemented (see Figure S19).

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Scheme 1. Schematic representation of the proposed photophysical pathways for the doubly reduced photocatalyst **Ru(tpphz)RhCp*** upon photoexcitation at 403 and 600 nm. At 403 nm a MLCT from Ru^{II} to the tbbpy ligand is excited, and then an electron hole is transferred on a sub-500 fs timescale, reducing Ru^{III} and oxidizing the Rh^I center. This process is followed by an inter-ligand transition to tpphz, finally decaying by an intra-ligand charge transfer from the phenanthroline to the phenazine fragment of the bridging ligand, followed by a LMCT to the ground state. In contrast, at 600 nm excitation, a MLCT from Rh^I to tpphz is excited, which decays via an intra-ligand charge transfer to the ground state. Here, the Ru-center and the tbbpy ligands are not involved in the photodynamical processes.

Conclusion

The elucidation of multi-electron reaction pathways, e.g. in hydrogen evolving photocatalysis, requires the identification and dynamic monitoring of intermediates, which can be mimicked by sequential electroreduction. To the best of our knowledge, we presented for the first time the results of *in situ* early-time photodynamics of an isolated, molecular photocatalyst in its active electronic configuration. Pulsed laser excitation of doubly reduced **Ru(tpphz)RhCp*** at either 403 or 600 nm leads to a charge density shift towards the central phenazine part of the tpphz bridging ligand, irrespective of the fact that completely different states are initially populated. At 403 nm, a Ru^{II} → tbbpy MLCT transition is excited, while at 600 nm, a Rh^I → tpphz MLCT state is populated as confirmed by rR-SEC and TDDFT. The long-lived radical anion [(tbbpy)₂Ru^{II}(tpphz^{•-})Rh^{II}Cp*] in the described relaxation cascade is possibly a potent precursor for an additional chemical deactivation pathway of the catalyst.^[24] The investigations towards the effect of blue light irradiation of the catalytic reaction mixture containing BNA⁺ clearly showed, that once the doubly reduced **Ru(tpphz)RhCp*** state is formed, photoexcitation impedes catalytic hydrogenation of BNA⁺ to BNAH. This is in full agreement with the results of the detailed spectroscopic and theoretical investigation which point to the formation of an inactive Rh^{II} intermediate upon photoexcitation. The excitation-induced charge redistribution in the doubly reduced **Ru(tpphz)RhCp*** can be formally viewed as a Rh^I → Rh^{II} discharging process leading to inactivation of the catalytic center. However, the actual “H” transferring species in RhCp* catalysts is

either a Rh^I(Cp*H)^[19b, 25] or a Rh^{III}(Cp*)H^[19a, 26] unit, formed upon fast^[26] oxidative addition of a proton to the Rh^ICp* moiety. As this hydride transferring agent does not exhibit a red absorbance,^[19b] it is possible in these systems to escape the potential visible light-driven inactivation of catalysis by working in sufficient acidic media during photocatalysis.

With respect to future applications of molecular catalysts using the whole solar spectrum for light-driven catalysis, the detected MLCT transitions from the reductively fully activated catalytic center back to the bridging ligand represent a putatively activity limiting step. It is clear that a more careful design of the ligand sphere supporting the catalytic center is crucial for supporting sufficiently high activity. The presented results clearly show that the design of photocatalytically active systems will benefit from close interactions between spectroscopy, theory and synthesis, leading to spectroscopy-aided design of next generation catalysts.

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Experimental Section

Ru(tpphz)RhCp* was synthesized as described in the literature.^[5] UV-vis-SEC, rR-SEC and electrochemical measurements were performed as described in detail in the SI. A custom-built setup was utilized to acquire fs-TA data (Pascher Instruments AB).^[28] Comprehensive experimental and computational details and methods can be found in the supporting information.

Acknowledgements

This work was financially supported by the German Science Foundation (DI1517/11-1 and CATALIGHT CRC/RR 234, projects A1 and C5, Projektnummer 364549901). We thank the Thuringian State Government for financial support within the ACP Explore project. A.K.M. acknowledges financial support from the FCI (via a Chemiefonds-Stipendium). Y.Z. acknowledges support by the German Academic Exchange Service. K.M.Z. acknowledges support from the Konrad Adenauer foundation and the German Academic Scholarship Foundation.

Keywords: enzyme catalysis • rhodium • ruthenium • spectro-electrochemistry • ultrafast spectroscopy

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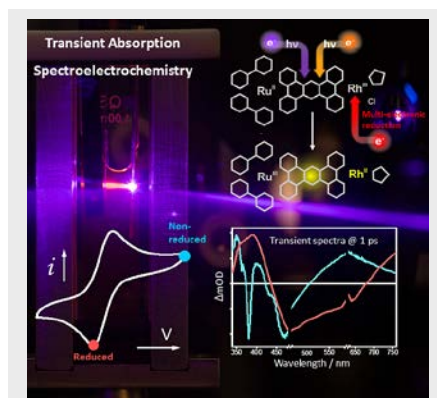
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For the first time spectroelectrochemistry using UV-vis, resonance Raman and transient absorption spectroscopy has been employed to investigate the reactive intermediates of the photocatalytic cycle of the photocatalyst $[(\text{tbbpy})_2\text{Ru}(\text{tpphz})\text{Rh}(\text{Cp}^*)\text{Cl}]\text{Cl}(\text{PF}_6)_2$ in detail. Photo-induced electronic transitions limiting the catalytic efficiency have been identified for guiding structural improvements.



Linda Zedler,^[a] Alexander Klaus Mengele,^[c] Karl Michael Ziems,^[b] Ying Zhang,^[a,b] Maria Wächter,^[a,b] Stefanie Gräfe,^[b] Torbjörn Pascher,^[d] Sven Rau,^[c] Stephan Kupfer^[b] and Benjamin Dietzek*

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