extraction with CHCl3 and removal of the solvent the residual yellow oil was stirred in CH₂Cl₂ (50 mL) at room temperature for 48 h. Workup gave 1.2 g (58%) of $8\overline{l}$ as a yellow oil: HRMS (CI; CH₄) for $C_8H_{16}PO_4$ $(M^+ + H)$ calcd 207.0786, found 207.0771.

tert-Butylethynyl Dimethyl Phosphate (81). Method B. A solution of PhIOH-OTs¹³ (3.9 g, 10 mmol) and NaO₂P(OMe)₂ (1.48 g, 10 mmol) in methanol (135 mL) was stirred at room temperature under argon for 12 h. Removal of the precipitated NaOTs and evaporation of the solvent gave 3.5 g of PhIOH·O₂P(OMe)₂: IR (neat, cm⁻¹) 3400-2050 (br, shallow, OH), 3060 (m), 2990 (m), 2950 (s), 2850 (s), 1570 (m), 1465 (s), 1440 (s), 1230–1170 (vs), 1060–980 (vs); ¹H NMR (CDCl₃, δ) 3.57 $(d, {}^{3}J_{P,H} = 11 \text{ Hz}, CH_{3}), 7.17-7.50 \text{ (m, ArH)}, 7.83-7.93 \text{ (m, ArH)},$ 12.57 (br s, OH); ¹³C NMR (CDCl₃; δ) 53.26 (d, ² $J_{P,C}$ = 6 Hz, CH₃), 124.94, 130.57, 131.33, 132.93 (Ar C). A solution of PhIOH-O₂P-(OMe)₂ (10 mmol), 3,3-dimethyl-1-butyne (2.5 g, 30 mmol), and t.h.e. desiccant (5g) in CH₂Cl₂ (50 mL) was refluxed for 21 h. After removal of the desiccant and evaporation of the solvent chromatographic workup of the residue on silica gel (CH₂Cl₂ as eluent) gave 0.76 g (37%) of 81.

1-Octynyl Dimethyl Phosphate (8m). Method A. A mixture of 1-(trimethylsilyl)-1-octyne (1.72 g, 10 mmol), iodosobenzene (2.2 g, 10 mmol), and BF3·OEt2 (1.2 mL, 10 mmol) in CHCl3 (20 mL) was stirred at room temperature for 18 h and then reacted with a solution of NaO₂P(OMe)₂ (5.92 g, 40 mmol) in water (40 mL). After extraction with CHCl₃ with removal of the solvent the resulting yellow oil was stirred in CH₂Cl₂ (50 mL) at room temperature for 24 h. Workup gave 0.90 g (38%) of 8m as a yellow oil: HRMS (CI; CH₄) for $C_{10}H_{20}PO_4$ (M+ + H) calcd 235.1099, found 235.1108.

tert-Butylethynyl(phenyl)iodonium Diphenyl Phosphate (5: R = t-Bu, $R' = C_5H_5$). Method C. A diphenyl phosphate-loaded resin (50 mL) was prepared in a manner described above for the diethyl phosphate system. A solution of tert-butylethynyl(phenyl)iodonium tosylate (2.28 g, 5 mmol) in CHCl₂ (25 mL) was placed on the column and eluted with 200 mL of CH₂Cl₂. Evaporation of the CH₂Cl₂ gave a yellow oil that crystallized upon standing giving 2.40 g (88%) of tert-butylethynyl(phenyl)iodonium diphenyl phosphate as an off white powder: mp 108-110 °C (dec); IR (KBr, cm⁻¹) 2970, 2170, 2140, 1585, 1480, 1250–1200, 1070; ¹H NMR (CDCl₃, δ) 1.15 (s, t-Bu), 6.80–7.50 (m, ArH), 7.85–8.00 (m, Ar H); ¹³C NMR (CDCl₃, δ) 29.30 (C(CH₃)), 30.90 (CH₃), 31.71 (C-1), 114.10 (C-2), 119.53, 120.17, 122.86, 128.84, 130.69, 130.99, 132.74, 152.47 (d, ${}^{2}J_{P,C} = 7$ Hz).

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Mechanism of Autoxidation of 5,7-Dihydroxytryptamine: ¹⁸O Is Incorporated on C-4 during Oxidation with ¹⁸O₂

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Abstract: Oxidation of 3-[2-((ethoxycarbonyl)amino)ethyl]-5,7-dihydroxyindole (12) with $^{18}O_2/H_2O$ (pH \sim 8) at 25 °C gave the corresponding unlabeled 5-hydroxyindole-4,7-dione 13 and ¹⁸O-labeled isotopomer 14 in a ratio of 32:68 as indicated by mass spectral data. An ¹⁸O-isotope effect (of 0.05 ppm) on the ¹³C chemical shift for C-4 of 14 (vs unlabeled 13) confirmed that the ¹⁸O label of 14 was attached to C-4. Oxidation of 12 with O₂/H₂¹⁸O as above gave 13 and ¹⁸O-labeled 13 in a ratio of 75:25. Treatment of 13 with H₂¹⁸O under identical conditions gave 13 and ¹⁸O-labeled 13 in a ratio of 76:24. These results were interpreted to suggest that during the autoxidation of 5,7-dihydroxytryptamine (1) to 5-hydroxytryptamine-4,7-dione (6), virtually all of the incorporated oxygen on C-4 is derived from O_2 and not from H_2O .

The neurodegenerative effects of 5,7-dihydroxytryptamine (5,7-DHT, 1, Scheme I), a selective serotonergic neurotoxin, are believed to be the result of the cytotoxic effects of its products of autoxidation.¹⁻³ Consequently, much effort has been directed toward characterizing the mechanism and the products of autoxidation of 5,7-DHT. 5,7-DHT, which exhibits pronounced phenol-keto tautomerism4 with 2 being the predominant keto tautomer⁵ at pH 7.4, undergoes rapid autoxidation at the same pH. On the basis of kinetic and various circumstantial evidence, we proposed⁵ that 5,7-DHT reacts with O₂ to produce initially

An alternate mechanism, in which p-quinoneimine 7 (Scheme II) is the initial product of autoxidation of 5,7-DHT, has not yet been ruled out. p-Quinoneimine 7, long regarded8 as the product of autoxidation of 5,7-DHT, appears to be the initial, transient product of electrochemical oxidation of 5,7-DHT under acidic pH. When generated electrochemically, 7 undergoes addition of H₂O followed by electrochemical oxidation to produce quinone 6

hydroperoxide 4 via the carbon radical-superoxide complex 3. The secondary hydroperoxide 4 then breaks down to quinone 5, which rearranges to produce more stable para quinone 6. It was postulated that these quinones in turn may decompose to other products. Among the postulated products of autoxidation, so far only quinone 6 has been isolated6 and its structure has been confirmed by an unambiguous synthesis.7

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Scheme I

Scheme II

(Scheme II). The results of the electrochemical studies indicate that, in principle, it is possible for 5,7-DHT to undergo oxidation to the same quinone 6 via 7.

One of the distinguishing features between the two possible mechanisms of autoxidation of 5,7-DHT is that the source of the oxygen atom on C-4 of the common product 6, is O_2 in Scheme I and H_2O in Scheme II.⁹ We thought that by carrying out oxidation of 5,7-DHT with $^{18}O_2/H_2O$ and $O_2/H_2^{18}O$, it should be possible to obtain the first, direct evidence regarding the extent to which O_2 and/or H_2O is the source of the incorporated oxygen. We now report the results of these studies.

Results and Discussion

In designing the experimental protocol for the ¹⁸O-labeling studies, we felt it would be desirable (and more convenient) to use a suitable amino-protected derivative of 5,7-DHT rather than 5,7-DHT itself for the following reasons. First, it has been reported that the isolation of quinone 6 (as its HCl salt) after the autoxidation of 5,7-DHT required repeated chromatography under acidic conditions.⁶ We felt that much of the incorporated ¹⁸O-label may be lost during isolation of 6 using such conditions. Second,

(10) For example, the autoxidation of 5,6-dihydroxytryptamine at pH 7.4, which proceeds with autocatalytic promotion, yields 40-60 mol % of H_2O_2 : cf. ref 1b.

Scheme III

it was thought that the protection of the amino group of 5,7-DHT would not only improve the solubility of the products in organic solvents but also minimize any side reactions involving the amino group.⁵ Among the protecting groups tried were *tert*-butyloxy-carbonyl, acetyl, and ethoxycarbonyl. The latter turned out to be the most suitable in rendering 1 and 6 optimally soluble in both organic and aqueous solvents.

The synthesis of the aminoethoxycarbonyl derivative, which is 12, is shown in Scheme III. Indole 9¹¹ was converted to tryptamine 10 via the corresponding glyoxalamide.¹² The crude tryptamine was then protected as its ethoxycarbonyl derivative to give 11, which upon catalytic debenzylation furnished the target carbamate 12. Carbamate 12 was found to discolor even in the solid state and, consequently, it was utilized for the autoxidation reactions immediately after its synthesis.

It was found that the autoxidation of carbamate 12 (eq 1) was complete in 24 h at 25 °C when 12 was exposed to air in H_2O-CH_3CN in the presence of NaHCO₃ (pH of the solution in the

beginning of the reaction was <8). The product could be precipitated by simply diluting the reaction mixture with H₂O and subsequently acidifying (to pH 2) with HCl with cooling. This minimized exposure of the product to aqueous, acidic solvents. The product was quinone 13, produced in 83% yield and characterized with MS, ¹H and ¹³C NMR, and UV-visible spectroscopic techniques.

Oxidation of carbamate 12 with ¹⁸O₂ (eq 2) under identical conditions (to those of eq 1) gave 13 and its ¹⁸O-labeled isotopomer

in a ratio of 32:68 as determined by mass spectrometry. The 13 C NMR spectrum displayed three resonances for the three types of quinone carbonyls of 13 and its isotopomer (C_7 =0, C_4 =0, and C_4 = 18 O) at 178.50, 178.75, and 178.70 ppm downfield from internal Me₄Si. Observation of this 18 O-isotope effect 13 of 0.05 ppm on the chemical shift of C-4 confirmed that the 18 O-isotopomer was quinone 14 (eq 2). The observed 18 O-isotope effect

⁽⁹⁾ Another distinguishing features between the two mechanisms is that the autoxidation of 5,7-DHT, according to Scheme II, would require the formation of reduced oxygen species, such as H_2O_2 , at both stages of oxidation (1 to 7 and 8 to 6). It has been reported (Cohen, G.; Heikkila, R. Ann. N.Y. Acad. Sci. 1978, 305, 74) that very little or no H_2O_2 is detectable during the autoxidation of 5,7-DHT. As H_2O_2 is not always produced in stoichiometric amounts during the autoxidation of related phenols, 10 these results do not rule out the possibility that small fraction of 5,7-DHT undergoes autoxidation according to Scheme II.

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is comparable to those reported in the literature for some simple aldehydes and ketones.¹⁴

It is of interest to note that in an initial experiment, in which 12 was reacted with ¹⁸O₂ under conditions similar to that described in eq 2, except that EtOH was used in place of CH₂CN and the reaction was terminated after 5.5 h, the ratio of 14 to 13 formed was 82:18. (The product mixture in this case also contained $\sim 10\%$ of the starting material as determined by MS.) These results indicate that the amount of ¹⁸O incorporated during autoxidation with ¹⁸O₂/H₂O is not an accurate reflection of the extent to which O₂ (Scheme I) or H₂O (Scheme II) is the source of the incorporated oxygen. In addition to the possibility that H₂O is a minor source of the incorporated oxygen, the reasons for less than quantitative incorporation of ¹⁸O may have been the exchange of ¹⁸O of 14 with H₂O during reaction and during workup, partial oxidation of 12 by atmospheric O_2 prior to its exposure to $^{18}O_2$, and the incomplete removal of $^{16}O_2$ from the reaction mixture. Thus, the percent ¹⁸O incorporated reflects the minimum extent to which autoxidation proceeds by the incorporation of dioxygen.

Oxidation of carbamate 12 with O₂/H₂¹⁸O under identical conditions (eq 3) gave quinone 13 and its ¹⁸O-labeled isotopomer

$$12 \qquad \frac{\text{O}_2 \text{ , H}_2^{18}\text{O}}{\text{CH}_3\text{CN} \text{ , NaHCO}_3} \qquad \frac{13}{(75\%)} \qquad + \qquad \text{[^{18}O]-13} \qquad \qquad \text{(3)}$$

in a ratio of 75:25 as determined by mass spectrometry. The site at which ^{18}O was incorporated has not yet been determined. As mentioned above, it was suspected that the formation of $[^{18}O]$ -13 under these conditions may at least in part be due to the exchange of ^{18}O with the carbonyl groups of starting carbamate 12 and the unlabeled products as they form. To determine the extent of this exchange with preformed quinone 13, the latter was exposed to $H_2^{18}O$ under identical conditions (eq 4). The product was a mixture of 13 and $[^{18}O]$ -13 in a ratio of 76:24.

13
$$\frac{\text{H}_2^{18}\text{O}}{\text{CH}_3\text{CN}, \text{NaHCO}_3}$$
 13 + [180]-13 (4)

The fact that oxidation of 12 in H₂¹⁸O and the exchange reaction of 13 with H₂¹⁸O (eq 3 and 4, respectively) resulted in the formation of 13 and [180]-13 in almost the same ratio must be fortuitous. In the absence of precise data on the rate of autoxidation of 12 and the rate of exchange of oxygen of various carbonyls of 13 with H₂¹⁸O it is not possible to estimate accurately the fraction of [18O]-13 formed in eq 3 that arose solely by exchange reaction with H₂¹⁸O. Another complication is the contribution in eq 3 of the possible exchange of ¹⁸O with the hydroperoxide intermediate of 12 (analogous to 4 of Scheme I). However, the fact that the reaction of 12 in EtOH-H₂O was \sim 90% complete in 5.5 h suggests that the majority of quinone 13 to be formed was exposed to H₂¹⁸O for the major part of the reaction time (24 h). Thus, the majority (and if not all) of the [^{18}O]-13 formed, when the oxidation was done in $H_2^{18}O$ (eq 3), arose from simple exchange reaction and not by the addition of $H_2^{18}O$ to the p-quinoneimine analogous to 7 (cf. Scheme II).

Circumstantial evidence that strengthens the above conclusions is derived from the results of oxidation of 12 with ¹⁸O₂ in EtOH-H₂O described above. In this reaction, evidence for the formation of 4-ethoxy-5,7-dihydroxy-3-[2-((ethoxycarbonyl)-amino)ethyl]indone (15, structure not shown) could not be derived by TLC, MS, or ¹H NMR. If the *p*-quinoneimine derivative of 12 (analogous to 7 of Scheme II) was a significant intermediate during oxidation, then autoxidation of 12 in EtOH-H₂O should have produced detectable amounts of 15.

In conclusion the results of these ¹⁸O-labeling studies confirm the proposal that the autoxidation of 5,7-DHT proceeds with the incorporation of oxygen on C-4 and that the major source of this oxygen is O₂ and not H₂O. Because of the complex isotope dilution during reaction with ¹⁸O₂ and during workup it was not possible

to quantitate the contribution, if any, of $\rm H_2O$ as the source of incorporated oxygen. However, the ¹⁸O-labeling data as well as the circumstantial evidence strongly suggest that this contribution is probably negligible.

Experimental Section

General Materials and Methods. Proton nuclear magnetic resonance (¹H NMR) spectra were recorded on a Varian FT-80A or Varian XL-300 spectrometer while ¹³C NMR spectra (broad-band proton-noise decoupled) were recorded on a Varian XL-300 spectrometer. The chemical shifts are reported in parts per million (ppm) relative to internal Me₄Si (0.00 ppm). UV-visible spectra were recorded on a Shimadzu-260 recording spectrometer. Electron impact mass spectra (EIMS) and chemical ionization mass spectra (CIMS) were recorded on a Nermag R10-10 quadrupole mass spectrometer with ammonia as the ionizing gas. High-resolution mass spectra (HRMS) were recorded on a ZAB mass spectrometer. Melting points were detected on a Thomas-Hoover capillary melting point apparatus and are uncorrected. Chromatography was performed on a 60-200 mesh silica gel. ¹⁸O₂ containing 97 atom % ¹⁸O and H₂¹⁸O containing 50 atom % ¹⁸O were purchased from Cambridge Isotope Laboratories (Woburn, MA).

5,7-Bis(benzyloxy)-3-[2-((ethoxycarbonyl)amino)ethyl]indole (11). To a stirred solution of tryptamine 10¹² (500 mg, 1.34 mmol) in tetrahydrofuran (7 mL) were added at 25 °C a solution of K₂CO₃ (207 mg, 1.5 mmol) in H₂O (4 mL) followed by EtOCOCI (0.26 mL, 2.7 mmol). The mixture was stirred at 25 °C for an additional 1.25 h and then concentrated in vacuo. The concentrate was diluted with H2O (10 mL) and extracted with CH_2Cl_2 (2 × 10 mL). The combined CH_2Cl_2 extracts were dried (Na₂SO₄) and evaporated in vacuo. The residue was chromatographed on a column of silica gel (15 g) with 95:5 CH_2Cl_2 - Et_2O as the eluent. Recrystallization of the chromatographed material form cyclohexane-toluene gave 523 mg (88%) of carbamate 11 as a colorless amorphous solid: mp 87 °C; ¹H NMR (CDCl₃) δ 1.21 (t, J = 7.1 Hz, 3 H, CH₃), 2.89 (t, 2 H, CH₂CH₂N), 3.47 (q, 2 H, CH₂N), 4.11 (q, J = 7.1 Hz, 2 H, CH_2CH_3), 4.66 (br s, 1 H, NHCO₂), 5.08 (s, 2 H, OCH_2Ph), 5.14 (s, 2 H, OCH_2Ph), 6.52 (d, J = 1.9 Hz, 1 H, H-6), 6.73 (d, J = 1.9 Hz, 1 H, H-4), 6.95 (d, J = 2.3 Hz, 1 H, H-2), 7.25-7.50(m, 10 H, Ph), 8.13 (br s, 1 H, H-1). Anal. (C₂₇H₂₈N₂O₄) C, H, N.

5,7-Dihydroxy-3-[2-((ethoxycarbonyl)amino)ethyl]indole (12). A mixture of carbamate 11 (222 mg, 0.5 mmol), 5% Pd on C (70 mg), and deoxygenated EtOH (20 mL) was shaken in a Parr shaker at 40 psi of H_2 for 5 h at 25 °C. The mixture was then filtered under an Ar atmosphere. Evaporation of the solvent in vacuo from the filtrate gave 120 mg (90%) of 12 as a light gray solid which was shown to be pure by ¹H NMR standards and was used in the next step immediately without further purification: mp 101 °C dec; ¹H NMR (Me₂SO- d_6) δ 1.15 (t, J = 7.0 Hz, 3 H, CH₃), 2.64 (t, 2 H, CH_2 CH₂N), 3.05-3.24 (m, 2 H, CH_2 N), 3.98 (q, J = 7.0 Hz, 2 H, CH_2 CH₃), 6.08 (d, J = 1.9 Hz, 1 H, H-6), 6.28 (d, J = 1.9 Hz, 1 H, H-4), 6.89 (d, J = 2.3 Hz, 1 H, H-2), 7.07 (br s, 1 H, NHCO₂), 8.35 (s, 1 H, OH), 9.28 (s, 1 H, OH), 10.18 (br s, 1 H, H-1); HRMS (EI) m/e calcd for $C_{13}H_{16}N_2O_4$ 264.1109, found 264.1103.

Oxidation of 12 with O₂ in H₂O. A mixture of 12 (53 mg, 0.2 mmol), NaHCO₃ (76 mg, 0.9 mmol), H₂O (2.5 mL), and CH₃CN (4 mL) was stirred at 25 °C for 24 h in a stoppered flask containing at least 60 mL of air above the solution. The mixture was concentrated to ~1 mL in vacuo and then acidified at 0-5 °C to pH ~2 with 6 N HCl. The precipitate was collected by filtration and recrystallized from EtOAccyclohexane to give 46 mg (83%) of 3-[2-((ethoxycarbonyl)amino)ethyl]-5-hydroxyindole-4,7-dione (13) as a red solid: mp 180 °C dec; UV-visible max (0.05 M phosphate buffer, pH 7.4) 527 (¢ 1100), 302 (ε 11 000), 235 nm (ε 12 000); UV-visible max (0.05 M HCl-KCl buffer, pH 2.0) 466, 339, 286, 226 nm; ¹H NMR δ (Me₂SO- d_6) 1.12 (t, J = 7.1Hz, 3 H, CH₃), 2.77 (t, J = 6.8 Hz, 2 H, CH_2CH_2N), 3.06-3.40 (m, 2 H, CH_2N), 3.95 (q, J = 7.1 Hz, 2 H, CH_2CH_3), 5.64 (s, 1 H, H-6), 6.90 (d, J = 1.9 Hz, 1 H, H-2), 7.04 (br s, 1 H, NHCO₂), 11.49 (br s, 1 H, OH), 12.35 (br s, 1 H, H-1); ¹³C NMR (Me₂SO- d_6) δ 14.58 (CH₃), 25.71 (CH₂CH₂N), 38.55 (CH₂N), 59.33 (OCH₂), 106.27 (C-6), 119.41 (C-3), 122.64 (C-2), 123.13 (C-3a), 132.01 (C-7a), 156.10 (CO₂), 159.50 (C-5), 178.50 (C-7), 178.75 (C-4); CIMS m/e (rel intensity) 278 (100.0 MH⁺), 280 (16.0), 281 (4.8); HRMS (EI) m/e calcd for $C_{13}H_{14}N_2O_5$ 278.0902, found 278.0913. Anal. (C₁₃H₁₄N₂O₅) C, H, N.

Oxidation of 12 with $^{18}O_2$ in H_2O . A stirred mixture of 12 (98 mg, 0.38 mmol), NaHCO₃ (140 mg, 1.67 mmol), deoxygenated H_2O (5 mL), and deoxygenated CH₃CN (9 mL) under an Ar atmosphere was cooled in a dry ice–acetone bath (–78 °C) and evacuated for a few seconds (to \sim 10 mmHg). Immediately after that, $^{18}O_2$ (containing 97 atom % $^{18}O_3$) was introduced to restore pressure to atmospheric pressure. The mixture was warmed to 25 °C and stirred under excess $^{18}O_2$ (volume of $^{18}O_2$ (gas) above the solution was \sim 60 mL) for 24 h. Workup as described above

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gave 72 mg of a red solid with mp and ¹H NMR properties identical with those of quinone 13. The ¹³C NMR spectrum indicated that ¹⁸O had been incorporated on C-4 and the CIMS data indicated that the product consisted of 68% of $^{18}\text{O-labeled}$ quinone 14 and 32% of unlabeled quinone 13: 13 C NMR (Me₂SO- d_6) δ 14.60 (CH₃), 25.73 (CH₂CH₂N), 38.56 (CH₂N), 59.37 (OCH₂), 106.31 (C-6), 119.43 (C-3), 122.65 (C-2), 123.15 (C-3a), 132.05 (C-7a), 156.11 (CO₂), 159.47 (C-5), 178.50 (C-7), 178.70 (C-4 of 14, rel intensity 41.6), 178.75 (C-4 of 13, rel intensity 28.8); CIMS m/e (rel intensity) 279 (51.9 MH⁺), 281 (100.0), 282

Oxidation of 12 with O₂ in H₂¹⁸O. To a stirred mixture of 12 (27 mg, 0.1 mmol), NaHCO₃ (38 mg, 0.45 mmol), and CH₃CN (2.5 mL) under an atmosphere of dry air (volume of air above solution was 60 mL) was added $H_2^{18}O$ (1 g, containing 50 atom % ^{18}O) and the stoppered reaction mixture was stirred at 25 °C for 24 h. Workup as described above gave 16 mg of a red solid with melting point and ¹H NMR properties identical with those of quinone 13: CIMS m/e (rel intensity) 279 (100.0, MH⁺),

280 (15.5), 281 (18.8). Comparison of these MS data with those of pure 13 indicated formation of 13 and ¹⁸O-labeled 13 in a ratio of 87.7:12.3 (or in a ratio of 75:25 based on $H_2^{18}O$ containing 100 atom % ^{18}O).

Treatment of 13 with $H_2^{18}O$. To a mixture of 13 (14 mg, 0.05 mmol), NaHCO₃ (19 mg, 0.23 mmol), and CH₃CN (2.5 mL) under an Ar atmosphere was added H₂¹⁸O (1 g, containing 50 atom % ¹⁸O) and the stoppered reaction mixture was stirred at 25 °C for 24 h. Workup as described above for the isolation of 13 gave 10 mg of a red solid with melting point and ¹H NMR properties identical with those of quinone 13: CIMS m/e (rel intensity) 279 (100, MH⁺), 180 (17.5), 281 (18.6). Comparison of these MS data with those of pure 13 indicated formation of 13 and ¹⁸O-labeled 13 in a ratio of 87.9:12.1 (or in a ratio of 76:24 based on H₂¹⁸O containing 100 atom % ¹⁸O).

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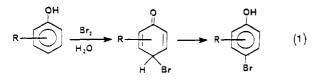
Kinetics and Mechanism of the Bromination of Phenols and Phenoxide Ions in Aqueous Solution. Diffusion-Controlled Rates¹

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Abstract: Second-order rate constants (k_2^{obsd}) for the aqueous bromination of various phenols, pyridones, and pyrimidones have been measured in the pH range 0-7. For phenols the acidity dependence follows: $k_2^{\text{obsd}} = k_2 + k_2' K_a / [H^+]$, where k_2 is for bromine attack on the phenol, k_2 is for the phenoxide ion, and K_a is the phenol acid dissociation constant. Values of k_2 vary widely and systematically ($\rho^+ = -5.2$ for p-substituted phenols), but for 16 phenoxide ions the values of k_2 are nearly constant: $(1-9) \times 10^9 \text{ M}^{-1} \text{ s}^{-1}$, at or close to the diffusion-controlled limit. Strong electron withdrawal ($\sigma^+ > 0.9$) is necessary to lower k_2 much below this limit. Since the k_2 values hardly vary, the contribution of the anion route is determined largely by the phenol acidity. The facile tribromination of phenol arises since the mono- and dibromophenols are more reactive than phenol at intermediate pHs. Tribromide ion reacts with phenoxide ion at almost the same rate as Br₂, but its reaction with phenol is insignificant. The mechanism of bromination of phenols via cyclohexadienones is discussed; it appears that the protonated dienone is not a mandatory intermediate. Values of ρ^+ for the bromination of monosubstituted benzenes in water, CF, COOH, and in CH₃COOH are virtually the same, suggesting that solvent stabilization of the Wheland intermediate is not of primary importance.

Phenols react readily with bromine to undergo electrophilic substitution at positions ortho and para to the hydroxyl group.² These reactions proceed via cyclohexadienone intermediates, 3,4 and recent studies in this laboratory have shown that transient 4-bromo-2,5-cyclohexadienones can be observed during the bromination of phenol and alkyl derivatives (eq 1) (and 1-naphthols) in aqueous solution.5



(1) Based upon studies reported in: (a) Paventi, M. Ph.D. Thesis, Con-

(1) Based upon studies reported in: (a) Paventi, M. Ph.D. Inesis, Concordia University, Montréal, 1984. (b) Bennett, J. M. M.Sc. Thesis, Concordia University, Montréal, 1986.

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In aqueous solution the bromination of phenol proceeds rapidly,⁶ and with sufficient bromine it leads quickly to the formation of 2,4,6-tribromophenol.⁷ In fact, controlled mono- or dibromination of phenol is difficult to achieve. Among other things, the present work provides insight into the course of the tribromination and shows how the reaction can be controlled.

There have been few previous kinetic studies of the bromination of simple phenols in aqueous solution, presumably because the reactions are so fast that special techniques are required. Bell and Rawlinson⁶ studied six phenols in dilute aqueous perchloric acid by using a potentiometric method. They showed that bromine reacts with the phenol or its anion, depending upon the pH. Phenoxide ions bearing only one electron-withdrawing substituent react with bromine at or near the diffusion-controlled limit, 8 and for some of these anions a small amount of reaction was attributed

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