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Ruthenium-Catalyzed Flash Oxidation of Allenes to α , α' -Dihydroxyketones

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Abstract: The ruthenium-catalyzed flash oxidation of β -allenic esters 1 furnishes α,α' -dihydroxyketones 2 in 24-72% yield. In the case of the chiral allene 1e, the oxidation proceeds with good diastereoselectivity (88% de), i.e. with efficient axis to center chirality transfer. The flash oxidation can also be used for the synthesis of corticosteroids, as exemplified by the transformation of allenic steroid 4 into dihydroxyketone 5.

Several different methods are known for the oxidation of allenes.¹⁻¹¹ Whereas the ozonation leads to the cleavage of the allenic system^{1,2} treatment of allenes with dimethyldioxirane³, peroxides⁴, peracids^{1,3d,5}, and molecular oxygen⁶ gives allene mono- and dioxides which are subsequently converted mostly into carbo- and heterocyclic products. Similarly, 3(2H)-furanones are formed in the oxidation of allenic ketones with mercuric acetate.⁷ In contrast to this, little is known about the transformation of allenes to acyclic oxidation products. Enones are formed by oxidation of allenes with hypervalent iodine reagents⁸, while the treatment with hydrogen peroxide in the presence of tungsten catalysts⁹ and with osmium tetroxide¹⁰ furnishes α -hydroxy ketones. There are only few examples for the direct conversion of an allene into the corresponding α,α' -dihydroxyketone by formal dihydroxylation of both allenic double bonds. 3b,11 This transformation, however, is of particular interest because the latter structural element is found in the biologically highly important corticosteroids. 12 Therefore, and due to our interest in syntheses and transformations of functionalized allenes¹³, we examined the ruthenium-catalyzed oxidation of β -allenic esters 1 (Scheme 1) which are readily accessible by 1,6-cuprate addition to acceptor-substituted enynes.¹³ This oxidation method was developed recently for the cis-dihydroxylation of alkenes and has been dubbed "flash dihydroxylation" due to its efficiency and short reaction times. 14

Scheme 1

Application of the original procedure for the dihydroxylation of alkenes ^{14a} to allene **1a**, i.e. treatment of a solution of the substrate in EtOAc/MeCN (1:1) at 0°C with 10 mol-% of RuCl₃ • 3 H₂O and 3.0 eq. of NaIO₄ (1.5 eq. per allenic double bond) in water, provided the desired oxidation product **2a**¹⁵ with 32% yield (**Table 1**). The starting material was consumed completely within 5 minutes; thus, the oxidation of allene **1a** takes place with a similar rate as the flash dihydroxylation of alkenes. ¹⁴ Other reaction products could not be detected; presumably, over-oxidation leads to fission products (aldehydes and ketones) which are highly volatile and/or unstable. This assumption is confirmed by the observation of acetophenone as only reaction product (59% yield) upon treatment of the phenyl-substituted allene **1b** under these conditions. Similar to **1a**, flash oxidation of the sterically crowded allene **1c**¹⁶ gave the dihydroxyketoester **2c** with 24% yield (again as only isolable product).

The flash oxidation procedure was also applied to chiral allenes bearing two different substituents at C-5. In these cases, two new centers of

Table 1: Ruthenium-catalyzed flash oxidation of allenes 1.

Allene	\mathbb{R}^1	R ²	R^3	Product	Yield (%)	de (%)
1a	Me	Me	Н	2a	32	_
1b	Ph	Me	Н	_a	_	_
1c	t-Bu	t-Bu	Н	2c	24	
1d	t-Bu	Me	Н	2d	72	0
1d	t-Bu	Me	Н	2d	52 ^b	10 ^b
1e	t-Bu	n-Bu	Н	2e	38	88
1f	t-Bu	Me	OEt	2f	45	c

^a Formation of acetophenone; see Text. ^b With 1.5 eq. of NaIO4; see Text. ^c Three diastereomers; see Text.

chirality are formed at the expense of the chirality axis of the allene; i.e. the reaction could proceed diastereoselectively with axis to center chirality transfer. The flash oxidation of allene 1d under the above conditions furnished product 2d with a good yield of 72% as a 1:1 mixture of diastereomers. The diastereoselectivity could be improved slightly to 55:45 (10% de) by decreasing the amount of sodium periodate to 1.5 eq.; in this case, only 50% of the starting material was consumed, and the yield of 2d was 52% (with regard to consumed 1d). Further variations of the stoichiometry of the reactants and of the order of addition gave no improvement of yield and selectivity. For example, the reaction proceeded much slower when acetonitrile 14b was used as organic solvent instead of EtOAc/MeCN (1:1), and large amounts of over-oxidation products were formed.

In contrast to this, the reaction of allene **1e** (bearing a *t*-butyl and a *n*-butyl group at C-5) under the original conditions (3.0 eq. of NaIO₄) gave the product **2e** with 38% yield and a good diastereoselectivity of 94:6 (88% de; both isomers were obtained in pure form by column chromatography). Thus, the ruthenium-catalyzed flash oxidation of allenes constitutes another case of efficient axis to center chirality transfer. Similarly, the ethoxy-substituted allenic ester **1f** (1:1 mixture of diastereomers) gave the oxidation product **2f** (bearing five different oxygen functionalities at adjacent carbon atoms!) with 45% yield as a 48:38:14 mixture of three diastereomers. In these reactions, racemic allenes **1d-f** were employed; however, the allenes can also be synthesized enantioselectively^{2b}, and consequently the oxidation products are also accessible in diastereo- and enantiomerically enriched form.

In order to assign the relative configuration of dihydroxyketoester 2e, the major diastereomer was converted into the acetal 3 by treatment with 2,2-dimethoxypropane (Scheme 2). A NOE effect between the hydrogen atom at C-3 and the first CH_2 group of the n-Bu substituent was observed, confirming a (3SR,5RS) configuration for 3 and 2e.

Finally, we applied the flash oxidation procedure to the allenic steroid 4 (prepared from epiandrosterone according to literature procedures¹⁷) in order to establish whether this method can also be used for the one-step preparation of corticosteroids (**Scheme 3**). A chromatographically separable mixture of the desired product 5 (16% yield) and the ketone 6 (49%) was obtained.

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Scheme 2

Scheme 3

Whereas the substrates 1 possess a trisubstituted allenic system, the terminal allene 4 is only disubstituted, and in this case oxidative cleavage of the unsaturation prevails. Nevertheless, this example shows that the ruthenium-catalyzed flash oxidation of allenes can also be applied to target molecules of biological relevance and that further improvements of the method (e.g., by using non-aqueous conditions and lower temperatures) have to be developed in order to increase its efficiency. Further work along these lines is in progress.

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(15) Representative experimental procedure: A solution of 250 mg (1.6

mmol) of 1a in 6 ml each of acetonitrile and ethyl acetate was

- cooled to 0°C, and a suspension of 1.04 g (4.9 mmol) of NaIO₄ and 42 mg (0.16 mmol) of RuCl₃ • 3 H₂O in 2 ml of water was added. After stirring at 0°C for 5 min 2 ml of a saturated aqueous Na₂S₂O₃ solution was added, the mixture was filtered through Celite and the crude product was purified by column chromatography (SiO2, cyclohexane/diethyl ether, 1:1). Yield: 104 mg (32%) of 2a as a colorless oil. Spectroscopic data of 2a: 1 H-NMR: $\delta = 1.19$ (t, J = 7.1 Hz, 3H, OCH_2CH_3), 1.36/1.37 (2s, 6H, 5-CH₃), 2.73 (dd, J = 16.2/6.9) Hz, 1H, 2-H), 2.90 (dd, J = 16.2/4.4 Hz, 1H, 2-H), 3.75/4.09 (2s, 2H, OH), 4.09 (d, J = 7.1 Hz, 2H, OCH₂), 4.87 (m, 1H, 3-H). ¹³C-NMR: δ = 14.0 (+, OCH₂CH₃), 26.9/27.7 (2+, 5-CH₃), 38.6 (-, C-2), 61.1 (-, OCH₂), 70.9 (+, C-3), 77.7 (x, C-5), 171.7 (x, C-1), 214.1 (x, C-4). IR: v = 3600-3200 (O-H), 1732 (C=O), 1717 cm⁻¹ (C=O). MS: m/e (%) = 205 (<1, M⁺+1), 118 (100). Calcd. for C₉H₁₆O₅: C 52.93, H 7.90; found C 52.62, H 8.01.
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