Facile and Efficient Preparation of Alkyl 6-Oxo-1cyclohexenecarboxylates

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Starting from methyl acetoacetate and its homologues, alkyl 6-oxo-1-cyclohexenecarboxylates have been synthesized in good to high overall yields by two steps.

Alkyl 6-oxo-1-cyclohexenecarboxylates 5 have attracted considerable attention as potentially useful synthetic intermediates for the construction of a variety of carbocyclic compounds. Indeed, in the last few years, methyl and ethyl 6-oxo-1-cyclohexenecarboxylates (5a and 5b) have been utilized as Michael acceptors in conjugate addition and as dienophiles for the Diels-Alder reaction, respectively.

The early synthesis of **5b** consisted of bromination of ethyl 2-oxocyclohexanecarboxylate and subsequent dehydrobromination with base³⁻⁵ or heat.⁶ However, an ambiguity in the structure assignment of the product derived by the above method was pointed out later on the basis of alternative and unequivocal synthesis of **5b** utilizing an acid-catalyzed cyclization of ethyl 7,7-diethoxy-3-oxoheptanoate, although overall yield was not high.⁷ Thereafter it was shown that a two-step sequence consisting of phenylselenenylation of methyl or ethyl 2-oxocyclohexanecarboxylate and succeeding selenoxide elimination efficiently and unambiguously provided the corresponding unsaturated ketoester (**5a** or **5b**).⁸ To date, this method is the most reliable preparation of these unsaturated compounds.^{1,2}

While the above procedure gave high yields of unsaturated ketoesters (80-90%), it has its own disadvantages, such as the use of expensive and toxic selenenyl compound. In addition, this method seems to be unsuitable for a large scale preparation of 5.

During the course of our synthetic study of a natural compound, a substantial quantity of *tert*-butyl 6-oxo-1-cyclohexenecarboxylate (5d) was required as a starting material. Unexpectedly, a search of the literature revealed no preparation of this useful substrate. In addition, an attempted application of the selenenylation-selenoxide elimination method to *tert*-butyl 2-oxocyclohexanecarboxylate⁹ resulted in the formation of a complex mixture, from which 5d could not be isolated.

SYNTHESIS

These results prompted us to investigate a convenient and general procedure for the synthesis of 5, and accordingly we report here such a procedure. Synthetic steps number only two

1, 3-5	R ¹	R ²	1, 3–5	R ¹	R ²
a	H	CH ₃	d	H	t-Bu
b	H	Et	e	CH ₃	CH ₃
c	H	<i>n</i> -Bu	f	CH ₂ CH=CH ₂	Et

from readily available and inexpensive starting materials, and this simplicity made the procedure particularly useful for the laboratory scale preparations of 5.

Our method is based on an intramolecular cyclization of formyl β -ketoester 4; dioxolanyl ketoester 3 derived from the dianion of 1 on alkylation with 2-(2-bromoethyl)-1,3-dioxolane (2)¹⁰ would be convertible to 5 on exposure with aqueous acid, since one can expect that the reaction undergoes not only hydrolysis of the acetal group but also subsequent acid-catalyzed intramolecular cyclization of the intermediate 4 generated *in situ*.

Thus, dianions generated from acetoacetic esters 1b-d and its homologues $1e-f^{11}$ upon treatment with sodium hydride (1 equiv) followed by butyllithium (1 equiv)¹¹ in tetrahydrofuran smoothly reacted with 2 to produce corresponding ketoesters (3b-f) in good yields (Table).

The second step of this synthesis was successfully carried out by treatment of $3\mathbf{b} - \mathbf{f}$ with 50% aqueous acetic acid at room temperature, thereby providing $5\mathbf{b} - \mathbf{f}$ in excellent yields. Simple filtration of the residue obtained by extractive work-up through a short silica gel column was sufficient to afford $5\mathbf{b} - \mathbf{f}$ of satisfactory quality. It is worthwhile to mention that the reaction conditions used here are compatible even with acid-labile compounds such as $3\mathbf{d}$ and $3\mathbf{f}$.

An attempt to use 2-(2-bromoethyl)-1,3-dioxane¹³ in place of 2 for the synthesis of acetal ketoester corresponding to 3 was

Table. β -Ketoesters 3 and Alkyl 6-Oxo-1-cyclohexenecarboxylates 5 Prepared

Prod- uct	Yield ^a (%)	bp (°C)/ mbar ^b	Molecular Formula ^c or Lit. bp (°C)/mbar	IR (film) ^d ν(cm ⁻¹)	1 H-NMR (CDCl $_3$ /TMS) e $\delta, J(Hz)$
3b	77	67/0.33	C ₁₁ H ₁₈ O ₅ (230.2)	1740, 1710 (br), 1640 (br w)	1.27 (t, 3H, $J = 7.0$); 1.5–1.9 (m, 4H); 2.6 (m, 2H); 3.43 (s, 2H); 3.90 (m, 4H); 4.19 (q, 2H, $J = 7.0$); 4.83 (br t, 1H)
3c	75	76/0.33	$C_{13}H_{22}O_5$ (258.3)	1740, 1705, 1650 (br w)	0.94 (t, 3H, $J = 6$); 1.1–1.9 (m, 8H); 2.60 (m, 2H); 3.42 (s, 2H); 3.90 (m, 4H); 4.15 (t, 2H, $J = 6.2$); 4.84 (br t, 1H)
3d	73	86/0.13	$C_{13}H_{22}O_5$ (258.3)	1740, 1715, 1645 (br w)	1.45 (s, 9 H); 1.5–1.8 (m, 4 H); 2.60 (m, 2 H); 3.34 (s, 2 H); 3.90 (m, 2 H); 4.84 (br t, 1 H)
3e	75	57/0.15	$C_{11}H_{18}O_5$ (230.2)	1740, 1710, 1645 (br w)	1.13 (d, 3 H, $J = 6.8$); 1.4–2.0 (m, 4 H); 2.70 (m, 1 H); 3.51 (s, 2 H); 3.72 (s, 3 H); 3.90 (m, 4 H); 4.83 (br t, 1 H, $J = 4.5$)
3f	76	68/0.2	$C_{14}H_{22}O_5$ (270.3)	3050 (w), 1740, 1705, 1640 (br w)	1.25 (t, 3 H, $J = 7.0$); 1.5–1.85 (m, 4 H); 2.1–2.4 (m, 2 H); 2.7 (m, 1 H); 3.45 (s, 2 H); 3.90 (m, 4 H); 4.18 (q, 2 H, $J = 7.0$); 4.81 (br t, 1 H); 4.96 and 5.1 (m, 1 H) each); 5.5–6.0 (m, 1 H)
5b	97	70/0.13	118-122/20 ⁶ 59/0.13 ⁷ 70/0.1 ⁸	1730, 1710, 1690, 1621 (w)	1.32 (t, 3 H, $J = 7.0$); 1.9–2.2 (m, 2 H); 2.4–2.62 (m, 4 H); 4.25 (q, 2 H, $J = 7.0$); 7.64 (t, 1 H, $J = 3.6$)
5c	97	63/0.33	$C_{11}H_{16}O_3$ (196.2)	1730, 1705, 1680, 1635 (w), 1615 (w)	0.95 (t, 3H, $J = 6$); 1.2–1.8 (m, 4H); 1.9–2.2 (m, 2H); 2.3 (m, 4H); 4.22 (t, 2H, $J = 6.2$); 7.67 (t, 1H, $J = 3.6$)
5d	81	77/0.13	$C_{11}H_{16}O_3$ (196.2)	1730, 1700, 1680, 1640 (w), 1620 (w)	1.52 (s, 9 H); 1.85–2.23 (m, 2 H); 2.35–2.6 (m, 4 H); 7.52 (t, 1 H, $J = 3.6$)
5e	97	54/0.2	$C_9H_{12}O_3$ (168.2)	1740, 1720, 1690, 1620 (w)	1.17 (d, 3H, <i>J</i> = 6.5); 1.5–2.2 (m, 2H); 2.3–2.64 (m, 3H); 3.80 (s, 3H); 7.61 (td, 1H, <i>J</i> = 3.9, < 1)
5f	99	77/0.2	$C_{12}H_{16}O_3$ (208.2)	3050, 1740, 1710, 1680, 1640 (br w)	1.31 (t, 3 H, $J = 7.0$); 1.6–2.62 (m, 7 H); 4.25 (q, 2 H. $J = 7.0$); 4.97 and 5.52 (m, 1 H each); 5.5–6.0 (m 1 H); 7.52 (td, 1 H, $J = 3.9$, < 1)

^a Isolated yield based on 1 for 3, and 3 for 5.

^b Oil bath temperature.

[°] Satisfactory microanalyses obtained: $C \pm 0.29$, $H \pm 0.37$.

^d Recorded on a JASCO A-3 spectrophotometer.

e Recorded on a JEOL FX90Q spectrometer.

proven to be fruitless since the 1,3-dioxane function was considerably reluctant to undergo hydrolysis under a variety of acidic conditions.

This two-step procedure described above would be a practically useful for the synthesis of 5 because of its simplicity, mild reaction conditions, and high overall yield.

tert-Butyl 7,7-(1,2-Ethylenedioxy)-3-oxohexanoate (3d); Typical Procedure:

To a 50% dispersion of NaH in mineral oil (885 mg, 20.0 mmol) is added THF (50 mL) under N₂, and the suspension is cooled in an icewater bath. tert-Butyl acetoacetate (1d; 2.97 g, 18.9 mmol) is added dropwise to the above suspension with stirring over a period of 20 min, and stirring is continued for an additional 15 min. A 1.55 M solution of BuLi in hexane (14.0 mL, 18.8 mmol) is then added dropwise over a period of 15 min. After stirring for 30 min, a solution of bromoacetal 2 (3.74, 20.6 mmol) in THF (2 mL) is added in one lot, and the mixture is allowed to warm slowly to room temperature, and stirring is continued for an additional 3 h. The reaction is quenched with aq. NH₄Cl solution (50 mL) and the product is extracted with Et₂O (3×50 mL). The combined ether extract is washed successively with H₂O (70 mL) and brine (50 mL), and dried (MgSO₄). Concentration followed by filtration of the residue through a short silica gel column (Merck silica gel 60, 70-230 mesh, 50 g) with the aid of 35 % Et_2O in hexane (150 mL) and evaporation of the eluate leaves an oil, which gives 3d (3.50 g, 73 %) as a colorless oil on distillation; yield: 3.5 g (73%); bp 86°C/0.13 mbar (bath temperature).

tert-Butyl 6-Oxo-1-cyclohexenecarboxylate (5d); Typical Procedure:

A solution of 3d (1.0 g, 3.87 mmol) and 50% aq. AcOH (50 mL) is stirred at room temperature for 30 h under N_2 , and then diluted with H_2O (50 mL). The aqueous solution is saturated with NaCl and extracted with Et_2O (4 × 50 mL). The combined extract is washed successively with sat. NaHCO₃ solution (3 × 10 mL), H_2O (100 mL), and brine. Removal of the solvent followed by distillation of the residue affords 5d (615 mg, 81%) as a colorless oil; yield: 615 mg (81%); bp 67°C/0.13 mbar (bath temperature).

This work was supported by a Grant-in-Aid for Scientific Research (No. 60470029).

Received: 12 February 1988; revised: 20 April 1988

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