Novel Synthesis of 3*H*-Pyrroles, and Novel Intermediates in the Paal–Knorr 1*H*-Pyrrole Synthesis: 2-Hydroxy-3,4-dihydro-2*H*-pyrroles from 1,4-Diketones and Liquid Ammonia

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Reaction of 2,2-dimethyl-1,4-diketones with liquid ammonia gives high yields of isolable 2-hydroxy-3,4-dihydro-2*H*-pyrroles (3) and (5) which are readily dehydrated to give separable mixtures of 3*H*-pyrroles and methylenedihydropyrroles, while less-substituted 1,4-diketones give analogous hydroxy-compounds (4) and (6) which are hitherto-unsuspected intermediates in the Paal–Knorr 1*H*-pyrrole synthesis.

3*H*-Pyrroles constitute a little-known ring system¹ with a potentially rich chemistry in terms of rearrangement, addition, and cycloaddition reactions. To date, no general synthetic approaches to these compounds have been reported.² We recently attempted to prepare 3*H*-pyrroles from 2-acyl-2-alkyl-1,4-diketones *via* the Paal–Knorr reaction, but obtained instead 1-acyl-1*H*-pyrroles by rearrangement, apparently *via* 2-hydroxy-3,4-dihydro-2*H*-pyrrole (hydroxypyrroline) intermediates.³ Believing the rearrangement to be mediated by the diketone 2-acyl substituent, we have now repeated the reaction using 2,2-dimethyl-1,4-diketones and have successfully isolated 3*H*-pyrroles.

1,4-Diketone (1a) (formed by Triton B-catalysed⁴ Michael addition of nitroethane to 4-methylpent-3-en-2-one, followed by Nef reaction⁵) on treatment overnight with a large excess of anhydrous liquid ammonia gave only the hydroxypyrroline (5a)†‡ (89%); m.p. 97 °C (from benzene-hexane) (Scheme 1). Hydroxypyrrolines of this type have been observed only rarely.^{3,6} Dehydration with basic Al₂O₃ in refluxing CH₂Cl₂ (8 h) with simultaneous removal of water (4Å molecular sieves; Soxhlet), gave a mixture (97%) of (7a), (9a), and (10a) in a ratio of 12:5:3 which was hydrolysed when subjected to Al₂O₃ or SiO₂ chromatography. However, the mixture on dissolution in ice-cold 1 m HCl (1.5 mol) followed by immediate basification with ice-cold 0.4 M Na₂CO₃ (0.9 mol) and ether extraction, gave on drying (MgSO₄) and evaporation essentially pure 3H-pyrrole (7a) [46% from (5a)].‡ Hydration of (9a) and (10a) to (5a) (recoverable from the aqueous solution with CH_2Cl_2) is apparently much faster than hydration of (7a). Attempts to purify (7a) by distillation (49-50°C; 29 mmHg) regenerated the above mixture of isomers. Diketone (1b) gave with ammonia a mixture of hydroxypyrrolines (3b) and (5b) (100% by ¹H n.m.r.), but dehydration yielded mostly the ethylidenepyrroline (9b) (85%), together with the 3*H*-pyrrole (**7b**) (15%) and no trace of the isomer (**10b**). In contrast, the diketone (**1c**) gave mostly the kinetic hydroxypyrroline isomer (**5c**) (85%),‡ m.p. 147°C (benzene-hexane); the thermodynamic isomer (**3c**),‡ m.p. 112°C, formed by rearrangement during recrystallisation of (**5c**), was recovered from the mother liquor. Isomeric hydroxypyrrolines were readily distinguished by ¹H n.m.r. signals for the R¹ or R⁴ Me groups. Both (**3c**) and (**5c**) on

† Distinguished from (3a) by ¹H coupled ¹³C n.m.r. spectrum.

‡ Satisfactory microanalyses could be obtained only for compounds (5a), (3c), (5c), and for (7a) picrate and (7c) HBF₄ salt. All new compounds were characterised spectroscopically [i.r., 1H and ^{13}C n.m.r. (CDCl₃)], e.g. (3c), $\nu_{\rm max}$. (Nujol): 3 180 (OH) and 1 605 cm $^{-1}$ (C=N); $\delta_{\rm H}$: 1.34 (3H, s), 1.44 (3H, s), 1.58 (3H, s), 1.97 and 2.13 (2H, ABq), and 7.2—7.8 (5H, m); $\delta_{\rm C}$: 27.47 (q), 28.33 (q), 29.96 (q), 50.87 (s), 54.01 (t), 97.57 (s), 128.17, 129.75, and 134.08 (Ph), and 179.2 (s); (5c), $\nu_{\rm max}$. (Nujol): 3 120 (OH) and 1 647 cm $^{-1}$ (C=N); $\delta_{\rm H}$: 0.37 (3H, s), 1.16 (3H, s), 1.71 (3H, s), 2.13 and 2.49 (2H, ABq), and 7.1—7.5 (5H, m); $\delta_{\rm C}$: 19.88 (q), 21.56 (q), 26.76 (q), 45.72 (s), 53.79 (t), 102.66 (s), 126.28, 126.82, 127.25, and 143.45 (Ph), and 178.88 (s); (7a), $\nu_{\rm max}$ (liq.): 1 635 (C=N), 1 585 (C=C), and 785 cm $^{-1}$; $\delta_{\rm H}$: 1.11 (6H, s), 2.08 (3H, d, J 1.5 Hz), 2.09 (3H, s), and 5.64 (1H, q, J 1.5 Hz); $\delta_{\rm C}$: 14.74 (q), 16.04 (q), 21.62 (q), 56.50 (s), 128.55 (d), 149.41 (s), and 188.31 (s); (4f), $\nu_{\rm max}$ (liq.): 3 290 (OH), 1 650 (C=N), and 1 110 cm $^{-1}$ (C-O); $\delta_{\rm H}$: 1.30 (3H, s), 1.97 (3H, s), 1.8—2.2 (2H, m), 2.4—2.7 (2H, m), and 4.54 (1H, br. s); $\delta_{\rm C}$: 17.93 (q), 26.33 (q), 35.22 (t), 36.79 (t), 84.79 (s), and 170.67 (s).

Me

Me

Me

Me

Me

R¹

N

(10)

a;
$$R^4 = Me$$
, $R^5 = H$

b; $R^4 = R^5 = Me$

d; $R^4 = Ph$, $R^5 = H$

c; $R^1 = Ph$
 R^2
 R^1
 R^2
 R^2
 R^1
 R^2
 R^2
 R^3
 R^4
 R^4

dehydration (basic Al_2O_3 ; refluxing benzene; 4.5 h), gave essentially pure 3H-pyrrole (7c)‡ (90%), b.p. 58°C; 1.3 mmHg, coproduct (10c) being barely detectable by 1H n.m.r. spectroscopy and readily removed by the above acid–base treatment. The isomeric diketone (1d) was converted (~100%) into a mixture of (3d) and (5d) (3:1) which was dehydrated to a 5:1 mixture of 3H-pyrrole (7d) and methylenepyrroline (9d).

When the 2-acyl-1,4-diketone (1e) was treated with liquid ammonia, we obtained not the expected intermediate (5e), but a mixture of acetamide, 1*H*-pyrrole (8d), and what appeared to be mixed diastereoisomers of the hydroxypyrroline (6d) [δ_H (CDCl₃) inter alia 1.17 (d, *J* 6 Hz), 1.35 (s), 1.58 (s), and 2.2—3.7(m)], which decomposed with concomitant formation of (8d) over a few days.§ The detection of (6d), formed apparently via C-acyl cleavage of (1e) with ammonia, suggested that compounds of this type might be isolable and hitherto unsuspected intermediates in the classical Paal–Knorr reaction between simple 1,4-diketones and ammonia, a reaction which is an important synthetic and biosynthetic route to 1*H*-pyrroles.

Treatment of hexane-2,4-dione (2f) with excess of anhydrous liquid ammonia did indeed give essentially pure hydroxypyrroline (4f)‡ as an unstable oil, containing no trace of (1f) by ¹H n.m.r. spectroscopy and contaminated with only a few percent of 1H-pyrrole (8f). After a few days at 25 °C the solution in the n.m.r. tube contained mostly (8f) and very little (4f). Likewise the diketone (2g) gave a mixture of the two diastereoisomers of (4g) and one of (6g) (probably with cis-fused rings) (δ_H 1.25, 1.44, and 1.98 respectively; Me groups), which was converted completely into (8g)§ after a few days at 25 °C, while (2h) gave just the two diastereoisomers of (6h) in a ratio of 12:7, the major one having R1 and R2 cis from the ¹H n.m.r. high-field Me signal, and the mixture decomposing as above into (8h). § Under these conditions (2i) failed to react, being recovered unchanged after evaporation of the ammonia. In no case was any evidence of hydroxyenamines (11) and (12) detected.

§ ¹H N.m.r. spectra of 1*H*-pyrroles (8) matched literature data.

Only two other examples of hydroxypyrrolines (6) are known, having been prepared indirectly from oxime ethers, and in both cases having $R^1 = R^2 = H^{.6c,d}$ Such structures do not appear to have been considered as intermediates in the Paal–Knorr 1*H*-pyrrole synthesis, discussions on the mechanism having concluded that the isomeric hydroxy-enamines related to (11) and (12) are the species involved, as is probably the case when primary amines are used in place of ammonia. The isolation of structures (6) suggests a number of different possible mechanisms for the Paal–Knorr synthesis with ammonia; these are now being explored.

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