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An Asymmetry Induced Azepine-Ring Formation through the Ene Reactions at the Periphery of Heterocyclic Systems

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Abstract: The azepine-ring formation through the ene reactions proceeds in a concerted manner although the PM3 molecular orbital calculations of the model reactions suggests that the azepine-ring formation is constituted of two consecutive orbital-allowed reactions. Both imine and carbonyl ene reactions using the aldehydes bearing a chiral center at the alkenylamino moiety have performed a self-immolating chirality transfer to the azepine ring. Copyright © 1996 Elsevier Science Ltd

Introduction

In the two preceding papers, 1,2 we reported that the stereoselective azepine-ring formation through the thermal imine and carbonyl ene reactions at the periphery of pyridine and pyrido[1,2-a]pyrimidine systems. The investigation on their mechanism based on the PM3 molecular orbital calculations revealed that the azepine-ring formation was constituted of two consecutive orbital-allowed reactions;2,3 the [1,6] sigmatropic shift (TS 1) of the allylic hydrogen yielding a conjugated azomethine ylide (Intermediate) and its [1,7] electrocyclic ringclosure (TS 2) (Scheme 1). The TS 1 corresponds to the transition state of the antarafacial hydrogen shift and the "Intermediate" does to the minimum in the potential energy surface close to the TS 1 as depicted previously.² The elaborated structure analysis by PM3 method of some intermediates between TS 1 and TS 2 revealed that they were responsible to the conformational changes of the alkenyl moiety; the alkenyl moiety was situated at an outer-side in the TS 1 moved to inside in the "Intermediate". It was suggested that the azepine-ring formation showed a concerted nature as a whole because the energy gap between the TS 1 and "Intermediate" was very small (less than 3 kcal mol^{-1}). It should be emphasized that the helical structure of the conjugated azomethine ylide intermediates resulted from the antarafacial hydrogen shift seemed to be kept in the course of the reaction coordinate from TS 1 to TS 2.4 This means that the azepine-ring formation is expected to proceed in a highly stereoselective manner. In order to obtain better understandings on the reaction mechanism of the azepine-ring formation, we examined the ene reaction of aldehydes bearing a chiral center at the alkenylamino moiety.

Scheme 1.



Results and Discussion

At first, the thermal reaction of imines 3, obtained from aldehyde 1 and chiral primary amines **2a,b** in situ, was examined; in both cases mixtures of two diastereomers 4 and 5 were obtained (Scheme 2). The chiral centers situated at the outer-side of the helix did not provide any sufficient stereo-controls of the diastereomers.



A chiral alkenyl amine 6 was prepared *in situ* by deprotection of N-Boc pyrrolidine 7 derived from Lproline according to the literatures.⁵ The reaction of amine 6 and 2-chloro-4-oxo-4H-pyrido[1,2-*a*]pyrimidine 3-carboxaldehyde (8)⁶ gave the expected chiral aldehyde 9. The reaction of aldehyde 9 with D-1phenylethylamine (2a) in benzene in the presence of molecular sieves (4Å) at room temperature gave azepine 10a in 77% yield as a single isomer. Azepine 10a was sensitive to acidic conditions such as silica gel chromatography or the solution of deuteriochloroform affording bridged compound 11a. Product 11a was also obtained in the reaction of aldehyde 9 and amine 2a in refluxing benzene (Scheme 3). This suggested that the chirality in the corresponding imine of aldehyde 9 transferred efficiently to the resulting azepine-ring. This prompted us to examine the carbonyl ene reaction of aldehyde 9. Heating 9 in refluxing toluene and usual work-up gave [1,3]oxazine 12 in 96% yield as a single isomer. An intrinsic stereoselective nature of the carbonyl ene reaction was confirmed by the comparison with the thermal reaction of racemic aldehyde (rac)-9; the enantioselectivity of the reaction of 9 was deduced to be perfect (99.0% e.e. by a chiral HPLC method, see Experimental Section).

Similarly, two other aldehydes were prepared and examined the imine and carbonyl ene reactions. Chiral alkenyl amine 6 was allowed to react with 4-chloro-1,6-dimethyl-2-oxo-1,2-dihydropyridine 3-carboxaldehyde $(13)^7$ giving the desired chiral aldehyde 14. Aldehyde 14, however, was not so stable and decomposed during isolation procedures. The reaction of aldehyde 14, without isolation, with amine 2a in benzene at room temperature gave pyrimidine 15a in a low yield. Also, thermal reaction of aldehyde 14 in refluxing xylene afforded oxazine 16 in a moderate yield. The ¹H NMR spectra and/or HPLC analysis of these products showed that 15a was a single isomer (d.e.; more than 99%) and that 16 was also enantiomerically pure (Scheme 4). This means that both ene reactions proceed with an induction of the chirality of the aldehyde 14.



On the other hand, when chiral aldehyde 18, obtained from chiral amine 6 and 6-chloro-1,3-dimethyl-2,4-dioxo-1,2,3,4-termine tropyrimidine 5-carboxaldehyde (17),⁸ was allowed to react with amine 2a in refluxing benzene; pyrimidine 19a was formed in 92% yield also as a single isomer. The carbonyl ene reaction of 18 was carried out in refluxing xylene to give an enantiomerically pure (more than 99.7% e.e.) oxazine 20 (Scheme 5).

In order to elucidate the reaction mechanism, we attempted to determine the structure of pyrimidine 19. The structure of pyrimidine 19c, derived from the reaction of 18 with D-1-(1-naphthyl)ethylamine (2c), was unambiguously confirmed by X-ray single-crystal structure analysis (Fig. 1).⁹ As a consequence, the stereochemistry of azepine 21c, the precursor of 19c, was deduced to be (5S, 6S) based on the *R* absolute stereochemistry derived from the 1-(1-naphthyl)ethylamino portion. The structure of the azomethine ylide intermediate 22c was formed by the conrotatory ring-opening of the azepine 21c. The intermediate 22c was formed via an antarafacial [1,6] hydrogen shift of chiral imine 23c and the transition state of the hydrogen shift was stereogenetic in the course of the azepine-ring formation (Scheme 6).

Scheme 6.



In these three papers, we have described the synthetic utility and mechanistic novelty of the imine and carbonyl ene reactions at the periphery of heterocyclic systems. Further investigations on the reaction mechanism and scope of these ene reactions are in progress in our laboratory.

Experimental Section

General Methods. Descriptions of usual instruments, general procedures, chromatographic procedures, and preparation of aldehyde 1 have been reported previously.¹ UV Spectra were obtained with a HITACHI 220 spectrophotometer and specific rotations were recorded on a HORIBA SEPA-200 polarimeter.

Preparation of Chiral Aldehydes 9 and 18. Typical Procedure: A solution of (2'S, 3E)-ethyl 4-[1-(*t*-butoxycarbonyl)pyrrolidin-2-yl]but-3-enoate⁵ (7; 0.269 g, 1.0 mmol) in 3.9 N hydrochloric acid-dioxane (1.5 ml) was stirred at room temperature for 2 h. To the mixture THF (5 ml) was added and the resulting mixture was cooled in ice bath. A THF solution (10 ml) of triethylamine (1.20 ml; 8.6 mmol) and then 2-chloro-4-oxo-4H-pyrido[1,2-a]pyrimidine 3-carboxaldehyde (8)⁶ was added to the mixture and the reaction mixture was stirred for 4 h. The mixture was dried over anhydrous magnesium sulfate. The magnesium sulfate and triethylamine hydrochloride was removed by filtration and the filtrate was evaporated to dryness at room

temperature. The residue was subjected to a column chromatography on silica gel to give chiral aldehyde 9 (0.299 g, 85%) with hexane/ethyl acetate= 3:2. Similarly, racemic aldehydes (rac)-9 and (rac)-14 were obtained from DL-proline.

 $6-\{(S)-2-[2-(E)-(Ethoxycarbonyl)vinyl]$ pyrrolidin-1-yl}-1,3-dimethyl-2,4-dioxo-1,2,3,4-tetrahydropyrimidine 5-carboxaldehyde (**18**): colorless oil; IR (NaCl) cm⁻¹ 1715, 1650 (CO); ¹H NMR (CDCl₃) δ = 1.27 (3 H, t, J= 7.3 Hz, OCH₂CH₃), 1.80-2.50 (4 H, ov, -CH₂CH₂-), 3.18, 3.73 (each 1 H, each m, >NCH₂-), 3.35, 3.43 (each 3 H, each s, 1- and 3-Me), 4.15 (2 H, q, J= 7.3 Hz, OCH₂CH₃), 4.74 (1 H, br m, >CHCH=CH-), 5.73 (1 H, dd, J= 15.5 Hz, J= 1.0 Hz, >CHCH=CH-), 6.53 (1 H, dd, J= 15.5 Hz, J= 8.3 Hz, >CHCH=CH-), 9.95 (1 H, s, CHO). Anal. Found: C, 57.02; H, 6.50; N, 12.70%. Calcd for C₁₆H₂₁N₃O₅: C, 57.30; H, 6.31; N, 12.53%.

Thermal Reaction of Aldehyde 1 with Chiral Amines 2. Typical Procedure: A solution of aldehyde 1 (0.10 g, 0.30 mmol) and amine 2a (0.05 ml, 0.39 mmol) in dry toluene (5 ml) was heated under reflux for 7 h and evaporated to dryness. The residue was subjected to column chromatography on silica gel to give a inseparable mixture (51: 49) of azepines 4a and 5a with hexane/ethyl acetate= 3:1.

(4S,5S) and (4R,5R)-1-Benzyl-5-[(R)-1-phenylethylamino]-4-methyl-6-oxo-1,4,5,6-tetrahydropyrido-[1',2':1,2]pyrimido[4,5-b]azepine (4) were obtained in 91% yield as an inseparable mixture of two diastereomers: pale yellow oil; IR (NaCl) cm⁻¹ 3330 (NH), 1655 (CO); ¹H NMR (CDCl₃) major diastereomer 4-1: δ= 1.32 (3 H, d, J= 6.6 Hz, 4-Me), 1.34 (3 H, d, J= 6.3 Hz, CHPhMe), 1.65 (1 H, br, 5-NH), 2.75 (1 H, m, 4-H), 3.70 (1 H, q, J= 6.3 Hz, CHPhMe), 4.54 (1 H, dd, J₂₋₃= 10.2, J₃₋₄= 2.3 Hz, 3-H), 4.87 (1 H, br s, 5-H), 4.96, 5.22 (each 1 H, each d, J_{gem} = 15.2 Hz, CH₂Ph), 5.90 (1 H, dd, J_{2-3} = 10.2, J_{2-4} = 3.0 Hz, 2-H), 6.81-7.56 (13 H, ov, Ph and 9-, 10-, and 11-H), 8.76 (1 H, d, J_{R-9}= 6.6 Hz, 8-H); minor diastereomer 4-2: δ= 1.10-1.12 (6 H, ov, CHPhMe and 4-Me), 1.65 (1 H, br, 5-NH), 2.64 (1 H, m, 4-H), 3.63 (1 H, q, J= 6.6 Hz, CHPhMe), 4.47 (1 H, br s, 5-H), 4.57 (1 H, br d, J₂₋₃= 9.6 Hz, 3-H), 4.96, 5.58 (each 1 H, each d, J_{gem} = 15.2 Hz, CH₂Ph), 6.01 (1 H, br d, J_{2-3} = 9.6 Hz, 2-H), 6.81-7.56 (13 H, ov, Ph and 9-, 10-, and 11-H), 8.86 (1H, d, J_{8-9} = 6.6 Hz, 8-H); ¹³C NMR (CDCl₃) of 4-1 and 4-2: δ = 20.5, 20.7 (4-Me), 23.9, 25.2 (CHPhMe), 37.5 (4-C), 53.9, 55.0 (5-C), 55.5 (CH₂Ph), 55.4, 56.3 (CHPhMe), 104.5, 104.7 (5a-C), 112.7, 112.9 (3-C), 113.0 (9-C), 124.7, 124.8 (11-C), 125.8, 126.5 (5-Ph-p), 126.1, 127.2 (5-Ph-o), 127.0, 127.2 (1-Ph-p), 127.5 (ov, 1-Ph-o), 127.6, 127.7 (8-C), 127.6, 127.9 (5-Ph-m), 128.1, 128.3 (2-C), 128.4, 128.5 (1-Ph-m), 134.9, 135.2 (10-C), 139.0, 139.1 (1-Ph-i), 146.3, 147.3 (5-Ph-i), 147.5, 147.6 (11a-C), 156.6, 156.8 (12a-C), 158.1 (ov, 6-C); mass m/z 436 (M⁺). Anal. Found: C, 76.85; H, 6.54; N, 12.50%. Calcd for C₂₈H₂₈N₄O: C, 77.03; H, 6.47; N, 12.84%.

A similar reaction of aldehyde 1 and amine 2b gave also diastereometric azepines 5-1 and 5-2, which were separated each other by column chromatography with hexane/ethyl acetate= 3:1. However, the structural determination of these diastereometric could not be attained.

1-Benzyl-3-[(*S*)-1-(methoxycarbonyl)ethyl]-13-methyl-1,2,3,4-tetrahydro-2,4-ethanopyrido[1',2':1,2]pyrimido[4,5-*d*]pyrimidin-5(5*H*)-one (**5-1**): Yield 41%; yellow prisms from hexane-benzene; mp 153-155 °C; IR (KBr) cm⁻¹ 1730, 1665 (CO); ¹H NMR (CDCl₃) δ = 1.19 (3 H, d, *J*= 6.6 Hz, 13-Me), 1.31 (3 H, d, *J*= 6.9 Hz, CHMeCO₂Me), 1.70 (1 H, ddd, *J*₂₋₁₂= 5.0, *J*₁₂₋₁₃= 4.0, *J*_{gem}= 12.9 Hz, 12-H_{exo}), 2.22 (1 H, dd, *J*₁₂₋₁₃= 8.9, *J*_{gem}= 12.9 Hz, 12-H_{exo}), 2.22 (1 H, dd, *J*₁₂₋₁₃= 8.9, *J*_{gem}= 12.9 Hz, 12-H_{endo}), 2.36 (1 H, m, 13-H), 3.08 (1 H, q, *J*= 6.9 Hz, CHMeCO₂Me), 3.42 (3 H, s, OMe), 4.20 (1 H, s, 4-H), 4.42, 5.07 (each 1 H, each d, *J*_{gem}= 14.9 Hz, CH₂Ph), 4.58 (1 H, d, *J*₂₋₁₂= 5.0 Hz, 2-H), 6.88 (1 H, ddd, *J*₇₋₈= 7.3, *J*₈₋₉= 6.6, *J*₈₋₁₀= 1.3 Hz, 8-H), 7.23-7.35 (6 H, ov, Ph and 10-H), 7.56 (1 H, ddd, *J*₇₋₉= 1.7, *J*₈₋₉= 6.6, *J*₉₋₁₀= 8.9 Hz, 9-H), 8.91 (1 H, dd, *J*₇₋₈= 7.3, *J*₇₋₉= 1.7 Hz, 7-H); ¹³C NMR (CDCl₃) δ = 17.7 (CHMeCO₂Me), 22.4 (13-Me), 41.5 (13-C), 43.8 (12-C), 48.5 (CH₂Ph), 51.6 (OMe), 53.3 (CHMeCO₂Me), 59.5 (4-C), 74.4 (2-C), 91.6 (4a-C), 112.4 (8-C), 124.3 (10-C), 127.3 (Ph-*p*), 127.8 (7-C), 128.5 (Ph-*o*), 128.5 (Ph-*m*), 135.7 (9-C), 138.3 (Ph-*i*), 150.1 (10a-C), 154.8 (11a-C), 155.5 (5-C), 174.0 (CO₂). Anal. Found: C, 68.96; H, 6.25; N, 13.31%. Calcd for C₂₄H₂₆N₄O₃: C, 68.88; H, 6.26; N, 13.39%.

1-Benzyl-3-[(*S*)-1-(methoxycarbonyl)ethyl]-13-methyl-1,2,3,4-tetrahydro-2,4-ethanopyrido[1',2':1,2]-pyrimido[4,5-*d*]pyrimidin-5(5*H*)-one (**5-2**): Yield 34%; colorless prisms from hexane; mp 134-135 °C; IR (KBr) cm⁻¹ 1740, 1665 (CO); ¹H NMR (CDCl₃) δ = 0.91 (3 H, d, *J*= 6.6 Hz, CHMeCO₂Me), 1.18 (3 H, d, *J*= 6.6 Hz, 13-Me), 1.67 (1 H, ddd, *J*₂₋₁₂= 5.3, *J*₁₂₋₁₃= 3.6, *J*_{gem}= 12.9 Hz, 12-H_{exo}), 2.21 (1 H, dd, *J*₁₂₋₁₃= 8.9, *J*_{gem}= 12.9 Hz, 12-H_{exo}), 2.21 (1 H, dd, *J*₁₂₋₁₃= 8.9, *J*_{gem}= 12.9 Hz, 12-H_{exo}), 2.39 (1 H, m, 13-H), 3.17 (1 H, q, *J*= 6.9 Hz, CHMeCO₂Me), 3.68 (3 H, s, OMe), 4.03 (1 H, s, 4-H), 4.39-4.47 (2 H, ov, CHHPh and 2-H), 5.12 (1 H, d, *J*_{gem}= 14.8 Hz, CHHPh), 6.88 (1 H, ddd, *J*₇₋₈= 6.9, *J*₈₋₉= 6.6, *J*₈₋₁₀= 1.3 Hz, 8-H), 7.25-7.40 (6 H, ov, Ph and 10-H), 7.56 (1 H, ddd, *J*₇₋₉= 1.7, *J*₈₋₉= 6.6, *J*₉₋₁₀= 8.6 Hz, 9-H), 8.89 (1 H, dd, *J*₇₋₈= 6.9, *J*₇₋₉= 1.7 Hz, 7-H); ¹³C NMR (CDCl₃) δ = 16.6 (CHMeCO₂Me), 22.1 (13-Me), 42.3 (13-C), 43.4 (12-C), 48.1 (CH₂Ph), 52.0 (OMe), 54.1 (CHMeCO₂Me), 61.6 (4-C), 72.3 (2-C), 92.2 (4a-C), 112.3 (8-C), 124.1 (10-C), 127.6 (Ph-*p*), 127.8 (7-C), 128.5 (Ph-*o*), 128.6 (Ph-*m*), 135.6 (9-C), 138.5 (Ph-*i*), 150.0 (10a-C), 154.9 (11a-C), 155.3 (5-C), 174.1 (CO₂). Anal. Found: C, 68.89; H, 6.24; N, 13.34%. Calcd for C₂4H₂₆N₄O₃: C, 68.88; H, 6.26; N, 13.39%.

Imine and Carbonyl Ene Reaction Using Chiral Aldehydes 9, 14, and 18. Typical Procedure: A dry toluene solution (5 ml) of aldehyde 9 (0.182 g, 0.53 mmol) and amine 2a (0.08 ml, 0.62 mmol) in the presence of molecular sieves (4Å) was stirred at room temperature for 18 h and evaporated to dryness. Azepine 10a (0.183 g, 77%) was obtained by partial crystallization with hexane-benzene. Compound 10a was liable and converted easily to bridged compound 11a under acidic conditions. A solution of aldehyde 9 (0.105 g, 0.31 mmol) in dry toluene (5 ml) was deoxygenated by passing through dry nitrogen for 0.5 h and heated under reflux for 7 h. The toluene was evaporated and the residue was subjected to column chromatography on silica gel to afford [1,3]oxazine 12 (0.100 g, 94%) with hexane/ethyl acetate= 2:5.

(55,65)-5-Ethoxycarbonyl-6-[(R)-1-phenylethylamino]-2,3,5,6-tetrahydropyrrolo1,2-g]pyrido-

[1',2':1,2]pyrimido[4,5-*b*]azepin-7(1*H*,7*H*)-one (**10a**); colorless prisms from hexane-benzene; mp 141-143 °C; IR (KBr) cm⁻¹ 3300 (NH), 1720, 1650 (CO); ¹H NMR (CDCl₃) δ = 1.28 (3 H, d, *J*= 6.6 Hz, NHCHPh*Me*), 1.36 (3 H, t, *J*= 7.3 Hz, OCH₂CH₃), 1.79-1.97 (3 H, ov, 2-H and 6-NH), 2.68- 2.79 (2 H, ov, 3-H), 3.38 (1 H, dd, *J*₄₋₅= 2.6, *J*₅₋₆= 2.6 Hz, 5-H), 3.68 (1 H, q, *J*= 6.6 Hz, NHCHPhMe), 3.85, 4.11 (each 1 H, each m, 1-H), 4.21, 4.36 (each 1 H, each dq, *J*= 7.3, *J*_{gem}= 10.6 Hz, OCH₂CH₃), 5.19 (1 H, d, *J*₄₋₅= 2.6 Hz, 4-H), 5.66 (1 H, d, *J*₅₋₆= 2.6 Hz, 6-H), 6.80-6.98 (6 H, ov, Ph and 10-H), 7.18 (1 H, d, *J*₁₋₁₂= 8.9 Hz, 12-H), 7.53 (1 H, dd, *J*₁₀₋₁₁= 6.6, *J*₁₁₋₁₂= 8.9 Hz, 11-H), 8.81 (1 H, d, *J*₉₋₁₀= 6.9 Hz, 9-H); ¹³C NMR (CDCl₃) δ = 14.4 (OCH₂CH₃), 22.1 (2-C), 24.2 (NHCHPh*Me*), 35.0 (3-C), 47.3 (5-C), 52.7 (6-C), 52.9 (1-C), 56.2 (NHCHPhMe), 60.8 (OCH₂CH₃), 95.1 (4-C), 101.3 (6a-C), 113.0 (10-C), 124.7 (12-C), 125.5 (Ph-*o*), 125.7 (Ph-*p*), 127.5 (Ph-*m*), 127.7 (9-C), 135.2 (11-C), 138.3 (3a-C), 147.4 (Ph-*i*), 147.9 (13a-C), 155.4 (12a-C), 157.9 (7-C), 173.0 (CO₂). Anal. Found: C, 70.52; H, 6.36; N, 12.52%. Calcd for $C_{26}H_{28}N_4O_3$: C, 70.25; H, 6.35; N, 12.61%

(3aS, 5S, 15S)-15-Ethoxycarbonyl-4-[(*R*)-1-phenylethyl]-1,2,3,3a,4,5-hexahydro-3a,5-ethanopyrrolo-[*b*]pyrido[1',2':1,2]pyrimido[4,5-*d*]pyrimidin-6(6*H*)-one (**11a**): colorless needles from hexane-benzene; mp 123-125 °C; IR (KBr) cm⁻¹ 1725, 1665 (CO); ¹H NMR (CDCl₃) δ = 1.27 (3 H, d, *J*= 6.6 Hz, CHPh*Me*), 1.37 (3 H, t, *J*= 7.3 Hz, OCH₂CH₃), 1.49-1.79 (4 H, ov, 2- and 3-H), 2.44-2.57 (2 H, ov, 14-H), 3.03 (1 H, dd, *J*₁₄₋₁₅= 5.3, *J*₁₄₋₁₅= 8.3 Hz, 15-H), 3.46 (1 H, q, *J*= 6.6 Hz, CHPhMe), 3.59, 3.73 (each 1 H, each m, 1-H), 4.25, 4.36 (each 1 H, each dq, *J*= 7.3, *J*_{gem}= 10.9 Hz, OCH₂CH₃), 5.23 (1 H, s, 5-H), 6.90 (1 H, ddd, *J*₈₋₉= 6.9, *J*₉₋₁₀= 6.6, *J*₉₋₁₁= 1.3 Hz, 9-H), 7.15-7.38 (6 H, ov, Ph and 11-H), 7.59 (1 H, ddd, *J*₈₋₁₀= 1.7, *J*₉₋₁₀= 6.6, *J*₁₀₋₁₁= 8.9 Hz, 10-H), 8.97 (1 H, dd, *J*₈₋₉= 6.9, *J*₈₋₁₀= 1.7 Hz, 8-H); ¹³C NMR (CDCl₃) δ = 14.4 (OCH₂CH₃), 22.9 (2-C), 25.1 (CHPh*Me*), 32.5 (3-C), 45.4 (1- and 14-C), 49.3 (15-C), 54.0 (CHPhMe), 58.7 (5-C), 61.0 (OCH₂CH₃), 85.1 (3a-C), 90.2 (5a-C), 112.4 (9-C), 123.9 (11-C), 126.4 (Ph-*o*), 126.5 (Ph-*p*), 128.1 (8-C), 128.4 (Ph-*m*), 136.1 (10-C), 148.6 (Ph-*i*), 151.2 (11a-C), 154.1 (12a-C), 155.2 (6-C), 173.8 (CO₂). Anal. Found: C, 70.09; H, 6.49; N, 12.53%. Calcd for C₂₆H₂₈N₄O₃: C, 70.25; H, 6.35; N, 12.61%.

(3aR,5S,15S)-15-Ethoxycarbonyl-1,2,3,3a-tetrahydro-3a,5-ethanopyrrolo[4,5*b*]pyrido[1',2':1,2]pyrimido[4,5-*d*][1,3]oxazin-6(5*H*,6*H*)-one (**12**): colorless needles from hexane-benzene; mp 160 °C; $[\alpha]_D^{25}$ +97.48° [*c*= 1.075 (g dl⁻¹), CHCl3]; UV(EtOH) λ_{max} nm (log ε): 266.8 (4.98); IR (KBr) cm⁻¹ 1730, 1680 (CO); ¹H NMR (CDCl₃) δ = 1.33 (3 H, t, *J*= 7.3 Hz, OCH₂C*H*₃), 1.97-2.17 (2 H, ov, 2-H), 2.28-2.49 (2 H, ov, 3-H), 2.50- 2.60 (2 H, ov, 14-H), 3.34 (1 H, dd, *J*₁₄₋₁₅= 5.6, *J*₁₄₋₁₅= 7.6 Hz, 15-H), 3.65-3.84 (2 H, ov, 1-H), 4.24 (2 H, q, *J*= 7.3 Hz, OCH₂CH₃), 5.81 (1 H, s, 5-H), 6.93 (1 H, ddd, *J*₈₋₉= 6.9, *J*₉₋₁₀= 6.6, *J*₉₋₁₁= 1.3 Hz, 9-H), 7.34 (1 H, ddd, *J*₈₋₁₁= 1.0, *J*₉₋₁₁= 1.3, *J*₁₀₋₁₁= 8.9 Hz, 11-H), 7.60 (1 H, ddd, *J*₈-10= 1.7, *J*₉₋₁₀= 6.6, *J*₁₀₋₁₁= 8.9 Hz, 10-H), 8.94 (1 H, ddd, *J*₈₋₉= 6.9, *J*₈₋₁₀= 1.7, *J*₈₋₁₁= 1.0 Hz, 8-H); ¹³C NMR (CDCl₃) δ = 15.5 (OCH₂CH₃), 24.5 (2-C), 36.0 (3-C), 43.8 (14-C), 48.1 (1-C), 54.7 (15-C), 62.6 (OCH₂CH₃), 78.3 (5-C), 95.3 (5a-C), 100.5 (3a-C), 114.2 (9-C), 125.5 (11-C), 129.1 (8-C), 137.5 (10-C), 152.5 (11a-C), 154.8 (12a-C), 156.5 (6-C), 173.9 (CO₂). Anal. Found: C, 63.27; H, 5.62; N, 12.29%. Calcd for C₁₈H₁₉N₃O4: C, 63.33; H, 5.61; N, 12.31%.

The imine and carbonyl ene reactions using aldehyde 14 were performed without isolation of the aldehyde.

(3aS, 5S, 12S)-12-Ethoxycarbonyl-7,8-dimethyl-4-[(R)-1-phenylethyl]-1,2,3,3a,4,5-hexahydro-3a,5ethanopyrrolo[2,1-*b*]pyrido[4,5-*d*]pyrimidin-6(7*H*)-one (**15a**): Yield 42%; colorless needles from hexanebenzene; mp 160-161 °C; IR (KBr) cm⁻¹ 1725, 1635 (CO); ¹H NMR (CDCl₃) δ = 1.23 (3 H, d, *J*= 6.6 Hz, CHPh*Me*), 1.34 (3 H, t, *J*= 7.3 Hz, OCH₂CH₃), 1.42-1.78 (4 H, ov, 2- and 3-H), 2.31 (3 H, s, 8-Me), 2.37 (1 H, dd, *J*₁₁₋₁₂= 9.6, *J*_{gem}= 13.2 Hz, 11-H_{endo}), 2.49 (1 H, dd, *J*₁₁₋₁₂= 9.6, *J*_{gem}= 13.2 Hz, 11-H_{endo}), 2.49 (1 H, dd, *J*₁₁₋₁₂= 9.6, *J*_{gem}= 13.2 Hz, 11-H_{exo}), 2.97 (1 H, dd, *J*₁₁₋₁₂= 3.6, *J*₁₁₋₁₂= 9.6 Hz, 12-H), 3.19, 3.43 (each 1 H, each m, 1-H), 3.31 (1 H, q, *J*= 6.6 Hz, CHPhMe), 3.48 (3 H, s, 7-Me), 4.20, 4.35 (each 1 H, each dq, *J*= 7.3, *J*_{gem}= 10.6 Hz, OCH₂CH₃), 5.07 (1 H, s, 5-H), 5.55 (1 H, s, 9-H), 7.13-7.37 (5 H, ov, Ph); ¹³C NMR (CDCl₃) δ = 14.4 (OCH₂CH₃); 21.4 (8-Me), 23.0 (2-C), 25.2 (CHPh*Me*), 30.2 (7-Me), 32.2 (3-C), 45.2 (11-C), 46.0 (1-C), 49.7 (12-C), 54.1 (CHPhMe), 59.0 (5-C), 60.7 (OCH₂CH₃), 84.5 (3a-C), 95.0 (9-C), 98.5 (5a-C), 126.3(Ph-*p*), 126.4 (Ph-*o*), 128.3 (Ph-*m*), 145.3 (Ph-*i*), 148.0, 149.1 (8- and 9a-C), 160.8 (6-C), 174.2 (CO₂). Anal. Found: C, 71.23; H, 7.41; N, 9.97%. Calcd for C₂5H₃1N₃O₃: C, 71.23; H, 7.41; N, 9.97%.

(3aR,5S,12S)-12-Ethoxycarbonyl-7,8-dimethyl-1,2,3,3a,4,5-hexahydro-3a,5-ethanopyrrolo[5,1-b]pyrido[4,5-d][1,3]oxazin-6(5H,7H)-one (16): Yield 52 %; colorless oil; $[\alpha]_D^{25}$ +182.52° [c= 0.984 (g dl⁻¹) CHCl₃]; UV(EtOH) λ_{max} nm (log ε) 233.2 (4.62); IR (NaCl) cm⁻¹ 1725, 1640 (CO); ¹H NMR (CDCl₃) δ = 1.31 (3 H, t, *J*= 7.3 Hz, OCH₂CH₃), 1.90-2.15 (2 H, ov, 2-H), 2.23-2.41 (3 H, ov, 3-H and 11-H_{endo}), 2.29 (3 H, s, 8-Me), 2.52 (1 H, dd, J_{11-12} = 3.3, J_{gem} = 13.2 Hz, 11-H_{exo}), 3.25- 3.33 (2 H, ov, 1- and 12-H), 3.45 (3 H, s, 7-Me), 3.53 (1 H, m, 1-H), 4.20 (2 H, q, *J*= 7.3 Hz, OCH₂CH₃), 5.57 (1 H, s, 9-H), 5.61 (1 H, s, 5-H); ¹³C NMR (CDCl₃) δ = 14.3 (OCH₂CH₃), 21.3 (8-Me), 23.5 (2-C), 30.1 (7-Me), 34.6 (3-C), 41.9 (11-C), 48.1 (1-C), 54.0 (12-C), 61.1 (OCH₂CH₃), 77.4 (5-C), 97.2 (9-C), 98.8 (3a-C), 104.1 (5a-C), 145.8, 148.2 (8-C, 9a-C), 160.1 (6-C), 173.0 (CO₂). Anal. Found: C, 64.12; H, 7.12; N, 8.50%. Calcd for C₁₇H₂₂N₂O₄: C, 64.13; H, 6.97; N, 8.80%.

(3aS, 5S, 12S)-12-Ethoxycarbonyl-7,9-dimethyl-4-[(R)-1-phenylethyl]-1,2,3,3a,4,5-hexahydro-3a,5-ethanopyrrolo[*b*]pyrimido[4,5-*d*]pyrimidine-6,8(7*H*,9*H*)-dione (**19a**): Yield: 92%; colorless prisms from hexane-benzene; sublimated at *ca*. 210 °C and decomposed at 269-271 °C; IR (KBr) cm⁻¹ 1720, 1685, 1625 (CO); ¹H NMR (CDCl₃) δ = 1.22 (3 H, d, *J*= 6.6 Hz, CHPh*Me*), 1.34 (3 H, t, *J*= 7.3 Hz, OCH₂CH₃), 1.62-1.89 (4 H, ov, 2- and 3-H), 2.32 (1 H, dd, *J*₁₁₋₁₂= 9.2, *J*_{gem}= 13.9 Hz, 11-H*endo*), 2.74 (1 H, dd, *J*₁₁₋₁₂= 3.0, *J*_{gem}= 13.9 Hz, 11-H*exo*), 2.94 (1 H, dd, *J*₁₁₋₁₂= 3.0, *J*₁₁₋₁₂= 9.2 Hz, 12-H), 3.35, 3.45 (each 3 H, each s, 7- and 9-Me), 3.35-3.54 (2 H, ov, CHPhMe and 1-H), 3.75 (1 H, m, 1-H), 4.20, 4.35 (each 1 H, each dq, *J*= 7.3, *J*_{gem}= 10.6 Hz, OCH₂CH₃), 4.98 (1 H, s, 5-H), 7.17-7.39 (5 H, ov, Ph); ¹³C NMR (CDCl₃) δ = 14.4 (OCH₂CH₃), 23.4 (2-C), 25.5 (CHPh*Me*), 27.7 (9-Me), 31.8 (3-C), 35.4 (7-Me), 43.6 (11-C), 49.6 (12-C), 52.1 (1-C), 54.4 (CHPhMe), 58.4 (5-C), 60.9 (OCH₂CH₃), 87.7, 87.7 (3a- and 5a-C), 126.4 (Ph-*o*), 126.7 (Ph-*p*), 128.2 (Ph-*m*), 147.8 (Ph-*i*), 151.8, 153.0 (8- and 9a-C), 160.4 (6-C), 173.6 (CO₂). Anal. Found: C, 65.82; H, 6.97; N, 12.76%. Calcd for C₂₄H₃₀N₄O₄: C, 65.73; H, 6.90; N, 12.78%.

(3aR, 5S, 12S)-12-Ethoxycarbonyl-7,9-dimethyl-1,2,3,3a-tetrahydro-3a,5-ethanopyrrolo[4,5-*b*]pyrimido-[4,5-*d*][1,3]oxazine-6,8(5*H*,7*H*,9*H*)-dione (**20**): Yield 93%; colorless needles from hexane-benzene; mp 144-145 °C; $[\alpha]_D^{25}$ =180.53 ° [*c*= 1.017 (g dl⁻¹), CHCl₃]; UV (EtOH) λ max nm (log ε) 282.6 (4.19); IR (KBr) cm⁻¹ 1720, 1680, 1630 (CO); ¹H NMR (CDCl₃) δ = 1.30 (3 H, t, *J*= 7.3 Hz, OCH₂CH₃), 2.00-2.31 (3 H, ov, 2- and 3-H and 11-H_{endo}), 2.67 (1 H, dd, *J*₁₁₋₁₂= 2.0, *J*_{gem}= 13.9 Hz, 11-H_{exo}), 3.16-3.25 (2 H, ov, 1- and 12-H), 3.33, 3.34 (each 3 H, each s, 7- and 9-Me), 3.64 (1 H, m, 1-H), 4.20 (2 H, q, *J*= 7.3 Hz, OCH₂CH₃), 5.45 (1 H, s, 5-H); ¹³C NMR (CDCl₃) δ = 14.1 (OCH₂CH₃), 23.7 (2-C), 27.6 (9-Me), 33.1 (7-Me), 34.6 (3-C), 52.8 (1-C), 53.7 (12-C), 61.2 (OCH₂CH₃), 75.1 (5-C), 95.0 (5a-C), 101.2 (3a-C), 151.8, 152.3 (8- and 9a-C), 160.1 (6-C), 172.2 (CO₂). Anal. Found: C, 57.28; H, 6.30; N, 12.56%. Calcd for C1₆H₂1N₃O5: C, 57.30; H, 6.31; N, 12.53%.

The reaction of aldehyde 18 with (R)-(+)-1-(1-naphthyl)ethylamine (2c) in refluxing benzene for 12 h gave oxazine 19c in 84% yield.

(3aS, 5S, 12S)-12-Ethoxycarbonyl-7,9-dimethyl-4-[(R)-1-(1-naphthyl)ethyl]-1,2,3,3a,4,5-hexahydro-3a,5-ethanopyrrolo[*b*]pyrimido[4,5-*d*]pyrimidine-6,8(5*H*,7*H*,9*H*)-dione (**19c**): colorless prisms from ethyl acetate; mp 254-255 °C; IR (KBr) cm⁻¹ 1720, 1680, 1620 (CO); ¹H NMR (CDCl₃) δ = 1.34-1.49 (8 H, ov, OCH₂C*H*₃ and CHAr*Me* and 3-H), 1.74 (2 H, m, 2-H), 2.35 (1 H, dd, J₁₁₋₁₂= 9.2, J_{gem}= 13.9 Hz, 11-H_{endo}), 2.81 (1 H, dd, J₁₁₋₁₂= 2.6, J_{gem}= 13.9 Hz, 11-H_{exo}), 3.01 (1 H, dd, J₁₁₋₁₂= 2.6, J₁₁₋₁₂= 9.2 Hz, 12-H), 3.39, 3.47 (each 3 H, each s, 7- and 9-Me), 3.41-3.50 (2 H, ov, 1-H), 3.71 (1 H, q, *J*= 8.9 Hz, CHArMe), 4.24, 4.38 (each 1 H, each m, OCH₂CH₃), 5.09 (1 H, s, 5-H), 7.42-7.99 (7 H, ov, Ar); ¹³C NMR (CDCl₃) δ = 14.4 (OCH₂CH₃), 23.1 (2-C), 23.6 (CHAr*Me*), 27.8 (9-Me), 32.6 (3-C), 35.4 (7-Me), 43.3 (11-C), 49.6, 49.9 (1- and 12-C), 52.2 (4-C), 58.7 (5-C), 61.0 (OCH₂CH₃), 87.8, 87.9 (3a- and 5a-C), 121.7, 124.7, 125.2, 125.7, 126.1, 127.2, 129.3, 130.1, 133.8, 142.6 (naphthyl-C), 151.9, 153.2 (8- and 9a-C), 160.6 (6-C), 173.6 (CO₂). Anal. Found: C, 66.70; H, 6.78; N, 11.38%. Calcd for C₂₈H₃₂N4O4: C, 68.83; H, 6.60; N, 11.47%. Single-crystal X-Ray Structure Determination of Oxazine 19c. For X-ray diffraction study, single crystals (prisms) of oxazine 19c were recrystallized from propan-2-ol. A crystal of approximate dimensions 0.200 x 0.280 x 0.680 mm was used for data collection. Measurements were made on a Rigaku AFC5S diffractometer by employing graphite-monochromated Mo- K_{α} radiation.

The unit-cell dimension was obtained by leastsquares analysis of 10 reflections within the range of $9.2^{\circ} < 2\theta < 11.4^{\circ}$. The crystal data are shown as follows: crystal system: orthorhombic; space group: p212121(#19); cell constants: a: 8.55 (3)Å, b: 37.00 (2)Å, c: 8.04 (1)Å, V: 2542 (10)Å³; Z value: 4; Dc: 1.278 g cm⁻³. The ω -2 θ scan technique to a maximum 2θ -value of 54.9° was used. Scans of $(1.22 + 0.30 \tan \theta)^\circ$ were made at a speed of 32.0° min⁻¹ (in omega). A total of 2711 observed reflections was collected and all calculations were performed using TEXAN¹⁰ The structure was solved by direct program. methods (MITHRIL)¹¹ and refined by leastsquares to R 0.066. ORTEP¹² drawing of **19c** is shown in Fig. 1.⁹



Fig. 1. ORTEP drawing of oxazine **19c** with crystallographic numbering scheme.

Measurement of Enantioselectivity of [1,3]Oxazines 12, 16, and 20. General Procedures: HPLC measurements were performed with a TOSOH HPLC-8010 (CCPP-D pump, UV-8010 UV detector, and CO-8010 column oven) and a DICEL CHIRALCEL OJ (id 4.6 mm x 250 mm) column; pressure: 16.0 kgf cm⁻²; flow rate: 0.5 ml min⁻¹; temperature: 35 °C. Crude oxazine 12, 16, and 20 and the corresponding racemic oxazine (*rac*)-12, (*rac*)-16, and (*rac*)-20 were used without recrystallization. For oxazine (*rac*)-12, two peaks (retention time: 43.5 and 53.1 min) were observed with hexane/propan-2-ol= 19:1 as an elution. The enantiomer excess (e.e.) of oxazine 12 was determined by the area of the two peaks [retention time: 42.3 min (99.43) and 67.8 min (0.43)]. Similarly, oxazine (*rac*)-16 gave two peaks (retention time: 45.8 and 64.7 min) with hexane/propan-2-ol= 6:1 and (*rac*)-20 did also (retention time: 42.8 and 90.8 min) with hexane/propan-2-ol= 9:1, respectively. In a similar manner the enantiomer excess of oxazine 16 and 20 was determined; for 16 [retention time; 42.3 min (95.85) and 67.8 min (0.05)] and for 20 [retention time: 42.8 min (94.09) and 94.4 min (0.16)].

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