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The third-order nonlinear optical properties of charge flowable trimethine cyanine with quinolone groups



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ABSTRACT

Organic molecules with charge flow abilities gain much attention in third-order nonlinear optical materials. To evaluate the hypothesis of terminal donor and/or acceptor groups participating organic resonance structures for third-order nonlinear optical materials, conjugated double bonds structures with terminal amino groups are selected in this paper. The trimethine cyanine dye with quinolone skeleton (**3a**) was synthesized, it shows strong reverse saturable absorption and nonlinear refraction in dimethyl formamide solution at 532 nm. Then, its derivative compound (**3b**) with long alkyl chains was synthesized in order to improve the film forming performance, the spinning coating thin film exhibits strong reverse saturable absorption with the third-order nonlinear susceptibilities $\chi_l^{(3)} = 3.42 \times 10^{-8}$ esu and $\chi^{(3)} = 3.23 \times 10^{-9}$ esu under nanosecond and picosecond laser beams respectively. The results validate that the organic compounds with terminal donor and/or acceptor groups participating resonance structures have potential value for the design of the third-order nonlinear optical materials.

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1. Introduction

Organic third-order nonlinear optical (NLO) materials have gained much attention for their relatively large nonlinearities, fast response time and structural flexibility [1-3]. In the last two decades, there is no unique rule for the selection of organic thirdorder NLO materials, the design of them used to focus on the donor and/or acceptor linked conjugated structures [4-9], and the organic structures with charge flowability, such as diradical and zwitterionic compounds [10], were recently suggested as the key structures in this field.

Through a careful investigation of the reported organic thirdorder NLO materials, it is found that organic compounds with terminal donor and/or acceptor groups participating stable resonance structures would be good candidates for the requirements of charge flowable structures; for example, the structures of NIR dye of **BM4i4i** [11,12] and selenium containing cyanine dyes [13] are fit to above structural characteristics. In our previous work, aromatic heterocycles with amino groups were evaluated for the third-order NLO properties based on above hypothesis, phenoxazinium dyes exhibit strong third-order NLO reverse saturable absorptions and nonlinear refractions, and the effective third-order nonlinear susceptibilities ($\chi^{(3)}$) are up to 8.78×10^{-11} esu and 4.20×10^{-12} esu in acetonitrile solutions under nanosecond and picosecond laser Z-scan [14], meanwhile phenothiazinium dyes show similar thirdorder NLO properties with $\chi^{(3)}$ values being 5.16×10^{-11} esu and 3.40×10^{-12} esu under nanosecond and picosecond laser beams respectively [15]. The third-order NLO properties of two types fused heterocycles indicate the terminal donor and/or acceptor groups participating resonance structures would be good candidates in this field, however, more proofs with other type of conjugated systems are needed to affirm the hypothesis.

In this paper, the third-order NLO properties of the trimethine cyanine dyes, which have conjugated double bonds with terminal amino groups in quinolone, are reported in terms of their solution and films.

2. Experimental section

2.1. Materials

Starting materials and reagents (analytical grade) were purchased from TCI Development Co., Ltd. (Shanghai branch, China) or Sinopharm Chemical Reagent Co., Ltd. (Shanghai, China) and used







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directly. Chromatography was performed with silica gel (200–300 mesh).

2.2. Instruments

Melting points were determined on an X–4 microscope electron thermal apparatus (Taike, China) and the values are uncorrected. NMR spectra were recorded on a Varian-300 or 400 MHz spectrometer. Mass spectra were recorded on Finnigan MAT95 mass spectrometer. UV–vis absorption spectra were recorded on a Shimadzu U–3900 spectrometer. Atomic force microscope (AFM) images were tested with a Bruker dimension icon system. The thickness of the films was obtained by a Hitachi S–4700 scanning electron microscope (SEM).

The third-order NLO properties were measured by the Z-scan technique as reported method [16,17], laser pulses at wavelength of 532 nm with pulse width of 4 ns (fwhm) were generated from a frequency–doubled and Q-switched Nd:YAG laser and an Nd:YAG 532 nm laser (EKSPLA) with a pulse width of 21 ps (fwhm) and repetition rate of 10 Hz was used for picosecond measurements.

2.3. Synthesis

2.3.1. Synthesis of 1-ethyl-4-methylquinolin-1-ium iodide (1a) and 1-ethyl-4-(2-(N-phenyl- acetamido)vinyl)quinolin-1-ium iodide (2a)

Compounds **1a** and **2a** were prepared according to the reported procedures [18].

2.3.2. Synthesis of 1-decyl-4-methylquinolin-1-ium iodide (1b)

A solution of 4-methylquinoline (2.00 g, 14.0 mmol) and 1iododecane (4.50 g, 16.8 mmol) in acetonitrile (140 mL) was refluxed for 48 h under nitrogen atmosphere. The solvent was removed under reduced pressure and the residue was purified by column chromatography eluting with dichloromethane and acetone (v:v = 2:1) to give the brown liquid product. Yield: 51.5%; ¹H NMR (400 MHz, CDCl₃) δ 10.15 (d, J = 6.0 Hz,1H, Ar–H), 8.39 (d, J = 8.7 Hz, 2H, 2 × Ar–H), 8.30–8.15 (m, 2H, 2 × Ar–H), 8.04 (d, J = 6.1 Hz, 1H, Ar–H), 8.01 (dd, J = 8.2, 7.3 Hz, 1H, Ar–H), 5.27 (t, J = 7. Hz, 2H, CH₂), 3.03 (s, 3H, CH₃), 2.17–2.02 (m, 2H, CH₂), 1.56–1.45 (m, 2H, CH₂), 1.40–1.31 (m, 2H, CH₂), 1.31–1.18 (m, 10H, 5 × CH₂), 0.86 (t, J = 6. Hz, 3H, CH₃). ¹³C NMR (75 MHz, CDCl₃) δ 158.34, 148.43, 136.99, 135.86, 130.26, 129.49, 127.14, 123.20, 119.17, 58.15, 31.78, 30.22, 29.44, 29.34, 29.19, 29.13, 26.49, 22.60, 20.87, 14.09. MS (ESI⁺) m/z: calcd for C₂₀H₃₀N⁺: 284.2378, found: 284.2378 [M-I⁻]⁺.

2.3.3. Synthesis of 1-decyl-4-(2-(N-phenylacetamido)vinyl) quinolin-1-ium iodide (**2b**)

Compound 1b (1.65 g, 4.0 mmol) was reacted with N,N'diphenylformamidine (0.79 g, 4.0 mmol) at 165 °C for 30 min, the residue product was washed with diethyl ether and ethanol sequentially, then dried under reduced pressure. Acetic anhydride (3 mL) and triethyl orthoformate (1.5 mL) were added to the above residue and the resultant suspension was stirred at 100 °C for 15 min. The reactant was cooled to room temperature, diethyl ether (20 mL) was added slowly. The product was collected by filtration and finally purified by column chromatography eluting with dichloromethane and acetone (v:v = 2:1) to give the product as a yellow solid. Yield: 30.6%; mp 143.2-144.5 °C; ¹H NMR (400 MHz, CDCl₃) δ 9.90 (d, J = 6.3 Hz, 1H, Ar–H), 8.88 (d, J = 14.1 Hz, 1H, Ar– H), 8.19 (d, *J* = 8.8 Hz, 1H, Ar–H), 8.16–8.02 (m, 2H, 2 × Ar–H), 7.88 (d, J = 8.5 Hz, 1H, CH), 7.76 (t, J = 7.7 Hz, 1H, Ar–H), 7.66 (m, J = 14.4, 7.3 Hz, 3H, 3 \times Ar–H), 7.37 (d, J = 7.3 Hz, 2H, 2 \times Ar–H), 6.02 (d, *J* = 14.1 Hz, 1H, CH), 5.12 (t, *J* = 7.4 Hz, 2H, CH₂), 2.09 (s, 3H, CH₃), 2.03 (m, 2H, CH₂), 1.55–1.40 (m, 3H, CH₃), 1.33 (m, J = 5.7 Hz, 2H, CH₂), 1.23 (s, 10H, $5 \times$ CH₂), 0.86 (t, J = 6.5 Hz, 3H, CH₃). ¹³C NMR (75 MHz, CDCl₃) δ 169.80, 153.87, 147.38, 140.66, 137.77, 135.40, 131.09, 130.32, 129.16, 128.31, 126.51, 125.79, 118.71, 115.18, 103.54, 57.38, 31.80, 30.00, 29.30, 26.51, 23.60, 22.63, 14.11. MS (ESI⁺) m/z: calcd for C₂₉H₃₇N₂O⁺: 429.2906, found: 429.2892 [M-I⁻]⁺.

2.3.4. Synthesis of 1-ethyl-4-(3-(1-ethylquinolin-4(1H)-ylidene) prop-1-en-1-yl)quinolin-1-ium iodide (**3a**)

To a mixture of **2a** (201.0 mg, 0.5 mmol) and **1a** (149.5 mg, 0.5 mmol) in ethanol (5 mL), Et₃N (0.15 mL) was added in portions, and then the mixture was refluxed for 1 h. The reactant was cooled to room temperature; the product was separated by filtration and recrystallized from methanol to give dark green powder. Yield: 42.5%, mp 248.3–250.2 °C; ¹H NMR (400 MHz, DMSO) δ 8.70 (t, *J* = 13.0 Hz, 1H, CH), 8.38 (d, *J* = 8.4 Hz, 2H, 2 × Ar–H), 8.17 (d, *J* = 7.3 Hz, 2H, 2 × Ar–H), 7.93 (d, *J* = 8.7 Hz, 2H, 2 × Ar–H), 7.85 (t, *J* = 7.6 Hz, 2H, 2 × Ar–H), 7.10 (d, *J* = 13.0 Hz, 2H, 2 × CH), 4.47 (q, *J* = 6.8 Hz, 4H, 2 × CH₂), 1.41 (t, *J* = 7.0 Hz, 6H, 2 × CH₃). ¹³C NMR (151 MHz, DMSO) δ 148.30, 142.51, 140.28, 137.71, 132.61, 125.74, 124.86, 124.13, 117.15, 110.40, 108.71, 48.35, 14.47. MS (ESI⁺) *m/z*: calcd for C₂₅H₂₄N¹₂: 353.2018, found: 353.2017 [M-I⁻]⁺.

2.3.5. Synthesis of 1-decyl-4-(3-(1-decylquinolin-4(1H)-ylidene) prop-1-en-1-yl)quinolin-1-ium iodide (**3b**)

To a mixture of **2b** (278.2 mg, 0.5 mmol) and **1b** (205.6 mg, 0.5 mmol) in ethanol (5.0 mL), Et₃N (0.15 mL) was added in portions, and then the mixture was refluxed for 1 h. The reactant was cooled to room temperature, and ethyl ether (30 mL) was added. The product was collected by filtration and purified by column chromatography eluting with dichloromethane and acetone (v:v = 2:1) to give the target product as a dark green solid. Yield: 58.5%. mp 155.1–156.0 °C. ¹H NMR (400 MHz, CDCl₃) δ 8.41 (t, J = 12.9 Hz, 1H, CH), 8.23 (d, J = 8.2 Hz, 2H, 2 \times Ar–H), 7.79 (d, J = 7.3 Hz, 2H, 2 × Ar–H), 7.73 (d, J = 7.5 Hz, 2H, 2 × Ar–H), 7.66 (t, J = 7.8 Hz, 2H, 2 × Ar–H), 7.49 (d, J = 8.7 Hz, 2H, 2 × Ar–H), 7.44 (t, J = 7.5 Hz, 2H, 2 × Ar–H), 6.86 (d, J = 13.0 Hz, 2H, 2 × CH), 4.18 (t, J = 7.3 Hz, 4H, 2 × CH₂), 1.87–1.73 (m, 4H, 2 × CH₂), 1.45–1.12 (m, 28H, $14 \times CH_2$), 0.86 (t, J = 6.8 Hz, 6H, $2 \times CH_3$). ¹³C NMR (75 MHz, CDCl₃) δ 148.73, 142.46, 140.08, 137.97, 132.32, 125.55, 124.75, 116.19, 110.69, 109.97, 54.45, 31.84, 29.48, 29.44, 29.25, 29.23, 29.11, 26.74, 22.66, 14.11. MS(ESI⁺) *m*/*z*: calcd for C₄₁H₅₇N₂⁺: 577.4522, found: 577.4531 [M-I⁻]⁺.

2.4. Spinning coating of the film

The quartz glass $(25 \times 25 \times 1 \text{ mm}^3)$ was sequentially washed with distilled water, acetone, ethanol and then acetone in an ultrasonic bath. Dye **3b** (20.0 mg) was dissolved in cyclopentanone (1.0 mL). The solution, which was filtered through a 0.22 μ m filter, was subsequently spin—coated at 1300 rmp on a quartz glass and the film was dried in a vacuum oven at 60 °C for 24 h to remove the residual solvent.

3. Results and discussion

3.1. Preparation of the materials

The synthetic schedule is shown in Fig. 1. Compounds **1a–b** were synthesized by the alkylation of the 4-methylquinoline. The intermediates (**2a–b**) can be obtained by the one [19] or two steps [18] synthesis according to the reported methods, and the two steps synthesis was adopted for **2a–b** since the procedures are more efficient for purification in this case. The final products (**3a–b**) were prepared by the condensations between the **2a–2b** and



Fig. 1. Synthetic routes of trimethine cyanine dyes with quinolones.

1a–1**b** respectively with the triethylamine as base. The decomposition temperature of **3a**–3**b** is up to 270–280 °C (Fig. S1), which indicates the thermal stability of the cyanine dyes. The structures of **3a**–3**b** consist of two stable resonance structures and terminal nitrogen atoms participating the resonance skeletons, these features are well fit to the suggested hypothesis of the organic charge flowable structures for third-order NLO materials.

3.2. Optical properties of compound 3a in DMF

The normalized absorption spectrum of **3a** in dimethyl formamide (DMF) solution was shown in Fig. 2 (**3a** in DMF), it has absorption maximum at 712 nm with a shoulder peak at 657 nm, which could identify the *H*-aggregation of the cyanine dye in the solution [20]. The solution has no absorption at 532 nm, so the third-order NLO properties could be measured with the 532 nm laser beam without the influence from linear absorption comparing with the reported third-order NLO properties of the cyanine dyes [21,22].

The third-order NLO properties of compound **3a** were tested by Z-scan with nanosecond laser beam at 532 nm. The DMF solution of **3a** shows strong reverse saturable absorption (RSA) and nonlinear refraction (Figs. S2 and S3) with the third-order nonlinear susceptibilities $\chi_R^{(3)} = 1.45 \times 10^{-11}$ esu, $\chi_I^{(3)} = 2.08 \times 10^{-11}$ esu and $\chi^{(3)} = 2.54 \times 10^{-11}$ esu which can be calculated by the reported methods [23,24] (Table S1). The remarkable third-order NLO properties of compound **3a** show the potential application of the terminal nitrogen atoms participating resonance structure of



Fig. 2. Normalized absorption spectra of **3a**–**3b**.

trimethine cyanine, unfortunately, compound **3a** is difficult to form a thin film because of its undesirable physical characteristics. Thus the design of derivatives of **3a** is necessary for the third-order NLO properties in solution as well as thin film.

3.3. Optical properties of compound **3b** in DMF solution and film

The spin-coating method was reported as the easy way to form optical thin films, and that functional dyes with long alkyl chains



Fig. 3. Third-order NLO responses of compound **3b** $(1.0 \times 10^{-3} \text{ mol } \text{L}^{-1})$ in DMF solution (points are tested data and lines are fit curves). (a) and (b): open aperture transmittances; (c) and (d): refractive parts, data were obtained from the ratio of the closed aperture transmittance divided by the open aperture transmittance. (a) and (c): tested with nanosecond laser beam at 532 nm; (b) and (d): tested with picosecond laser beam at 532 nm.

Table 1

Entry	Samples	Laser	T_0^a	$n_2 (\mathrm{m}^2\mathrm{W}^{-1})^{\mathrm{b}}$	$\beta (m W^{-1})^c$	$\chi_R^{(3)} (\mathrm{esu})^{\mathrm{d}}$	$\chi_I^{(3)}$ (esu) ^e	$\chi^{(3)} \left(esu ight)^{f}$	γ' (esu) ^g
1	3b in DMF ^h	ns ⁱ	0.72	8.50×10^{-17}	1.50×10^{-9}	3.08×10^{-11}	3.29×10^{-11}	4.51×10^{-11}	2.26×10^{-32}
2	3b Film	ns ^j	0.86	-	$1.80 imes 10^{-6}$	-	3.42×10^{-8}	-	-
3	3b in DMF ^h	ps ^k	0.71	3.40×10^{-18}	1.31×10^{-10}	1.23×10^{-12}	$2.87 imes 10^{-12}$	$3.12 imes 10^{-12}$	1.56×10^{-33}
4	3b Film	ps ^k	0.86	8.70×10^{-15}	9.10×10^{-8}	2.73×10^{-9}	1.73×10^{-9}	$\textbf{3.23}\times 10^{-9}$	1.91×10^{-31}

The third-order NLC	properties of	the compound 3b .

^a Linear transmittance.

^b Nonlinear refractive index.

^c Nonlinear absorption coefficient.

^d Real part of third-order nonlinear susceptibility.

^e Imaginary part of third-order nonlinear susceptibility.

^f Third-order nonlinear susceptibility.

^g Second-order hyperpolarizability.

^h With the concentration at 1.0×10^{-3} mol L⁻¹.

ⁱ Tested with nanosecond laser beam (532 nm) at 1.20 μ L

^j Tested with nanosecond laser beam (532 nm) at 0.90 µJ.

^k Tested with picosecond laser beam (532 nm) at 0.25 μ J.

[25], composite materials [26–30], side-chain polymers [31,32] and sol–gel complexes [33,34] were used for the film. Functional dye with decyl groups was selected for derivative of the trimethine cyanine, and compound **3b** was obtained by altering the substituent group of **3a** to improve the film-forming ability (Fig. 1). The linear optical absorption of **3b** in DMF (Fig. 2, **3b** in DMF), absorption maximum at 714 nm with a shoulder peak at 658 nm, is similar to that of **3a**.

The third-order NLO properties of **3b** $(1.0 \times 10^{-3} \text{ mol } \text{L}^{-1})$ in DMF were firstly evaluated by Z-scan with 532 nm nanosecond laser beam at 1.20 µJ. The third-order NLO absorption was expressed by open aperture transmittance as shown in Fig. 3(a), and the solution shows strong RSA. The nonlinear refractive data were obtained from the closed aperture transmittance divided by the open aperture transmittance, and the valley-to-peak configuration of the curve suggests self-focusing effect (Fig. 3(c)). The calculated third-order NLO parameters are $\chi^{(3)} = 4.51$ $\times 10^{-11}$ esu, second-order hyperpolarizability $\gamma\prime = 2.26 \times 10^{-32}$ esu (Table 1, Entry 1). Strong third-order NLO RSA and refractive phenomena with $\chi^{(3)} = 3.12 \times 10^{-12}$ esu and $\gamma' = 1.56$ $\times 10^{-33}$ esu were also verified by the 532 nm picosecond laser beam at 0.25 µJ (Fig. 3 (b) and (d); Table 1, Entry 3). Comparing with the reported properties of pentamethine cyanine [35], compound **3b** has the similar third-order NLO performance in the same test condition although it has shorter conjugated double bond skeleton, and the results also indicate the importance of the terminal nitrogen atoms participating the resonance skeletons for third-order NLO materials.

The optical film of **3b** was prepared by spin coating. The film has the smooth surface (Fig. 4(a)) with waviness of the topographic at about 2 nm (Fig. 4(c)). The cross-sectional SEM image reveals that the thickness of the film is 144 nm (Fig. 4(b)). These material features of the film with **3b** indicate the long alkyl chains of decyl groups are good selection for optical device of the trimethine cyanine. The absorption spectra of the film containing **3b** is shown in Fig. 2 (**3b** film), the absorption maximum locates at 651 nm with a shoulder band at 745 nm, the difference between the absorptions of **3b** in solution and film would be induced by the existent of *J* and *H*-aggregations for the cyanine dye at the same time [36].

Strong RSA of the film was found by the Z-scan with nanosecond laser beam with 532 nm at 0.90 μ J (Fig. 5(a)), and that the imaginary part of third-order nonlinear susceptibility is up to 3.42×10^{-8} esu (Table 1, Entry 2); the refractive part cannot be detected under this condition (Fig. 5(c)) due to the strong RSA in this condition. Meanwhile, strong RSA and nonlinear refraction phenomena were observed by picosecond laser beam with 532 nm at 0.25 μ J (Fig. 5(b) and (d)), and it has remarkable third-order NLO parameter with $\chi^{(3)} = 3.23 \times 10^{-9}$ esu and $\gamma' = 1.91 \times 10^{-31}$ esu. The third-order properties of compound **3a** and **3b** affirm the hypothesis for



Fig. 4. Characteristics of the spin-coating film. (a): AFM height image of the film; (b): SEM image of the film cross section; (c): a typical cross section profile of AFM topographic image.



Fig. 5. Third-order NLO responses of spin-coating film with **3b** (points are tested data and lines are fit curves). (a) and (b): open aperture transmittances; (c) and (d): refractive parts, data were obtained from the ratio of the closed aperture transmittance divided by the open aperture transmittance. (a) and (c): tested with nanosecond laser beam at 532 nm; (b) and (d): tested with picosecond laser beam at 532 nm.

selection of third-order NLO materials from terminal donor and/or acceptor groups containing stable resonance structures.

4. Theoretical calculations

To get insight into the optical properties of 3a-3b, (TD)DFT calculations with the B3LYP exchange functional employing 6-311 + G(d) basis sets were performed with the Gaussian09 program package [37]. Solvent effects are considered by conductor-like polarizable continuum model (CPCM) and DMF is used as solvent. The optimized structures and molecular orbital plots of cations $3a^+-3b^+$ are shown in Fig. 6 and Figs. S4 and S5. Selected parameters for the vertical excitation (UV–vis absorptions) of them are displayed in Table S2.

The analysis of the wave function indicates that the electron absorption corresponds to the transition from the ground to the



Fig. 6. The HOMO and LUMO of **3b**⁺ in DMF.

first excited state (S0 \rightarrow S1) and is mainly described by oneelectron excitation from the highest occupied molecular orbital (HOMO) to the lowest unoccupied orbital (LUMO)(Table S2). For both **3a**⁺ and **3b**⁺, the two quinoline rings are not in the same plane (Fig. S4), and the dihedral angles between which are 36° and 32° respectively. Even so, the HOMOs and LUMOs of **3a**⁺–**3b**⁺ are still delocalized over the whole conjugated structure, that is, the two quinoline chromophore and conjugated double bonds (Fig. S5, Fig. 6).

NBO charge analysis shows the charges on two nitrogen atoms of $3a^+$ are -0.366e and -0.363e. However, for $3b^+$, the charges are -0.371e and -0.364e respectively. The two nitrogen atoms on the heterocyclic ring have a strong electron-withdrawing ability, and on both sides of the electron decyl group, it can effectively push the electronic nitrogen atom, to form a suction-supply system, and to enhance the degree of intramolecular charge transfer. This long electron conjugated structure for a suction system is present, so that the compound 3b under the action of the light stronger electron-photon coupling, thus contributing to increasing the electron from the ground state to the excited state, reducing the transition energy from 2.269 eV for $3a^+$ to 2.266 eV for $3b^+$ (Figs. 6, S5), so the sample 3b has a more strong third-order nonlinear optical response.

5. Conclusions

Due to the requirements of the organic third-order NLO materials, trimethine cyanine dyes with terminal donor and/or acceptor groups participating resonance structures were selected in this paper. 1-Ethyl-4-(3-(1-ethylquinolin-4(1H)-ylidene)prop-1-en-1yl)quinolin-1-ium iodide (3a) shows strong reverse saturable absorption and nonlinear refraction by Z-scan under nanosecond laser beam at 532 nm, then the derivative compound, 1-decyl-4-(3-(1-decylquinolin-4(1H)-ylidene)prop-1-en-1-yl)quinolin-1-ium iodide (3b), with long alkyl groups was redesigned and synthesized. Optical film of **3b** can easily be prepared by spin-coating, and it exhibits more strong reverse saturable absorption at 532 nm, the imaginary part of third-order nonlinear susceptibility is up to 3.42×10^{-8} esu under nanosecond laser beam, and the nonlinear susceptibility is up to 3.23×10^{-9} esu under picosecond laser beam, respectively. Their optical properties are discussed through NBO charge distribution analysis and the frontier molecular orbital theory. The results of this research validate the potential application of the terminal donor and/or acceptor groups participating stable resonance structures in designing the third-order NLO materials.

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Appendix A. Supplementary data

Supplementary data related to this article can be found at http://dx.doi.org/10.1016/j.dyepig.2014.01.014.

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