

N-Heterocyclic Carbene-Catalyzed Asymmetric Synthesis of Cyclopentenones

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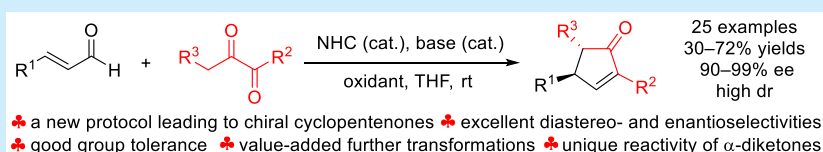
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ABSTRACT: N-Heterocyclic carbene-catalyzed asymmetric construction of cyclopentenones using enals and α -diketones is achieved, furnishing a series of highly functionalized cyclopentenones in a highly diastereo- and enantioselective manner. The protocol tolerates substrates with both aromatic and aliphatic groups, and further transformations of the products delivered a range of value-added molecules.

Cyclopentenones serve as benchmark substrates for numerous transformations owing to the broad diversity of functionalizations at all five positions on the ring structure that show both nucleophilic and electrophilic reactivities (Figure 1a).¹ Furthermore, the chiral cyclopentenone unit is

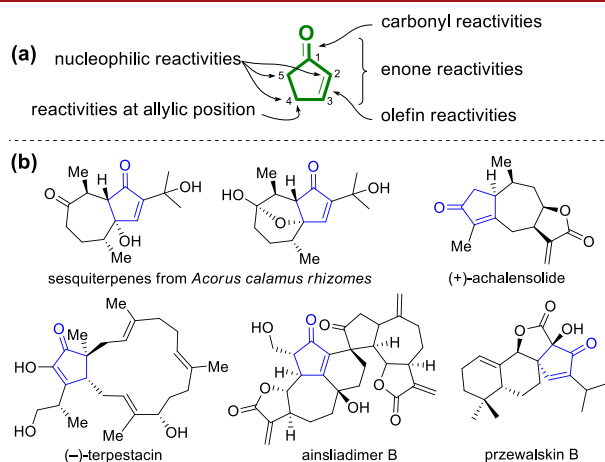


Figure 1. Reactivities of cyclopentenones and natural products containing cyclopentenone units.

present in a large number of bioactive compounds such as sesquiterpenes from *Acorus calamus* rhizomes,^{2a} (+)-achalensolide,^{2b} (-)-terpestacin,^{2c,d} przewalskin B,^{2e} ainsliadimer B,^{2f} etc. (Figure 1b). Therefore, a large number of reactions have been reported to make chiral cyclopentenones, which have been well documented in a series of reviews.³

However, a detailed literature survey shows that most of the methods rely on the resolution of racemic cyclopentenones,^{4a}

asymmetric functionalization of existing cyclopentenone units,^{4b} and modifications on chiral building blocks;^{4c} protocols allowing the direct construction of cyclopentenone rings via asymmetric catalysis remain underdeveloped. In this context, transition-metal-catalyzed asymmetric Pauson–Khand reaction^{5a–e} and Nazarov cyclization^{5f–i} represent two types of the most commonly studied approaches for achieving the purpose described above. In sharp contrast, organocatalyzed asymmetric cyclopentenone synthesis has been scarcely realized. BINOL-*N*-triflyl phosphoramides have been employed to catalyze the asymmetric Nazarov cyclizations by Nachtsheim, Rueping, and Ieawsuwan et al.⁶ Jørgensen and co-workers described a two-step method using both secondary amine and N-heterocyclic carbene (NHC) as the catalysts to afford chiral cyclopentenones.⁷ Considering the high importance of chiral cyclopentenones and the currently limited approaches for directly constructing cyclopentenone rings in asymmetric fashions, the development of new methods is still urgently needed.

We have commenced a systematic study of α -diketone chemistry and revealed a series of unique reactivities of α -diketones.⁸ On the other hand, oxidative NHC catalysis has been a robust strategy in the asymmetric construction of cyclic molecules.⁹ Here we report that α -diketone substrates can be used as nucleophilic reagents to perform cascade annulations

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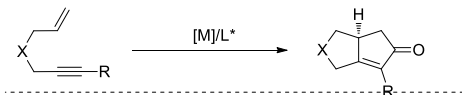
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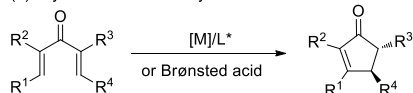
under oxidative NHC catalysis to release a series of highly functionalized cyclopentenones in an excellent diastereo- and enantioselective manner. The mechanism of cyclopentenone formation is thoroughly different from that of Jørgensen's report,⁷ and to the best of our knowledge, such an annulation mode has not been disclosed in the realm of NHC catalysis.⁹ Therefore, this work provides a new choice of direct and asymmetric construction of cyclopentenone rings. Herein, we report the results (Scheme 1).

Scheme 1. Catalytic Asymmetric Construction of Cyclopentenones

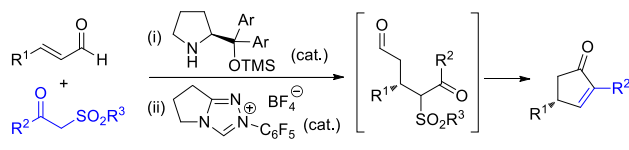
(a) asymmetric Pauson-Khand reaction



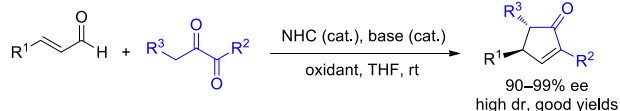
(b) asymmetric Nazarov cyclization



(c) cascade secondary amine-NHC-catalyzed annulation



(d) this work



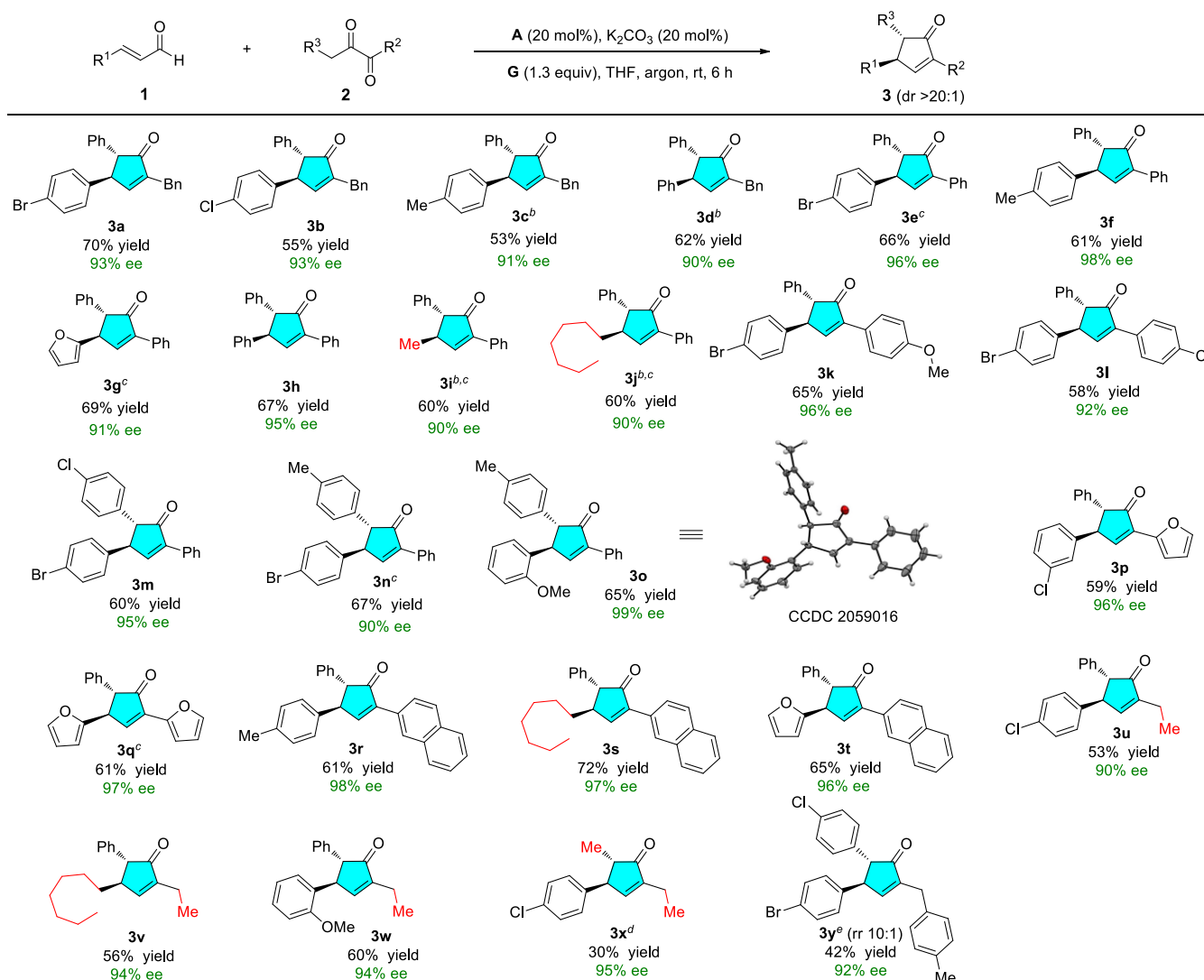
First, we selected *trans*-4-bromocinnamaldehyde **1a**, diketone **2a**, and NHC **A** to optimize the reaction conditions. To our delight, cyclopentenone **3a** was isolated as a single diastereoisomer in 62% yield with excellent 93% ee using K_2CO_3 as the base, THF as the solvent, and **G** as the oxidant (Table 1, entry 1). It is noteworthy that possible byproduct lactone **3a'**, whose formation has been well documented in literature reports via α,β -unsaturated acyl azoliums,¹⁰ was not observed. Then we tested a series of amino indanol-derived catalysts, such as **B–D**, but in these cases, only a minimal amount of **3a** was formed (Table 1, entries 2–4, respectively). Catalysts **E** and **F** showed similar results (Table 1, entries 5 and 6, respectively). Using catalyst **A**, we further tested a series of bases such as $NaHCO_3$, Et_3N , CS_2CO_3 , $tBuOK$, and DBU, but only inferior results were observed (Table 1, entries 7–11, respectively). We then evaluated different solvents (i.e., toluene and CH_2Cl_2) while using K_2CO_3 as the base, but lower yields were detected (Table 1, entries 12 and 13, respectively). Gratifyingly, increasing the amount of both triazolium **A** and K_2CO_3 to 20 mol % could enhance the yields without affecting the enantioselectivity (Table 1, entry 14). Then the yield of **3a** was increased to 70% upon addition of slightly more oxidant (Table 1, entry 15). We also tested the reaction without an NHC catalyst and isolated a 14% yield of **3a**, indicating that background reaction also occurs (Table 1, entry 16). The reactions without K_2CO_3 or with 10 mol % K_2CO_3 also occurred but delivered **3a** in lower yields (entries 17 and 18).

Table 1. Optimization of Reaction Conditions^a

entry	catalyst	base	solvent	time (h)	yield (%) ^b	ee (%) ^c
1	A	K_2CO_3	THF	1	62	93
2	B	K_2CO_3	THF	1	17	82
3	C	K_2CO_3	THF	1	trace	—
4	D	K_2CO_3	THF	1	trace	—
5	E	K_2CO_3	THF	1	trace	—
6	F	K_2CO_3	THF	1	trace	—
7	A	$NaHCO_3$	THF	1	trace	—
8	A	Et_3N	THF	1	trace	—
9	A	CS_2CO_3	THF	1	53	89
10	A	$tBuOK$	THF	1	51	87
11	A	DBU	THF	1	38	86
12	A	K_2CO_3	toluene	1	15	90
13	A	K_2CO_3	CH_2Cl_2	1	38	92
14 ^{d,e}	A	K_2CO_3	THF	6	68	91
15 ^{d-f}	A	K_2CO_3	THF	6	70	93
16 ^{d,f}	—	K_2CO_3	THF	6	14	—
17 ^{e,f}	A	—	THF	6	61	92
18 ^{e,f}	A	K_2CO_3	THF	6	57	93

^aReaction conditions: **1a** (0.2 mmol), **2a** (0.24 mmol), base (0.02 mmol), solvent (1 mL), catalyst (0.02 mmol), **G** (0.2 mmol), under argon protection, rt. ^bIsolated yields based on **1a**. ^cDetermined via HPLC analysis on a chiral stationary phase. ^dBase (0.04 mmol) was added. ^eCatalyst **A** (0.04 mmol) was used. ^f**G** (0.26 mmol) was added.

Having identified the optimal conditions, we then evaluated the substrate scope to demonstrate the generality and limitation of this transformation (Scheme 2). Using benzyl diketone **2a** as the nucleophile, a series of differently substituted aromatic enals were examined. We found that the reaction could tolerate enals with 4- ClC_6H_4 , Ph, and electron-donating 4-Me C_6H_4 substituents, delivering the corresponding products with excellent 90–93% ee in moderate to good yields (Scheme 2, **3b–3d**, respectively). Then we turned our attention to unsymmetrical diketones such as benzyl/phenyl diketone **2b**. The variation of the R^1 group from electron-withdrawing 4- BrC_6H_4 to Ph, heterocyclic furan, or electron-donating 4-Me C_6H_4 all proved successful, and the cyclopentenones could be obtained with $\leq 98\%$ ee (Scheme 2, **3e–3h**). When R^1 was methyl or n -heptyl groups, the reaction also proceeded well to release the corresponding products with 90% ee (Scheme 2, **3i** and **3j**). Then using *trans*-4-bromocinnamaldehyde **1a** as a substrate, we evaluated a series of differently substituted benzyl/phenyl diketones and found that the substituents installed in the phenyl group had little impact on the reactions (Scheme 2, **3k–3n**). It is noteworthy that the reaction of the 2-MeOC C_6H_4 -substituted enal with the diketone could also provide the annulation product in 65% yield with excellent 99% ee (Scheme 2, **3o**). Furthermore, the

Scheme 2. Substrate Scope^a

^aAll reactions were run on a 0.2 mmol scale. ee values were determined via HPLC analysis on a chiral stationary phase. All yields were of isolated products. ^bThe reaction mixture was held at −10 to 0 °C for 7–18 h. ^c**B** (0.04 mmol) was used instead of **A**. ^ddr = 10:1. ^eThe reaction was performed at 0 °C, and the regioselectivity was 10:1.

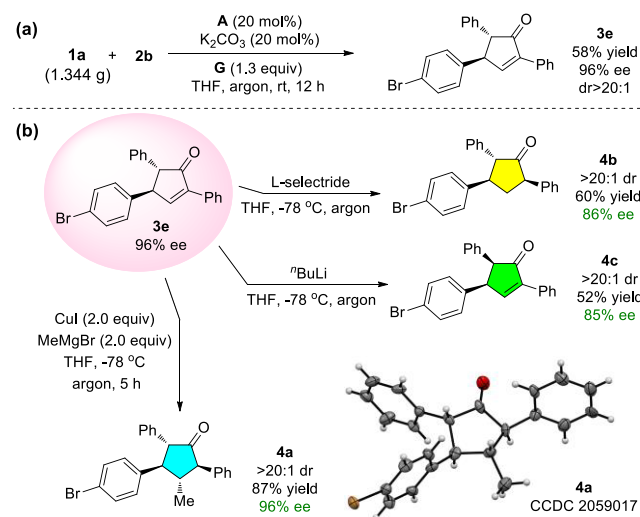
variation of both R^1 and R^2 groups proved feasible, and the substrates having different substitution patterns all reacted well to produce the corresponding products with $\leq 98\%$ ee in moderate to good yields (Scheme 2, **3p**–**3w**). It is worth mentioning that only one diastereoisomer was detected in all of the cases mentioned above. A diketone substrate with two aliphatic substituents was also tested, and the cyclopentenone product could be obtained with 95% ee, albeit in diminished yield (Scheme 2, **3x**). Regioselective annulation using differently substituted dibenzyl type diketone could also be achieved, leading to **3y** in 42% yield with 92% ee (Scheme 2, **3y**). The relative configuration of **3o** was determined by single-crystal X-ray analysis, and other products were assigned by analogy.

The scale-up reaction can be realized using 1.344 g of **1a**, affording **3e** in 58% yield without erosion of the enantioselectivity (Scheme 3a). Moreover, the optically enriched cyclopentenone product prepared by our catalytic annulation reaction could readily undergo further transformations (Scheme 3b). For instance, the nucleophilic attack

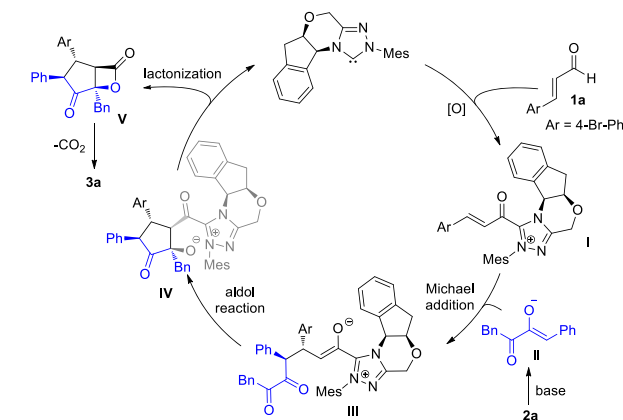
of **3e** by methyl copper reagent could provide cyclopentanone **4a** in good yield with high enantioselectivity. It is noteworthy that **4a** contains four adjacent stereocenters, and the configuration of **4a** was confirmed by single-crystal X-ray analysis. Furthermore, L-selectride reduction of **3e** afforded **4b** in 60% yield, and the relative configuration was confirmed via NOESY spectrum analysis.¹¹ Interestingly, the *trans*-cyclopentenone product could be converted to *cis*-product **4c** in moderate yield with high ee when strong base ^tBuLi was used.¹²

A probable mechanism for the construction of cyclopentenone is shown in Scheme 4. The reaction of a carbene catalyst with enal under the oxidative conditions affords azolium **I**; meanwhile, enolate **II** is produced from diketone **2a** under basic conditions. A Michael addition of **II** to **I** produces intermediate **III**, which is followed by an intramolecular aldol reaction–lactonization sequence to produce lactone **V**. Then after the decarboxylation, cyclopentenone **3a** is finally obtained. Attempts to detect or trap lactone **V** using a mixed

Scheme 3. Scale-up Reaction and Synthetic Applications of the Products



Scheme 4. Postulated Mechanism



solvent of THF and MeOH all failed, which indicate that the decarboxylation and formation of $3\mathbf{a}$ is a fast process.

In conclusion, starting from enals and diketones, we have successfully achieved the asymmetric construction of highly functionalized cyclopentenones using NHC organocatalysis. The protocol affords a variety of cyclopentenones bearing two stereocenters with excellent diastereo- and enantioselectivities. The reaction mode is thoroughly different from the conventional one using NHC catalysis that leads to dihydropyranone products. A series of valuable transformations using the enantioenriched cyclopentenones can be readily achieved, allowing access to various value-added synthetic building blocks. The work also demonstrates the great potential of 1,2-diketone compounds in the discovery of new reaction modes.

■ ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.orglett.1c00870>.

Experimental procedures, spectroscopic data for all new compounds, and crystallographic data for $3\mathbf{e}$ and $4\mathbf{a}$ (PDF)

Accession Codes

CCDC 2059016–2059017 contain the supplementary crystallographic data for this paper. These data can be obtained free of charge via www.ccdc.cam.ac.uk/data_request/cif, or by emailing data_request@ccdc.cam.ac.uk, or by contacting The Cambridge Crystallographic Data Centre, 12 Union Road, Cambridge CB2 1EZ, UK; fax: +44 1223 336033.

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Notes

The authors declare no competing financial interest.

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