

# Diastereoselective [2 + 2] Cycloaddition of Dichloroketene with $\alpha$ -Oxyaldehydes and $\alpha$ -Amino Aldehydes

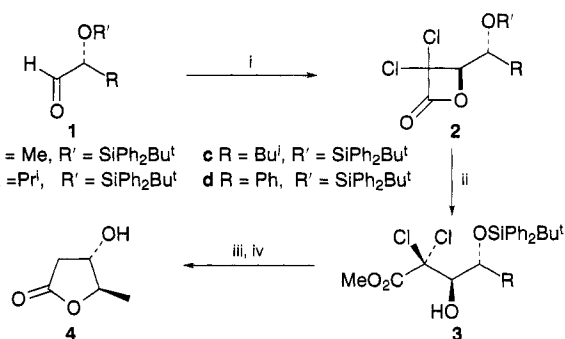
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A new route to natural products containing 1,2-diol and 1,2-amino alcohol subunits based on the [2 + 2] cycloaddition of dichloroketene to  $\alpha$ -oxyaldehydes and  $\alpha$ -amino aldehydes is demonstrated.

The cycloaddition of ketenes, particularly halogenated ketenes,<sup>1</sup> with carbonyl compounds is a powerful approach to  $\beta$ -lactones.<sup>2</sup> These small ring systems are of particular significance both because of their intrinsic reactivity, which has been utilized in the synthesis of more complex organic compounds,<sup>2</sup> polymers<sup>3</sup> and amino acids,<sup>4</sup> and because of their occurrence in nature.<sup>5</sup> However, despite this no satisfactory asymmetric induction in ketene–aldehyde cycloadditions has been achieved to date.<sup>6</sup> The only reported investigations on this topic dealt with the use of chiral Lewis acids as activators for the reaction,<sup>7</sup> and unfortunately the enantioselectivities for these systems were not high. On the other hand, there is considerable interest in reactions of  $\alpha$ -oxyaldehydes<sup>8</sup> and  $\alpha$ -amino aldehydes<sup>9</sup> as a means by which 1,2-diol and 1,2-amino alcohol functionalities can be constructed with high stereoselectivity. However, despite its great synthetic potential the [2 + 2] cycloaddition between ketenes and these aldehydes has remained unexplored in comparison to the [4 + 2] cycloaddition which has been investigated intensively.<sup>10</sup>

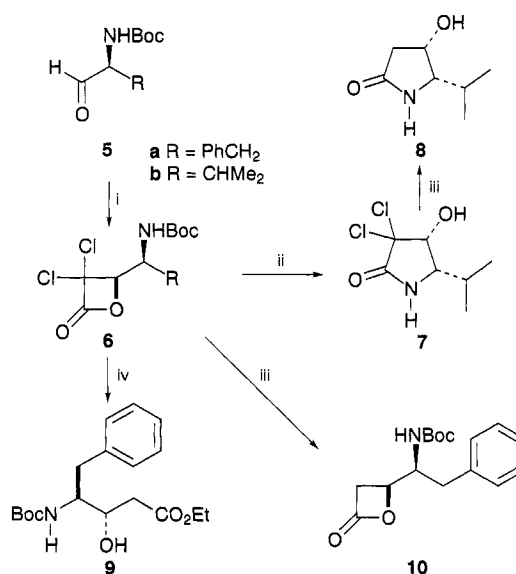
The first aim of our investigation was, therefore, to provide insight into the chemical and stereochemical behaviour of  $\alpha$ -oxyaldehydes **1**, as representative substrates, in [2 + 2] cycloadditions. For example, slow addition of trichloroacetyl chloride to a mixture of the *O*-*tert*-butyldiphenylsilyllactaldehyde **1a** and Zn/Cu<sup>11</sup> in diethyl ether afforded after aqueous workup an oil which on purification by silica gel flash chromatography led to the  $\beta$ -lactone **2a** in 85% yield (Scheme 1). Examination of the crude product by <sup>1</sup>H NMR (300 MHz) indicated that only one diastereoisomer had been formed. Next,  $\alpha$ -silyloxyaldehydes **1b**, **1c** and **1d** were subjected to cycloaddition with dichloroketene under the same conditions as above. In every case, a single diastereoisomer was detected in the <sup>1</sup>H NMR spectra of the respective crude products, and the chemical yields were uniformly good after purification by column chromatography.<sup>†</sup> To establish the stereochemical course of these cycloadditions, the  $\beta$ -lactone **2a** was transformed into the known  $\gamma$ -lactone **4**.<sup>12</sup> Treatment of **2a** with trimethylchlorosilane in methanol afforded the methyl ester **3** [ $[\alpha]_D^{25} = -16.8$  ( $c = 1.54$ , CH<sub>2</sub>Cl<sub>2</sub>)], which on treatment with H<sub>2</sub> and 10% Pd/C in the presence of triethylamine gave the corresponding  $\beta$ -hydroxy- $\gamma$ -silyloxy ester. *O*-Silyl deprotection accompanied by spontaneous lactonization



**Scheme 1** Reagents and conditions: i, Cl<sub>2</sub>CHCOCl, Zn/Cu, Et<sub>2</sub>O, 0 °C, 2 h; ii, ClSiMe<sub>3</sub>, MeOH, room temp.; iii, H<sub>2</sub>, Pd/C, EtOAc, NEt<sub>3</sub>, 25 °C, 24 h; iv, Bu<sub>4</sub>NF, CH<sub>2</sub>Cl<sub>2</sub>, room temp.

gave the  $\gamma$ -lactone **4**, obtained in 76% overall yield, which showed spectral data consistent with the known compound **4** [ $[\alpha]_D^{25} = +10.8$  ( $c = 1.25$ , CHCl<sub>3</sub>); Lit.<sup>12</sup>  $[\alpha]_D^{25} = +10.9$  ( $c = 1.27$ , CHCl<sub>3</sub>)], thus confirming the assigned stereochemistry for the adducts.

In view of the above results, we next examined the reaction of dichloroketene with the *N*-Boc- $\alpha$ -amino-aldehydes **5a** and **5b** to establish the potential scope of the methodology (Scheme 2). For instance, the reaction of dichloroacetyl chloride and triethylamine with **5a** in dichloromethane at –78 °C to room temp. overnight afforded an oil which on purification by silica gel flash chromatography led to the crystalline  $\beta$ -lactone **6a** in 44% yield {mp 124–125 °C,  $[\alpha]_D^{25} = +32.3$  ( $c = 1.0$ , CH<sub>2</sub>Cl<sub>2</sub>)}. The <sup>1</sup>H NMR (300 MHz) spectrum of the crude product indicated the exclusive formation of one diastereoisomer. As in the earlier cases, this cycloadduct could also be transformed into the  $\gamma$ -lactam **7a** {85%, mp 119–120 °C,  $[\alpha]_D^{25} = -17.2$  ( $c = 0.8$ , EtOH)} by simple treatment of **6a** with ClSiMe<sub>3</sub> in methanol. In a similar way, reaction of dichloroketene, generated from trichloroacetyl chloride and Zn/Cu, with **5b** followed by intramolecular cyclization as above afforded the  $\gamma$ -lactam **7b** in 35% overall yield {mp 186–187 °C,  $[\alpha]_D^{25} = -30.8$  ( $c = 0.6$ , EtOH)}. The absolute stereochemistry of the products was unambiguously determined either by conversion of **7b** into the known compound **8**,<sup>13</sup> or by the synthesis of (3*S*,4*S*)-4-amino-3-hydroxy-5-phenyl pentanoic acid ethyl ester **9**,<sup>14</sup> the key component of the HIV protease inhibitor AHPPA.<sup>15</sup> The latter was easily accomplished by simple exposure of **6a** to hydrogenolysis in EtOH for 20 h to furnish **9** in 81% isolated yield.<sup>‡</sup> When dehalogenation of **6a** was conducted under non-solvolytic conditions the  $\beta$ -lactone ring was preserved intact giving **10** {mp 114–116 °C,  $[\alpha]_D^{25} = -9.4$  ( $c = 0.56$ , CH<sub>2</sub>Cl<sub>2</sub>)} as a white crystalline compound in almost quantitative yield.



**Scheme 2** Reagents and conditions: i, Cl<sub>2</sub>CHCOCl (4 equiv.), NEt<sub>3</sub> (8 equiv.), CH<sub>2</sub>Cl<sub>2</sub>, –78 °C → 25 °C, 6 h or Cl<sub>2</sub>CHCOCl, Zn/Cu, Et<sub>2</sub>O, 0 °C, 2 h; ii, ClSiMe<sub>3</sub>, MeOH, 25 °C, 6 h; iii, H<sub>2</sub>, Pd/C, NEt<sub>3</sub>, EtOAc, 25 °C; iv, H<sub>2</sub>, Pd/C, NEt<sub>3</sub>, EtOH, 25 °C, 24 h

In summary, we have demonstrated for the first time that 1,2-diol and 1,2-amino alcohol subunits can be constructed with predictable stereochemistry via [2 + 2] cycloadditions between dichloroketene and both  $\alpha$ -oxyaldehydes and  $\alpha$ -amino aldehydes. Consequently, a new methodology for creation of 1,2-difunctionality is provided<sup>16</sup> which should be readily applicable to diverse targets of biological and pharmacological interest.

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## Footnotes

† All  $\beta$ -lactone products were stable under chromatographic purification. The only exception was **2d** which was directly transformed into **3d**. Structure and configuration of the compounds prepared have been confirmed by spectroscopic data (IR, NMR and MS). **2a**: 85%,  $[\alpha]_D^{25} = +26.2$  ( $c = 1.0$ ,  $\text{CH}_2\text{Cl}_2$ ); **2b**: 65%,  $[\alpha]_D^{25} = +21.1$  ( $c = 1.0$ ,  $\text{CH}_2\text{Cl}_2$ ); **2c**: 55%,  $[\alpha]_D^{25} = +17.7$  ( $c = 1.0$ ,  $\text{CH}_2\text{Cl}_2$ ).

‡ The  $\gamma$ -lactam **8** {mp 128–129 °C,  $[\alpha]_D^{25} = -12.2$  ( $c = 1.0$ ,  $\text{CH}_2\text{Cl}_2$ ); Lit.<sup>13</sup>  $[\alpha]_D^{25} = -12.0$  ( $c = 0.45$ ,  $\text{CH}_2\text{Cl}_2$ )} and the  $\beta$ -hydroxy ester **9** {mp 86.88 °C,  $[\alpha]_D^{25} = -32.5$  ( $c = 0.8$ , MeOH); Lit.<sup>14</sup>  $[\alpha]_D^{26} = -36.9$  ( $c = 1.0$ , MeOH)} were transformed into the corresponding Mosher esters to prove their enantiomeric purity. In both cases a single set of signals in their respective  $^1\text{H}$  and  $^{19}\text{F}$  NMR spectra were detected.

§ Although the precise knowledge of the origin of asymmetric induction requires further study, analysis of the Cram's rule formulation via the Felkin-Anh model may account for the results obtained with  $\alpha$ -oxyaldehydes whilst in the case of  $\alpha$ -amino aldehydes the stereoselectivity observed can be attributed to the prior formation of a five-membered chelate and/or an assisted hydrogen bonding model between the 2-amino group and the carbonyl oxygen function. For a recent review on Cram's rule formulation see: J. Mulzer in ref. 8(c), p. 3.

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