Synthesis of C-Alkyl Calix[4] arenes. 3. Acid-Catalyzed **Rearrangement of 2,6-Dimethoxycinnamate Prior to Tetramerization to Calix**[4]arenes

Bruno Botta,^{*,†} Giuliano Delle Monache,^{*,‡} Maria C. De Rosa,[‡] Angela Carbonetti,[‡] Eszter Gacs-Baitz,[§] Maurizio Botta,^{*,||} Federico Corelli,^{||} and Domenico Misiti⁺

Dipartimento di Studi di Chimica e Tecnologia delle Sostanze Biologicamente Attive, Università "La Sapienza", P.le A. Moro 5, 00185 Roma, Italy, Centro Chimica dei Recettori del C.N.R., Istituto di Chimica e Chimica Clinica, Università Cattolica del S. Cuore, L. F. Vito 1, 00168 Roma, Italy, Central Research Institute for Chemistry of the Hungarian Academy of Sciences, H1525 Budapest, Hungary, and Dipartimento Farmaco Chimico Tecnologico, Università degli Studi, 53100 Siena, Italy

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In our continuing studies concerning the versatility of the acid-catalyzed conversion of cinnamates to calix[4]resorcinarenes, we have demonstrated that 2,6-dimethoxycinnamic acid ethyl ester 3 undergoes an interesting rearrangement to afford the same calix[4]resorcinarenes as those obtained from the 2,4-dimethoxy isomer 1. The experimental results were substantiated by molecular mechanics calculations.

Introduction

In previous studies we have shown that etheral BF_3 catalyzes the conversion of (E)-2,4-dimethoxycinnamic acid esters to the corresponding mixture of calix[4]resorcinarenes stereoisomers.^{1,2} Thus, ethyl ester 1 gave the 1,2-alternate 2a, the flattened-cone (2b), and the 1,3-



alternate (2c) stereoisomers. The relationship between the nature of the ester and the stereoisomer distribution in the reaction mixture has been discussed in a previous paper.² In order to evaluate the versatility of the above reaction and to obtain calix[4]resorcinarenes similar to 4, that is those bearing the oxygen functions in the inner cavity, we repeated our generalized procedure with (E)-2,6-dimethoxycinnamic acid ethyl ester (3).



Results

Treatment of 3 (1 mol) in CHCl₃ with BF₃·Et₂O (1.5 mol) at reflux for 2 h afforded the products 2a, 2b, and **2c**, which had been previously obtained under similar reaction conditions from the isomeric (E)-2,4-dimethoxycinnamic acid ethyl ester (1) rather than the expected structures, 4. The three stereoisomers were obtained in an overall yield of 67% and in a relative ratio 1:1:1. Also isolated (expt A, Table 1) were the (E)-2,4-dimethoxycinnamic acid ethyl ester (1) and the dimeric compound 5 (vida infra).

These results suggested that the reaction may proceed via rearrangement of **3** to **1** before tetramerization. Similarly, 2,6-dimethyl-4-hydroxybenzyl alcohol (6) was reported to give upon treatment with AlCl₃ the calix[4]arene 9 instead of the expected regionsomer 7.3 The authors assumed that 6 could rearrange to 8, with subsequent conversion to 9 (Scheme 1).

To better define the migration of the side chain in 3, we performed a series of experiments under a variety of conditions (temperature, equivalents of etheral BF3 and reaction time) and monitored the experiments by pre-

[†] Università "La Sapienza". [‡] Università Cattolica del S. Cuore.

⁸ Hungarian Academy of Sciences.

[&]quot;Università degli Studi.

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Table 1. Summary of Experiments Performed with 2,6-Dimethoxycinnamic Acid Ethyl Ester (3) and Results Obtained

reaction	expts						
conditions	A	В	C_1	C_2	C_3	D	Ε
substrate/ BF ₃ ·Et ₂ O	1:1.5	1:1.5	1:3	1:3	1:3	1:3	1:3
temperature time	reflux 2 h	room 1 day	room 4 h	room 8 h	room 4 days	4 °C 4 days	-20 °C 4 days

product	% yield						
starting ester 3	1	92	9	4	1	6	75
2,4-dimethoxy isomer 1	3						
dimer 5	6	2	3	2		9	6
dimer 11						1	
trimer 10		3	1	2		1	16
tetramer 2a	23		12	16	14	8	
tetramer 2b	21		16	28	35	11	
tetramer 2c	23		12	18	21	15	
total calixarenes	67		40	63	71	33	

Scheme 1



parative-TLC purification and ¹H NMR spectral analysis. The results obtained are summarized in Table 1.

At room temperature with a 1:1.5 ratio of substrate to $BF_3:Et_2O$ (expt B), only a trace reaction was observed. Increasing the Lewis acid concentration to a 1:3 substrate/ $BF_3:Et_2O$ ratio and reacting for 4 h afforded, together with the dimer 5 and the calix[4]arenes 2a-c, a new product, which was assigned the trimeric structure 10 (vide infra).

After 8 h, under the same conditions as above, the reaction mixture afforded **2a**, **2b**, and **2c** in 63% overall yield and in a 1:2:1 relative ratio. Finally, after 4 days, calix[4]arenes **2a**-c were isolated in a 70% overall yield and in ca. 1:3:2 ratio.

The observed increase in the yield of the thermodynamic stereoisomer **2b** (flattened-cone conformation) with reaction time at the expense of **2a** (1,2-alternate isomer) are in agreement with our earlier studies.²

When the reaction was carried out at 4 °C, with a 1:3 substrate/ BF_3 · Et_2O ratio (expt E), over a 4 day period, the following products were isolated: recovered (E)-2,6-dimethoxycinnamic acid ethyl ester (1), the dimer 5 in the Z-form, a new dimer (11) in which both the 2,4- and



Table 2. ¹H NMR Data of Dimers 5 (E and Z Form) and 11^a

	5	11	
proton	E form	Z form	Z form
4 5 7 8 OCH ₂ CH ₃ 10 11a 11b OCH ₂	7.31, d (8.8) 6.62, d 7.95, d (16) 6.86, d 4.24, q (7) 1.32, t 5.40, dd (8.5, 8) 3.21, dd (15, 8.5) 3.02, dd 4.01, q (7)	7.25, d (8.8) 6.56 6.87, d (12.5) 6.03, d 4.00, q (7) 1.09, t 5.37, t (8) 3.19, dd (15, 8) 3.00, dd 3.77, q (5)	7.25, d (8.5) 6.54, d 6.88, d (12.5) 6.04, d 4.06, q (7) 1.23, t 5.03, t (8) 2.97, d 4.01, q (7)
CH_3	1.10, t	0.97, t	1.11, t

 a All signals showed the appropriate integrate intensities. Coupling constants, in parentheses, are given only once.

Scheme 2. Dimer 5 and Mass Fragments



The structures of products **5** and **11** followed from normal assignment of proton signals in ¹H NMR spectra (Table 2), which revealed the presence of the saturated $C(10)H-C(11)H_2$ grouping, the substitution patterns of A (substituted) and B (unsubstituted) aromatic rings, and the (*E*)- or (*Z*)-arrangement of the C(7)-C(8) double bond.

A further confirmation of the structure of the dimers is revealed by mass spectra fragmentation, which can be rationalized and summarized for compound 5 as shown in Scheme 2. The fragmentation begins with the loss of one of the three substituents of the bridged C(10)methine, the most favored being the loss of the alkyl side chain. The tropylium-like ion so formed undergoes the loss of neutral fragments (the B-ring and the olefinic chain) and leads to the base peak (a dimethoxytropylium ion) at m/z 151.

The proposed pathway is confirmed by the presence of the appropriate metastable peaks and by the comparison with the fragmentations of dimers which differ either in the number of methoxyl groups in the benzene rings^{4,5} or in the nature of the ester groups.^{1,2} In both cases the fragments are shifted, as expected, by the different substitution patterns.

^{2,6-}disubstituted aromatic rings were present, the trimer 10 (mostly in the Z-form), and the calixarenes 2a-c.

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(5) (*E*)-2,4,6-Trimethoxycinnamic acid methyl ester gave under the same conditions of expt A dimeric and trimeric compounds similar to 5 and 10.

Table 3. ¹H and ¹³C NMR Data of Trimer 10 (Z Form)^a

carbon	$\delta_{\rm C}$	$\delta_{ ext{H}}{}^{b}$	long-range connected carbon
1	118.15	_	
2	157.11	_	
3	128.34	-	
4	131.07	7.63 (d, 9)	${}^{3}J_{2}, {}^{3}J_{6}$
5	104.55	6.52 (d, 9)	
6	155.53		
7	133.25	6.82 (d, 12)	
8	122.83	5.97 (d, 12)	${}^{3}J_{1}$, ${}^{2}J_{9}$
9	167.36		
10	36.50	5.49 (d, 11.5)	${}^{3}J_{2}, {}^{3}J_{4}, {}^{3}J_{1'}, {}^{2}J_{3}$
11	49.98	4.20 (dd, 11.5, 8.5)	${}^{3}J_{1'}$, ${}^{3}J_{12}$, ${}^{3}J_{14}$, ${}^{2}J_{10}$
12	173.79	-	
13	33.94	4.31 (ddd, 11.5, 8.5, 4.5)	${}^{3}J_{10}, {}^{3}J_{15}, {}^{3}J_{2''}, {}^{3}J_{6''}, {}^{2}J_{14}, {}^{2}J_{1''}$
14	37.14	2.86 (dd, 15, 11)	${}^{2}J_{15}$
		1.82 (dd, 15, 4.5)	${}^{2}J_{15}$
15	172.74	_	
1′	119.15	-	
2′	159.32^{c}	-	
3′	104.26	6.43 (br d, 8)	
4'	127.74	7.08 (t, 8)	
5′	104.26	6.43 (br d, 8)	
6′	158.08°	-	
1″	119.22	-	
$2^{\prime\prime}$	159.59^{d}	-	
3″	104.72	6.43 (br d, 8) ^c	
4″	127.84	7.03 (t, 8)	
$5^{\prime\prime}$	104.72	6.39 (br d, 8) ^e	
6″	158.56^{d}	-	

^a The carbon and proton signals were correlated through HECTOR (¹J) and INEPTL (²J and ³J) experiments. The signals due to the OMe and OEt groups are not reported. ^b In parentheses: multiplicities and coupling constants in hertz. ^{c-e} In the same resonance envelope may be interchanged.



Figure 1. 3-D representation of trimer 10 obtained by minimization with MM2 calculation.

With regard to compound 10, ¹H and ¹³C NMR data (Table 3), combined with the presence of a molecular peak at m/z 708 in the mass spectrum, were in agreement with the trimeric molecular formula $C_{39}H_{48}O_{12}$.

The distribution of the proton signals in the aromaticolefinic region of the ¹H NMR spectrum (Table 3) indicated that in only one aromatic ring has the H-3 proton been substituted, while one double bond (in the predominant Z-form) is still present. For confirmation, a COSY spectrum and evidence from detailed decoupling experiments revealed the partial aliphatic structure $CH_a-CH_b-CH_c-CH_2$, where the CH_a methine must be linked to two aromatic rings because of the value (δ 5.49) of its chemical shift, whereas the methylene is very likely linked to a carbonyl (COOCH₂CH₃) due to the large difference in the chemical shifts, δ 2.86 and 1.82, respectively, of the two geminal protons.

The resulting structure 10 and the assignment of proton and carbon signals in ¹H and ¹³C NMR spectra

were supported by a HECTOR measurement and a series of INEPTL experiments, as summarized in Table 3. Finally, the transoid relationship (J = 11 Hz) between H-10 (H_a) and H-11 (H_b) protons was confirmed by DIFNOE experiments, which showed the proximity of H-10 to H-13 (H_c) and of H-11 to the H-4 aromatic proton. Notably, these findings are in agreement with the 3D model (Figure 1) obtained by minimization of structure **10** with the MM2 program.



In order to confirm the formation of 2,4-dimethoxycinnamic acid ethyl ester in the reaction mixture, we repeated experiment A in the presence of 1,2,4-trimethoxybenzene, which was expected to act as a trap for the above molecule, according to the postulated mechanism of the dimerization reaction.⁴ As a result (see expt F in the Experimental Section), three diarylpropanoates were isolated and assigned the structures 12-14 on the basis of spectral data (Table 4). The three compounds contain two aromatic moieties, with either 2,4-dimethoxy or 2,4,5-trimethoxy substituents, linked by the C-3 carbon of a propanoate unit.



Discussion

Experiments B and E reveal that under mild conditions the 2,6-dimethoxycinnamic ethyl ester (3) also yields a dimer, which for the 2,4-dimethoxy isomer has been demonstrated to be the intermediate of the tetramerization reaction.²

By contrast, the dimer 5 gives subsequently the trimer 10, in which a third molecule of monomer is linked to the aliphatic chain, thus blocking the tetramerization. Moreover, the dimer 5 by direct treatment with BF_3 ·Et₂O gave only polymeric material.

Calix[4]arene tetramers $2\mathbf{a}-\mathbf{c}$ were obtained only under those conditions in which the 2,4-dimethoxy isomer 1 can be formed (expts A, C, and D). In two of the above experiments, compound 1 was also isolated either as such (expt A) or linked to a molecule of 2,6-dimethoxycinnamate as in the dimer 11 (expt D). The drastic conditions of experiment C1 prevented the isolation of 1, which under such conditions is entirely converted in the tetramers $2\mathbf{a}-\mathbf{c}$.²

Notably the distribution of tetramers 2a-c in the reaction mixtures of experiments C1, C2, and C3 is very similar to that obtained starting from 2,4-dimethoxy

 Table 4.
 Spectral Data of Diarylpropanoates Isolated from the Reaction Mixture of Experiment F

		δ_{C}	
$carbon^a$	12	13	14
1	124.05	123.93	123.05
2	158.06	158.00	151.43
3	98.69	98.67	97.99
4	159.13	159.21	147.90
5	103.57	103.63	142.55
6	128.73	128.74	113.03
7	38.67	38.67	38.62
8	34.14	34.79	35.40
CO	172.58	172.50	172.43
OCH_2	60.00	60.06	60.12
Me	14.13	14.12	14.19
1′	124.05	123.14	123.05
2'	158.06	151.48	151.43
3′	98.69	98.17	97.99
4'	159.13	147.82	147.90
5′	103.57	142.55	142.55
6′	128.73	113.03	113.03
proton ^{a,b}		$\delta_{ m H}$	
3	6.42, d (2)	6.42, d (2)	6.49, s
5	6.41, dd	6.40, dd	
6	7.02, d (8)	7.04, d (8)	6.78, s
7	5.03, t (8)	5.02, t (8)	5.01, t (8)
8a	207 8	3.02, dd (15, 8)	2024
8b	2.91, u	2.96, dd	5.02, u
OCH_2	4.01, q (7)	4.02, q (7)	4.02, q (7)
Me	1.09, t	1.10, t	1.11, t
3′	6.42	6.49, s	6.49
5'	6.41		
6′	7.02	6.76, s	6.78
EIMS		m/z (rel intensit	y)
м	374 (2	4) 404 (41)	434 (50)
$\overline{M} - CH_{9}COO$	DEt $287(1)$	(10) (10) (10)	347(100)
B tropylium		- 181 (17)	311 (100)
A tropylium	151 (5	151(38)	181 (48)

^a The signals of methoxy groups are not reported. ^b The signals showed the appropriate integrated intensities. Coupling constants, in parentheses, are given only once.

isomer, thus suggesting that calixarenes 2a-c have the same precursor.

Finally, the findings of experiment F cleared up the formation of isomer 1 by an intermolecular rearrangement which involves, as intermediates, 1,3-dimethoxybenzene and the propanoate unit, both derived from 3. The unsaturated side chain appears to be essential for rearrangement of 3 to 1. In fact, the olefinic double bond of the side chain of 3 was reduced and the product 15 showed no reaction upon treatment with BF₃ etherate in a 1:1.5 ratio at room temperature for 18 h.

Molecular Modeling Studies

In order to establish whether the putative calix[4]resorcinarene 4 could be a stable compound, molecular mechanics calculations were undertaken. The calculations were performed with the MODEL program⁶ which employs a generalized MM2 force field on a VAX6100. The five minimized conformations² **16a**-e (Table 5) of the calixarene nucleus lacking the side chain (**16**) were used as input structures. The substitution pattern of each conformation was changed into that of the regioisomer expected from the 2,6-dimethoxycinnamic acid

 Table 5. Energies of MM2(MODEL)-Minimized

 Conformations of 17

conformation	E (kca	l/mol)	calcd equilibrium	
relative to 16	16	17	mixture (%)	
a flattened cone	86.7	93.3	0.19	
b flattened partial cone 1	87.6	89.6	98.93	
c flattened partial cone 2	89.1	96.6	0.00	
d 1,3-alternate	87.8	92.4	0.88	
e 1,2-alternate	90.0	96.6	0.00	

ester, that is, structure 17. The structures of conformers 17a-e (Figure 2) were then minimized and submitted to conformational analysis.

Since the input conformations had been obtained by an exhaustive conformational analysis,² the search was restricted to the four methoxy groups of the lower ring.

A statistical search was performed, maintaining all the default conditions suggested by the MODEL program. In particular, the dipole-dipole electrostatic calculation was chosen without electrostatic cut off and with a van der Waals interaction cut off of 7 Å; a dielectric constant of 1.0 was employed.

A comparison between the energies calculated for each conformation of the two regioisomers is reported in Table 5.

In summary, the data suggest that the structure **16** is more stable, regardless of the kind of conformer, when compared to structure **17**. These results are in agreement with those reported in the literature for the tetramethyl ethers of *p*-methyl- and the *p*-tert-butyl[4]calixarenes.⁷

Analogously, our calculations show that the van der Waals contributions to the total energy (Table 6) are responsible for the higher stability of the flattened partial cone 1 conformer **17b**.

Fitting experiments among the five conformers of both 16 and 17 have also been carried out considering only the carbon atoms of the cavity. The RMS values generally fall in the range 0.2-0.5 Å, suggesting that the geometry of each conformer of 17 is similar to that of the corresponding isomer of 16 with the exception of the flattened partial cone 2 (17c). In this case, the steric repulsions between the methoxy groups require a significant distortion of the overall structure so as to approach the 1,2-alternate (17e) conformation. In fact, the energies calculated for both 17e and 17c (Table 4) reveal that these conformers are the least stable and are unlikely to exist to any significant extent in gas, liquid, or solid states.

In additional studies, the relative stabilities of the two regioisomers 2 and 4 were evaluated by calculation of the heats of formation (ΔH_f) using the MMX program.⁸ This program is derived from MM2 with the MMP1 subroutine incorporated for the delocalized π electron system. However the calculations performed on the calixarenes 2 and 4 did not incorporate the π system because of the high number of π atoms. The ΔH values for 2 and 4 (-613.5 and -562.1 kcal/mol, respectively; Table 7) were calculated from eq 1, where BE is the bond

$$\Delta H_{\rm f} = {\rm energy} + {\rm BE} + {\rm PFC} \tag{1}$$

energy term and PFC is the partition function contribu-

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Figure 2. Minimized conformations of 17 via MODEL-MM2 calculations.

 Table 6. Partial Contributions to the Total Energies of MM2(MODEL)-Minimized Conformations of 17^a

	Ε	STR	BND	S-B	TOR	VDW	DIP
17a 17b 17c 17d	93.3 89.6 96.6 92.4	5.7 5.4 5.7 5.5	16.6 16.9 19.6 19.2	-0.2 -0.1 0.1 0.1	29.3 28.5 28.2 29.6	41.4 39.7 42.3 39.8	0.4 -0.8 0.7 -1.7
17e	96.6	5.7	19.5	0.1	28.2	42.4	0.7

^a E, total energy; STR, energy of stretching; BND, energy of bending; S-B, energy of stretching-bending; TOR, torsional energy; VDW, energy of van der Waals; DIP, dipole energy.

Table 7. Heats of Formation (kcal/mol) of Tetramers 2and 4 Calculated by MMX Program

	$\Delta H_{ m f}$	E steric	BE	E strain
2	-613.5	$60.7 \\ 112.1$	-676.6	24.3
4	-562.1		-676.6	75.8

tion (2.4 kcal/mol).⁸ Because the BE values for calixarenes 2 and 4 are the same, the greater stability of 2 can be attributed to the difference in strain energy.

In addition, we have calculated the heats of formation of the starting monomers 1 ($\Delta H_1 = -145.2 \text{ kcal/mol}$) and 3 ($\Delta H_3 = -144.9 \text{ kcal/mol}$), in relation to those of 2 and 4 (*vide supra*). It is clear that the conversion $1 \rightarrow 2$ is a strongly favored reaction ($\Delta H_2 - 4\Delta H_1 = -32.7 \text{ kcal/mol}$), whereas tetramerization of 3 to 4 ($\Delta H_4 - 4\Delta H_3 = +17.5 \text{ kcal/mol}$) is not.

In summary, all the above data suggest that the formation of calixarene 2, regardless of whether 1 or 3 is employed as starting materials, will be favored over that of 4.

Conclusions

In conclusion, it seems that the monomer 3 can follow two different reaction pathways. The first route $(3 \rightarrow 5 \rightarrow 10)$ leads to the formation of dimer 5, which then reacts with another equivalent of monomer to give the trimer 10. The latter, on further treatment, is assumed to undergo polymerization, since longer reaction times afford only highly polar (base line on TLC) decomposition products. The alternate path implies the rearrangement of 3 to 1. As the latter is formed, it may undergo conversion to calixarenes $(1 \rightarrow 2\mathbf{a}-\mathbf{c})$ or react with 3, producing compound 11 $(1 \rightarrow 11)$. Product distribution suggests that path $3 \rightarrow 1 \rightarrow 2\mathbf{a}-\mathbf{c}$ is the most favorable.

While our molecular mechanics study does not favor the route $3 \rightarrow 4$, the data in Table 6 suggest that 4 could exist as a stable compound in the flattened partial cone 1 conformation and thus may be formed by an alternate route.

Experimental Section

General. Melting points (uncorrected): Kofler apparatus. ¹H and ¹³C NMR (300 MHz and 75 MHz, TMS as internal standard, in CDCl₃ solutions): Varian Gemini 300 spectrometer. EIMS (direct inlet): VG7070 EQ spectrometer.

Synthesis of 2,6-Dimethoxycinnamic Acid Ethyl Ester (3). To a stirred solution of 2,6-dimethoxybenzaldehyde (1 g, 6 mmol) in absolute EtOH (20 mL) in the presence of anhydrous K₂CO₃ (2.5 g) was added triethyl phosphonoacetate (1.5 mL, 7.2 mmol), and the mixture was heated at reflux for 3 h. The reaction mixture was concentrated under vacuo and added with H₂O, affording a precipitate which was filtered and dried to give 1.38 g (97%) of 2,6-dimethoxycinnamic acid ethyl ester (3), mp 56 °C: ¹H NMR (CDCl₃) δ 8.14 (1H, d, J = 16Hz, H- α), 6.88 (1H, d, J = 16 Hz, H- β).

General Procedure for Experiments A–E. To a solution of 2,6-dimethoxycinnamic acid ethyl ester (3, 118 mg, 0.5 mmol) in 3 mL of CHCl₃ was added BF₃Et₂O, 0.1 mL (0.75 mmol, expts A–B) or 0.2 mL (1.5 mmol, expts C–E), and the mixture was stirred at the temperature indicated in Table 1 for the time therein reported. The residue was fractionated by silica gel column chromatography, eluting with *n*-hexane/CH₂Cl₂/EtOAc, 5:3:2, to give the products listed in Table 1. The tetramers **2a**–**c** were recovered together, and the relative ratio of the three stereoisomers was evaluated by integration of the appropriate signals in the ¹H NMR spectra. Compounds **2a**, **2b**, and **2c** were identified by comparison with authentic samples (¹H and ¹³C NMR spectra and co-TLC).

Experiment F. To a solution of 2,6-dimethoxycinnamic acid ethyl ester (3, 48 mg, 0.2 mmol) and 1,2,4-trimethoxybenzene (100 mg, 0.6 mmol) in 2 mL of CHCl₃ was added

 $BF_3 Et_2O$, 0.4 mL (0.3 mmol), and the mixture was held at reflux for 2 h. The reaction mixture was diluted with MeOH and dried. The residue was fractionated by silica gel column chromatography, eluting with CHCl₃ and CHCl₃/EtOAc, 95:5, to give recovered 1,2,4-trimethoxybenzene (40 mg), 12 (14 mg), 13 (18 mg), and 14 (15 mg). Further polar compounds were not examined.

Dimer 5: oil; EIMS m/z (rel intensity) 472 [M]⁺ (35), 427 [M - OEt]⁺ (8), 398 [M - HCOOEt]⁺ (21), 385 [M - CH₂-COOEt]⁺ (65), 335 [M - B ring]⁺ (26), 249 [385 - B ring]⁺ (17), 151 [249 - HC=CCOOEt]⁺ (100).

Trimer 10: vitreous solid; EIMS m/z (rel intensity) 708 [M]⁺ (58), 663 [M - OEt]⁺ (38), 634 [M - HCOOEt]⁺ (100), 471 [M - 237] (11), 425 [471 - EtOH]⁺ (40), 385 [A and B ring, tropylium ion]⁺ (100), 249 [385 - B ring]⁺ (20), 237 [C ring, tropylium ion]⁺ (20), 191 [237 - EtOH]⁺ (23), 165 (22), 151 [249 - HC=CCOOEt]⁺ (100).

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Supplementary Material Available: Complete ¹H and ¹³C NMR data for compounds **5** and **10–14** (2 pages). This material is contained in libraries on microfiche, immediately follows this article in the microfilm version of the journal, and can be ordered from the ACS; see any current masthead page for ordering information.

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