

Supporting Information for DOI: 10.1055/s-0030-1261161 © Georg Thieme Verlag KG Stuttgart • New York 2011



#### SUPPORTING INFORMATION

# Heck reaction on Morita-Baylis-Hillman adduct: diastereoselective synthesis of pyrrolizidinones and pyrrolizidines

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#### **Experimental procedures**



(2R,4R)-*tert*-Butyl 2-formyl-4-hydroxypyrrolidine-1-carboxylate (7): A stirred solution of (2R,4R)-1-*tert*-butyl 2-ethyl 4-methoxypyrrolidine-1,2-dicarboxylate (0.25 g, 0.96 mmol, see attachment I for experimental details) in anhydrous dichloromethane (5 mL), at -84 °C and under argon

atmosphere, was slowly added (during 5 minutes) a toluene solution of DIBAL-H (1.0 mol/L solution, 1.9 ml, 2.89 mmol). The mixture was stirred for 20 min. At the same temperature and the evolution was followed by TLC. The cooling bath was removed and a saturated solution of sodium acetate (5 mL) was added. The reaction medium was poured into a stirred mixture of ethyl ether (50 mL) and saturated ammonium chloride (10 mL). After 2h, the gel formed was filtered over a pad of Celite® and the aqueous filtrate was extracted again with ethyl ether. The organic phases were combined, dried over anhydrous Na<sub>2</sub>SO<sub>4</sub> and evaporated. The residue was quickly filtered over a tiny amount of silica gel (Hexane : AcOEt 40:60 to 20:80), to provide aldeyde 7, as colorless oil (0.190 g) in 92 % yield. Hydroxy-aldehyde 7 should be stored at -20 °C or used immediately after be prepared.  $[\alpha]_D^{20}$  +45 (c 1.5; MeOH); IR (Film,  $\nu_{max}$ ): 3377, 2974, 2931, 2838, 1728, 1646, 1428, 1370, 1279 cm<sup>-1</sup>; <sup>1</sup>H NMR (250 MHz, DMSO-d<sub>6</sub>, 90 °C) δ 1.42 (9H, s), 1.89 (1H, m), 2.23 (1H, m), 3.31 (1H, dd); 3.42 (1H, dd), 4.04 (1H, m), 4.27 (1H, m), 9.47 (1H, s); <sup>13</sup>C NMR (62.5 MHz, DMSO-d<sub>6</sub>, 90 °C) δ 28.5, 38.1, 55.2, 64.1, 68.7, 79.7, 154.7, 202.8; HRMS (ESI-TOF) Calcd. for  $C_{10}H_{18}NO_4$  [M + H]<sup>+</sup> 216.1236. Found 216.1245. GC conditions: HP chiral, flow 1.5 mL/min; 100 °C; 10 °C/min up to 230 °C; pos run: 230 °C/15 min);  $T_R = 15.57 min (2)$ ; dr = 1:12.





2R,4R)-tert-Butyl4-hydroxy-2-((S)-1-hydroxy-2-<br/>(methoxycarbonyl)allyl)pyrrolidine-1-carboxylate(8):Mixture of hydroxy-aldehyde 7 (0.23 g, 1.069 mmol), DABCO<br/>(0.12 g, 1.069 mmol) and ethyl acrylate (2 mL) was sonicated for

96 h (followed by GC). Then, the excess of methyl acrylate was removed under reduced pressure (**CAUTION**: this operation should be performed under an efficient fume hood). The residue was diluted with dichloromethane (20 mL). The organic phase was washed with brine (3 x 30 mL), dried over anhydrous Na<sub>2</sub>SO<sub>4</sub> and evaporated. The crude residue was purified by flash silica gel column chromatography (Hexane : CH<sub>2</sub>Cl<sub>2</sub> : AcOEt – 3.0:5.0:3.0) to provide adduct **8** (0.258 g), as a colorless oil, in 80 % yield.  $[\alpha]_D^{20}$  -2 (c 1.5; MeOH); IR (Film,  $\nu_{max}$ ): 3387, 2970, 2958, 2933, 2355, 2332, 1715, 1666, 1413, 1368, 1155, 1090; <sup>1</sup>H NMR (250 MHz, DMSO-d<sub>6</sub>, 90 °C)  $\delta$  1.43 (s, 9H), 1.74 (dt, *J* = 13.9, 4.3 Hz, 1H), 1.92 (m, 1H), 3.10 (dd, *J* = 11.2, 3.9 Hz, 1H), 3.57 (dd, *J* = 11.2, 5.9 Hz, 1H), 3.71 (s, 3H), 4.05 (m, 2H), 4.94 (m, 1H), 5.87 (t, *J* = 1.6 Hz, 1H), 6.17 (d, *J* = 1.3 Hz, 1H); <sup>13</sup>C NMR (62.5 MHz, DMSO-d<sub>6</sub>, 90 °C)  $\delta$  27.8, 32.9, 50.8, 54.8, 58.6, 67.4, 68.0, 78.0, 124.0, 142.2, 153.2, 165.6; HRMS (ESI-TOF) Calcd. for C<sub>14</sub>H<sub>24</sub>NO<sub>6</sub> [M + H]<sup>+</sup> 302.1604. Found 302.1681; GC conditions: HP chiral, flow 1.5 mL/min; 100 °C; 10 °C/min up to 230 °C; pos run: 230 °C/15 min); T<sub>R</sub> = 22.97 min.



(1S,6R,7aR)-1,6-dihydroxy-2-methylenetetrahydro-1*H*-pyrrolizin-3(2*H*)-one (11): To a stirred solution of Morita-Baylis-Hillman adduct
8 (0.20 g, 0.66 mmol) in toluene (3 mL), at 0 °C, was added concentrated HCl (0.1 mL, 3.31 mmol). The resulting mixture was further stirred for 5-7 min. Then, a 35% solution of NaOH was added

(0.46 mL, 4 mmol) and the reaction was further stirred for 30 min, at room temperature. The medium was neutralized to pH 7 (10% HCl solution) and the solvents were removed under reduced pressure. The crude residue was purified by flash silica gel column chromatography (CH<sub>2</sub>Cl<sub>2</sub> : MeOH - 95:05) to give pyrrolizidinone **11** (0.06 g) as a white solid, in 57 % yield.  $[\alpha]_D^{20}$  -5 (c 2; EtOH); M.p. 93-94° C; IR (KBr): v 3396, 3205, 2985, 2946, 2883, 1654, 1442 cm<sup>-1</sup>. <sup>1</sup>H NMR (500 MHz, (CD<sub>3</sub>)<sub>2</sub>CO)  $\delta$  1.67 (m, *J* = 13.4, 6.4, 4.4 Hz, 1H, H-7A), 2.35 (ddd, *J* = 13.4, 7.3, 5.6 Hz, 1H, H-7B), 3.17 (dd, *J* = 12.2, 5.2 Hz, 1H,

OH

HO

H-5B), 3.57 (dd, J = 12.2, 2.9 Hz, 1H, H-5A), 3.62 (ddd, J = 7.3, 6.4, 5.2 Hz, 1H, H-7a), 4.51 (m, J = 5.2, 3.2 Hz, 1H, H-6), 4.61 (m, J = 5.2, 2.9 Hz, 1H, H-1), 5.47 (d, J = 2.6 Hz, 1H, CH<sub>2</sub>), 5.82 (d, J = 3.0 Hz, 1H, CH<sub>2</sub>); <sup>13</sup>C NMR (62.5 MHz, (CD<sub>3</sub>)<sub>2</sub>CO)  $\delta$  38.3, 51.6, 65.7, 71.9, 75.7, 114.8, 148.4, 168.3; HRMS (ESI-TOF) Calcd. for C<sub>8</sub>H<sub>12</sub>NO<sub>3</sub> [M + H]<sup>+</sup> 170.0817. Found 170.0864.

HO N Boc H

(2S,4R)-tert-butyl 2-formyl-4-hydroxypyrrolidine-1-carboxylate (9): A stirred solution of (2S,4R)-1-tert-butyl 2-ethyl 4-methoxypyrrolidine-1,2-dicarboxylate (0.25 g, 0.96 mmol, compound III, for details, see attachment I at the end of this supporting informations) in anhydrous dichloromethane (5 mL), at -84 °C and under argon atmosphere, was

slowly added (during 5 minutes) a toluene solution of DIBAL-H (1.0 mol/L solution, 1.9 ml, 2.89 mmol). The mixture was stirred for 20 min. At the same temperature. The reaction evolution was followed by TLC. The cooling bath was removed and a saturated solution of sodium acetate (5 mL) was added. The reaction medium was poured into a stirred mixture of ethyl ether (50 mL) and saturated ammonium chloride (10 mL). After 2h, the gel formed was filtered over a pad of Celite<sup>®</sup> and the aqueous filtrate was extracted again with ethyl ether. The organic phases were combined, dried over anhydrous Na<sub>2</sub>SO<sub>4</sub> and evaporated. The residue was quickly filtered over a tiny amount of silica gel (Hexane : AcOEt 40:60 to 20:80), to provide aldeyde 9, as colorless oil (0.188 g) in 91 % yield. Hydroxy-aldehyde 9 should be stored at -20 °C or used immediately after be prepared.  $[\alpha]_D^{20}$  -54.5 (c 1.5; MeOH); IR (Film, v<sub>max</sub>): 3395, 2978, 2933, 1736, 1669, 1409, 1365, 1160, 1123 cm<sup>-1</sup>; <sup>1</sup>H NMR (300 MHz, DMSO-d<sub>6</sub>, 90 °C) δ 1.42 (s, 9H), 1.96 (m, 2H), 3.43 (m, 2H), 4.14 (m, 1H), 4.25 (m, 1H), 9.43 (s, 1H); <sup>13</sup>C NMR (75 MHz, DMSO-d<sub>6</sub>, 90 °C) δ 28.5, 35.8, 55.5, 64.0, 68.7, 79.9, 154.2, 200.7; HRMS (ESI-TOF) Calcd. for  $C_{10}H_{18}NO_4$  [M + H]<sup>+</sup> 216.1236. Found 216.1365. GC conditions: HP chiral, flow 1.5 mL/min; 100 °C; 10 °C/min up to 230 °C; pos run: 230 °C/15 min);  $T_R = 15.39$  min.



(2*S*,4*R*)-*tert*-butyl 4-hydroxy-2-((*R*)-1-hydroxy-2-(methoxycarbonyl)allyl)pyrrolidine-1-carboxylate (10): A mixture of hydroxy-aldehyde 9 (0.23 g, 1.069 mmol), DABCO (0.12 g, 1.069 mmol) and ethyl acrylate (2 mL) was sonicated for 96 h (followed by GC). Then, the excess of methyl acrylate was

removed under reduced pressure (**CAUTION**: this operation should be performed under an efficient fume hood). The residue was diluted with dichloromethane (20 mL). The organic phase was washed with brine (3 x 30 mL), dried over anhydrous Na<sub>2</sub>SO<sub>4</sub> and evaporated. The crude residue was purified by flash silica gel column chromatography (Hexane : CH<sub>2</sub>Cl<sub>2</sub> : AcOEt – 3.0:5.0:3.0) to provide adducts **8** (0.206 g, see above for full spectral characterization) and **10** (0.052 g, spectral data below), as a colorless oil, in 70 % yield.  $[\alpha]_D^{20}$  -11 (c 1.5; MeOH); IR (Film,  $v_{max}$ ): 3402, 2879, 2952, 1720, 1670, 1417, 1368, 1273, 1163, 1092 cm<sup>-1</sup>; <sup>1</sup>H NMR (250 MHz, DMSO-d<sub>6</sub>, 90 °C)  $\delta$  1.45 (s, 9H), 1.52 (m, 1H), 1.98 (dt, *J* = 12.0, 5.9 Hz, 1H), 3.30 (m, 2H), 3.71 (s, 3H), 4.07 (m, 1H), 4.23 (s, 1H), 4.90 (s, 2H), 5.86 (s, 1H), 6.12 (s, 1H); <sup>13</sup>C NMR (62.5 MHz, DMSO-d<sub>6</sub>, 90 °C)  $\delta$  27.8, 33.2, 50.8, 55.0, 58.4, 67.8, 68.1, 77.7, 123.6, 142.0, 153.5, 165.7; HRMS (ESI-TOF) Calcd. for C<sub>14</sub>H<sub>24</sub>NO<sub>6</sub> [M + H]<sup>+</sup> 302.1604. Found 302.1634. GC conditions: HP chiral, flow 1.5 mL/min; 100 °C; 10 °C/min up to 230 °C; pos run: 230 °C/15 min); T<sub>R</sub> = 22.09 min.



(1R,6R,7aS)-1,6-dihydroxy-2-methylenetetrahydro-1*H*-pyrrolizin-3(2*H*)-one (12): To a stirred solution of Morita-Baylis-Hillman adduct 10 (0.05 g, 0.165 mmol) in toluene (1 mL), at 0 °C, was added concentrated HCl (300 µL, 1.1 mmol). The resulting mixture was further stirred for 5-7 min. Then, a 35% solution of NaOH was added

(0.16 mL, 1 mmol) and the reaction was further stirred for 30 min, at room temperature. The medium was neutralized to pH 7 (10% HCl solution) and the solvents were removed under reduced pressure. The crude residue was purified by flash silica gel column chromatography (CH<sub>2</sub>Cl<sub>2</sub> : MeOH - 95:05) to give pyrrolizidinone **12** (0.012 g) as a white solid, in 55 % yield.  $[\alpha]_D^{20}$  -12 (c 2; DMSO); IR (Film,  $v_{max}$ ): v 3377, 3206, 2985, 2946, 2928, 1657, 1440 cm<sup>-1</sup>; <sup>1</sup>H NMR (500 MHz, (CD<sub>3</sub>)<sub>2</sub>CO)  $\delta$  1.59 (ddd, *J* = 13.0, 10.8, 5.1 Hz, H-7B), 2.16 (dd, *J* = 13.0, 5.6 Hz, H-7A), 3.03 (d, *J* = 13.1 Hz, H-5A), 3.73 (dd, *J* = 13.0, 5.2 Hz, H-5B), 3.89 (dt, *J* = 10.5, 5.2 Hz, H-7a), 4.53 (dt, *J* = 5.1, 2.7 Hz, H-1), 4.59 (t, *J* = 5.1 Hz, H-6), 5.49 (d, *J* = 2.5 Hz, 1H, CH<sub>2</sub>), 5.83 (d, *J* = 2.9 Hz, 1H, CH<sub>2</sub>); <sup>13</sup>C NMR (62.5 MHz, (CD<sub>3</sub>)<sub>2</sub>CO)  $\delta$  39.3, 51.6, 66.6, 72.8, 74.2, 116.9, 148.5, 167.9; HRMS (ESI-TOF) Calcd. for C<sub>8</sub>H<sub>12</sub>NO<sub>3</sub> [M + H]<sup>+</sup> 170.0817. Found 170.0862.



QН

Н

HO

(1*S*,6*R*,7a*R*,*E*)-2-benzylidene-1,6-dihydroxytetrahydro-1*H*pyrrolizin-3(2*H*)-one (14): To a solution of pyrrolizidinone 11 (0.13 g, 0.77 mmol) in DMF (3 mL) was added iodobenzene (0.184 g, 0.92 mmol, 0.1 mL) de iodobenzeno, triethylamine (0.218 g, 2.16 mmol, 0.3 mL) and Nájera palladacycle 13 (0.5

mol%, 0.004 mmol, 0.003 g). The resulting dark brown solution was stirred for 5h, at 110-120 °C. Then the solvent was removed under reduced pressure and the residue was purified by flash silica gel column chromatography (CH<sub>2</sub>Cl<sub>2</sub>:MeOH – solvent gradient: 0:100 to 97:03), to provide benzylidene-pyrrolizidinone **14** (0.14 g), as a colorless oil, in 76 %.  $[\alpha]_D^{20} + 40$  (c 1, MeOH); IR (Film,  $v_{max}$ ): 3427, 3195, 2940, 2855, 1668, 1634, 1493, 1424,

1268, 1156, 1067 cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>CN)  $\delta$  1.30 (m, *J* = 13.8, 9.1, 5.4 Hz, 1H, H-7A), 2.38 (m, *J* = 13.8, 6.8 Hz, 1H, H-7B), 3.27 (dd, *J* = 12.3, 6.1 Hz, 1H, H-5B), 3.56 (dd, *J* = 12.3, 3.3 Hz, 1H, H-5A), 3.69 (ddd, *J* = 9.1, 7.4, 1.8 Hz, 1H, H-7a), 4.47 (qd, *J* = 6.1, 3.3 Hz, 1H, H-6), 4.91 (dd, *J* = 1.8 Hz, 1H, H-1), 7.35 (d, *J* = 2.1 Hz, 1H, CH), 7.41 (m, 3H, Ph), 7.79 (m, 2H, Ph); <sup>13</sup>C NMR (62.5 MHz, (CD<sub>3</sub>)<sub>2</sub>CO)  $\delta$  38.1, 52.1, 68.2, 70.1, 72.0, 129.2, 130.3, 131.3, 134.1, 134.2, 137.1, 172.1; HRMS (ESI-TOF) Calcd. for C<sub>14</sub>H<sub>16</sub>NO<sub>3</sub> [M + H]<sup>+</sup> 246.1130. Found 246.1168.

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1*S*,6*R*,7*aR*,*Z*)-1,6-dihydroxy-2-(4hydroxybenzylidene)tetrahydro-1*H*-pyrrolizin-3(2*H*)-one (15): To a solution of pyrrolizidinone 11 (0.25 g, 1.48 mmol) in DMF (3 mL) was added 4-iodophenol (0.49 g, 2.22 mmol), triethylamine (0.746 g, 7.39 mmol, 1.0 mL), Cy<sub>2</sub>NMe (0.216 g, 1.11 mmol, 0.24 mL) and Najera palladacycle 13 (0.5 mol%, 0.007 mmol, 0.006 g). The resulting brown solution was stirred

for 8 h, at 110-120 °C. After, the solvent was removed under reduced pressure and the residue was purified by flash silica gel column chromatography (CH<sub>2</sub>Cl<sub>2</sub>:MeOH – solvent gradient 0:100 to 97:03) to give hydroxybenzylidene-pyrrolizidinone **15** (0.20 g), as a colorless oil, in 51 % yield.  $[\alpha]_D^{20}$  + 32 (c 1, MeOH); IR (Film,  $\nu_{max}$ ): 3333, 2941, 1668, 1633, 1603, 1515, 1432, 1413, 1274, 1175, 1066 cm<sup>-1</sup>; <sup>1</sup>H NMR (250 MHz, D<sub>2</sub>O)  $\delta$  1.36 (m, *J* = 13.3, 9.3, 5.7 Hz, 1H, H-7A), 2.50 (dt, *J* = 13.3, 6.8 Hz, 1H, H-7B), 3.39 (dd, *J* = 12.6, 6.3 Hz, 1H, H-5B), 3.55 (dd, *J* = 12.6, 3.4 Hz, 1H, H-5A), 3.78 (t, *J* = 8.3 Hz, 1H, H-7a), 4.60 (m, 1H, H-6), 5.07 (t, *J* = 1.8 Hz, 1H, H-1), 6.89 (d, *J* = 8.7 Hz, 2H, Ar), 7.28 (d, *J* = 1.8 Hz, 1H, CH), 7.59 (d, *J* = 8.7 Hz, 2H, Ar); <sup>13</sup>C NMR (62,5 MHz, (CD<sub>3</sub>)<sub>2</sub>CO) 39.9, 53.5, 68.8, 70.7, 73.0, 116.4, 127.4, 132.5, 133.8, 136.3, 159.6, 172.4; HRMS (ESI-TOF) Calcd. for C<sub>14</sub>H<sub>16</sub>NO<sub>4</sub> [M + H]<sup>+</sup> 262.1079. Found 262.1122.

### (1*S*,6*R*,7a*R*,*Z*)-1,6-dihidroxi-2-(4-nitrobenzilideno)tetrahidro-1*H*-pirrolizin-3(2*H*)-ona (16):



To a solution of pyrrolizidinone **11** (0.30 mmol, 0.05 g) em DMF (3 mL) were added 4-nitro-iodobenzene (1.5 equiv., 0.44 mmol, 0.11 g), triethylamine (5.0 equiv., 1.48 mmol, 0.2 mL), *N*-Methyl-dicyclohexylamine (Cy<sub>2</sub>NMe, 0.75 equiv., 0.22 mmol, 0.04 mL), Nájera's palladacycle I (**13**, 0.5 mol%, 0.002 mmol, 0.001 g). The resulting solution was stirred at 110-120 °C for 8 hs. After that, the solvent was removed

under reduced pressure and the residue was purified by flash silica gel column chromatography (230-400 mesh; CH<sub>2</sub>Cl<sub>2</sub> : MeOH - 0:100 to 95:05) to afford 0.07 of benzylidene-pyrrolizididinone **16**, as a colorless oil, in 83% yield.  $[\alpha]_D^{20} + 28^\circ$  (c 2, MeOH); IR (Film,  $\nu_{max}$ ): 3308, 2974, 2924, 2864, 1699, 1671, 1644, 1596, 1523, 1513, 1435, 1381, 1346, 1314, 1264, 1244, 1220, 1202, 1133, 1104, 1075, 1055 cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>OD)  $\delta$  1.49 (ddd, J = 13.2, 8.3, 5.1 Hz, 1H, H-7A); 2.49 (ddd, J = 13.3, 7.4, 6.2 Hz, 1H, H-7B); 3.38 (dd, J = 12.4, 5.8 Hz, 1H, H-5B); 3.68 (dd, J = 12.4, 3.0 Hz, 1H, H-5A); 3.80 (td, J = 8.1, 2.4 Hz, 1H, H-7a); 4.56 (qd, J = 5.8, 3.3 Hz 1H, H-6); 5.01 (t, J = 2.4 Hz, 1H, H-1); 7.45 (d, J = 2.3 Hz, 1H, CH); 8.01 (d, J = 8.8 Hz, 2H, Ar); 8.26 (d, J = 8.9 Hz, 2H, Ar); <sup>13</sup>C NMRN (62.5 MHz, CD<sub>3</sub>CN) 39.2; 53.3; 68.4; 71.5; 72.5; 124.3;

132.4; 133.2; 140.5; 141.8; 148.6; 170.9; HRMS (ESI-TOF) m/z Calc. for C<sub>14</sub>H<sub>15</sub>N<sub>2</sub>O<sub>5</sub> [M + H]<sup>+</sup>: 291.0981. Found 291.0989.



(1S,2S,6R,7aR)-2-benzyl-1,6-dihydroxytetrahydro-1*H*-pyrrolizin-3(2*H*)-one (18): In a Parr<sup>®</sup> hydrogenation reactor was added a methanolic solution (5 mL) of pyrrolizidinone 14 (0.06 g, 0.24 mmol), followed by the addition of 10% Pd/C catalyst (20 mol%, 0.012 g). The reaction was stirred under a hydrogen pressure of 200 psi for 25 h, at room temperature. After that, the

medium was filtered over a pad of Celite®. The solid was washed with methanol and the combined organic phases were evaporated. The residue was purified by flash silica gel column chromatography (CH<sub>2</sub>Cl<sub>2</sub>:MeOH – solvent gradient: 0:100 to 97:03) to afford reduced benzyl-pyrrolizidinone **18** (0.06 g), as a white solid, in 97 %.  $[\alpha]_D^{20}$  + 51 (c 1, MeOH); M. p. 135-136° C; IR (KBr,  $v_{max}$ ): 3404, 3232, 2987, 2936, 2897, 2871, 1670, 1447, 1416, 1375, 1300, 1263, 1222,1175, 1121 cm<sup>-1</sup>; <sup>1</sup>H NMR (500 MHz, CD<sub>3</sub>OD)  $\delta$  1.55 (dddd, *J* = 13.4, 5.3, 4.0, 1.0 Hz, 1H), 2.25 (ddd, *J* = 13.4, 8.0, 5.4 Hz, 1H), 2.93 (m, 2H), 3.02 (m, *J* = 7.5, 1.8 Hz, 1H), 3.08 (ddd, *J* = 12.0, 4.9, 1.3 Hz, 1H), 3.52 (dd, *J* = 12.0, 2.4 Hz, 1H), 3.64 (m, *J* = 8.0, 7.0, 5.3 Hz, 1H), 3.88 (dd, *J* = 9.4, 7.0 Hz, 1H), 4.41 (m, *J* = 5.1, 4.0, 3.0 Hz, 1H), 7.15 (m, 1H), 7.23 (m, 2H), 7.29 (m, 2H); <sup>13</sup>C NMR (62.5 MHz, (CD<sub>3</sub>)<sub>2</sub>CO)  $\delta$  34.4, 38.6, 52.3, 54.0, 65.6, 72.4, 80.6, 126.5, 128.7, 130.3, 141.0, 175.6; HRMS (ESI-TOF) Calcd. for C<sub>14</sub>H<sub>18</sub>NO<sub>3</sub> [M + H]<sup>+</sup> 248.1287. Found 248.1286.



(1*S*,2*S*,6*R*,7*aR*)-1,6-dihydroxy-2-(4hydroxybenzyl)tetrahydro-1*H*-pyrrolizin-3(2*H*)-one (20): The same experimental procedure described previously was used to obtain pyrrolizidinone 20 (0.059 g), as a colorless oil, in 95 % yield. Reaction time: 48 h;  $[\alpha]_D^{20}$  185 (c 1, MeOH); IR (Film,  $v_{max}$ ): 3351, 3031, 2923, 1669, 1515, 1443, 1366, 1237,

1100 cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz, (CD<sub>3</sub>)<sub>2</sub>CO)  $\delta$  1.44 (m, 1H, H-7A), 2.22 (ddd, *J* = 13.3, 7.0, 5.6 Hz, 1H, H-7B), 2.73 (td, *J* = 31.8, 7.3, 3.6 Hz, 1H, CH<sub>2</sub>), 2.84 (m, *J* = 31.8, 13.3, 8.0 Hz, CH<sub>2</sub>, *J* = 8.6, 7.0, 4.4 Hz, H-2, 2H), 3.06 (m, *J* = 12.1, 5.2 Hz, 1H, H-5B), 3.36 (dd, *J* = 12.1, 3.1 Hz, 1H, H-5A), 3.60 (q, *J*<sub>1,7a=7a,7A=7a,7B</sub> = 7.0, Hz, 1H, H-7a), 3.84 (dd, *J*<sub>1,2</sub> = 8.9, *J*<sub>1,7a</sub> = 7.0 Hz, 1H, H-1), 4.40 (m, *J* = 5.1, 9.0 Hz, 1H, H-6), 6.65 (m, *J* = 5.5 Hz, 2H, Ph), 7.03 (m, *J* = 5.5 Hz, 2H, Ph); <sup>13</sup>C NMR (62.5 MHz, (CD<sub>3</sub>)<sub>2</sub>CO)  $\delta$  32.5, 37.6, 50.8, 53.8, 65.0, 72.0, 78.7, 115.5, 130.5, 131.0, 155.1, 175.9; HRMS (ESI-TOF) Calcd. for C<sub>14</sub>H<sub>18</sub>NO<sub>4</sub> [M + H]<sup>+</sup> 264.1236. Found 264.1318.

### General experimental procedure for the amide carbonyl reduction of pyrrolizidinones 14, 15, 18, 20:

For example, to a solution of pyrrolizidinone **18** (0.063 g, 0.25 mmol) in anhydrous THF (5 mL) was added a freshly prepared solution of AlH<sub>3</sub> in THF (1 mol/L, 10 equiv., 2.5 mmol, 2.3 mL). <u>The AlH<sub>3</sub> solution was prepared as follow</u>: A solution of LiAlH<sub>4</sub> (2,4 mol/L, 2.5 mL, THF) was added, at 0° C, to a solution of AlCl<sub>3</sub> (2 mmol, 0.27 g) in anydrous THF (5 mL). The resulting solution was stirred for 40 min). After addition, the reaction medium was stirred for 30-60 min, at room temperature. Then, the medium was quenched with a saturated solution of Na<sub>2</sub>SO<sub>4</sub> and filtered over a pad of Celite® and the solvents were removed under reduced pressure. The residue was purified by neutral alumina column

chromatography (eluting system  $CH_2Cl_2$ :MeOH 9,0:1,0) for compounds **18** and **20**, and eluting system  $CH_2Cl_2$ :MeOH:NH<sub>4</sub>OH (30 %) 7,8:2,0:0,2) for compounds **16** e **17**, to provide the corresponding pyrrolizidines **19** in 80% yield, **21** in 53% yield, **22** in 50% yield and **23** in 21% yield.



(1*S*,2*R*,6*R*,7*aR*)-2-benzylhexahydro-1*H*-pyrrolizine-1,6-diol (19): a colorless oil;  $[\alpha]_D^{20}$  - 197 (c 1, MeOH); IR (Film,  $v_{max}$ ): 3325, 2926, 2899, 1447, 1383, 1296, 1114, 1073 cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz, D<sub>2</sub>O)  $\delta$  1.82 (dt, *J* = 13.6, 4.3 Hz, 1H, H-7A), 2.16 (ddd, *J* = 13.6, 8.3, 5.4 Hz, 1H, H-7B), 2.31 (m, 1H, H-2), 2.59

(m, J = 14.0, 9.8 Hz (CH<sub>2</sub>), J = 11.8, 4.0 Hz (H-5B), J = 11.0 Hz (H-3) 3H), 2.92 (dd, J = 11.8, 4.5 Hz, 1H, H-5A), 3.03 (m, J = 14.0, 4.1 Hz (CH<sub>2</sub>), J = 11.0 Hz (H-3), 2H), 3.24 (td,  $J_{1,7a} = 7.7$ , 4.8 Hz, 1H, H-7a), 3.88 (dd,  $J_{1,2} = 9.5$ ,  $J_{1,7a} = 7.7$  Hz, 1H, H-1), 4.39 (quin, J = 4.5 Hz, 1H, H-6), 7.26 (t, J = 6.9 Hz, 3H, Ph), 7.35 (t, J = 7.4 Hz, 2H, Ph); <sup>13</sup>C(100 MHz, D<sub>2</sub>O)  $\delta$  35.7, 37.1, 48.6, 58.0, 60.3, 68.6, 73.1, 80.8, 126.3, 128.6, 128.9, 140.2; HRMS (ESI-TOF) calcd for C<sub>14</sub>H<sub>20</sub>NO<sub>2</sub> [M + H]<sup>+</sup> 234.1494, found 234.1491.



(1*S*,2*R*,6*R*,7*aR*)-2-(4-hydroxybenzyl)hexahydro-1*H*pyrrolizine-1,6-diol (21): a colorless oil;  $[\alpha]_D^{20}$  - 62 (c 1, MeOH); IR (Film,  $v_{max}$ ): 3333, 2927, 2594, 1613, 1596, 1514, 1444, 1365, 1247, 1117, 1088 cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz, D<sub>2</sub>O)  $\delta$  1.99 (d, *J* = 13.2, 4.0 Hz, 1H, H-7A) 2.22 (ddd, *J* = 13.9, 8.9, 5.0 Hz, 1H, H-7B), 2.33 (m, 1H, H-2), 2.53 (dd, *J* = 13.9, 8.8

Hz, 1H, CH<sub>2</sub>), 2.84 (dd, J = 12.1, 11.0 Hz, 1H, H-3), 2.91 (m, J = 13.9, 4.0 Hz (CH<sub>2</sub>), J = 12.4, 3.8 Hz (H-5A), 2H), 3.16 (dd, J = 12.4, 4.1 Hz, 1H, H-5B), 3.38 (dd, J = 10.6, 6.9 Hz, 1H, H-3), 3.60 (td, J = 8.5, 3.4 Hz, 1H, H-7a), 3.98 (dd,  $J_{1,2} = 9.6$ ,  $J_{1,7a} = 8.0$  Hz, 1H, H-1), 4.49 (m, 1H, H-6), 6.78 (m, J = 8.5 Hz, 2H, Ar), 7.06 (d, J = 8.5 Hz, 2H, Ar); <sup>13</sup>C(100 MHz, D<sub>2</sub>O)  $\delta$  33.8, 36.5, 47.8, 58.3, 60.3, 69.9, 72.4, 79.4, 115.8, 130.1, 130.3, 155.2. HRMS (ESI-TOF) Calcd. for C<sub>14</sub>H<sub>20</sub>NO<sub>3</sub> [M + H]<sup>+</sup> 250.1443. Found 250.1461.



(1*S*,6*R*,7*aR*,*Z*)-2-benzylidenehexahydro-1*H*-pyrrolizine-1,6diol (22): a colorless oil;  $[\alpha]_D^{20}$ -73 (c 1, MeOH); IR (Film,  $v_{max}$ ): 3406, 2925, 2855, 1646, 1495, 1448 1108, 1019 cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz, (CD<sub>3</sub>)<sub>2</sub>CO)  $\delta$  1.58 (m, *J* = 13.9, 8.4, 6.0 Hz, 1H, H-7A), 2.55 (m, *J* = 13.9, 8.4, 6.5 Hz, 1H, H-7B), 3.02 (dd, *J* =

11.9, 5.2 Hz, 1H, H-5B), 3.71 (dd, J = 11.9, 5.8 Hz, 1H, H-5A), 4.03 (d, J = 15.3 Hz, 1H, H-3), 4.22 (t,  $J_{7a,7A=7a,7B} = 8.4$  Hz, 1H, H-7a), 4.50 (quin, J = 5.8 Hz, 1H, H-6), 4.57 (d, J = 15.3 Hz, 1H, H-3), 4.70 (s, 1H, H-1), 6.79 (s, 1H, CH), 7.29 (t, J = 7.3 Hz, 1H, Ph), 7.37 (t, J = 7.5 Hz, 2H, Ph), 7.60 (d, J = 7.4 Hz, 2H, Ph); <sup>13</sup>C NMR (62.5 MHz, (CD<sub>3</sub>)<sub>2</sub>CO)  $\delta$  39.2, 60.0, 61.6, 71.6, 74.1, 75.8, 127.0, 127.7, 129.0, 129.6, 137.8, 142.8; HRMS (ESI-TOF) Calcd. for C<sub>14</sub>H<sub>18</sub>NO<sub>2</sub> [M + H]<sup>+</sup> 232.1338. Found 232.1380.



(1*S*,6*R*,7a*R*,*E*)-2-(4-hydroxybenzylidene)hexahydro-1*H*pyrrolizine-1,6-diol (23): a colorless oil;  $[\alpha]_D^{20}$  - 48 (c 0.7, MeOH); IR (Film,  $v_{max}$ ): 3431, 2923, 2852, 1628, 1603, 1509, 1416, 1384, 1364, 1268, 1242 cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz, D<sub>2</sub>O) δ 1.77 (m, J = 13.6, 11.5, 5.6 Hz, 1H, H-7A), 2.46 (m, J = 13.9, 7.3 Hz, 1H, H-7B), 2.74 (dd, J = 11.5, 5.1 Hz, 1H, H-5B), 3.30 (dd, J = 11.5, 5.6 Hz, 1H, H-5A), 3.43 (m, J = 11.5, 7.0, 4.3 Hz, 1H, H-7a), 3.85 (d, J = 16.0, 1.5 Hz, 1H, H-3), 4.17 (d, J = 16.0 Hz, 1H, H-3), 4.47 (m, J = 5.6 Hz 1H, H-6), 4.70 (d, J = 4.3 Hz, 1H, H-1), 6.67 (s, 1H, CH), 6.83 (d, J = 8.5 Hz, 2H, Ar), 7.18 (d, J = 8.5 Hz, 2H, Ar); <sup>13</sup>C NMR (125 MHz, D<sub>2</sub>O) δ 36.9, 55.3, 61.0, 69.5, 71.6, 79.5, 116.9, 126.1, 126.8, 130.6, 137.0, 159.5; HRMS (ESI-TOF) Calcd. for C<sub>14</sub>H<sub>18</sub>NO<sub>3</sub> [M + H]<sup>+</sup> 248.1287. Found 248.1289.

#### **References:**

1. Kimura, R.; Nagano, T.; Kinoshita, H. Bull. Chem. Soc. Jpn 2002, 75, 2517-2525.



<sup>1</sup>H NMR (250 MHz, DMSO-d<sub>6</sub>, 90 °C) spectrum of *cis*-4-hydroxy-(*D*)-prolinal **7**.



<sup>13</sup>C NMR (62.5 MHz, DMSO-d<sub>6</sub>, 90 °C) spectrum of *cis*-4-hydroxy-(*D*)-prolinaldehyde 7.



<sup>1</sup>H NMR (250 MHz, DMSO-d<sub>6</sub>, 90 °C) spectrum of MBH adduct **8**.



<sup>13</sup>C NMR (62.5 MHz, DMSO-d<sub>6</sub>, 90 °C) spectrum of MBH adduct **8**.



HRMS (ESI) of MBH adduct 8.



<sup>1</sup>H NMR (500 MHz, (CD<sub>3</sub>)<sub>2</sub>CO) spectrum of pyrrolizidinone **11**.



 $^{13}$ C NMR (62.5 MHz, (CD<sub>3</sub>)<sub>2</sub>CO) spectrum of pyrrolizidinone **11**.



HRMS (ESI) of pyrrolizidinone 11.



<sup>1</sup>H NMR (300 MHz, DMSO-d<sub>6</sub>, 90 °C) spectrum of *trans*-hydroxy-prolinal **9**.



<sup>13</sup>C NMR (75 MHz, DMSO-d<sub>6</sub>, 90 °C) spectrum of *trans*-hydroxy-prolinal **9**.



<sup>1</sup>H NMR (250 MHz, DMSO-d<sub>6</sub>, 90 °C) spectrum of MBH adduct **10**.



 $^{13}$ C NMR (62.5 MHz, DMSO-d<sub>6</sub>, 90 °C) spectrum of MBH adduct **10**.



HRMS (ESI) of MBH adduct 10.

S24



<sup>1</sup>H NMR (500 MHz, (CD<sub>3</sub>)<sub>2</sub>CO) spectrum of pyrrolizidinone **12**.



 $^{13}$ C NMR (62.5 MHz, (CD<sub>3</sub>)<sub>2</sub>CO) spectrum of pyrrolizidinone **12**.











<sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>CN) spectrum of benzylidene-pyrrolizidinone **14**.



<sup>13</sup>C NMR [62.5 MHz, (CD<sub>3</sub>)<sub>2</sub>CO)] spectrum of benzylidene-pyrrolizidinone **14**.



2-D NOESY (400 MHz, CD<sub>3</sub>CN) spectrum of benzylidene-pyrrolizidine 14.



S34



<sup>1</sup>H NMR (250 MHz, D<sub>2</sub>O) spectrum of hydroxy-benzylidene-pyrrolizidinone **15**.


<sup>13</sup>C NMR [62.5 MHz, (CD<sub>3</sub>)<sub>2</sub>CO)] spectrum of hydroxy-benzylidene-pyrrolizidinone **15**.



**S**37



HRMS (ESI) of hydroxy-benzylidene-pyrrolizidinone 15.



<sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>OD) spectrum of *p*-nitro-benzylidene-pyrrolizidinone **16**.



 $^{13}$ C NMR (62.5 MHz, CD<sub>3</sub>CN) spectrum of *p*-nitro-benzylidene-pyrrolizidinone **16**.



S41



HRMS (ESI) of *p*-nitro-benzylidene-pyrrolizidinone **16**.



<sup>1</sup>H NMR (500 MHz, CD<sub>3</sub>OD) spectrum of benzyl-pyrrolizidinone **18**.



<sup>13</sup>C NMR [62.5 MHz, (CD<sub>3</sub>)<sub>2</sub>CO)] spectrum of benzyl-pyrrolizidinone **18**.







<sup>1</sup>H NMR [400 MHz, (CD<sub>3</sub>)<sub>2</sub>CO] spectrum of hydroxy-benzyl-pyrrolizidinone **20**.



<sup>13</sup>C NMR [62.5 MHz, (CD<sub>3</sub>)<sub>2</sub>CO)] spectrum of hydroxy-benzyl-pyrrolizidinone **20**.



HRMS (ESI) of hydroxy-benzyl-pyrrolizidinone 20.



<sup>1</sup>H NMR [400 MHz, (CD<sub>3</sub>)<sub>2</sub>CO)] spectrum of benzylidene-pyrrolizidine **22**.



<sup>13</sup>C NMR [(62.5 MHz, (CD<sub>3</sub>)<sub>2</sub>CO)] spectrum of benzylidene-pyrrolizidine **22**.





HRMS (ESI) of benzylidene-pyrrolizidine 22.



<sup>1</sup>H NMR (400 MHz, D<sub>2</sub>O) spectrum of hydroxy-benzylidene-pyrrolizidine **23**.



 $^{13}\text{C}$  NMR (125 MHz, D<sub>2</sub>O) spectrum of hydroxy-benzylidene-pyrrolizidine **23**.



S55



HRMS (ESI) of hydroxy-benzylidene-pyrrolizidine 23.



<sup>1</sup>H NMR (400 MHz, D<sub>2</sub>O) spectrum of benzyl-pyrrolizidine **19**.



 $^{13}$ C NMR (100 MHz, D<sub>2</sub>O) spectrum of benzyl-pyrrolizidine **19**.



HMRS (ESI) of benzyl-pyrrolizidine 19.





 $^{13}\text{C}$  NMR (100 MHz, D<sub>2</sub>O) spectrum of hydroxy-benzyl-pyrrolizidine **21**.



HRMS (ESI) of hydroxy-benzyl-pyrrolizidine 21.

## **ATTACHMENT 1**

NOTE: In this part of our supporting information we have included the experimental procedure for the preparation the intermediates used for the synthesis of our asymmetric aldehydes. They appear here because these compounds are not cited in the manuscript. In order to differentiate these compounds from those described in our manuscript they were numbered using roman numbers.



<sup>CO</sup><sub>2</sub>Et (2*R*,4*R*)-2-(ethoxycarbonyl)-4-hydroxypyrrolidinium chloride (I): To a solution of commercial *cis*-4-hydroxy-D-proline or *trans*-4hydroxy-L-proline (0.50 g, 3.81 mmol) in ethanol (10 mL), at 0 °C, was slowly dropped thionyl chloride (0.31 mL, 0.513 g, 4.31 mmol). After that, the cooling bath was removed and the resulting solution was

refluxed for 12h and cooled to room temperature. The resulting crystals was filtered, washed with ethyl ether and dried under reduced pressure. The filtrate was diluted with ethyl ether to obtain crystals that were filtered, washed with ethyl ether and dried. The crystals were combined to afford 0.73 g of the corresponding ester in 98 % yield.  $[\alpha]_D^{20}$  +18 (c 2; H<sub>2</sub>O); Lit.<sup>1</sup>  $[\alpha]_D^{20}$  +20.37 (c 2; H<sub>2</sub>O); M. p. 143° C; IR (KBr,  $\nu_{max}$ ): v 3303, 2975, 2940, 1727, 1581, 1380, 1247, 1094 cm<sup>-1</sup>. <sup>1</sup>H NMR (250 MHz, D<sub>2</sub>O)  $\delta$  1.29 (3H, t), 2.48 (2H, m), 3.46 (2H, m), 4.31 (2H, q), 4.64 (1H, m); <sup>13</sup>C NMR (62,5 MHz, D<sub>2</sub>O)  $\delta$  12.8, 36.6, 53.1, 58.2, 63.6, 68.6, 170.0. HRMS (ESI-TOF) Calcd. for C<sub>7</sub>H<sub>14</sub>NO<sub>3</sub> [M + H]<sup>+</sup> 160.0974. Found 160.0927.

HO ... CO2

 $CO_2Et$  (2S,4R)-1-tert-butyl 2-ethyl 4-hydroxypyrrolidine-1,2-dicarboxylate (III): To a solution of the ester II (0.3 g, 1.53 mmol) in methanol (15 mL) was added di-terc-butyldicarbonate (Boc<sub>2</sub>O, 0.4 g, 1.84 mmol) and NaHCO<sub>3</sub> (0.386 g, 4.60 mmol). The resulting suspension was immersed

in a ultrasound bath for 4 h. The development of the reaction was followed by the CO<sub>2</sub> releasing. After that, the solvent was removed and the residue was dissolved in cooled distilled water (10 mL) and the solution was acidified with a saturated solution of KHSO<sub>4</sub> until pH 2 and extracted with ethyl acetate. The organic phase was separated, dried over anhydrous Na<sub>2</sub>SO<sub>4</sub> and removed under reduced pressure to give 0.42 g of **III**, as a colorless oil, in 91 % yield  $[\alpha]_D^{20}$  -69.1 (c 2; EtOH); Lit.<sup>3</sup>  $[\alpha]_D^{20}$  -67.8 (c 2; EtOH); IR (film,  $\nu_{max}$ ): 3436, 2976, 2933, 1744, 1703, 1679, 1401, 1192, 1155 cm<sup>-1</sup>; <sup>1</sup>H NMR (300 MHz, DMSO-d<sub>6</sub>, 90 °C)  $\delta$  1.21 (t, 3H), 1.38 (s, 9H), 2.06 (m, 2H), 3.33 (m, 2H), 4.12 (q, 2H), 4.26 (m, 2H); <sup>13</sup>C NMR (75 MHz, DMSO-d<sub>6</sub>, 90 °C)  $\delta$  13.5, 27.6, 38.2, 54.1, 57.4, 59.8, 67.7, 78.5,

152.9, 172.0; HRMS (ESI-TOF) Calcd. for  $C_{12}H_{22}NO_5 [M + H]^+$  260.1498. Found 260.1473.

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<sup>1</sup>H NMR (250 MHz, D<sub>2</sub>O) spectrum of ester **I**.





<sup>13</sup>C NMR (62.5 MHz, D<sub>2</sub>O) spectrum of ester **I**.





<sup>1</sup>H NMR (300 MHz, CD<sub>3</sub>OD) spectrum of ester **II**.



<sup>13</sup>C NMR (75 MHz, CD<sub>3</sub>OD) spectrum of ester **II**.



HRMS (ESI) of ester II.



<sup>1</sup>H NMR (300 MHz, DMSO-d<sub>6</sub>, 90 °C) spectrum of ester III.


<sup>13</sup>C NMR (75 MHz, DMSO-d<sub>6</sub>, 90 °C) spectrum of ester III.

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HRMS (ESI) of ester III.