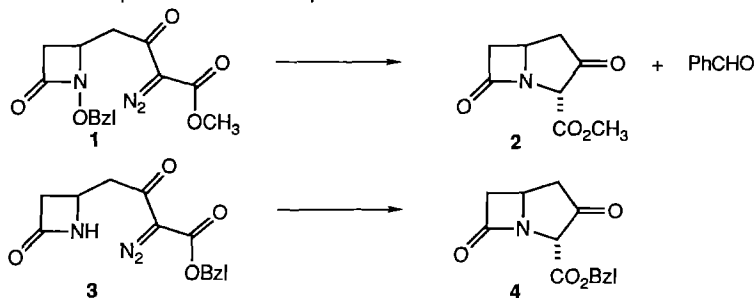


SYNTHESIS OF THE CARBAPENAM RING SYSTEM VIA CARBENE MEDIATED REARRANGEMENT OF AN N-BENZYLOXY- β -LACTAM

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Abstract: The α -diazoester **1** in the presence of catalytic $\text{Rh}_2(\text{OAc})_4$ was converted to the carbapenam **2**. The synthesis of **1** and a proposed mechanism are described.

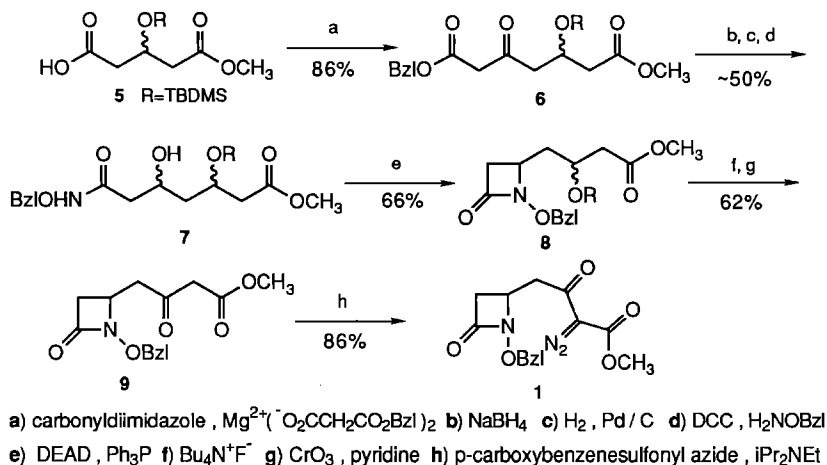
A variety of strategies currently exists in the literature for the synthesis of bicyclic β -lactams. A commonality in most of these synthetic methods is the formation of a bicyclic ring system from an appropriately functionalized azetidinone ring. Of the methodologies utilized, one of the most efficient involves the treatment of an α -diazo- β -ketoester substituted azetidinone **3** with a catalytic amount of $\text{Rh}_2(\text{OAc})_4$. The resulting carbenoid species undergoes N-H bond insertion, thereby providing the carbapenam ring system **4**.² This process has also been applied to the synthesis of carbacephems.³ Herein, we describe an unprecedented ring closure of the N-benzyloxy- β -lactam **1** to provide the carbapenam **2**.



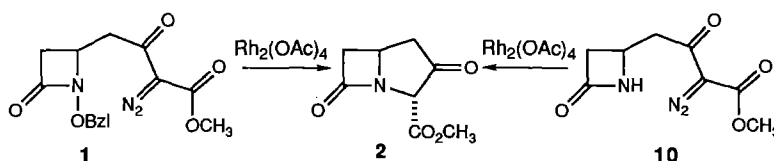
The preparation of the key diazo compound **1** is shown in Scheme 1. The known acid-ester **5**⁴ was homologated to the β -ketoester **6** via the Masamune⁵ procedure. Reduction of the

β -keto group and hydrogenolysis of the benzyl ester followed by DCC mediated coupling of the acid with O-benzylhydroxylamine, provided the hydroxamate **7**. Cyclization of this β -hydroxy-hydroxamate using the established variant of the Mitsunobu reaction,⁶ gave the β -lactam **8** as a mixture of diastereomers. Deprotection of the silyl ether⁷ and subsequent modified Collins oxidation⁸ of the alcohol provided the corresponding ketone **9**. Diazo transfer using *p*-carboxybenzenesulfonyl azide⁹ required careful control of temperature ($-5\text{ }^{\circ}\text{C}$, 14 h.) and the amount of base used (1.2 eq.) to afford the α -diazo- β -ketoester **1**.

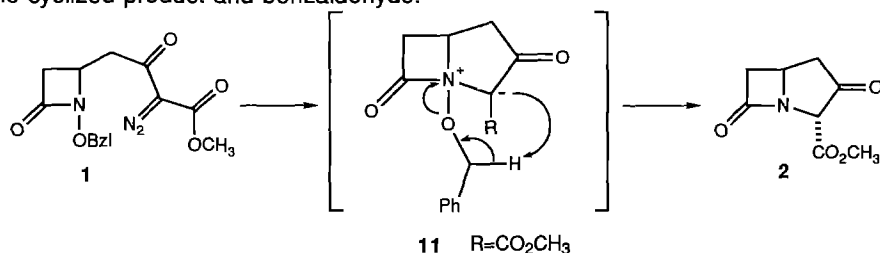
Scheme 1



With this substrate in hand, we were interested in exploring the reactivity of the generated carbenoid with respect to benzylic C-H insertion or oxonium ion formation followed by rearrangement, thereby providing an entry into novel bicyclic β -lactam systems. Treating **1** with $\text{Rh}_2(\text{OAc})_4$ (5 mol%) in refluxing CH_2Cl_2 , however, gave the carbapenam **2** in approximately 40% unoptimized yield after purification on silica. In addition to the cyclized product, benzaldehyde was produced as verified by TLC, HPLC, and Purpald®(4-amino-3-hydrazino-5-mercapto-1,2,4-triazole)¹⁰ test of the crude reaction mixture. The product **2** was confirmed by comparison to spectral data from a previous synthesis.¹¹ In addition, an authentic sample of the carbapenam **2** was prepared by rhodium-catalyzed cyclization of **10** and proved identical, with respect to NMR, IR, and TLC, to the product obtained from the treatment of **1** with $\text{Rh}_2(\text{OAc})_4$.¹²



The low isolated yield in the conversion of **1** to **2** may be partially attributed to decomposition during purification on silica, since the cyclization of **10** to **2**, which appeared clean and complete by TLC analysis, was also isolated in low yield.¹³ A mechanism consistent with the observed products is presented below. The initially generated carbenoid may first interact electrophilically with the N-alkoxylactam electron lone pair to give intermediate **11**. Abstraction of a proton from the benzylic position by this ylide intermediate, followed by N-O bond heterolysis yields the cyclized product and benzaldehyde.



This unique rearrangement conveniently circumvents the well documented procedure for the debenzoylation and N-O bond reduction of N-benzyloxy-β-lactams.¹⁴ Application of this reduction to **9**, followed by diazo transfer would then afford a substrate compatible for cyclization employing the Merck protocol.² Further studies of this unique cyclization are in progress.

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 12. Compound **10** was synthesized by Dr. C.- N. Hsiao from 4-Acetoxy-2-azetidinone and the bis-trimethylsilylated dianion of methylacetoacetate in the presence of trimethylsilyltriflate, followed by diazo transfer. Cyclization was effected with $\text{Rh}_2(\text{OAc})_4$ in refluxing benzene.
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 15. Selected Characterization Data. ^1H NMR and ^{13}C NMR data were recorded at 300 and 75 MHz respectively in CDCl_3 with TMS as an internal reference. **9**: oil; IR (CHCl_3) 3010, 2960, 1770(broad), 1720 cm^{-1} ; ^1H NMR δ 7.38 (m, 5H), 4.91 (d, 1H, $J=10.9$ Hz), 4.85 (d, 1H, $J=10.9$ Hz), 4.02 (m, 1H), 3.69 (s, 3H), 3.41 (s, 1H), 3.40 (s, 1H), 2.84 (dd, 1H, $J=2.4, 13.9$ Hz); ^{13}C NMR δ 199.86, 167.07, 164.07, 135.16, 129.41, 128.97, 128.61, 77.86, 52.49, 52.37, 49.06, 45.05, 38.11; high resolution MS calcd for $\text{C}_{15}\text{H}_{17}\text{NO}_5$ 291.1107, found 291.1110. **1**: oil; IR (thin film) 3040, 2960, 2140, 1775, 1720, 1650 cm^{-1} ; ^1H NMR δ 7.38 (m, 5H), 4.96 (1H, $J=6.7, 17.5$ Hz), 2.88 (dd, 1H, $J=5.2, 13.9$ Hz), 2.42 (dd, 1H, $J=2.4, 13.9$ Hz); ^{13}C NMR δ 189.02, 163.98, 161.35, 135.12, 129.31, 128.89, 128.55, 77.99, 76.23, 53.02, 52.37, 42.86, 38.16. **2**: oil; IR (CCl_4) 2960, 1785, 1775, 1750 cm^{-1} ; ^1H NMR δ 4.71 (s, 1H), 3.81 (s, 3H), 3.67 (dd, 1H, $J=5.1, 16.3$ Hz), 2.97 (dd, 1H, $J=2.0, 16.4$ Hz), overlaps with 2.92 (ddd, 1H, $J=6.3, 6.8, 18.8$ Hz), 2.41 (dd, 1H, $J=7.8, 18.8$ Hz); MS (CI) m/e 184 ($M+1$).

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