

PII: S0040-4039(96)02376-3

Tandem and Two-Directional Asymmetric Catalysis of the Mukaiyama Aldol Reaction

Koichi Mikami,* Satoru Matsukawa, Masako Nagashima, Hiroshi Funabashi[†] and Hajime Morishima[†]

Department of Chemical Technology, Tokyo Institute of Technology, Meguro-ku, Tokyo 152, Japan [†]Banyu Pharmaceutical Co. Ltd. Tsukuba Techno-Park, Ohno, Tsukuba 300-33, Japan

Abstract: Upon addition of an excess amount of an aldehyde 3, the Mukaiyama aldol reaction of a silyl enol ether 2 proceeds in tandem and two-directional fashion by the asymmetric catalysis of a binaphthol-derived chiral titanium complex (BINOL-Ti: 1) to give the silyl enol ether 4 in 77% isolated yield in more than 99% ee and 99% de. The present asymmetric catalytic Mukaiyama aldol reaction is characterized by amplification phenomena of the product chirality on going from the one-directional aldol intermediate 6 (98.5% ee, R) to the two-directional product 4 (99.6% ee, R,R). Further transformation of the pseudo C₂ symmetric product 4 (> 99% ee, > 99% de) in its' protected form as the silyl enol ether is established leading to a potentially potent analogue of HIVP inhibitor 9a. @ 1997, Elsevier Science Ltd. All rights reserved.

The aldol reaction constitutes one of the most fundamental bond construction processes in organic synthesis.¹ Therefore, a detailed understanding of the reaction mechanisms of aldol processes² and their asymmetric catalysis³ have attracted recent attention. In the course of our studies on the asymmetric catalysis of the Mukaiyama aldol reaction (the Lewis acid-promoted carbonyl-addition of silyl enol ethers of ketones),⁴ we made an unexpected observation: Upon addition of an excess amount of an aldehyde, the Mukaiyama aldol reaction proceeded in a two-directional fashion by the asymmetric catalysis of a binaphthol-derived chiral titanium complex (BINOL-Ti: 1)⁵ (Scheme 1). Tandem⁶ and two-directional prototropic ene-type^{7,8,9} aldol reactions are quite useful, because the regiochemical problem in enolate generation during the secondary step is inherently solved and, in addition, because the number of operations for carbon-carbon bond extention is reduced relative to the step-wise aldol reaction.¹⁰ The kinetic future and synthetic application of this tandem and two-directional asymmetric catalysis of the Mukaiyama aldol reaction is the subject of this communication.

Scheme 1



The reaction was carried out by adding the *tert*-butyldimethylsilyl enol ether 2 and an excess amount of glyoxylate 3 (2 equiv.) at 0 °C to a dichloromethane solution containing 10 mol% of the chiral titanium complex (1), that was prepared from (*R*)-binaphthol and diisopropoxytitanium dichloride as reported previously.¹¹ The reaction was completed within 3 h as determined by TLC monitoring. Hydrolytic work-up with saturated sodium bicarbonate at 0 °C followed by flash column chromatography gave the silyl enol ether 4 in 77% isolated yield as a 1 : 4 geometrical (*E* / *Z*) mixture.¹² The enantiomeric and diastereomeric purity of the product 4 was determined to be more than 99% ee and 99% de by chiral capillary GLC (CP-Cyclodextrin-B-2,3,6-M-19, 25 m) (t_R (155 °C): (*R*, *R*) - 5, 113.2 min; (*S*, *S*) - 5, 114.4 min; meso-5, 114.4 min. t_R (150 °C): (*R*, *R*) - 5', 89.4 min; (*S*, *S*) - 5', 92.6 min.) and ¹H NMR (300 MHz) analyses after hydrolysis to dihydroxyketone 5 or bis-silylation thereof to 5' with bis(trimethylsilyl)trifluoroacetamide (BSTFA).

Scheme 2



The two-directional asymmetric catalytic Mukaiyama aldol reaction is characterized by an unique kinetic feature of the one-directional aldol intermediates (R)- vs. (S)-6. Therefore, the amplification phenomena of the product chirality is observed on going from the one- (98.5% ee, R) to the two-directional product (99.6% ee, R,R) as shown in a control experiment (Scheme 2).¹³ The secondary aldol reaction of the primary ene-type aldol adduct (R)-6 in 98.5% ee was found, by catalysis of the (R)-catalyst (1), to provide 98.4% of the secondary product (R,R)-5 in 99.6% ee along with 1.6% of the meso-diastereomer (5). On the contrary, the secondary reaction of the (S)-enantiomer (6) (95.9% ee, S) by the same catalyst (R)-1 resulted mainly in the formation of meso-diastereomer 5 (94.1%) with 5.9% of the (S,S)-5. Thus, the secondary reaction of (R)-6 catalyzed by the (R)-catalyst (1) leading to (R,R)-5 is calculated to be 3.4 times as fast as the (S)-counterpart (6) to give the (S,S)-enantiomer and, therefore, the product chirality is amplified upon going from the one- to the two-directional product (Scheme 3).

Scheme 3



The pseudo C₂ symmetric nature of the two-directional product (> 99% ee and > 99% de) in its' protected form as the silyl enol ether should provide valuable synthetic applications in the context of the synthesis of C₂ symmetric HIV protease (HIVP) inhibitors $9.^{14}$ The complex of 9a with HIVP is calculated to be more stable by 6.76 kcal/mol than the HIVP/L-700,417 complex. Thus, the synthesis of the potentially potent¹⁵ homologue (9a) of the HIVP inhibitor, L-700,417^{16,17} was established by hydrogenation (H₂/Rh-Al₂O₃, ethyl acetate), alkylation (BnBr/Ag₂O, ether), hydrolysis (LiOH/methanol-H₂O (3 : 1)), amide formation by the mixed anhydride method following the literature procedure¹⁷ and de-silylation (TBAF, THF). A similar transformation produced other HIVP inhibitor analogues with different side chains ($9b \sim 9e$).

Scheme 4



In summary, we have uncovered the first example of the tandem and two-directional asymmetric catalysis of the Mukaiyama aldol reaction with complete control of the absolute and relative stereochemistries of the pseudo C_2 -symmetric product. We have further disclosed the amplification phenomena of the product chirality on going from the one-directional aldol intermediate to the two-directional aldol product.

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(Received in Japan 13 November 1996; revised 26 November 1996; accepted 29 November 1996)