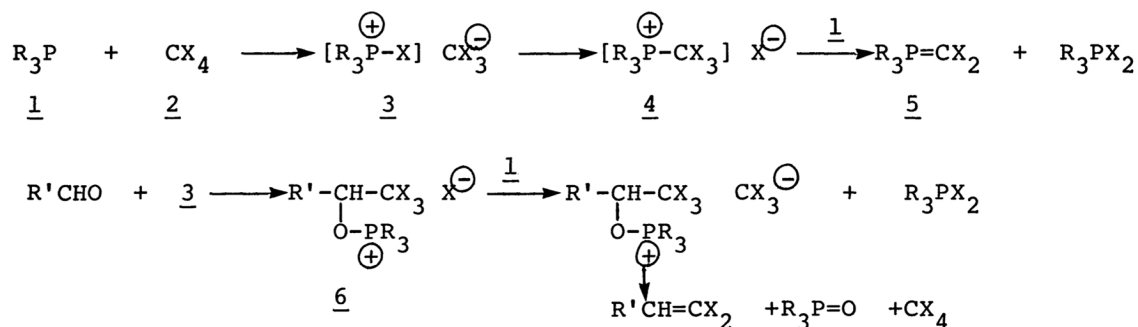


REACTION OF TRIPHENYLPHOSPHINE-CARBON TETRAHALIDE  
REAGENT WITH  $\alpha$ -KETO- $\gamma$ -LACTONE

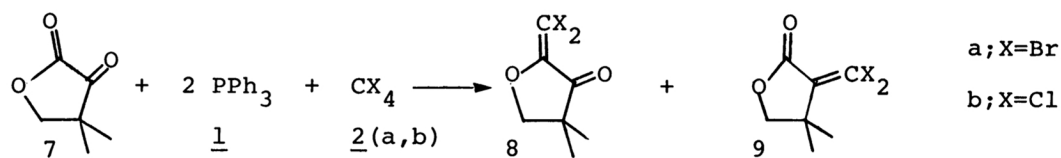
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Triphenylphosphine-carbon tetrahalide reagent reacts with 4,4-dimethyloxolan-2,3-dione to afford two dihalomethyleneoxolanes, 8 and 9. The major reaction course can be changed by the addition order of the reagents. Reaction mechanisms are discussed.

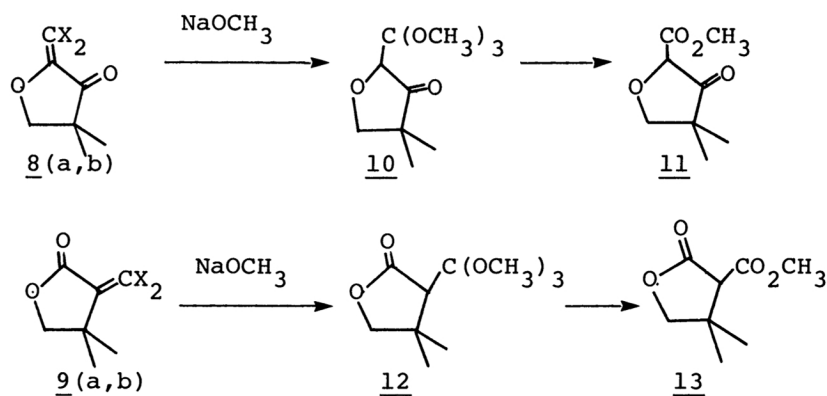
Triphenylphosphine 1 (R=Ph) with carbon tetrahalide 2 (CBr<sub>4</sub> and CCl<sub>4</sub>) has been known to react with carbonyl compounds to produce 1,1-dihalogeno-olefins.<sup>1)</sup> This reagent is also useful for the exchange of hydroxy group with a halogen atom.<sup>2)</sup> In the former transformation, phosphonium salt 4, which is produced from 1 and 2 via 3, reacts further with 1 to form a ylid 5 (R=Ph). This ylid has been believed to react with carbonyl compounds (Wittig type reaction) to afford 1,1-dihalogeno-olefins. On the other hand, when tris(dimethylamino)-phosphine 1 (R=NMe<sub>2</sub>) is used in place of triphenylphosphine, no ylid of the type 5 has been claimed to be formed.<sup>3)</sup> Instead, carbonyl compounds react with phosphonium salt 3 (R=NMe<sub>2</sub>) producing an adduct 6. Subsequent transformation shown in the following scheme generates 1,1-dihalogeno-olefins. In this report, we present our findings that more than one reaction pathways are involved in this dihalomethylenation reaction using triphenylphosphine-carbon tetrahalide system, suggesting the presence of an interaction between 3 and carbonyl group prior to the ylid formation.



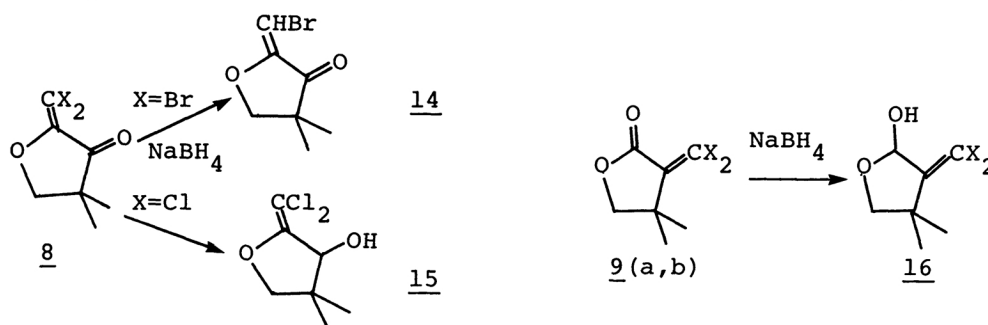
In connection with our project to prepare new derivatives of  $\alpha$ -methylene- $\gamma$ -lactones, which are expected to exhibit biological activities, we examined a reaction of 1 (R=Ph) + 2 reagent with 4,4-dimethyloxolan-2,3-dione 7 and found that two dihalogeno-olefins were produced. Experimentally, when 1 (R=Ph) was added in small portions into a solution of 2 (X=Br) and 7 in dichloromethane (Method A), two dibromoolefins 8a<sup>4)</sup> and 9a<sup>5)</sup> were isolated in 21 and 58% yields, respectively. On the other hand, when ylid 5 (R=Ph, X=Br), which was produced by mixing 1 (R=Ph) and 2 (X=Br) in dichloromethane, was treated with 7 (Method B), the same products were obtained, but in this case the yields were 57% for 8a and 13% for 9a. This diverse reactivity was also observed with triphenylphosphine-carbon tetrachloride reagent; 8b and 9b were isolated in 5 and 59% yields, respectively, in Method A, while isolated yields in Method B were 44% for 8b and 38% for 9b.



The structures of these products were determined from spectroscopic data and by chemical transformations. When 8(a,b) was treated with excess sodium methoxide an orthoester 10<sup>6)</sup> was obtained. This was hydrolyzed upon standing at room temperature to a ketoester 11.<sup>7)</sup> Reaction of 9(a,b) with sodium methoxide formed similarly an orthoester 12,<sup>8)</sup> and its hydrolysis afforded a diester 13.<sup>9)</sup> Chemical shifts of methyne protons in these products [ $\delta$  4.12(10), 4.58(11), 2.59(12), and 3.22(13)] in NMR spectra clearly distinguish the structures. Also, mass spectra of 8 show a strong fragmentation peak at  $M^+ - 84$ , corresponding to  $CX_2=C=O$ .



Sodium borohydride reduction was rather deceptive. Reduction of 8a and 8b produced 14<sup>10)</sup> and 15,<sup>11)</sup> while 9(a,b) was reduced to lactol 16(a,b).<sup>12)</sup>



In order to know the mechanism of this dihalomethylenation reaction, several control experiments were performed using CBr<sub>4</sub> in Method A, and we obtained following observations. 1) Even when 1/10 of the required amount of 1 (R=Ph) was added, 9a was formed. 2) When the added amount of 1 (R=Ph) was less than a half of the total, only 9a was produced. 8a appeared near the end of addition of 1. This suggests that 8 and 9 are not produced from a common intermediate. 3) Addition of triphenylphosphine dibromide had no effect on the reaction course. 4) When reagent 5 (R=Ph, X=Br) was prepared either from 1 (R=Ph), 2 (X=Br), and zinc,<sup>13)</sup> or from 1 (R=Ph), CHBr<sub>3</sub>, and potassium t-butoxide,<sup>14)</sup> and treated with 7, both 8a and 9a were obtained in very low yields. Furthermore, similar diverse reactivity of (1 + 2) reagent has been reported in the reaction with alcohols. Namely, halides are obtainable only when the alcohol is present in the reaction mixture before the addition of 1<sup>15)</sup> (this corresponds to Method A in this report).

Above results suggest that no ylid intermediate of the type 5 was formed in Method A, at least at the early stage of addition of 1 (R=Ph). In Method A, initially formed phosphonium salt 3 (R=Ph, X=Br) reacts with ketone carbonyl in 7 preferentially before the formation of ylid 5. And once a ylid 5 (R=Ph) is formed, it reacts with both carbonyl groups in 7 affording 8 as the major product. This indicates that besides the accepted ylid reaction via 5 (R=Ph), dihalomethylenation of carbonyl compounds using triphenylphosphine proceeds by direct interaction between phosphonium salt 3 and carbonyl compound prior to ylid formation (a mechanism similar to the one using tris(dimethylamino)phosphine). And the chemoselectivity of these two nucleophilic reagents (3 and 5) toward 7 was quite marked.

In the above, we showed that two different pathways seems to be involved in the dihalomethylenation of  $\alpha$ -keto- $\gamma$ -lactone by triphenylphosphine-carbon tetrahalide reagent, and major reaction course can be controlled by changing the addition order of reagent.

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- 4) bp 76-78°C/0.5 Torr.; NMR  $\delta$  1.22(6H,s), 4.15(2H,s); IR 1740, 1590, 1465, 1270, 1180, 1160, 1000, 860, 740  $\text{cm}^{-1}$ ; MS m/e 286(24%), 284(49), 282(26), 271(6), 269(13), 267(7), 202(41), 200(84), 198(44), 174(7), 172(15), 170(8), 56(73), 41(100).
- 5) mp 84-85°C; NMR  $\delta$  1.44(6H,s), 3.88(2H,s); IR 1760, 1600, 1470, 1255, 1150, 1050, 1030, 770  $\text{cm}^{-1}$ ; MS m/e 286(16%), 284(30), 282(15), 271(22), 269(43), 267(22), 243(20), 241(41), 239(21), 228(6), 226(19), 224(10), 205(49), 203(45), 161(48), 159(46), 147(93), 145(89), 65(76), 39(100).
- 6) NMR  $\delta$  1.15(6H,s), 3.40(9H,s), 3.85(1H,d,J=8Hz), 4.07(1H,d,J=8Hz), 4.12(1H,s).
- 7) NMR  $\delta$  1.17(3H,s), 1.20(3H,s), 3.80(3H,s), 3.98(1H,d,J=9Hz), 4.15(1H,d,J=9Hz), 4.58(1H,s); IR 1750, 1230, 1100, 1070  $\text{cm}^{-1}$ .
- 8) NMR  $\delta$  1.17(3H,s), 1.21(3H,s), 2.59(1H,s), 3.37(9H,s), 3.75(1H,d,J=8Hz), 4.03(1H,d,J=8Hz); IR 1780, 1080  $\text{cm}^{-1}$ ; MS m/e 187(49%,  $\text{M}^+ - \text{OCH}_3$ ).
- 9) NMR  $\delta$  1.15(3H,s), 1.30(3H,s), 3.22(1H,s), 3.78(3H,s), 3.97(1H,d,J=9Hz), 4.15(1H,d,J=9Hz); IR 1790, 1720, 1160, 1025  $\text{cm}^{-1}$ ; MS m/e 173(5%,  $\text{M}^+ + 1$ ).
- 10) NMR  $\delta$  1.22(6H,s), 4.22(2H,s), 6.27(1H,s); IR 3090, 1740, 1625, 1300, 1140, 995, 720  $\text{cm}^{-1}$ ; MS m/e 206(26%), 204(25).
- 11) NMR  $\delta$  1.05(3H,s), 1.13(3H,s), 2.40(1H,d,J=3Hz,OH), 3.86(1H,d,J=8Hz), 4.08(1H,d,J=8Hz), 4.28(1H,d,J=3Hz); IR 3200, 1020  $\text{cm}^{-1}$ ; MS m/e 200(5%), 198(30), 196(45).
- 12) **16a**; NMR  $\delta$  1.33(3H,s), 1.37(3H,s), 3.30(1H,OH), 3.73(1H,d,J=8Hz), 4.08(1H,d,J=8Hz), 5.75(1H,d); IR 3390, 1650, 1620, 1115, 1070, 1035, 770  $\text{cm}^{-1}$ ; MS m/e 287(2%), 285(3), 283(2).  
**16b**; NMR  $\delta$  1.29(3H,s), 1.35(3H,s), 3.67(1H,d,J=8Hz), 4.02(1H,d,J=8Hz), 4.27(1H,d,J=4Hz), 5.81(1H,d,J=4Hz); IR 3370, 1640, 1120, 1070, 1030, 1015, 890  $\text{cm}^{-1}$ ; MS m/e 183(1%), 181(8), 179(12) ( $\text{M}^+ - \text{OH}$ ).
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