

Synthesis and characterization of
germa[n]pericyclines†

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Hiroki Tanimoto,^a Tomohiko Nagao,^a Yasuhiro Nishiyama,^a Tsumoru Morimoto,^a Fumiyasu Iseda,^a Yuko Nagato,^a Toshimasa Suzuka,^b Ken Tsutsumi*^c and Kiyomi Kakiuchi*^a

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The synthesis and characterization of novel pericyclines comprising germanium atoms and acetylenes, germa[n]pericyclines, are described. The prepared germa[4]-, [6]-, and [8]pericyclines were compared by ¹³C NMR spectroscopy, X-ray crystallography, cyclic voltammetry, UV-visible spectroscopy, fluorescence emission spectroscopy, Raman spectroscopy, and density functional theory calculation analyses.

Introduction

'[N]pericyclines,' as named by Scott *et al.*, are cyclic compounds composed of *n* acetylenic units on each side of the ring (Fig. 1).^{1,2} Acyclic oligoalkynes or skipped-polyynes ($[-R_2X-C\equiv C-]_n$) have been investigated in detail. Pericyclines have also been investigated because of their unique electronic properties.³ In particular, their strained structures due to reduced bond angles of vertexes may reinforce the through-space interaction between adjacent but non-conjugated alkynes.^{4,5} In contrast, acyclic skipped polyynes exhibit no conductivity or very small through-space interactions.⁶ Moreover,

cross-hyperconjugation between skipped alkynes has been recently focused as unexplored orbital interactions, and its strength can be tuned by the choice of element X in Fig. 1.⁷ Hence, pericyclines may display unique electrical and photochemical properties, and are expected to emerge as novel functional materials.

To date, various analogues have been reported in both synthetic^{8–10} and theoretical studies.¹¹ Owing to the difficulty in their syntheses arising from strains, silapericyclines (Si),⁹ with larger heteroatom vertexes than carbopericyclines (C),^{2,8} have been reported with extended pericyclines (conjugated polyynes on their sides).¹² Changing the vertex atoms to reduce the ring strains and bond angles may lead to the efficient synthesis of pericyclines and facilitate the through-space interactions of alkynes. Phosphapericyclines (P) have also been reported.¹⁰ Group 14 elements seem to be efficient because of their stability and ease of handling. However, only carbon and silicon have been studied among Group 14 elements. In addition, the physical properties of pericyclines have been rarely reported. Therefore, further studies on the other vertex atoms in terms of the ring strain and bond angles are strongly desired. Additionally, it is also possible that changing the vertex atoms in pericyclines can tune the conjugation between skipped polyynes, and their properties should be investigated.⁷ Herein, we report the synthesis and characterization of novel germa[n]pericyclines, containing germanium, a Group 14 element, on their vertexes.

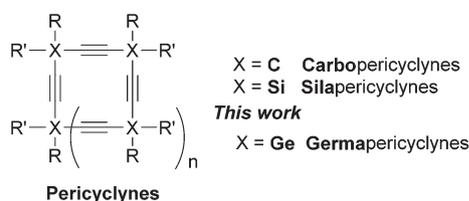


Fig. 1 Pericyclines.

^aGraduate School of Materials Science, Nara Institute of Science and Technology (NAIST), 8916-5 Takayamacho, Ikoma, Nara 630-0192, Japan.

E-mail: kakiuchi@ms.naist.jp; Fax: +81 743 72 6089; Tel: +81 743 72 6085

^bDepartment of Chemistry, University of the Ryukyus, Nishihara, Okinawa 903-0213, Japan

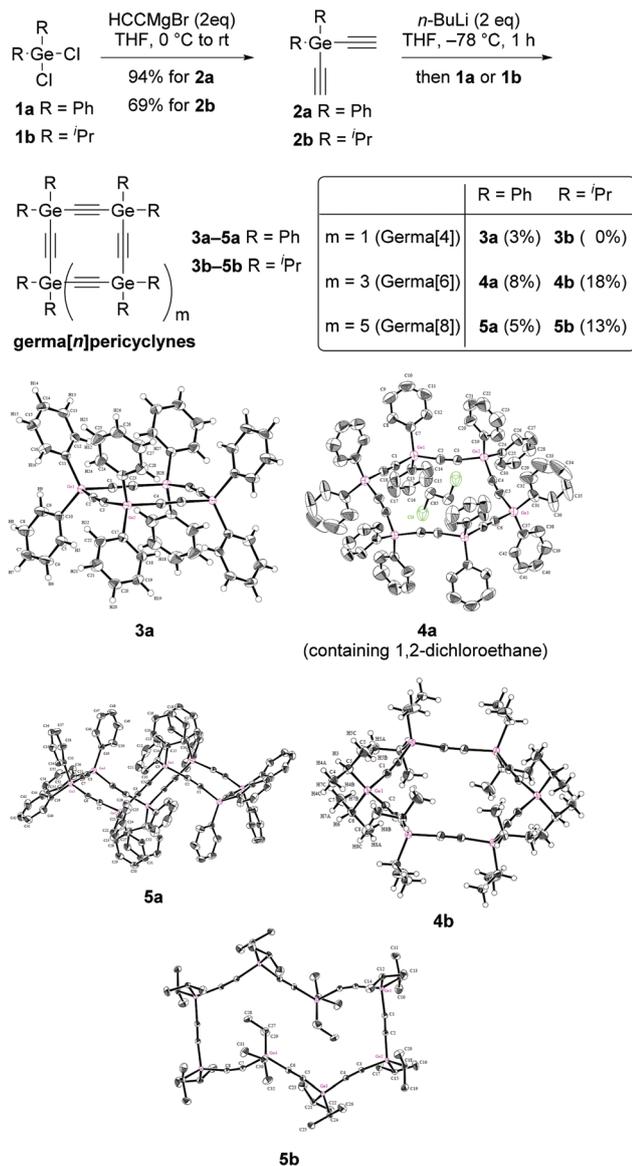
^cDepartment of Applied Chemistry, Faculty of Engineering, Miyazaki University, 1-1 Gakuen Kibanadai-Nishi, Miyazaki 889-2155, Japan.

E-mail: tsutsumi@cc.miyazaki-u.ac.jp; Fax: +81 985 58 7323; Tel: +81 985 58 7794

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Results and discussion

The syntheses of germa[n]pericyclines were started with commercially available diphenylgermanium dichloride **1a** and diisopropylgermanium dichloride **1b** prepared from germanium(IV) chloride (Scheme 1). The diethynylation of **1a–b**



Scheme 1 Synthesis and ORTEP drawings of germa[n]pericyclines.

afforded dialkynes **2a–b**. The coupling of the dianion species of **2a–b**, generated by lithiation using *n*-butyllithium, with **1a–b** followed by cyclization afforded phenylated germa[4]-, [6]-, and [8]pericyclines **3a–5a**, and isopropylated germa[6]- and [8]pericyclines **4b** and **5b**. Isopropylated germa[4]-pericycylene **3b** was not observed. This is probably due to the reactivity of **2a** which has reactive benzylic positions.

The germa[n]pericyclines were obtained as crystals and analyzed by X-ray diffraction (XRD). The ring of **3a** was clearly planar; however, the analytical data of **4a** showed a chair conformation containing a solvent molecule inside the ring, indicating that germa[6]pericyclines are promising clathrate molecules.¹³ In contrast, isopropylated germa[6]pericycylene **4b** was found to be planar. Germa[8]pericyclines **5a** and **5b** were obtained in a zig-zag form. The crystal structure of **5a**

indicated that the intramolecular π - π interaction between the phenyl rings folds the molecule.

The XRD analyses showed that the bond angle of **3a** was 104° for the C–Ge–C, whereas the angle was 109° for the larger rings **4a** and **4b** (see the ESI† and cif data files). The bond angles of C–X–C were almost the same as the reported values of silapericyclines.^{9f–h} In contrast, the C–Ge–C bond angles of **5a** and **5b** varied from 104° to 108° probably because of the low symmetry of the molecule. The angles of Ge–C≡C, **3a**, **4a**, and **4b**, were 172° , 174° , and 174° , respectively. These values are also the same as those of the reported sila[4]-, [6]pericyclines (173° , and 175° , respectively).^{9g,h} The Ge–C≡C bond angles of **5a** and **5b** were 171 – 177° and 162 – 178° while that of the sila[8]pericyclines was reported to be 173° .^{9g,h}

In terms of the bond length, all germa[n]pericyclines showed almost the same value (1.20 – 1.21 Å) for the C≡C bonds; no significant differences compared with those of silapericyclines (1.19 Å for [4]; 1.21 Å for [6] and [8])^{9g,h} and acetylene (1.21 Å)^{9f} were found. The bond length of the Ge–C was 1.91 Å in all compounds. The distance between the neighbouring acetylenes in **3a** was 3.2 Å.^{4,5a}

The Raman spectra of the powdered germa[n]pericyclines were located at 2107 (**3a**), 2114 (**4a**), 2112 (**4b**), 2099 (**5a**), and 2098 cm^{-1} (**5b**), whereas the reported value for silapericyclines was around 2135 cm^{-1} .^{9e,f}

A large difference was observed in the ^{13}C NMR chemical shifts of **3a**. The acetylenic carbons of **3a** appeared at δ 110.4 ppm; however, those for the other four germa[6]- and [8]pericyclines appeared at δ 107 ppm. This trend was similar to that of the silapericyclines.^{9f–h}

The cyclic voltammetry (CV) showed only oxidation potentials obtained by differential pulse voltammetry (DPV) ($E_{\text{ox}}^{\text{DPV}} = 1.336$ (**3a**), 1.312 (**4a**), 1.320 (**5a**), 1.352 (**4b**), and 1.356 V (**5b**); Fig. 2). The oxidation potentials of **4b** and **5b** were slightly higher than those of the corresponding phenyl compounds. To the best of our knowledge, this is the first example of the CV analysis of pericyclines.

The UV-visible spectra were recorded initially in dichloromethane (Fig. 3(a) and the ESI†). Two absorption maxima were observed at 227 and 261 nm (for **3a**: 227 nm ($\epsilon = 15\,900$), 261 nm (2375); **4a**: 227 nm (17 500), 261 nm (3010); **5a**: 228 nm (19 440), 261 nm (4240), and **2a**: 225 nm (3840), 261 nm (531)). In contrast, the isopropyl derivative, **4b** or **5b**, did not show specific absorption at 261 nm. Thus, the absorption at 261 nm was derived from the benzene rings. Although **3a** was highly strained and expected to show through-space conjugations between alkynes, only a small bathochromic shift was observed at 227 nm according to the increase in the number of alkyne moieties. Tykwinski *et al.* reported¹⁴ that the ring strains did not affect the physical data of various analyses; our results are in accordance with this. However, compounds **3a–5a** were not soluble in hydrocarbons; therefore, the absorptions at shorter wavelength areas could not be investigated. However, the UV-visible spectra of isopropyl pericyclines **4b** and **5b** could be obtained in *n*-hexane (Fig. 3(b) and ESI†). Two shoulders were observed at 205 (**4b**: $\epsilon = 18\,683$, **5b**: 22 377) and 213 nm (**4b**: $\epsilon =$

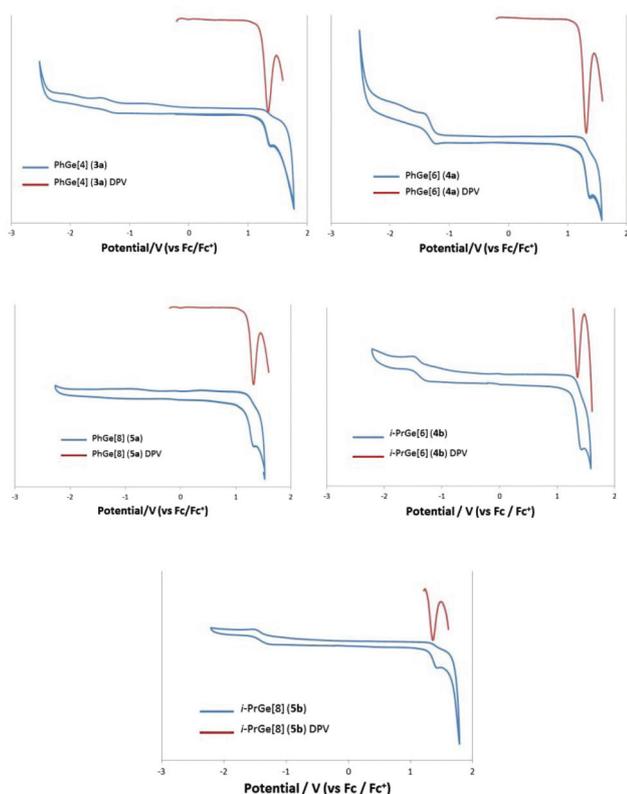


Fig. 2 Cyclic and differential pulse voltammograms of germa[n]pericyclines (1.0 mM (**3a**, **4a**, **4b**, and **5b**); 0.5 mM (**5a**) in 0.1 M $n\text{-Bu}_4\text{NPF}_6\text{-CH}_2\text{Cl}_2$ solution; scan rate = 0.1 V s^{-1}).

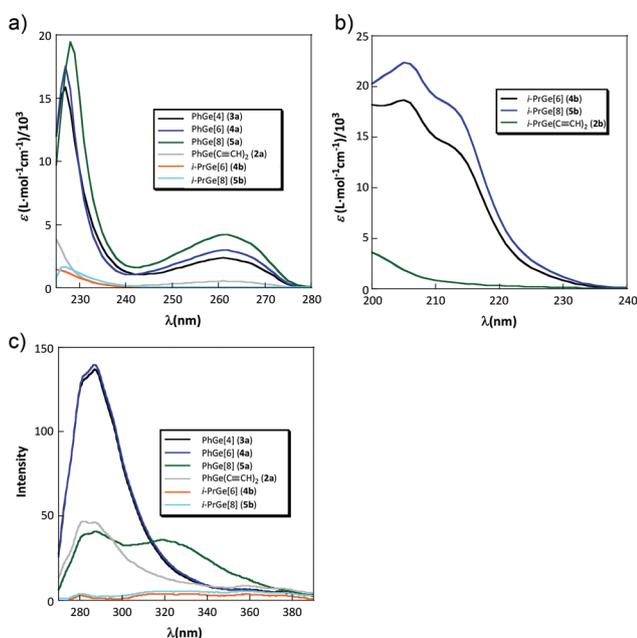


Fig. 3 UV-visible spectra ((a): 0.1 mM in CH_2Cl_2 , (b): 0.1 mM in $n\text{-hexane}$) and fluorescence emission spectra ((c): 0.1 mM in CH_2Cl_2 , $\lambda_{\text{EXT}} = 260 \text{ nm}$).

13 919, **5b**: 17 798), which were not observed in acyclic analogue **2b**. Because similar shoulders have been reported in the case of silapericyclines (204, 213, and 221 nm),^{9f,h} these values were assigned to the specific absorption of pericyclines.

Similar fluorescence emission maxima were observed from **3a** and **4a** ($\lambda_{\text{EM}} = 281$ and 288 nm) and acyclic **2a** (282 and 288 nm) (Fig. 3(c) and ESI†). In particular, **3a** and **4a** showed almost the same intensity. In contrast, **5a** exhibited a different pattern. In addition to the 288 nm emission, an emission at 318 nm was observed, while the isopropyl derivative, **5b**, did not exhibit such emission. The results of UV-visible spectral and XRD analyses showed that this emission maximum can be attributed to the intramolecular $\pi\text{-}\pi$ interaction of the phenyl moiety.

To estimate the highest occupied molecular orbital (HOMO)–lowest unoccupied molecular orbital (LUMO) gaps of pericyclines, the density functional theory (DFT) calculations of methyl-substituted pericyclines were performed with the B3LYP/6-31G* basis set of Gaussian 09 to compare the ring size of the germapericyclines and the pericyclines containing other Group 14 elements (Fig. 4).¹⁵ The HOMO–LUMO gap of the germapericyclines was larger than that of the silapericyclines. The difference of HOMO–LUMO gaps would be supported by the result that UV absorption of pericycline rings was observed at 221 nm in silapericyclines^{9f,h} and at 213 nm in germapericyclines. Among germa[4]-, [6]-, and [8]pericyclines, the smallest HOMO–LUMO gap was observed in germa[4]pericyclines, and interestingly, that of germa[6]pericyclines was the largest. The smallest gap in germa[4]pericyclines would be the result of their planar ring and small C–Ge–C angles supporting the through-space interaction between alkynes. However, as Tykwinski *et al.* reported,¹⁴ these differences of gaps did not affect UV spectra. For HOMO energy levels, the germa[4]pericyclines was the highest, and germa[6]pericyclines was the lowest. This tendency matched the results of oxidation potentials of **3a**, **4a**, **5a**, **4b**, and **5b**. The through-space interactions between the C≡C bonds were estimated in the LUMO, even though they did not seem to enhance the

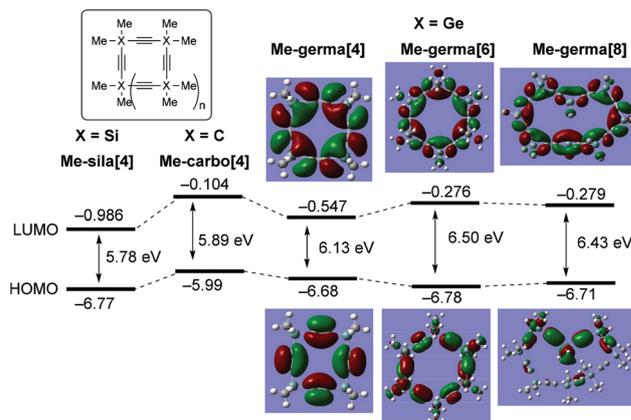


Fig. 4 HOMO–LUMO gaps of pericyclines by B3LYP/6-31G* (Gaussian 09).

physical data.⁵ The low symmetry of germa[8]pericyclines was also indicated by the HOMO orbitals.

Conclusions

We synthesized and characterized germa[4]-, [6]-, and [8]pericyclines comprising alternating germanium atoms and alkyne units. Their physical properties were evaluated by various spectral and XRD analyses. A small bathochromic shift of germa[*n*]pericyclines was found in the UV-visible spectra. The through-space interactions were estimated by the DFT calculation. Germa[4]pericyclines were found to have the smallest energy gap among the germapericyclines. The cyclic voltammetry analysis showed the oxidation potentials.

Experimental

General information

¹H and ¹³C NMR spectra were recorded using a Jeol JNM-ECP500 spectrometer (500 MHz for ¹H NMR and 125 MHz for ¹³C NMR). Chemical shifts are reported as δ values in ppm and calibrated with respect to the residual solvent peak (CDCl₃, δ 7.26 for ¹H NMR and δ 77.00 for ¹³C NMR) or tetramethylsilane (δ 0 for ¹H NMR). The abbreviations used are as follows: s (singlet), d (doublet), t (triplet), q (quartet), sept (septet), br (broad peak), and m (complex multiplet). Melting points were measured using Yanaco Micro melting point apparatus. Infrared spectra were measured using a Jasco FT-IR-4200 spectrometer. Mass spectra were recorded using a Jeol JMS-700 MStation [EI (70 eV), CI, FAB, and ESI]. X-ray diffraction (XRD) analyses were performed using a Rigaku R-AXIS RAPID/S imaging plate diffractometer. UV/visible spectra were recorded using Jasco V-630. Fluorescence spectra were collected using Jasco FP-6500. Raman spectra were obtained using a Jasco laser Raman spectrophotometer, NRS-2100. The cyclic voltammetry measurements of the compounds were performed using a BAS electrochemical analyser ALS612D in dichloromethane containing *n*-Bu₄NPF₆ as the supporting electrolyte at 298 K (100 mV s⁻¹). The glassy carbon working electrode was polished using a BAS polishing alumina suspension and rinsed with water before use. The counter electrode was a platinum wire. The measured potentials were recorded with respect to Ag/AgNO₃ and normalized with respect to Fc/Fc⁺. Flash column chromatography was performed using Merck Silica gel 60. The progress of the reactions was monitored by silica gel thin layer chromatography (TLC) (Merck TLC Silica gel 60 F254). The purification of the mixture of germapericyclines was performed using a LC-908 recycling preparative high-performance liquid chromatograph (HPLC) equipped with a JAIGEL 2H-40 column made by Japan Analytical Industry Co., Ltd. Ethanol solutions of phosphomolybdic acid and anisaldehyde–acetic acid–sulfuric acid were used as the TLC stains. All the reagents were purchased from Sigma-Aldrich, Wako Pure Chemical Industries, Ltd, TCI (Tokyo

Chemical Industry, Co. Ltd), Kanto Chemical Co. Inc., and Nakalai Tesque. Anhydrous tetrahydrofuran (THF) was purchased from Kanto Chemical. Density functional theory (DFT) calculations were performed using the Gaussian09, and the geometries of the molecules were optimized by employing the B3LYP density functionals and the 6-311G* basis set in this series of calculations.

Dichlorodiisopropylgermane (1b). Isopropylmagnesium chloride (2.0 M THF solution, 117 mL, 223 mmol) was added dropwise to a stirred solution of germanium(IV) chloride (13.6 mL, 117 mmol) in THF (233 mL) for 4 h at –78 °C under a nitrogen atmosphere, and the mixture was stirred for 13 h at the same temperature. After gradually warming up to –20 °C, the reaction was quenched with a saturated aqueous solution of ammonium chloride. The mixture was extracted with ether and washed with brine. The organic layer was dried over sodium sulfate, and the solvent was removed *in vacuo* (65 °C, 9 mmHg) to afford a 5 : 1 mixture of **1b** and triisopropylgermanium chloride as a colourless oil. The transformation to **2b** was performed without further purification to avoid decomposition. For an analytical sample, the mixture was purified by recycling preparative HPLC to afford **1b** (5.02 g, 19%). IR (NaCl, neat) ν_{\max} 2954, 2869, 1465, 1388, 1226, 1008, 875, 583, 550 cm⁻¹; ¹H NMR (500 MHz, CDCl₃) δ 1.94 (sept, 2H, *J* = 7.5 Hz), 1.29 (d, 12H, *J* = 7.5 Hz); ¹³C NMR (125 MHz, CDCl₃) δ 26.2, 17.4; LRMS (EI) *m/z* = 229.97 (M⁺).

Diethynyldiphenylgermane (2a). Dichlorodiphenylgermane **1a** (1.0 g, 3.36 mmol) was added to a stirred ethynylmagnesium bromide (0.5 M in THF, 14.8 mL, 7.39 mmol) at 0 °C under a nitrogen atmosphere. After 1 h, the reaction mixture was warmed up to ambient temperature and stirred for 43 h. The reaction was quenched with a saturated aqueous solution of ammonium chloride at 0 °C. The resulting mixture was extracted with ether, and the organic layer was washed with water and brine. The organic layer was dried over magnesium sulfate, and the solvent was removed *in vacuo*. The resulting residue was purified by silica gel column chromatography (hexane) to afford **2a** (874 mg, 94%) as a light pinkish crystal. *R_f* value 0.13 (hexane); m.p. 44.7–45.7 °C; IR (NaCl, neat) ν_{\max} 3270, 2037, 1432, 1095, 735, 694, 512 cm⁻¹; ¹H NMR (500 MHz, CDCl₃) δ 7.68–7.70 (m, 4H), 7.41–7.45 (m, 6H), 2.61 (s, 2H); ¹³C NMR (125 MHz, CDCl₃) δ 133.6, 132.7, 130.2, 128.6, 95.3, 82.3; LRMS (EI) *m/z* = 277 (M⁺).

Diethynyldiisopropylgermane (2b). A 5 : 1 mixture of dichlorodiisopropylgermane **1b** and chlorotriisopropylgermane (6.36 g of mixture, 23.8 mmol of **1b**) in THF (55 mL) was added dropwise to a stirred solution of ethynylmagnesium bromide (0.5 M in THF, 175 mL, 87.3 mmol) in THF (55 mL) for 10 min at 0 °C. After 2 h, the mixture was warmed to ambient temperature and stirred for 20 h. The reaction was quenched with a saturated aqueous solution of ammonium chloride at 0 °C. The resulting mixture was extracted with ether, and the organic layer was washed with water and brine. The organic layer was dried over magnesium sulfate, and the solvent was removed *in vacuo*. The residue was purified by silica gel column chromatography (hexane) to afford **2b**

(3.43 g, 69%) as a colourless oil. R_f value 0.35 (hexane); IR (NaCl, neat) ν_{\max} 3290, 2945, 2864, 2032, 1464, 669 cm^{-1} ; ^1H NMR (500 MHz, CDCl_3) δ 2.36 (s, 2H), 1.46 (sept, 2H, $J = 7.0$ Hz), 1.20 (d, 12H, $J = 7.0$ Hz); ^{13}C NMR (125 MHz, CDCl_3) δ 94.4, 82.8, 18.6, 16.4; LRMS (ESI) $m/z = 232$ $[\text{M} + \text{Na}]^+$.

Synthesis of phenylated germa[n]pericyclines (3a), (4a) and (5a). *n*-Butyllithium (2.65 M in hexane, 2.53 mL, 6.70 mmol) was added dropwise to a stirred solution of diethynyldiphenylgermane **2a** (930 mg, 3.36 mmol) in THF (17 mL) at -78 °C under a nitrogen atmosphere. After 2 h, dichlorodiphenylgermane **1a** (1.0 g, 3.36 mmol) was added at the same temperature, and the reaction mixture was stirred for 41 h. The reaction was quenched with a saturated aqueous solution of ammonium chloride at -78 °C and warmed up to room temperature. The resulting mixture was extracted with dichloromethane and washed with brine. The organic layer was dried over magnesium sulfate, and the solvent was removed *in vacuo*. The residue was purified by silica gel column chromatography (dichloromethane–hexane = 1/5 to 2/1) to afford a mixture of pericyclines. The mixture was further purified by recycling preparative HPLC and recrystallization (dichloromethane–methanol) to afford octaphenylgerma[4]-pericyclines **3a** (55 mg, 3.3%), dodecaphenylgerma[6]-pericyclines **4a** (128 mg, 7.6%), and hexadecaphenylgerma[8]-pericyclines **5a** (91 mg, 5.4%). All of them were obtained as a white powder.

Octaphenylgerma[4]pericycline (3a, CCDC 983323). White powder; R_f value: 0.26 (dichloromethane–hexane = 3/10); m.p. 343.0–348.0 °C; IR (KBr, disc) ν_{\max} 3070, 3023, 1484, 1432, 1186, 1095, 998, 735, 695, 681, 666, 462 cm^{-1} ; Raman $\nu_{\max} = 2107$ cm^{-1} ; ^1H NMR (500 MHz, CDCl_3) δ 7.68–7.71 (m, 16H), 7.37–7.44 (m, 24H); ^{13}C NMR (125 MHz, CDCl_3) δ 134.0, 132.8, 130.0, 128.4, 110.3; LRMS (ESI) $m/z = 1026$ $[\text{M} + \text{Na}]^+$. Recrystallization for XRD analysis was carried out using 1,2-dichloroethane.

Dodecaphenylgerma[6]pericycline (4a, CCDC 983324). White powder; R_f value: 0.16 (dichloromethane–hexane = 3/10); m.p. 306.7–307.5 °C; IR (KBr, disc) ν_{\max} 3071, 3051, 1644, 1485, 1433, 1095, 735, 695 cm^{-1} ; Raman $\nu_{\max} = 2114$ cm^{-1} ; ^1H NMR (500 MHz, CDCl_3) δ 7.28 (d, 24H, $J = 7.0$ Hz), 7.31–7.43 (m, 36H); ^{13}C NMR (125 MHz, CDCl_3) δ 133.8, 133.5, 129.9, 128.4, 107.4; LRMS (ESI) $m/z = 1526$ $[\text{M} + \text{Na}]^+$. Recrystallization for XRD analysis was carried out using 1,2-dichloroethane.

Hexadecaphenylgerma[8]pericycline (5a, CCDC 983325). Colourless crystal; R_f value: 0.12 (CH_2Cl_2 –hexane = 3/10); m.p. 252.0–253.3 °C; IR (KBr) ν_{\max} 3434, 3052, 1486, 1434, 1095, 736, 695 cm^{-1} ; Raman $\nu_{\max} = 2112$ cm^{-1} ; ^1H NMR (500 MHz, CDCl_3) δ 7.67–7.70 (m, 32H), 7.30–7.39 (m, 48H); ^{13}C NMR (125 MHz, CDCl_3) δ 133.7, 133.4, 129.9, 128.5, 107.8; LRMS (ESI) $m/z = 2030$ $[\text{M} + \text{Na}]^+$. Recrystallization for the XRD analysis was carried out using dichloromethane.

Synthesis of isopropylated germa[n]pericyclines (4b) and (5b). *n*-Butyllithium (1.60 M in hexane, 7.75 mL, 12.4 mmol) was added dropwise to a stirred solution of diethynyldiisopropylgermane **2b** (1.23 g, 5.90 mmol) in THF (60 mL) for

10 min at -78 °C under a nitrogen atmosphere. After 2 h, a 5:1 mixture of dichlorodiisopropylgermane **2b** and chlorotriisopropylgermane (1.80 g of mixture, 6.49 mmol of **2b**) was added to the mixture at the same temperature and stirred for 18 h. The reaction mixture was warmed to 0 °C and treated with a saturated aqueous solution of ammonium chloride. The mixture was extracted with dichloromethane and washed with brine. The organic layer was dried over magnesium sulfate, and the solvent was removed *in vacuo*. The residue was purified by silica gel column chromatography (dichloromethane–hexane = 1/10 to 1/4) to afford a mixture of pericyclines. Further purification was performed by recycling preparative HPLC and additional silica gel column chromatography to afford dodecaisopropylgerma[6]pericyclines **4b** (193 mg, 18%) and hexadeca-isopropylgerma[8]pericyclines **5b** (140 mg, 13%). Both of them were obtained as a white powder.

Dodecaisopropylgerma[6]pericycline (4b, CCDC 983326). White solid; R_f value: 0.11(hexane– $\text{CH}_2\text{Cl}_2 = 10/1$); m.p. 106.8–107.1 °C; IR (KBr, disc) ν_{\max} 2943, 2885, 2863, 1461, 1003, 674 cm^{-1} ; Raman $\nu_{\max} = 2099$ cm^{-1} ; ^1H NMR (500 MHz, CDCl_3) δ 1.38 (sept, 12H, $J = 7.5$ Hz), 1.17 (d, 72H, $J = 7.0$ Hz); ^{13}C NMR (125 MHz, CDCl_3) δ 106.9, 18.9, 16.7; LRMS (ESI) $m/z = 1120$ $[\text{M} + \text{Na}]^+$. Recrystallization for the XRD analysis was carried out using THF.

hexadeca-isopropylgerma[8]pericycline (5b, CCDC 983327). Colourless solid; R_f value: 0.11(hexane– $\text{CH}_2\text{Cl}_2 = 10/1$); m.p. 80.2–81.9 °C; IR (KBr, disc) ν_{\max} 2943, 2885, 2863, 1461, 1005, 667 cm^{-1} ; Raman $\nu_{\max} = 2098$ cm^{-1} ; ^1H NMR (500 MHz, CDCl_3) δ 1.38 (sept, 16H, $J = 7.5$ Hz), 1.17 (d, 96H, $J = 6.5$ Hz); ^{13}C NMR (125 MHz, CDCl_3) δ 107.1, 18.8, 16.6; LRMS (ESI) $m/z = 1485$ $[\text{M} + \text{Na}]^+$. Recrystallization for XRD analysis was carried out using 1,2-dichloroethane.

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