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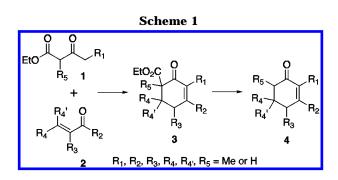
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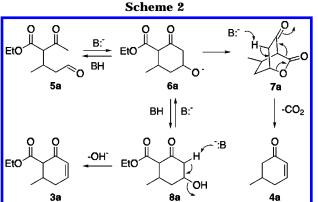
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Methyl-substituted conjugate cyclohexenones have widely been used as useful building blocks in the construction of a variety of biologically and/or medicinally important natural products.^{1,2} Extensive synthetic efforts have been reported for methyl-substituted conjugate cyclohexenones,³⁻¹² in which an annulation approach from acyclic precursors constituted a useful entry.¹³⁻¹⁵ As shown in Scheme 1, tandem Michael addition-aldol condensation of β -keto esters **1** to conjugate enones (or enals) 2 would produce 6-carbethoxy-2-cyclohexenones **3**,¹⁴ which require saponification and decarboxylation to produce cyclohexenones 4. However, this sequence may suffer from low yields especially for enones (or enals) with simple substituents (H or Me) due to harsh conditions generally required for the decarboalkoxylation step. Some related annulation procedures have appeared in the literature which facilitated the decarboxylation step by using a metal salt of β -keto acids instead of β -keto esters.^{16,17} In an attempt to develop a practical synthetic method of methyl-substituted conjugate cyclohexenones we investigated the base-catalyzed annulation reaction of β -keto esters **1** with conjugate enones (or enals) **2**. We found that by using t-BuOK in t-BuOH at reflux temperature the decarboalkoxylation smoothly proceeded under this annulation condition to directly produce cyclohexenones 4 in decent yields. Herein, we report the details of our findings.

The reaction of ethyl acetoacetate (1a) and crotonaldehyde (2a) under t-BuOK catalyst (0.25 equiv) in

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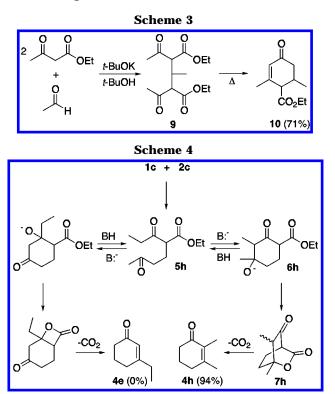




refluxing t-BuOH directly produced 5-methyl-2-cyclohexen-1-one (4a) in 78% yield. A plausible mechanism of this reaction is shown in Scheme 2. Base-catalyzed Michael addition of 1a to 2a affords the tricarbonyl compound 5a, which then undergoes intramolecular aldol reaction to initially give rise to 3-alkoxycyclohexanone 6a. This intermediate 6a would follow two possible modes of reaction sequences, one of which is intramolecular lactonization to produce bicyclic lactone 7a. Basecatalyzed decarboxylation of 7a then provides cyclohexenone 4a. A similar decarboalkoxylation mechanism had been proposed earlier by Stork et al.¹⁸ The other sequence would be protonation of the alkoxy intermediate 6a followed by dehydration to produce 6-carbethoxy-2cyclohexenone 3a. It could be postulated that 4a should be formed through 3a by the saponification and decarboxylation sequence. However, that may not be the case under the condition where much less than a stoichiometric amount of OH⁻ is present. In fact, **3a** did not provide 4a under KOH catalyst (0.25 equiv) in refluxing t-BuOH solvent, which replicated the above reaction condition. The formation of bicyclic lactone 7a requires syn stereochemical disposition of the alkoxy and the carbethoxy substituents in **6a**, that can be attained through the equilibration between **5a** and **6a**. It seems that the product distribution (4a versus 3a) is determined by the position of the equilibrium between **6a** and **8a**. Under the most basic alcoholic solvent, *t*-BuOH, the equilibrium lies far to alkoxide **6a** to exclusively provide **4a** (78%). When the reaction was conducted under EtONa catalyst (0.25 equiv) in refluxing EtOH, which is a relatively acidic solvent, a substantial amount of the protonated form 8a existed to give rise to 3a (38%) along with the decarboalkoxylation product 4a (37%).

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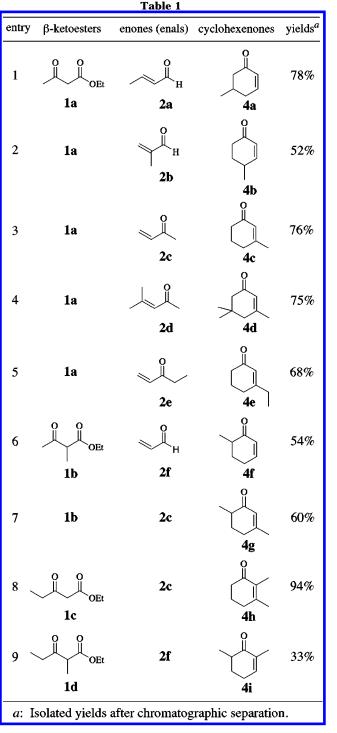
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The above mechanism was supported by the exclusive formation of 4-carbethoxy-3,5-dimethyl-2-cyclohexen-1one (**10**) when 2 equiv of ethyl acetoacetate was treated with acetaldehyde in *t*-BuOK/*t*-BuOH condition (Scheme **3**).¹⁹ This reaction presumably proceeded through the intermediate **9** which was formed by the conjugate addition of ethyl acetoacetate to the aldol condensation product of ethyl acetoacetate and acetaldehyde. Since 6-carbethoxy-3,5-dimethyl-2-cyclohexen-1-one was not observed in this reaction, the intermediate **9** must have followed the above proposed decarboalkoxylation process to produce **10**.

We then showed the general applicability of this onepot process to the preparation of various methylsubstituted conjugate cyclohexenones (Table 1). β -Keto esters 1a-d and conjugate enones (or enals) 2a-f were coupled to produce diversely methyl- or ethyl-substituted conjugate cyclohexenones 4a - i in decent yields. For the annulation of β -keto esters with α , β -unsaturated ketones **2c**, **2d**, and **2e** (entries 3, 4, 5, 7, and 8 in Table 1) two different modes of intramolecular aldol reaction of the initial Michael addition product are possible. These two modes are exemplified for the reaction of 1c and 2c (entry 8) in which two different decarboalkoxylation products 4e and 4h can be obtained (Scheme 4). Even though the conversion of 4e into the more stabilized 4h under the alkaline thermodynamic condition has been reported,²⁰ this conversion is not possible in t-BuOK/t-BuOH condi-

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tion. In fact, the exclusive formation of **4e** by the reaction of **1a** and **2e** in *t*-BuOK/*t*-BuOH condition (entry 5) proves this idea. Since 2,3-dimethyl-2-cyclohexen-1-one (**4h**) was the only product obtained (94% yield), aldol reaction proceeded to produce 3-alkoxy-6-carbethoxycyclohexanone **6h**. It is believed that the neighboring carbethoxy group stabilizes the enolate ion by chelation with the counter metal ion.

The solvent *t*-BuOH has unique features in this process. First, this protic solvent allows a mild thermodynamic condition where a catalytic amount of base can be used. Second, the solvent is basic enough that the alkoxide ion which is generated from aldol reaction can survive to participate in the decarboalkoxylation process. In conclusion, we have developed a facile and practical one-pot annulation method for various methyl-substi-

⁽¹⁹⁾ The product (a 2.8:1 mixture of stereoisomers), 4-carbethoxy-3,5-dimethyl-2-cyclohexen-1-one (**10**), was confirmed unambiguously after reduction with NaBH₄ to 4-carbethoxy-3,5-dimethyl-2-cyclohexen-1-ol (a 2.8:1 mixture of stereoisomers): $R_f = 0.13$ (2:8 EtOAc:hexanes); IR 3395, 1717, 1173 cm⁻¹; ¹H NMR major δ 1.02 (3H, d, J = 6.6 Hz), 1.16 (1H, ddd, J = 9.9, 12.8, 12.8 Hz), 1.28 (3H, t, J = 7.2 Hz), 1.66 (3H, ddd, J = 0.6, 0.7, 1.3 Hz), 1.77 (1H, br s, OH), 1.98–2.12 (2H, m), 2.68 (1H, d, J = 9.9 Hz), 4.20 (2H, q, J = 7.2 Hz), 4.35 (1H, br s), 5.57 (1H, br s); minor δ 1.00 (3H, d, J = 7.0 Hz), 1.69 (3H, br s), 1.72 (1H, br s, OH), 1.83~1.98 (1H, m), 2.86 (1H, d, J = 5.5 Hz), 4.18 (2H, q, J= 7.1 Hz), 5.64 (1H, br s); ¹³C NMR major δ 14.3, 20.2, 20.9, 31.5, 40.0, 55.1, 60.6, 67.3, 129.1, 132.7, 174.3; minor δ 14.3, 18.9, 22.2, 30.6, 35.9, 51.2, 60.5, 67.7, 129.6, 133.4, 174.3.

tuted conjugate cyclohexenones by a series of Michael addition, aldol reaction, and decarboalkoxylation of β -keto esters and conjugate enones (or enals) in the *t*-BuOK/*t*-BuOH system. This highly mild reaction condition allows for simple conjugate enones (or enals) to be used in the annulation reaction without any polymerization. Constructions of bicyclic and heterocyclic compounds using this approach are currently under investigation.

Experimental Section

General. Ethyl 2-methyl-2-propionylacetate (1d) was prepared by following the literature procedure.^{21,22} All other reagents were obtained from Aldrich Chemical Co. and used as received. Reactions were monitored by GC and TLC on silica gel plates (EM Science, Kieselgel 60, F254). TLC plates were visualized by UV, iodine vapor, and phosphomolybdic acid stain. The column chromatographies were carried out with silica gel 60, 70-230 mesh ASTM supplied by Merck. ¹H NMR and ¹³C NMR spectra were recorded in CDCl₃ at 500 and 125 MHz, respectively. Chemical shifts are reported in ppm downfield from the internal standard, tetramethylsilane, and coupling constants are given in hertz. Infrared spectra were obtained with CH₂Cl₂ as a solvent. The products, cyclohexenones, were identified by comparison of the spectral data with those reported in the literature. 3-Methyl-2-cyclohexen-1-one and isophorone are commercially available from Aldrich Chemical Co.

General Procedure. To a stirred solution of β -keto ester 1 (1 equiv) and acyclic conjugate enone (or enal) 2 (1 equiv) in t-BuOH (1 M) was added a catalytic amount of t-BuOK (0.05 equiv) at 0 °C. The reaction mixture was stirred at that temperature for 30 min, and 0.2 equiv of t-BuOK was added again. The mixture was then heated at reflux for 20 h. Upon cooling to room temperature, the mixture was quenched with 1 M HCl (10 mL) solution, diluted with a 1:1 mixture of ether and benzene (80 mL), washed with 1 M NaOH solution (20 mL \times 3) and brine (20 mL \times 2). The separated organic layer was dried over anhydrous Na₂SO₄, filtered, and concentrated under reduced pressure. The crude product was purified by flash column chromatography (SiO₂, 1:9-2:8 EtOAc:hexanes).

5-Methyl-2-cyclohexen-1-one (4a).²³⁻²⁶ The reaction of 1a (0.91 g, 7 mmol) and 2a (0.49 g, 7 mmol) under t-BuOK (0.20 g, 1.75 mmol) in t-BuOH (7 mL) provided 4a (0.60 g, 5.4 mmol) in 78% yield as a light yellow oil.

6-Carbethoxy-5-methyl-2-cyclohexen-1-one (3a). The reaction of 1a (0.91 g, 7 mmol) and 2a (0.49 g, 7 mmol) under EtONa (0.25 equiv, 0.12 g, 1.75 mmol) in EtOH (7 mL) produced a 1:1 mixture of 3a (0.48 g, 2.64 mmol, 38%, a single stereoisomer) and 4a (0.29 g, 2.66 mmol, 37%) as light yellow oils.

4-Methyl-2-cyclohexen-1-one (4b).²⁵⁻²⁸ The reaction of 1a (0.91 g, 7 mmol) and 2b (0.49 g, 7 mmol) under t-BuOK (0.20 g, 1.75 mmol) in t-BuOH (7 mL) provided 4b (0.40 g, 3.64 mmol) in 52% yield as a light yellow oil.

3-Methyl-2-cyclohexen-1-one (4c).^{26,29} The reaction of 1a (0.91 g, 7 mmol) and 2c (0.49 g, 7 mmol) under t-BuOK (0.20 g,

1.75 mmol) in t-BuOH (7 mL) provided 4c (0.58 g, 5.29 mmol) in 76% yield as a light yellow oil.

Isophorone (4d).³⁰ The reaction of 1a (0.91 g, 7 mmol) and 2d (0.69 g,7 mmol) under t-BuOK (0.20 g, 1.75 mmol) in t-BuOH (7 mL) provided 4d (0.73 g, 5.25 mmol) in 75% yield as a light yellow oil.

3-Ethyl-2-cyclohexen-1-one (4e).²⁰ The mixture of 1a (0.91 g, 7 mmol) and 2e (0.59 g, 7 mmol) under t-BuOK (0.20 g, 1.75 mmol) in t-BuOH (7 mL) was stirred at room temperature for 3 h and then heated to reflux for 3 h. After general workup and purification, 4e (0.59 g, 4.76 mmol) was obtained in 68% yield as a light yellow oil.

6-Methyl-2-cyclohexen-1-one (4f).4,27,31,32 The reaction of 1b (1.01 g, 7 mmol) and 2f (0.39 g, 7 mmol) under t-BuOK (0.20 g, 1.75 mmol) in t-BuOH (7 mL) provided 4f (0.42 g, 3.78 mmol) in 54% yield as a light yellow oil.

3,6-Dimethyl-2-cyclohexen-1-one (4 g).4.32 The reaction of 1b (1.01 g, 7 mmol) and 2c (0.49 g, 7 mmol) under t-BuOK (0.20 g, 1.75 mmol) in t-BuOH (7 mL) provided 4g (0.52 g, 4.20 mmol) in 60% yield as a light yellow oil.

2,3-Dimethyl-2-cyclohexen-1-one (4h).33 The reaction of 1c (1.01 g, 7.0 mmol) and 2c (0.49 g, 7.0 mmol) under t-BuOK (0.35 g, 3.15 mmol) in *t*-BuOH (7 mL) provided **4h** (0.817 g, 6.58 mmol) in 94% yield as a light yellow oil.

2,6-Dimethyl-2-cyclohexen-1-one (4i).^{32,34} The reaction of 1d (1.20 g, 7 mmol) and 2f (0.39 g, 7 mmol) under t-BuOK (0.20 g, 1.75 mmol) in t-BuOH (7 mL) provided 4i (0.29 g, 2.34 mmol) in 33% yield as a light yellow oil.

4-Carbethoxy-3,5-dimethyl-2-cyclohexen-1-one (10). The reaction of 1a (2.60 g, 20 mmol) and acetaldehyde (0.44 g, 10 mmol) under t-BuOK (0.28 g, 2.50 mmol) in t-BuOH (20 mL) provided a 2.8:1 stereoisomeric mixture of 10 (1.39 g, 7.1 mmol) in 71% yield as a light yellow oil.

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Registry No. (supplied by author) 1d, 759-66-0; 4a, 7214-50-8; 4b, 5515-76-4; 4c, 1193-18-6; 4d, 78-59-1; 4e, 17299-34-2; 4f, 6610-21-5; 4g, 15329-10-9; 4h, 1122-20-9; 4i, 40790-56-5.

Supporting Information Available: Characterization data for cyclohexenones 3a, 4a-i, and 10, together with ¹H NMR spectra for 3a and 10 (4 pages). This material is contained in libraries on microfiche, immediately follows this article in the microfilm version of the journal, and can be ordered from the ACS; see any current masthead page for ordering information.

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