THE BAEYER-VILLIGER REACTION OF STRAINED CAGE COMPOUNDS, 1,3-BISHOMOCUBANONES, VIA CARBOCATIONS

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Abstract—The Baeyer-Villiger oxidation of 1,3-bishomocubanone 1a in chloroform with *m*-chloroperbenzoic acid (m-CPBA) at room temperature proceeds quite rapidly and gives the ordinary lactone, 10-oxapentacyclo[5.4.0.0^{2.5}.0^{3.9}.0^{4.8}]undecan-11-one 2a and the skeletal rearrangement product, 11-oxapentacyclo[6.3.0.0^{2.4}.0^{3.7}.0^{5.9}]undecan-10-one 4a. Methyl substituted homologs (1d. 1e, 1f) of 1a give the corresponding ordinary and rearranged lactones (2d, 2e, 2f, 4d, 4e, 4f). In these oxidations, the mechanism via carbocations, cyclobutyl 18 and cyclopropylcarbinyl cations 19, plays a major role different from the ordinary concerted migration mechanism. Solvent effects, kinetic treatments, and methyl substituent effects on product ratios support this carbocation mechanism. The adduct formation process between a ketone and m-CPBA must be ratedetermining.

Mechanistic studies from kinetic and theoretical points of view have revealed that the Baeyer-Villiger reaction follows the two-step mechanism, the addition of a peracid to a ketone and the subsequent concerted migration, not involving ionic intermediates, and the latter process is usually rate determining.¹ In reactions of strained cage ring systems, however, unusual mechanisms different from the established one often act predominantly to give new ring systems as represented by the dimerization with diazomethane² and the Beckmann rearrangement.³ We report here another example of reactions with unusual mechanisms in the strained cage system, that is, the Baeyer-Villiger reaction via carbocations.⁴

RESULTS

1,3-Bishomocubanone 1a was synthesized from 5a by the established method,⁵ and the deuterated compound 1b was similarly obtained from 5b prepared by the treatment of 5a with sodium methoxide in deuteromethanol. 1,3-Bishomocubanone-carboxylic acid methyl ester 8a and its methyl substituted derivatives (8b, 8c, 8d) were synthesized photochemically from the



5a:R≈H 5b:R≈D



7a: $R^1 = R^2 = H$ 7b: $R^1 = H$, $R^2 = Me$ 7c: $R^1 = Me$, $R^2 = H$ 7d: $R^1 = R^2 = Me$



6a: $R^1 = R^2 = H$ 6b: $R^1 = H$, $R^2 = Me$ 6c: $R^1 = R^2 = Me$



8a: $R^1 = R^2 = H$, $R^3 = CO_2Me$ **8b:** $R^1 = H$, $R^2 = Me$, $R^3 = CO_2Me$ **8c:** $R^1 = Me$, $R^2 = H$, $R^3 = CO_2Me$ **8d:** $R^1 = R^2 = Me$, $R^3 = CO_2Me$ corresponding dienones (7a, 7b, 7c, 7d) in the manner described by Hertz⁶ and hydrolyzed to their acids, sodium salt of which were treated with p-nitrobenzyl bromide to afford the corresponding p-nitrobenzyl esters (1c, 1d, 1e, 1f).

When a chloroform solution of 1a was treated with *m*-chloroperbenzoic acid (m-CPBA) at room temperature, the oxidation proceeded quite rapidly⁷ to afford three lactones, 2a, 3a and 4a in yields of 50.4, 1.1 and 13.1%, respectively.

On the basis of mass spectra and elemental analyses, the three lactones have the composition $C_{10}H_{10}O_2$ (mol wt 162). Both 2a and 3a have 6-membered lactone (IR) and a quite similar fragmentation pattern (mass). The distinction between 2a and 3a was provided by NMR spectra of the corresponding deuterated compounds, 2b and 3b, derived from a deuterated starting material (1b).



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In 2b, the original triplet signal of 2a at 4.70 ppm disappeared, whereas the multiplet signal of 3a at 4.64 ppm remained unchanged in 3b. Compound 4a has a 5-membered lactone and a fragmentation pattern clearly different from those of 2a and 3a. The double doublet signal of 4a at 5.06 ppm changed to a doublet (J = 7 Hz) in the deuterated product (4b). These spectral data and mechanistic consideration revealed the structure of 4a, which was converted to 10 by the treatment with alkaline potassium permanganate followed by esterification with diazomethane. Compound 10 was then confirmed by its unequivocal synthesis from the known half-ester (11)⁸ as shown in the following scheme.

measured both by iodometry of m-CPBA and by UVspectrometry of the *p*-nitrobenzyl chromophore of the ketones using a high-pressure liquid chromatograph with UV-detector, and were found to follow second-order kinetics, first order both in m-CPBA and in the ketones $(v = k_{obs}[ketone][m-CPBA])$ as shown in Table 2 just as those of usual peracid oxidations. Although there is no significant difference among these observed rate constants, the ratio of two-types of lactones (4/2) varies apparently with the position of methyl substituents.

Two additional and contrastive results are finally shown. Oxidation of **1a** with lead tetraacetate in refluxing acetic acid gave **4a** as a sole product¹⁵ with no traces of



a, KMnO4, NaOH: b, CH₂N₂; c, SOCI₂, pyridine, 30–35°, 4 h; d, CH₂N₂, Et₂O; e, Cul, THF, 40–45°.

Compound 2a was recovered unchanged by the treatment either with m-CPBA and m-chlorobenzoic acid at room temperature for 24 h or with acetic acid at 50° for 12 h, but treatment both with acetic acid under reflux for 48 h and with a stronger acid, trifluoroacetic acid or p-toluenesulfonic acid, in chloroform at room temperature for 15 min readily gave 4a quantitatively.⁹

In order to learn the solvent effect of this peracid oxidation, 1a was treated with m-CPBA in various solvents and yields of 2a and 4a determined by using the NMR integration data¹² were summarized in Table 1, which shows that the ratio of 4a:2a changes with increasing solvent polarity.

A 1,3-bishomocubanone with p-nitrobenzyl ester group¹³ 1c in chloroform was oxidized next and readily gave a normal lactone 2c together with a rearranged lactone 4c and with a trace of 3c. Analogous compounds (1d, 1e, 1f) substituted with mono and dimethyl groups on their bicyclo[2.2.0]hexane rings were also oxidized affording similar results. The structures of all lactones were established spectroscopically.

The normal lactones (2c, 2d, 2e, 2f) were easily converted to the corresponding rearranged lactones (4c, 4d, 4e, 4f) by the treatment with boron trifluoride¹⁴ and neither with m-CPBA nor with m-chlorobenzoic acid.

In order also to learn the effect of methyl groups located at different positions in the bicyclo[2.2.0]hexane ring, the rates of oxidations of the above ketones were

Table 1. Oxidation of	of 1.3-bishomocubanone	(1a; 0.35 g) in various
solvents (16 ml) v	vith m-CPBA (1.5 eq) at	t room temperature

solvent	time	yield,% ^a		ratio	
	(min)	4a	2a	4a/2a	
benzene	15	12	56	0.21	
dioxane	15	14	55	0.25	
chloroform	3	10	35	0.29	
	7	13	49	0.27	
	15	15	55	0.27	
	30	16	57	0.28	
	45	17	58	0.29	
	60	17	59	0.29	
dioxane:H ₂ 0 (9:1)	60	15	45	0.33	
dioxane:H ₂ 0 (1:1)	60	31	46	0.67	

^aCalculated by using the NMR integration data at 4.70 ppm for 2a and at 5.06 ppm for 4a. The yield of 3a was almost nil.

2a and 3a. On the other hand, oxidation of 14, prepared from 1a by catalytic reduction,¹⁷ with m-CPBA in chloroform gave the normal Baeyer-Villiger oxidation products 15 and 16 in the ratio about 3:1.¹⁸

Table 2. Rates and product ratios for the oxidation of methylsubstituted 1.3-bishomocubanones 1 with m-CPBA in chloroform at 30.0°

ketone	k ^a	ratio ^b
	$M^{-1}sec^{-1}$	4/2
1a	1.04×10^{-2}	0.29 ^c
lc	0.95×10^{-2}	0.32
1d	1.04×10^{-2}	0.45
le	1.26×10^{-2}	0.15
lf w	1.00×10^{-2}	0.19

^aMeasured by iodometry. ^bQuantitative yields of 2 and 4 were determined by UV-spectrometry on a high pressure liquid chromatograph. ^cDetermined by NMR integration data.



DISCUSSION

There are three features of interest in the m-CPBA oxidation of 1,3-bishomocubanone 1a. First, the oxidation of 1a proceeds very rapidly as compared with those of usual 5-membered ketones.⁷ Secondly, although there is no significant difference in migratory aptitude between bond a and bond b in the ring expansion reaction with diazomethane,¹⁹ the m-CPBA oxidation takes place almost exclusively at the bond a. Thirdly, the skeletal rearrangement product 4a, which is the primary product as will be discussed later, as well as the normal products (2a, 3a) is simultaneously formed.

Because the direct formation of 4a from 1a cannot be explained in terms of the established concerted migration mechanism, we propose here an alternative mechanism via carbocations as shown in the following scheme.²⁰ Thus, the oxidation is initiated by the formation of an adduct 17, usually followed by migration of bonds a and b with synchronous leaving of a carboxylate anion. In the oxidation of 1a, however, because of a strained bicyclo[2.2.0]hexane ring system, the latter step changes predominantly to a heterolytic cleavage of bond a to form a carbocation 18, which can rearrange to a more stable cation 19 through the well-known cyclobutyl-cyclopropylcarbinyl rearrangement.²¹ This mechanism is consistent with the above three features and supported by following evidence and discussions.

There are two facts that 4a is the primary product, not the secondary one formed through an acid-catalyzed rearrangement of the initially formed normal product 2a. One is that the treatment of 2a with m-CPBA and *m*-chlorobenzoic acid at room temperature for a long time gave no trace of 4a, the other that the ratio of 4a:2awas found to be almost constant throughout the oxidation, which was followed by NMR analysis (Table 1).

The solvent effect in this oxidation also supports the carbocation mechanism. Thus, the value of 4a/2a becomes greater in more polar solvents, especially in aqueous organic solvents. Polar solvents, especially water can stabilize the carbocations, in other words, can prolong their life-times, and at the same time can decrease the nucleophilicity of the carboxyl group. Both these effects are apparently favorable for the rearrangement from 18 to 19, and hence increase the relative yield of 4a.

If these classical carbocations (18, 19) exist as transient intermediates, introduction of a methyl group to a suitable position to stabilize each cation must accelerate the formation of the corresponding product. This is clearly demonstrated in the oxidation of methyl substituted bishomocubanones (1d, 1e, 1f).

Their relatively large rate constants (Table 2) are almost identical to that of the reference compound 1c, product ratios (4/2), however, are quite different from each other and reflect the effect of methyl substituents. Thus, 1e having a methyl group favorable to stabilize the cyclobutyl cation 18 reduces the ratio 4/2 to less than one-half its reference value of the non-substituted compound 1c, on the contrary, another monomethyl



derivative 1d favors the formation of the cyclopropylcarbinyl cation 19, increasing the ratio by a factor of about 1.5. The dimethyl derivative 1f gives again a smaller ratio near to that of 1e because the two methyl groups must cross each other on the process from 18 to 19 and this steric interaction probably inhibit the formation of 19.

No significant difference among the rate constants presented in Table 2 indicates that because both processes of the cation formation and the termination to the products are quite rapid, the rate-determining step lies in the adduct formation between m-CPBA and the ketones in contrast to that in the ordinary Baeyer-Villiger oxidations.²³

Finally, two contrastive results are discussed. Because 14 no longer has the strained bicyclo[2.2.0]hexane ring system, its oxidation gave two normal products (15, 16) via migration of bond b as well as bond a. On the other hand, when 1a was oxidized with lead tetraacetate in refluxing acetic acid, only the carbocation mechanism acted as presumably shown in the following scheme. In this case 4a is the sole product with no traces of 2a and 3a because of less nucleophilicity of an anhydride group in an intermediate 21 rather than of the acid-catalyzed rearrangement of the initially formed 2a. Methyl 10 - oxopentacyclo $[5.3.0.0^{2.5}.0^{3.9}.0^{4.8}]$ decane - 2 - carboxylate 8a. An EtOAc (250 ml) soln of 7a (1.24 g) was irradiated with a 200 W high pressure lamp using a Pyrex filter for 6.5 h. Evaporation of the solvent left a yellow oil (1.29 g), which was chromatographed on a short column of silica gel (20 g). Elution with benzene-EtOAc (40: 1) gave 1.03 g (83.1%) of 8a as a colorless oil; ν (neat) 1760, 1725, 1240 cm⁻¹; m/e (%) 204 (M^{*}, 19), 176 (19), 145 (32), 117 (100), 116 (33), 115 (38), 91 (17), 66 (25); δ (CDCl₃) 1.66 (1H, d, J = 12 Hz), 1.94 (1H, d, J = 12 Hz), 2.40-2.68 (2H, m), 2.80-3.20 (4H, m), 3.38 (1H, s), 3.69 (3H, s).

4' - Nitrobenzyl 10 - oxopentacyclo [5.3.0.0^{2.5}.0^{3.9}.0^{4.8}] decane - 2 - carboxylate 1c. A 10% KOH (1.2 ml)-MeOH (8 ml) soln of 8a (400 mg, 1.96 mmol) was refluxed for 2 h. After evaporation of the MeOH, to the residue was added H₂O (50 ml), and the soln was acidified with 10% HCl and extracted with CHCh. The CHCl₃ layer was washed with sat. NaCl aq, dried, and evaporated to leave a colorless solid (310 mg) of the carboxylic acid: ν_{max} (Nujol) 1750, 1690 cm⁻¹. The acid (310 mg, 1.63 mmol) in EtOH (4 ml) was converted into Na salt with 10% NaOH using phenolphthalein indicator. To the soln was added 4-nitrobenzyl bromide (208 mg, 1.63 mmol). and the mixture was refluxed for 4 h and then concentrated in vacuo. After H₂O (50 ml) was added, the mixture was extracted with CHCl₃, the washed and dried extract was evaporated leaving a pale yellow oil (354 mg), which was purified by passing through a short column of silica gel (4g). Elution with hexane-EtOAc (5:1) gave 274 mg (43%) of 1c, which was recrystallized from Et₂O to afford colorless prisms. m.p. 68-69°; ν_{max} (Nujol) 1760, 1730, 1600cm⁻¹: m/e (%) 325 (M⁺,



EXPERIMENTAL

4 - Deuterotricyclo $[5.2.1.0^{2.6}]$ deca - 4,8 - dien - 3 - one 5b. A MeOD (15 ml) soln of 5a (3 g) and NaOMe (0.3 g) was stirred at room temperature for 35 min. After being quenched NaOMe with CO₂-gas, the solvent was evaporated in vacuo to leave an oil, which was taken up in CH₂Cl₂, washed with water, and dried over Na₂SO₄. Evaporation of the solvent left 3.0 g of colorless oil: 8 (CDCl₃) 5.60-6.20 (2H, m), 7.22 (1H d, I = 3 Hz)

oil; δ (CDCl₃) 5.60-6.20 (2H, m), 7.22 (1H, d, J = 3 Hz). 5 - *Deuteropentacyclo*[5.3.0.0^{2.5}.0^{3.9}.0^{4.8}]*decan* - 6 - *one* 1b. An EtOAc (200 ml) soln of 5b (3 g) was irradiated with a 400 W high pressure mercury lamp using a Pyrex filter for 3 h. After evaporation of the solvent, the residue was chromatographed on a silica gel column (10 g) eluting with benzene to give 2.2 g (73.5%) of a colorless solid, m.p. 120-125° (hexane); δ (CDCl₃) 1.60 (1H, d, J = 12 Hz), 1.74 (1H, d, J = 12 Hz), 2.25 (1H, broad s), 2.7-3.3 (6H, m).

Methyl 5 - oxotricyclo[$5.2.1.0^{2.6}$]deca - 3.8 - diene - 2 - carboxylate 7a. To a stirred MeOH (250 ml)-THF (125 ml) soln of 6a (5 g, 27.2 mmol) was added t-BuOK (10.3 g, 92 mmol), and the stirring at 45° was continued for 1 h. After CO₂-gas was bubbled to quench the base, the soln was concentrated *in vacuo* to leave an oil, which was taken up in CHCl₃. The CHCl₃ soln was washed with sat. NaCl aq, dried, and evaporated to leave 3.09 g of a pale brown oil, which was chromatographed on silica gel (90 g) eluting with benzene-EtOAc (40:1) to give 1.67 g (34.5%) of 7a as a pale yellow solid. Recrystallization from benzene-hexane gave colorless prisms, m.p. $67-68^{\circ}$; ν_{max} (Nujol) 1730, 1700, 1580 cm⁻¹; δ (CDCl₃) 3.78 (3H. s), 5.2-6.4 (3H. m), 7.38 (1H. d, J = 6 Hz). (Found: C, 70.58; H, 5.98. $C_{12}H_{12}O_3$ requires: C, 70.57; H, 5.92%).

8), 297 (11), 189 (25), 161 (50), 145 (34), 137 (21), 117 (100), 115 (40); δ (CDCl₃) 1.69 (1H, d, J = 14 Hz), 1.93 (1H, d, J = 14 Hz), 2.50–2.80 (2H, m), 2.84–3.25 (4H, m), 3.36–3.52 (1H, m), 5.20 (2H, s), 7.40 (2H, d, J = 9 Hz), 8.25 (2H, d, J = 9 Hz). (Found: C, 66.42; H, 4.69; N, 4.44. C₁₈H₁₅NO₅ requires: C. 66.45; H, 4.65; N, 4.31%).

Methyl 4 - methyl - 5 - oxotricyclo[5.2.1.0^{2.6}]deca-3.8-diene-2carboxylate 7c. To a stirred THF (75 ml)-MeOH (150 ml) soln of 6b (3.0 g, 14.7 mmol) was added t-BuOK (5.1 g, 45.5 mmol), and the stirring was continued for 2 h at 45°. After the base was quenched with CO₂-gas, the solvent was evaporated in vacuo and to the residue was added H₂O (100 ml). The mixture was extracted with CHCl₃ and the extract was washed with sat. NaCl aq, dried, and evaporated to leave 1.92 g of a pale brown oil, which was chromatographed on a silica gel column (50 g) eluting with benzene-EtOAc (50:1) to afford two fractions. The first was 458 mg (15.7%) of 7c as a pale yellow oil; ν_{max} (neat) 1730, 1700, 1630 cm⁻¹; δ (CCl₄) 1.60 (3H, s), 3.55 (3H, s), 5.90 (2H, m), 6.90 (1H, s).

Methyl 3 - methyl - 5 - oxotricyclo[$5.2.1.0^{2.6}$]deca - 3.8 - diene - 2 - carboxylate 7b. The second fraction was 997 mg (34.3%) of 7b as a pale yellow oil; ν_{max} (neat) 1730, 1700, 1620 cm⁻¹; δ (CCl₄) 1.90 (3H, s), 3.60 (3H, s), 5.60 (1H, s), 5.90 (2H, m).

Methyl 3 - methyl - 10 - oxopentacyclo $[5.3.0.0^{2.5}.0^{3.9}.0^{4.8}]$ decane - 2 - carboxylate **8b**. An EtOAc (250 ml) soln of 7b (2.5 g) was irradiated for 20 h with the 200 W lamp and after-treated as described above to give 1.78 g (71.5%) of **8b** as a colorless oil; ν_{max} (neat) 1760, 1720 cm⁻¹; m/e (%) 218 (M⁻, 16), 196 (34), 159 (31), 131 (100), 115 (25), 91 (29), 66 (39); δ (CCl₄) 1.12 (3H, s), 1.63 (1H, d, J = 11 Hz), 1.85 (1H, d, J = 11 Hz), 2.20-2.32 (1H, m), 2.40-2.50 (1H, m), 3.39 (1H, s), 3.68 (3H, s).

meth yl 4' Nitrobenzyl 3 10 oxopentacyclo [5.3.0.0^{2.5}.0^{3.9}.0^{4.8}] decane - 2 - carboxylate 1d. A 10% KOH (1.8 ml)-MeOH (10 ml) soln of 8b (587 mg) was stirred at 50° for 3 h. Work-up as described above gave 480 mg of the crude acid as a colorless solid; ν_{max} (Nujol) 1750, 1690 cm⁻¹. The acid (480 mg) was converted to its Na salt, which was treated with 4-nitrobenzyl bromide (610 mg) as described above to give 445 mg (48.8%) of 1d. Recrystallization from EtOH gave colorless prisms, m.p. 125–126°; ν_{max} (Nujol) 1750, 1725 cm⁻¹; m/e (%) 339 (M⁺, 4), 311 (29), 203 (32), 175 (45), 159 (24), 131 (100); δ $(CDCl_3)$ 1.08 (3H, s), 1.66 (1H, d, J = 11 Hz), 1.86 (1H, d, J = 11 Hz), 2.26-2.44 (1H, m), 2.46-2.60 (1H, m), 2.76-3.12 (3H, m), 3.43 (1H, s), 5.20 (2H, s), 7.40 (2H, dd, J = 9, 2 Hz), 8.23 (2H, dd, J = 9, 2 Hz). (Found: C, 67.20; H, 5.05; N, 4.20. C₁₉H₁₇NO₅ requires: C, 67.25; H, 5.05; N, 4.13%).

Methyl 9 - methyl - 10 - oxopentacyclo $[5.3.0.0^{2.5}.0^{3.9}.0^{4.8}]$ decane - 2 - carboxylate 8c. Irradiation of 7c (1.19 g) in EtOAc (250 ml) for 6 h as described above gave 800 mg (72.7%) of 8c as a colorless oil; ν_{max} (neat) 1760, 1725 cm⁻¹; δ (CDCl₃) 1.21 (3H, s), 1.68 (1H, d, J = 11 Hz), 1.92 (1H, d, J = 11 Hz), 2.40-2.70 (2H, m), 2.80 (1H, t, J = 9 Hz), 2.90-3.18 (2H, m), 3.30-3.44 (1H, m), 3.69 (3H, s); mle (%) 218 (M⁺, 16), 190 (24), 159 (100), 131 (78), 115 (23), 91 (34), 66 (49).

9 4' Nitrobenzyl methyl 10 oxopentacyclo [5.3.0.0^{2.5}.0^{3.9}.0^{4.8}] decane - 9 - carboxylate 1e. The ester (8c, 500 mg) was converted to the acid (427 mg) [ν_{max} (Nujol) 1760, 1680 cm⁻¹], and then esterified as described above to afford 530 mg (68%) of 1e. Recrystallization from EtOH gave colorless prisms, m.p. 91-92°; ν_{max} (Nujol) 1750, 1720, 1600 cm⁻¹; m/e (%) 339 (M⁺, 6), 311 (15), 203 (24), 175 (33), 159 (100), 131 (53); δ (CDCl₃) 1.23 (3H, s), 1.70 (1H, d, J = 12 Hz), 1.94 (1H, d, J = 12 Hz), 2.50–2.76 (2H, m), 2.83 (1H, t, J = 5 Hz), 2.95–3.22 (2H, m), 3.35-3.52 (1H, m), 5.21 (2H, s), 7.40 (2H, d, J = 11 Hz),8.24 (2H, q, 11 Hz). (Found: C, 67.27; H, 4.99; N, 3.90. C19H17NO5 requires: C, 67.25; H, 5.05; N, 4.13%).

Methyl 3.4 - dimethyl - 5 - oxotricyclo[$5.2.1.0^{2.6}$]deca - 3,8 diene - 2 - carboxylate 7d. To a stirred THF (80 ml)-MeOH (40 ml) soln of 6c (3.4 g, 15.6 mmol) was added t-BuOK (5.39 g, 48.1 mmol) and the stirring was continued for 4.5 h at 50°. Workup as described above gave 1.41 g (39%) of 7d as a pale yellow oil: ν_{max} (neat) 1725, 1700, 1640 cm⁻¹; δ (CCl₄) 1.55 (3H, s), 1.84 (3H, s), 3.70 (3H, s).

 $\begin{array}{rcrr} Methyl & 3.9 & - dimethyl & - 10 & - oxopentacyclo-\\ [5.3.0.0^{2.5}.0^{3.9}.0^{4.8}] decane & - 2 & - carboxylate 8d. Irradiation of 7d (1.3 g) in EtOAc (250 ml) for 12 h as described above gave 880 mg (67.7%) of 8d. Recrystallization from hexane gave colorless prisms, m.p. 49-50°; <math>\nu_{max}$ (neat) 1760, 1720 cm⁻¹; m/e (%) 232 (M⁺, 19), 204 (50), 200 (38), 173 (59), 145 (100), 129 (38); δ (CDCl₃) 0.96 (3H, s), 1.06 (3H, s), 1.63 (1H, d, J = 12 Hz), 1.83 (1H, d, J = 12 Hz), 2.40-2.58 (2H, m), 2.72-3.00 (2H, m), 3.35-3.48 (1H, m), 3.65 (3H, s). (Found : C, 72.20; H, 6.89. C₁₄H₁₆O₃ requires: C, 72.39; H, 6.94%).

3.9 • dimethyl 10 oxopentacyclo [5.3.0.0^{2.5}.0^{3.9}.0^{4.8}] decane - 2 - carboxylate 1f. The ester (8d, 520 mg) was converted to the acid (430 mg) [ν_{max} (Nujol) 1760, 1700 cm⁻¹], which was dissolved in EtOH (4 ml), converted its Na salt, and then refluxed with 4-nitrobenzyl bromide (430 mg) for 2 h. After the soln was cooled, the precipitated crystals were collected by filtration and recrystallized from EtOH-benzene to afford 620 mg (78.4%) of 1f as colorless prisms, m.p. 145-146°; ν_{max} (Nujol) 1755, 1700, 1600 cm⁻¹; m/e(%) 353 (M⁺, 10), 325 (54), 217 (40), 200 (45), 189 (88), 173 (71), 145 (100), 136 (35); δ (CDCl₃) 0.93 (3H, s), 1.07 (3H, s), 1.67 (1H, d, J = 12 Hz), 1.87 (1H, d, J = 12 Hz), 1.44–1.64 (2H, m), 1.76–2.08 (2H, m), 2.34–2.52 (1H, m), 5.20 (2H, s), 7.40 (2H, dd, J = 7, 2 Hz), 8.25 (2H, dd, J = 7, 2 Hz). (Found: C, 67.95; H, 5.33; N, 3.95. C20H19NO5 requires: C, 67.98; H, 5.42; N, 3.96%).

Oxidation of 1a with m-chloroperbenzoic acid (m-CPBA). To a CHCl₃ (30 ml) soln of 1a (700 mg, 4.8 mmol) was added 85% m-CPBA (1.46 g, 7.17 mmol) and the soln was stirred for 1 h at room temperature, then diluted with CHCl₃ (100 ml), and washed successively with sat. KI aq, sat. Na₂S₂O₃ aq, sat. NaHCO₃ aq, and sat. NaCl aq. The dried CHCl₃ layer was evaporated to leave 693 mg of a waxy solid, which was chromatographed on a silica gel (30 g) column eluting with benzene-EtOAc (40:1) to give three fractions. The first was 102 mg (13.1%) of 11 - oxapentacyclo [6.3.0.0^{2,4}.0^{3,7}.0^{5,9}] undecan - 10 - one **4a**, m.p. 135-136° (hexane); ν_{max} (CCl₄) 1781, 1348, 1176, 1008 cm⁻¹; *m/e* (%) 162 (M⁺, 3), 118 (23), 117 (34), 91 (14), 84 (40), 79 (100); δ (CDCl₃) 1.4-3.2 (9H, m), 5.06 (1H, dd, J = 7, 3 Hz). (Found: C, 74.05; H, 6.11. C10H10O2 requires: C, 74.02; H, 6.23%). The second was 418 mg (50.4%) of 10 - oxapentacyclo [5.4.0.0^{2.5}.0^{3.9}.0^{4.8}] undecan -11 - one 2a, m.p. 145.5-147° (hexane) v_{max} (CCl₄) 1761, 1368, 1071 cm^{-1} ; m/e (%) 162 (M⁺, 1), 118 (3), 117 (10), 105 (10), 97 (55), 91 (13), 66 (100); δ (CDCl₃) 1.56 (2H, s), 2.4-3.3 (7H, m), 4.70 (1H, t, J = 6 Hz). (Found: C, 74.08; H, 6.24. $C_{10}H_{10}O_2$ requires: C, 74.02; H, 6.23%). The third was 9 mg (1.1%) of 11 oxapentacyclo[5.4.0.0^{2,5}.0^{3,9}.0^{4,8}]undecan - 10 - one 3a, m.p. 127-128.5° (hexane); ν_{max} (CCl₄) 1753, 1372, 1060 cm⁻¹; m/e (%) 162 $(M^+, 2), 118 (3), 117 (8), 105 (5), 97 (36), 91 (9), 66 (100); \delta (CCl_4)$ 1.43 (1H, d, J = 10 Hz), 1.73 (1H, d, J = 10 Hz), 2.4–3.5 (7H, m), 4.64 (1H, m). (Found: C, 74.05; H, 6.25. C₁₀H₁₀O₂ requires: C, 74.02; H, 6.23%).

endo - 3 - Diazoacetyl - endo - 2 - methoxycarbonylnorborn - 5 - ene 13. A dry benzene (10 ml) soln of the half-ester (11, 2.3 g, 11.7 mmol), pyridine (1 drop), and SOCl₂ (2.8 g, 23.5 mmol) was stirred at 30-35° for 4 h. After the solvent was evaporated, the residue was dissolved in benzene (10 ml) and the benzene was evaporated again to leave a yellow oil (2.46 g) of the chloride 12 [ν_{max} (neat) 1800 (broad), 1740 cm⁻¹]. The benzene soln of the chloride was added dropwise with stirring to the ice-cooled soln (200 ml) of CH₂N₂ (prepared from 21.5 g of *p*-toluenesulfonylmethylnitrosamide) over a 45 min period and the stirring was continued for additional 12 h. After removal of insoluble materials, the filtrate was evaporated *in vacuo* and the residual oil (3.5 g) was chromatographed on a silica gel (30 g) column eluting with EtOAc-benzene (10:1) to give 1.06 g (41%) of 13 as a yellow solid, m.p. ~70° (decomp); ν_{max} (Nujol) 2125, 1730, 1640 cm⁻¹.

7 - Methoxycarbonyltetracyclo[4.3.0.0^{2.4}.0^{3.8}]nonan - 5 - one 10. (a) A THF (10 ml) soln of 13 (550 mg, 2.5 mmol) was added dropwise to a stirred suspension of CuI (965 mg, 5.08 mmol) in THF (5 ml) at 40-45° (bath temp.) over a 1.5 h period and the stirring at the same temperature was continued for additional 4 h. After removal of the CuI, the filtrate was evaporated and the residual brown oil (410 mg) was chromatographed on a silica gel (11 g) column eluting with benzene-EtOAc (20:1) to afford 224 mg (47%) of 10 as a colorless solid. Recrystallization from benzene-hexane gave colorless prisms, m.p. 44-45°; ν_{max} (CCl₄) 1730 (broad), 1200 cm⁻¹; mle (%) 192 (M⁺, 4), 164 (7), 149 (20), 114 (95), 99 (48), 79 (100); δ (CCl₄) 1.56-1.8 (1H, m), 1.86-2.5 (4H, m), 2.52-2.96 (4H, m), 3.58 (3H, s). (Found: C, 68.81; H. 6.32. C₁₁H₁₂O₃ requires: C, 68.73; H, 6.29%).

(b) Compound 4a (3.26 g, 20.1 mmol) in 0.1 n NaOH (212 ml) was stirred at 60° for 1.5 h, and to the soln was added dropwise KMnO₄ (7.6 g, 48.1 mmol) in H₂O (150 ml) over a 30 min period. After the stirring was continued for additional 5 h at 60°, the excess KMnO₄ was decomposed with Na₂S₂O₃ aq, insoluble materials were removed by filtration, and the filtrate was washed with ether, brought to pH 3 with 10% HCl, then extracted with CHCl₃. The washed and dried CHCl₃ layer was evaporated leaving 1.72 g (48%) of tetracyclo [4.3.0.0^{2.4}.0^{3.8}]nonan - 5 - one - 7 - carboxylic acid 9 as a colorless solid. Recrystallization from benzene gave colorless prisms, m.p. 128–129°; ν_{max} (Nujol) 3250, 1740–1710 (broad) cm⁻¹. (Found: C, 67.29; H, 5.67. C₁₀H₁₆O₃ requires: C, 67.40; H, 5.67%). The acid was esterified with CH₂N₂ in the usual way to yield 10. Recrystallization from benzene-

Rearrangement of 2a to 4a with trifluoroacetic acid. Compound 2a (200 mg, 1.23 mmol) was dissolved in trifluoroacetic acid (5 ml) and stirred for 15 min. The soln was concentrated in vacuo and the residue was dissolved in CH_2Cl_2 (50 ml). The washed and dried CH_2Cl_2 layer was evaporated leaving a waxy solid quantitatively, which was recrystallized from hexane to give 180 mg (90%) of 4a, m.p. 135-136°.

Oxidation of 1c with m-CPBA. A CHCl₃ (15 ml) soln of 1c (260 mg, 0.8 mmol) and 85% m-CPBA (244 mg, 12 mmol) was stirred for 2 h at room temperature. Work-up as described above gave 290 mg of a colorless solid, which was chromatographed on silica gel (9 g) eluting with EtOAc-hexane (1:5) to give two compounds. The first was 44 mg (16.1%) of 8 - (4 - nitrobenzyl-

carbonyl) - 11 - oxapentacyclo[6.3.0.0^{2.4}.0^{3.7}.0^{5.9}]undecan - 10 one 4c. Recrystallization from EtOAc-hexane gave colorless prisms, m.p. 102-103°; v_{max} (Nujol) 1765, 1720, 1610 cm⁻¹; m/e 263 (5), 188 (22), 162 (40), 135 (44), 117 (100), 115 (31); δ (CDCl₃) 1.6-1.8 (1H, m), 1.9-2.5 (4H, m), 2.8-3.4 (3H, m), 5.22 (2H, s), 5.44 (1H, d, J = 4 Hz), 7.44 (2H, d, J = 9 Hz), 8.20 (2H, d, J =9 Hz). (Found: C, 63.21; H, 4.45; N, 4.00. C18H15NO6 requires: C, 63.34; H, 4.43; N, 4.10%). The second was 145 mg (54.1%) of 2 - (4 - nitrobenzyloxycarbonyl) - 10 oxapentacyclo [5.4.0.0^{2.5}.0^{3.9}.0^{4.8}] undecan - 11 - one 2c. Recrystallization from EtOAc-hexane gave colorless prisms, m.p. 127-128°; v_{max} (Nujol) 1720 (broad), 1610 cm⁻¹; m/e 276 (12), 275 (77), 136 (12), 105 (9), 90 (11), 89 (11), 66 (100); δ (CDCl₃) 1.5-1.9 (2H, m), 2.5-2.7 (1H, m), 2.8-3.6 (5H, m), 4.92 (1H, t, 6 Hz), 5.22 (2H, s), 7.28 (2H, d, J = 9 Hz), 8.20 (2H, d, J = 9 Hz). (Found: C, 63.25; H, 4.42; N, 4.22. C18H15NO6 requires: C, 63.34; H, 4.43; N, 4.10%).

Oxidation of 1d with m-CPBA. A CHCl₃ (10 ml) soln of 1d (219 mg, 0.67 mmol) and 85% m-CPBA (175 mg, 0.86 mmol) was stirred for 2h. Work-up as described above gave two compounds. The first was 61 mg (27.6%) of 1 - methyl - 8 - (4 nitrobenzylogycarbonyl) -11 oxapentacyclo-[6.3.0.0^{2.4}.0^{3.7}.0^{5.9}] undecan - 10 - one 4d. Recrystallization from EtOAc-hexane gave colorless prisms, m.p. 136-137°; ν_{max} (Nujol) 1760, 1740, 1605 cm⁻¹; m/e (%) 355 (M⁺, 3), 277 (44), 175 (18), 147 (16), 141 (90), 137 (100), 131 (79), 106 (15), 79 (37); δ (CDCl₃) 1.48 (3H, s), 1.66-2.16 (3H, m), 2.18-2.44 (2H, m), 2.72-3.00 (2H, m), 3.26-3.32 (1H, m), 5.06 (2H, s), 7.48 (2H, d, J = 9 Hz), 8.20(2H, d, J = 9 Hz). (Found: C, 64.22; H, 4.84; N, 3.84. C₁₉H₁₇NO₆ requires: C, 64.22; H, 4.82; N, 3.94%). The second was 128 mg (57.9%) of 3 - methyl - 2 -(4 - nitrobenzyloxycarbonyl) - 10 oxapentacyclo [5.4.0.0^{2,5}.0^{3,9}.0^{4,8}]undecan - 11 - one 2d. Recrystallization from EtOAc-hexane gave colorless prisms, m.p. 140-141°: ν_{max} (Nujol) 1730 (broad), 1605, 1345 cm⁻¹: m/e (%) 355 $(M^+, 2), 299 (12), 291 (18), 290 (100), 137 (25), 106 (15), 66 (90); \delta$ $(CDCl_3)$ 1.18 (3H, s), 1.3–1.9 (2H, m), 2.44 (1H, t, J = 4 Hz), 2.7-2.84 (1H, m), 2.86-3.12 (2H, m), 3.44-3.64 (1H, m), 4.56 (1H, d, J = 4 Hz), 5.17 (1H, d, J = 14 Hz), 5.25 (1H, d, J = 14 Hz), 7.50 (1H, d, J = 9 Hz), 8.16 (1H, d, J = 9 Hz). (Found: C, 64.02; H, 4.72; N, 3.94. C₁₉H₁₇NO₆ requires: C, 64.22; H, 4.82; N, 3.94%).

Oxidation of le with m-CPBA. A CHCl₃ (6 ml) soln of le (202 mg, 0.56 mmol) and 85% m-CPBA (182 mg, 0.89 mmol) was stirred for 3 h at room temperature. Work-up as described above gave two compounds. The first was 23 mg (11.2%) of 2 - methyl - 8 - (4 - nitrobenzyloxycarbonyl) - 11 - oxapentacyclo [6.3.0.0^{2,4}.0^{3,7}.0^{5,9}]undecan - 10 - one **4e**. Recrystallization from EtOAc-hexane gave colorless prisms, m.p. 140-94°; v_{max} (Nujol) 1770, 1720, 1600 cm⁻¹; m/e (%) 355 (M⁺, 4), 219 (26), 175 (21), 173 (52), 136 (30), 131 (100), 93 (61); 8 (CDCl₃) 1.24 (3H, s), 1.44-1.74 (1H, m), 1.92-2.35 (3H, m), 2.8-3.3 (3H, m), 5.18 (1H, s), 5.26 (2H, s), 7.48 (2H, d, J = 8 Hz), 8.20 (2H, d, J = 8 Hz). (Found: C, 63.82; H, 4.66; N, 3.80. C₁₉H₁₇NO₆ requires: C, 64.22; H, 4.82; N, 3.94%). The second was 155 mg (76%) of 9 - methyl - 2 - (4 - nitrobenzyloxycarbonyl) - 10 - oxapentacyclo[5.4.0. $0^{2.5}$. $0^{3.9}$. $0^{4.8}$]undecan - 11 - one 2e. Recrystallization from EtOAc-hexane gave colorless prisms, m.p. 113-114°; ν_{max} (Nujol) 1740 (broad), 1600 cm⁻¹; m/e (%) 291 (17), 290 (100), 191 (10), 136 (19), 131 (12), 106 (12), 66 (62); 8 (CDCI₃) 1.56 (3H, s), 1.68-1.96 (2H, m), 2.56-3.12 (5H, m), 3.48-3.56 (1H, m), 5.22 (2H, s), 7.48 (2H, d, J = 9 Hz), 8.18 (2H, d, J = 9 Hz). (Found: C, 64.29; H, 4.73; N, 4.06. C₁₉H₁₇NO₆ requires: C, 64.22; H, 4.82; N. 3.94%).

Oxidation of 1f with m-CPBA. A CHCl₃ (6 ml) soln of 1f (202 mg, 0.56 mmol) and 85% m-CPBA (182 mg, 0.89 mmol) was stirred for 3 h to give two compounds. The first was 29 mg (13.2%) of 1.2 - dimethyl - 8 - (4 - nitrobenzyloxycarbonyl) - 11 - oxapentacyclo[6.3.0.0^{2.4}.0^{3.7}.0^{5.9}] undeca - 10 - one 4f. Recrystalization from EtOAc-hexane gave colorless prisms, m.p. 133-134°; ν_{max} (Nujol) 1760, 1715 cm⁻¹; m/e (%) 369 (M⁺, 7) 277 (13), 233 (24), 145 (75), 137 (27), 93 (100); δ (CDCl₃) 1.12 (3H, s), 1.36 (3H, s), 1.44-1.64 (1H, m, 1.86 (1H, d, J = 9 Hz), 2.02-2.30 (2H, m), 2.72-3.00 (2H, m), 3.10-3.32 (1H, m), 5.25 (2H, s), 7.48 (2H, d, J = 8 Hz), 8.30 (2H, d, J = 8 Hz). (Found: C, 64.79; H, 5.19; N, 3.69. C₂₀₀H₁₉NO₆ requires: C, 65.03; H, 5.19; N, 3.79%). The

second was 160 mg (69.6%) of 3.9 - dimethyl - 2 - (4 - nitrobenzyloxycarbonyl) - 10 - oxapentacyclo[5.4.0. $^{2.5}$.0^{3.9}.0^{4.8}]undeca -11 - one **21**. Recrystallization from EtOAc-hexane gave colorless prisms, m.p. 143-144°; ν_{max} (Nujol) 1730 (broad), 1340, 1050 cm⁻¹; m/e (%) 369 (M⁺, 3), 341 (13), 305 (23), 304 (100), 188 (23), 145 (34), 136 (47), 96 (36); δ (CDCl₃) 1.02 (3H, s), 1.44 (3H, s), 1.56-1.90 (2H, m), 2.42 (1H, t, J = 5 Hz), 2.64-2.84 (2H, m), 2.88-3.04 (1H, m), 3.48-3.62 (1H, m), 5.17 (1H, d, J = 14 Hz), 5.25 (1H, d, J = 14 Hz), 7.50 (2H, d, J = 8 Hz), 8.18 (2H, d, J = 8 Hz). (Found: C, 64.88; H, 5.05; N, 3.82. $C_{20}H_{19}NO_6$ requires: C, 65.03; H, 5.19; N, 3.79%).

Oxidation of 14 with m-CPBA. A CHCl₃ (30 ml) soln of 14 (500 mg, 3.38 mmol) and 85% m-CPBA (688 mg, 3.38 mmol) was stirred for 9 h at room temperature. A waxy solid (530 mg) was chromatographed on silica gel (50 g) eluting with benzene-EtOAc (30:1) to afford two products. The first was 114 mg (20.6%) of 9 oxatetracyclo[5.2.2.0^{2.6}.0^{4.10}]undecan - 8 - one 16, m.p. 187-188° (benzene-hexane); ν_{max} (CCl₄) 1760, 1370, 1220, 1050 cm⁻¹; m/e(%) 164 (M⁺, 14), 136 (18), 120 (41), 107 (26), 91 (32), 80 (35), 79 (100), 66 (84); S (CDCl₃) 1.2-1.6 (4H, m), 1.68-2.8 (6H, m), 4.53 (1H, dd, J = 3, 1Hz). (Found: C, 73.18; H, 7.39, $C_{10}H_{12}O_2$ requires: C, 73.14; H, 7.37%). The second was 369 mg (66.6%) of 8 - oxatetracyclo [5.2.2.0^{2.6}.0^{4.10}] undecan - 9 - one 15, m.p. 198-199° (benzene-hexane); ν_{max} (CCl₄) 1750, 1350, 1210, 1060 cm⁻¹; m/e (%) 164 (M⁺, 51), 136 (36), 135 (19), 122 (26), 120 (15), 107 (15), 91 (28), 79 (100), 66 (91); 8 (CDCl₃) 1.15-1.8 (6H, m), 2.0-2.7 (5H, m), 4.70 ·(1H, t, J = 6 Hz). (Found: C, 73.17; H, 7.34. $C_{10}H_{12}O_2$ requires:C, 73.14; H, 7.37%).

Oxidation of 1a with lead tetraacetate. An AcOH (35 ml) soln of 1a (1g. 6.85 mmol) and 70% Pb(OAc)₄ (5.2g, 8.2 mmol) was refluxed for 3h. The reaction mixture was poured onto H₂O (400 ml) and extracted with CH₂Cl₂. The extract was washed with sat. NaHCO₃ aq, dried, and evaporated to leave a waxy solid (1.03 g), which was chromatographed on silica gel (20 g) eluting with benzene-EtOAc (40: 1) to afford 210 mg (21%) of the recovered starting material and 610 mg (55%) of 4a, m.p. 135-136° (from hexane).

Rearrangement of 2c, 2d, 2e, and 2f with $BF_3 \cdot Et_2O$. A CHCl₃ (1 ml) soln of 2c (50 mg) and $BF_3 \cdot Et_2O$ (0.1 ml) was allowed to stand at room temperature for 20 min. After dilution with CHCl₃, the soln was washed with sat. NaCl aq, dried, and evaporated to give 4c after recrystallization from EtOAc-hexane, 40 mg (80%), m.p. 102-103°. Under the same conditions, 2d, 2e, and 2f gave 4d (96%), 4e (84%) and 4f (75%), respectively.

Rate measurements and quantitative analyses. Equal volumes of CHCl₃ solns of a ketone (0.1 M) and m-CPBA (0.15 M) were mixed and allowed to stand at 30.0° . At intervals, aliquots of the reaction solution were withdrawn and analyzed residual m-CPBA contents by usual iodometry. At the same time, aliquots were quenched with excess KI aq and to the CHCl₃ layer p-nitrobenzyl acetate as an internal standard was added and analyzed quantitatively the recovered ketone 1 and products (2. 3, 4) by UV-spectrometry at 254 nm on a high-pressure liquid chromatograph [JASCO FLC-150; JASCO WC-02 500 Corasil 2, elution with EtOAc-hexane saturated with MeCN (1:10)].

Analyses of **2a** and **4a** were performed NMR spectrometrically in CCl₄ using the signals at 4.70 ppm for **2a** and 5.06 ppm for **4a** as compared with the signal (6.76 ppm) of an internal standard, durene.

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- ¹⁵Recently it was reported that oxidation of 1a with ceric ion gave 3a as the sole product, while that with m-CPBA gave a mixture of 2a and 3a.¹⁶ Oxidation with ceric ammonium sulfate

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