



A Journal of the Gesellschaft Deutscher Chemiker

# Angewandte Chemie

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## Accepted Article

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**To be cited as:** *Angew. Chem. Int. Ed.* 10.1002/anie.201811041  
*Angew. Chem.* 10.1002/ange.201811041

**Link to VoR:** <http://dx.doi.org/10.1002/anie.201811041>  
<http://dx.doi.org/10.1002/ange.201811041>

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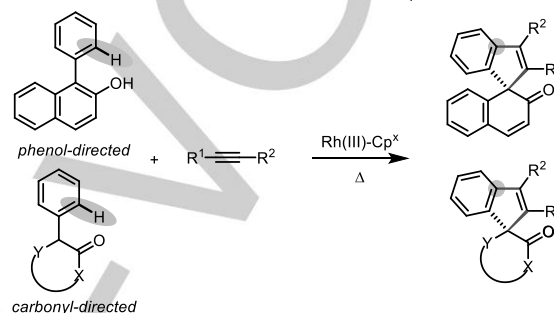
# Enantioselective Formal C(sp<sup>3</sup>)-H Bond Activation in the Synthesis of Bioactive Spiropyrazolone Derivatives

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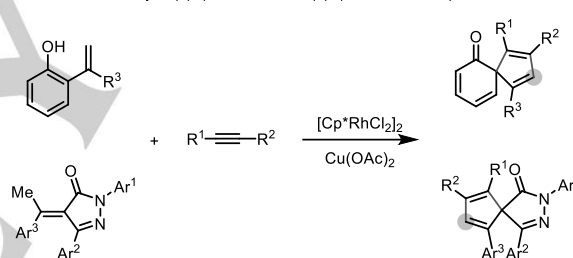
**Abstract:** Herein we report the first enantioselective annulation of  $\alpha$ -arylidene pyrazolones through a formal C(sp<sup>3</sup>)-H activation under mild conditions enabled by highly variable Rh(III)-Cp<sup>x</sup> catalysts. The method has wide substrate scope proceeds with good to excellent yields and enantioselectivity. Its synthetic utility was demonstrated by late-stage functionalization of drugs and natural products as well as preparation of enantioenriched [3]-dendralenes. Preliminary biological investigation also identified the spiropyrazolones as a novel class of Hedgehog pathway inhibitors.

Rh(III)-catalyzed C-H bond activation followed by an annulation reaction with alkynes using cyclopentadienyl (Cp) rhodium(III) complexes as precatalysts has been explored as a rapid approach to construct various heterocycles and carbocycles.<sup>[1-4]</sup> Enantioselective variants have only become possible since the introduction of chiral C<sub>2</sub>-symmetric Cp<sup>x</sup> ligands by Cramer *et al.*, and of an artificial Rh(III)-containing metalloenzyme by Ward and Rovis *et al.*<sup>[5-10]</sup> Thereafter, You *et al.* reported the first asymmetric annulative dearomatization reaction of  $\beta$ -naphthols with alkynes using chiral Rh(III)-Cp<sup>x</sup> catalysts (Scheme 1a).<sup>[7]</sup> In addition, carbonyl-directed Rh(III)-catalyzed enantioselective C-H activation/spiroannulation reactions have been developed by Lam *et al.* followed by Yu *et al.*<sup>[7h,n]</sup> These spiroannulation reactions provide unique access to novel classes of enantioenriched spirocycles containing all-carbon quaternary centers. Current enantioselective variants all include direct activation of aromatic C(sp<sup>2</sup>)-H bonds under relatively demanding reaction conditions (Scheme 1a).<sup>[7h,l,n]</sup> Rh(III)-catalyzed enantioselective spiroannulation reactions through activation of alkenyl C(sp<sup>2</sup>)-H and alkyl C(sp<sup>3</sup>)-H bonds still stand as a great challenge. Relevant racemic examples have already been demonstrated recently (Scheme 1b).<sup>[3a,b,d]</sup>

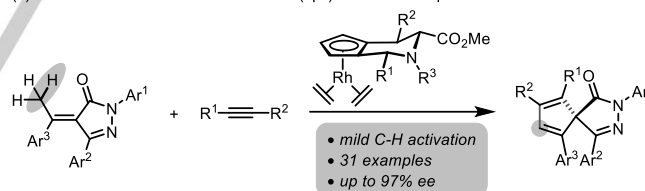
(a) Previous work: Enantioselective aromatic C-H activation/spiroannulation



(b) Racemic work: Alkenyl C(sp<sup>2</sup>)-H or formal C(sp<sup>3</sup>)-H activation/spiroannulation



(c) This work: Enantioselective formal C(sp<sup>3</sup>)-H activation/spiroannulation



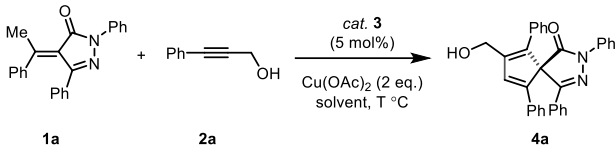
**Scheme 1.** Enantioselective C-H Activation/Spiroannulation Reactions Using Rh(III)-Cp<sup>x</sup> Catalysts.

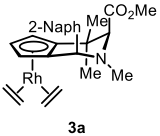
Recently we reported a novel class of piperidine-fused Cp<sup>x</sup> ligands.<sup>[10a,11]</sup> We envisaged that the above-mentioned enantioselective spiroannulation reactions could be steered efficiently using our highly variable Rh(III)-Cp<sup>x</sup> catalysts (Scheme 1c). Here we describe the first enantioselective annulation of  $\alpha$ -arylidene pyrazolones through a formal C(sp<sup>3</sup>)-H activation under mild conditions enabled by Rh(III)-Cp<sup>x</sup> catalysts.<sup>[12]</sup>

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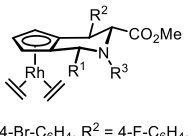
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**Table 1.** Optimization of reaction conditions<sup>[a]</sup>




**3a**



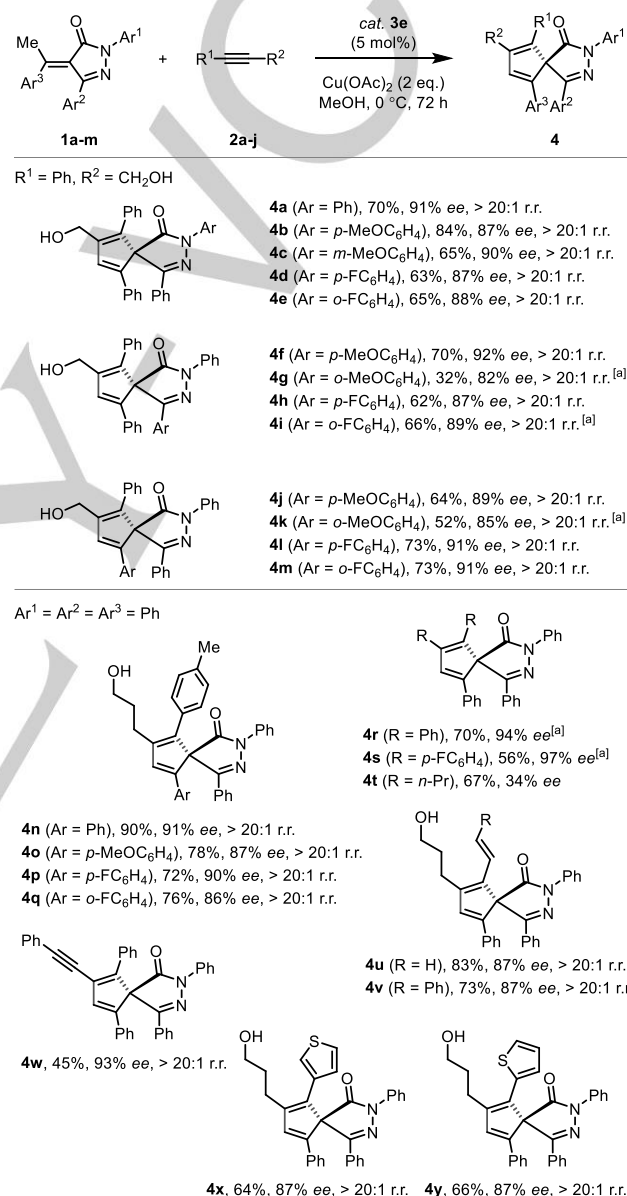
**3b**, R<sup>1</sup> = 4-Br-C<sub>6</sub>H<sub>4</sub>, R<sup>2</sup> = 4-F-C<sub>6</sub>H<sub>4</sub>, R<sub>3</sub> = Me  
**3c**, R<sup>1</sup> = 4-Br-C<sub>6</sub>H<sub>4</sub>, R<sup>2</sup> = 4-F-C<sub>6</sub>H<sub>4</sub>, R<sub>3</sub> = H  
**3d**, R<sup>1</sup> = 4-F-C<sub>6</sub>H<sub>4</sub>, R<sup>2</sup> = 4-Br-C<sub>6</sub>H<sub>4</sub>, R<sub>3</sub> = H  
**3e**, R<sup>1</sup> = 2-Naph, R<sup>2</sup> = 4-Br-C<sub>6</sub>H<sub>4</sub>, R<sub>3</sub> = H

Entry	Cat.	Solvent	T (°C)	Yield (%) <sup>[b]</sup>	ee (%) <sup>[c]</sup>
1	<b>3a</b>	MeCN	80	56%	69
2	<b>3a</b>	MeCN	23	18%	75
3	<b>3a</b>	MeOH	23	86%	75
4	<b>3b</b>	MeOH	23	46%	81
5	<b>3c</b>	MeOH	23	57%	84
6	<b>3d</b>	MeOH	23	64%	84
7 <sup>[d]</sup>	<b>3e</b>	MeOH	23	82%	86
8 <sup>[d]</sup>	<b>3e</b>	MeOH	0	70%	91
9 <sup>[e]</sup>	<b>3e</b>	MeOH	0	<10	n.d. <sup>[f]</sup>

[a] Reaction conditions: **1a** (0.15 mmol), **2a** (0.10 mol), **3** (5 mol%), Cu(OAc)<sub>2</sub> (2 equiv) in the indicated solvent (4.0 mL), under inert atmosphere unless otherwise noted. [b] Isolated yields. [c] Determined by chiral HPLC. [d] Using 2 equiv of **1a**. [e] Under O<sub>2</sub> atmosphere. [f] n.d. = not determined.

Initially, α-arylidene pyrazolone (**1a**) was chosen as model substrate (Table 1).<sup>[3d,13]</sup> The oxidative annulation reaction with the nonsymmetrical alkyne (**2a**) proceeded smoothly at 80 °C using 5 mol% of **3a**, albeit with only 69% ee (entry 1). Drastically reduced reactivity was observed at ambient temperature (entry 2). Gratifyingly, upon solvent screening, methanol was found to greatly facilitate the annulation reaction at 23 °C with comparable enantioselectivity (entry 3). We next screened our highly modular and structurally variable Rh(I)-Cp<sup>x</sup> catalyst library. Compared to catalyst **3a** and other structurally similar analogues, pseudo C<sub>2</sub>-symmetric Cp<sup>x</sup> ligands **3b-3e** proved to be superior in terms of induction of enantioselectivity, although slightly lower reactivity was observed (entries 4-7). Fine-tuning of catalysts through replacement of the 4-fluorophenyl group with a 2-naphthyl substituent afforded **3e** as most advantageous Rh(I)-Cp<sup>x</sup> catalyst (entry 7). Of note, **3e** stands as a new Rh(I)-Cp<sup>x</sup> catalyst which has not been reported before. Finally, the model reaction proceeded well at 0 °C and afforded the desired spiropyrazolone (**4a**) with 91% ee (entry 8). The reaction was drastically prohibited in the presence of O<sub>2</sub> under otherwise identical reaction conditions (entry 9).<sup>[7]</sup>

Based on previous reports,<sup>[3d]</sup> a putative mechanism was proposed.<sup>[14]</sup> A six-membered rhodacycle intermediate is initially formed through an enol-directed formal C(sp<sup>3</sup>)-H activation. In the following alkyne insertion step, the C-C bond formation occurs preferentially at the alkyne carbon adjacent to the alkyl group, thus affords spiropyrazolone **4a** as a single regioisomer (> 20:1 r.r.). The absolute configuration of product (**4a**) was determined to be (S) by means of vibrational circular dichroism (VCD) spectroscopy.<sup>[14]</sup>



**Scheme 2.** Substrate scope of the reaction. Reaction conditions: **1** (0.20 mmol), **2** (0.10 mol), **3e** (5 mol%), Cu(OAc)<sub>2</sub> (2 equiv) in MeOH (4.0 mL) at 0 °C under inert atmosphere for 72 h. [a] Using 10 mol% of **3e**.

Investigation of the substrate scope using substituted α-arylidene pyrazolones (**1a-m**) under optimal reaction conditions

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revealed that both electron-withdrawing and electron-donating groups on the *ortho*-, *para*-, and *meta*-position were well tolerated and delivered the desired products with good to excellent enantioselectivity (Scheme 2, **4a-m**). In some cases, the reaction outcome was found to be sensitive to the presence of *ortho*-substituents presumably due to steric hindrance, and in these cases 10 mol% of **3e** was required (**4g**, **4i**, **4k**).

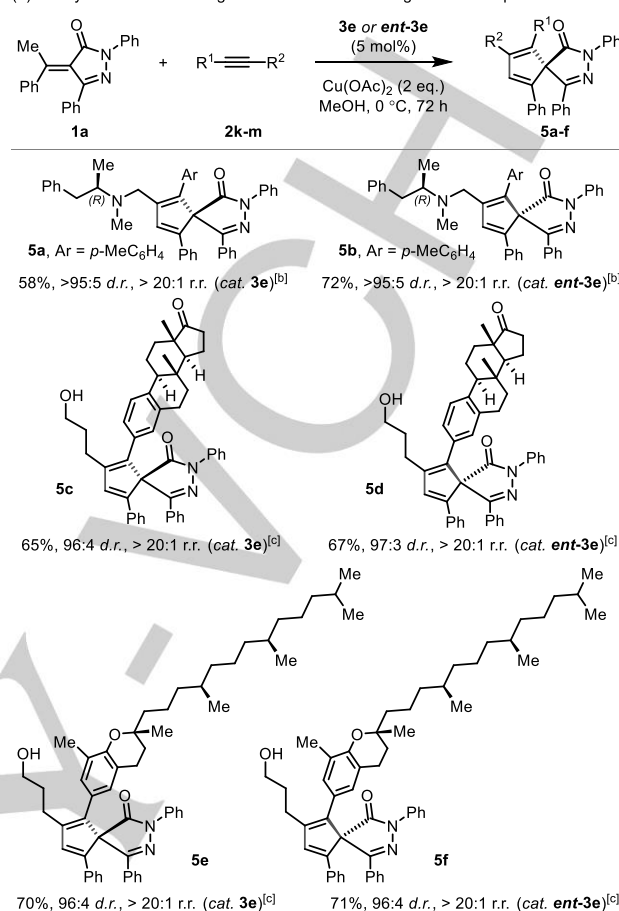
Various alkynes (**2a-j**) were afforded the desired spiropyrzolonones with enantioselectivities of up to 97% ee (Scheme 2, **4n-4y**). For the annulation of symmetrical aryl/aryl alkynes (**4r**, **4s**) 10 mol% of **3e** was specifically required. When a more challenging symmetric alkyl/alkyl alkyne was applied, the product **4t** was formed smoothly, albeit with low enantioselectivity (34% ee). Nonetheless, nonsymmetrical alkynes including aryl/alkyl (**4n-q**), vinyl/alkyl (**4u**, **4v**), and heteroaryl/alkyl alkynes (**4x**, **4y**) were all excellent reaction partners. Interestingly, when 1,4-diphenylbutadiyne was tested, mono annulation product (**4w**) was isolated exclusively in 93% ee and no double annulation product was detected. Again, for all annulation reactions with nonsymmetrical alkynes mentioned above, regioselectivity was excellent and only a single regioisomer was detected (> 20:1 r.r.).

Finally, we performed late-stage functionalization studies of drugs and natural product derivatives.<sup>[15]</sup> As shown in Scheme 3a, alkynes were prepared from (*R*)-(-)-deprenyl (a selective Monoamine Oxidase B inhibitor), estrone and (+)- $\delta$ -tocopherol and then carefully evaluated. To our delight, in all cases we obtained exclusively a single diastereoisomer (>95:5 d.r.) upon treatment with catalyst **3e**. Furthermore, catalyst-directed diastereoselective annulation reactions proceeded well, and the other diastereoisomers were formed predominantly (>95:5 d.r.) by switching the catalyst to **ent-3e**.<sup>[16]</sup>

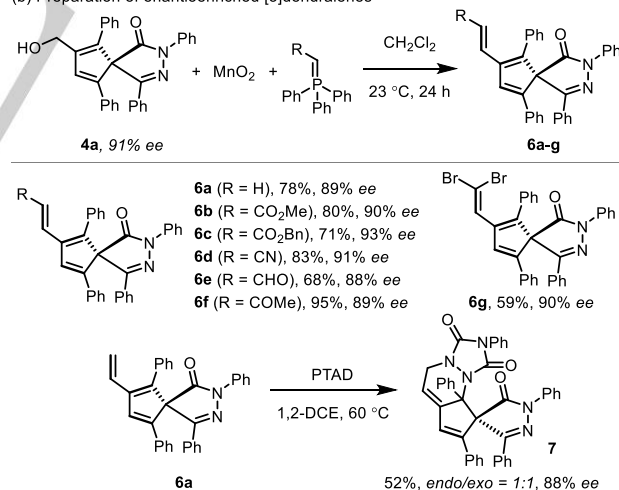
[3]-Dendralenes have gained widespread attention,<sup>[17]</sup> as they are valuable building blocks for the rapid construction of polycyclic frameworks through multiple cycloaddition sequences.<sup>[18]</sup> To further demonstrate the synthetic utility of our annulation reaction, we conducted product diversifications through a one-pot allylic alcohol oxidation-Wittig reaction of **4a** (Scheme 3b).<sup>[19]</sup> Accordingly, a series of unprecedented enantioenriched [3]-dendralenes was obtained using various Wittig reagents with good to excellent yields and enantioselectivity. Of special note, the Corey-Fuchs reaction was also compatible with this protocol and gave dibromo [3]-dendralene (**6g**) in 59% isolated yield. Upon treatment with PTAD (4-phenyl-1,2,4-triazoline-3,5-dione), further diversification of [3]-dendralene (**6a**) could be realized to afford the cycloaddition adduct **7** through Diels-Alder reaction.

To investigate whether the compound collection modulates biological pathways, 72 spiropyrzolonones were synthesized and subjected to different cell-based screens, including an osteoblast differentiation assay that indirectly monitors Hedgehog (Hh) signaling activity in pluripotent mouse mesenchymal C3H10T1/2 cells upon stimulation with purmorphamine.<sup>[20]</sup> Hh signaling is essential for embryonic development and highly important for stem cell homeostasis and tissue regeneration.<sup>[21,22]</sup> Constitutive activation of Hh signaling is associated with the development and progression of various types of cancer, including medulloblastoma and basal cell carcinoma.<sup>[22,23]</sup> Therefore, novel small-molecule modulators of the Hh pathway are in high demand.<sup>[22]</sup>

(a) Catalyst-directed late-stage functionalization of drug and natural products<sup>[a]</sup>



(b) Preparation of enantioenriched [3]dendralenes



**Scheme 3.** Late-stage functionalization and product diversification. [a] Reaction conditions: **1** (0.20 mmol), **2** (0.10 mol), **3e** or **ent-3e** (5 mol%), Cu(OAc)<sub>2</sub> (2 equiv) in MeOH (4.0 mL) at 0 °C under inert atmosphere for 72 h. [b] Diastereomeric ratios (d.r.) were determined based on <sup>1</sup>H NMR analysis of crude reaction mixtures. [c] Diastereomeric ratios (d.r.) were determined by chiral HPLC. 1,2-DCE = 1,2-dichloroethene.

Gratifyingly, several spiropyrzolonones inhibited osteogenesis with half-maximal inhibitory concentrations (IC<sub>50</sub>) in the low



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micromolar range, as detected by reduced activity of the osteogenic marker alkaline phosphatase.<sup>[24]</sup> The most potent compound **4f** inhibited Hh-dependent osteogenesis with a half maximal inhibitory concentration (IC<sub>50</sub>) of 3.6 ± 0.8 μM. To confirm the Hh inhibition, compound **4f** was additionally characterized in an orthogonal, GLI-dependent reporter gene assay using Shh-LIGHT2 cells.<sup>[25]</sup> In this assay, compound **4f** inhibited the GLI-dependent expression of the reporter firefly luciferase with an IC<sub>50</sub> of 8.8 ± 0.5 μM.<sup>[14]</sup> Therefore, the chemotype defined by spiropyrzolonones defines a structurally novel class of Hedgehog pathway inhibitors.

In summary, we demonstrated the first enantioselective annulation of α-arylidene pyrazolonones through a formal C(sp<sup>3</sup>)-H activation under very mild conditions enabled by highly variable Rh(III)-Cp<sup>x</sup> catalysts. The method gave access to a set of structurally diverse spiropyrzolonones containing all-carbon quaternary centers in high yields and with high enantioselectivity. Preliminary biological investigation in different cellular assays led to the identification of the spiropyrzolonones as a novel class of Hedgehog pathway inhibitors.

## Acknowledgements

This work was supported by the Max-Planck-Gesellschaft. We thank Dr. Sonja Sievers and the compound management and screening center (COMAS) for compound screening, Dr. Zhi-Jun Jia (Caltech) and Dr. Saad Shaaban for helpful discussions. H. L. is grateful to the Swiss National Science Foundation (SNSF) for an Early Postdoc. Mobility fellowship (P2GEP2\_168250). C.M. thanks the FCI for a Liebig Fellowship and the Deutsche Forschungsgemeinschaft (DFG) for support through the Cluster of Excellence RESOLV ("Ruhr Explores Solvation", EXC 1069). This research was supported by the European Research Council under the Seventh Framework Programme of the European Union (FP7/2007-2013; ERC Grant 268309 to H.W.) and by the Max Planck Society.

## Conflict of interest

The authors declare no conflict of interest.

**Keywords:** C-H activation • Enantioselective catalysis • Rhodium • Spiropyrzolonones • Hedgehog pathway inhibitors

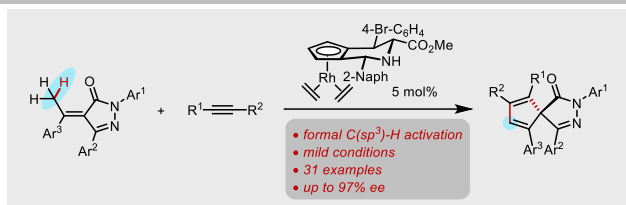
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The first Rh(III)-catalyzed enantioselective annulation of  $\alpha$ -arylidene pyrazolones through a formal C(sp<sup>3</sup>)-H activation under very mild conditions was developed using a novel chiral Cp<sup>x</sup> ligand, yielding a novel class of Hedgehog pathway inhibitors.

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**Enantioselective Formal C(sp<sup>3</sup>)-H Bond Activation in the Synthesis of Bioactive Spiropyrazolone Derivatives**