

Efficient resolution of (\pm)-pantolactone by inclusion crystallization with the use of chiral 1,1,2-triphenylethane-1,2-diol

M. G. Vinogradov,^{a*} D. V. Kurilov,^a V. A. Ferapontov,^a and G. L. Heise^b

^aN. D. Zelinsky Institute of Organic Chemistry, Russian Academy of Sciences,
47 Leninsky prosp., 117913 Moscow, Russian Federation.

Fax: +7 (095) 135 5328. E-mail: ving@cacr.ioc.ac.ru

^bCambrex Corporation, 1 Meadowlands Plaza, East Rutherford, NJ 07073, USA

Enantioselective formation of crystalline 1 : 1 host–guest complexes with (*R*)- or (*S*)-1,1,2-triphenylethane-1,2-diol as a host compound allows efficient preparative resolution of (\pm)-pantolactone. Optically active pantolactones (98% *ee*) were obtained in 65–67% yield.

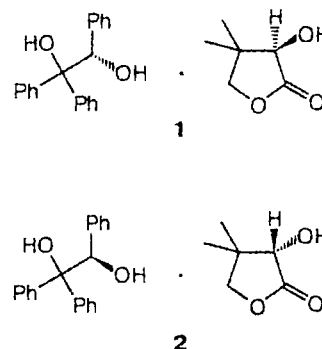
Key words: resolution of enantiomers, pantolactone, host–guest complexes, inclusion crystallization, (*R*)- and (*S*)-1,1,2-triphenylethane-1,2-diol.

Enantioselective inclusion crystallization is a promising method for the separation of racemic compounds,^{1–3} first of all, such as alcohols, esters, lactones, and other neutral substrates, that cannot be resolved by usual techniques *via* diastereomeric salts. Pantolactone (PL), which is widely used in fine organic synthesis, is also among these substrates. Earlier, (\pm)-PL has been resolved by inclusion crystallization using (*R,R*)-(-)-2,3-bis(diphenylhydroxymethyl)-1,4-dioxaspiro[4.5]decane as a chiral host compound. The latter is prepared in several steps, and the yield of optically active PL does not exceed 30%.⁴

In this communication, inclusion crystallization of PL racemate with accessible chiral 1,1,2-triphenylethane-1,2-diol (TPED) is proposed as an efficient method for the preparation of optically active PL. Note that this diol has not been used before for the resolution of enantiomers; only its ability to form crystalline molecular complexes with simple achiral molecules such as MeOH, acetone, dioxane, *etc.* has been reported.⁵

We found that chiral TPED selectively forms crystalline 1 : 1 host–guest molecular complexes with one of the PL enantiomers (¹H NMR). (*S*)-TPED interacts predominantly with (*R*)-PL to give complex **1**, while (*R*)-TPED interacts with (*S*)-PL to produce complex **2**.

To find the optimum conditions for the resolution of (\pm)-PL, we studied crystallization of complexes **1** and **2** from different solvents such as ether–hexane, THF–hexane, ether–THF–hexane, benzene–hexane, and toluene–heptane. Component ratios and concentrations and the temperature regime were also varied. The best resolution of (\pm)-PL was achieved upon three-step crystallization of **1** and **2** from a benzene–hexane mixture to give optically active PL (98% *ee*) in 65–67% yield. The corresponding pantolactone is quantitatively extracted with water from an ethereal solution of complex **1** or **2**.



Experimental

(\pm)-Pantolactone and (*R*)- and (*S*)-TPED were purchased from Fluka. The stoichiometry of complexes **1** and **2** and the configuration of optically active PL were determined by ¹H NMR spectroscopy on a Bruker AM-300 instrument in CDCl₃ in the presence of BINOL as a chiral solvating shift reagent.⁶ The optical purity of chiral PL was determined by GLC on a Biokhrom-21 instrument (quartz capillary column 30 000 × 0.25 mm. β -DEXTM (Supelco) as stationary phase (0.25 μ m), helium as carrier gas (1 mL min⁻¹), CH₄ as nonsorbable component, column temperature 135 °C). Retention times for CH₄, (*S*)-PL, and (*R*)-PL were 3.1, 20.7, and 21.2 min, respectively.

Isolation of (*R*)-(-)-PL from (\pm)-PL *via* complex **1.** A mixture of (\pm)-PL (390 mg, 3 mmol) and (*S*)-(-)-TPED (436 mg, 1.5 mmol) was dissolved in 6 mL of benzene at 65 °C. Hexane (12 mL) was added with stirring at the same temperature, and the resulting solution was allowed to cool to -20 °C. After one day, the crystals that formed were filtered off, washed with a benzene–hexane mixture (1 : 5, 3 mL), and dried *in vacuo* (1–2 Torr) at -20 °C to give complex **1** (598 mg) enriched in (*R*)-PL enantiomer (60% *ee*). The crystals obtained were dissolved in 6 mL of benzene at 65 °C, and hexane (12 mL) was added with stirring at the same temperature. The

mixture was kept at -20°C for 1 day and at 0°C for 3 h. The crystals that formed were filtered off and washed with cooled hexane (2.5 mL) to give complex **1** (476 mg) containing (*R*)-PL enantiomer (85% ee). The complex was recrystallized once again as described above from a mixture of benzene (4 mL) and hexane (8 mL). Crystallization at 0°C and then at -20°C for 3 h gave complex **1** (432 mg, 68.5%), m.p. $110\text{--}112^{\circ}\text{C}$. Found (%): C, 74.26; H, 6.70. $\text{C}_{36}\text{H}_{28}\text{O}_5$. Calculated (%): C, 74.53; H, 6.72. ^1H NMR (CDCl_3), δ , signals for PL: 1.05, 1.2 (both s, each 3 H, CMe_2); 2.95 (br.s, 1 H, OH); 4.0 (m, 2 H, CH_2O); 4.1 (s, 1 H, CHO); signals for TPED: 2.6 (br.s, 1 H, C(2)OH); 3.2 (s, 1 H, C(1)OH); 5.62 (br.s, 1 H, CHO); 7.0–7.75 (m, 15 H, 3 Ph).

Complex **1** was dissolved in 10 mL of ether, and PL was extracted with water (5×5 mL). Concentration of the aqueous extract *in vacuo* gave (*R*)-(-)-PL (98% ee) (131 mg, 67%), m.p. 91°C , $[\alpha]_{\text{D}}^{20} -50.5^{\circ}$ (*c* 2, H_2O). These values agree very closely with the known literature data.^{4,7} Pure (*S*)-(-)-TPED remaining in the ethereal solution upon extracting PL with water can be used repeatedly to separate (\pm)-PL.

Isolation of (*S*)-(+)-PL from (\pm)-PL via complex **2.** Analogously, (*R*)-(+)-TPED was used to resolve (\pm)-PL via complex **2**, m.p. $111\text{--}112^{\circ}\text{C}$. (*S*)-PL enantiomer (98% ee) was obtained in 65% yield, m.p. $90\text{--}91^{\circ}\text{C}$, $[\alpha]_{\text{D}}^{20} +50.3^{\circ}$ (*c* 2, H_2O).

This work was financially supported by the Cambrex Corporation (USA).

References

1. F. Toda, *Supramolecular Science*, 1996, **3**, 139.
2. *Molecular Inclusion and Molecular Recognition — Clathrates I*, Ed. E. Weber, *Topics in Current Chemistry*, Springer-Verlag, Berlin, 1987, **140**, 165 pp.
3. F. Toda, in *Molecular Inclusion and Molecular Recognition — Clathrates II*, Ed. E. Weber, *Topics in Current Chemistry*, Springer-Verlag, Berlin, 1988, **149**, p. 212.
4. F. Toda, A. Sato, K. Tanaka, and T. C. W. Mak, *Chem. Lett.*, 1989, 873.
5. E. Weber and O. Hager, *J. Phys. Org. Chem.*, 1996, **9**, 50.
6. O. V. Mikhalev, O. R. Malyshev, M. G. Vinogradov, G. V. Chel'tsova-Bebutova, and A. V. Ignatenko, *Izv. Akad. Nauk, Ser. Khim.*, 1995, 900 [*Russ. Chem. Bull.*, 1995, **44**, 873 (Engl. Transl.)].
7. E. T. Stiller, S. A. Harris, J. Finkelstein, J. C. Keresztesy, and K. Folkers, *J. Am. Chem. Soc.*, 1940, **62**, 1785.

Received March 17, 2000;
in revised form April 14, 2000