# Regioselectivity and stereoselectivity of dioxygenase catalysed cis-dihydroxylation of mono- and tri-cyclic azaarene substrates†

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cis-Dihydrodiol metabolites were obtained from dioxygenase-catalysed asymmetric dihydroxylations of five monocyclic (azabiphenyl) and four tricyclic (azaphenanthrene) azaarene substrates. Enantiopurity values and absolute configuration assignments were determined using a combination of stereochemical correlation, X-ray crystallography and spectroscopy methods. The degree of regioselectivity found during cis-dihydroxylation of monocyclic azaarenes (2,3 bond >> 3,4 bond) and of tricyclic azaarenes (bay region > non-bay region bonds) was dependent on the type of dioxygenase used. The cis-dihydrodiol metabolite from an azaarene (3-phenylpyridine) was utilised in the chemoenzymatic synthesis of the corresponding trans-dihydrodiol.

#### Introduction

The asymmetric dihydroxylation of carbocyclic arenes to yield the corresponding *cis*-dihydrodiols, using ring hydroxylating dioxygenase enzymes as biocatalysts, and their applications in synthesis, have been widely reported.<sup>1-11</sup> Most of the known arene *cis*-dihydrodiols have been produced from substituted benzenes (monocyclic arene substrates) and toluene dioxygenase (TDO) as biocatalyst. Bicyclic and tricyclic arenes have been mainly biotransformed to the corresponding *cis*-dihydrodiols, using naphthalene dioxygenase (NDO) and biphenyl dioxygenase (BPDO) enzymes which have larger active sites. Tetracyclic and pentacyclic arenes could only be accommodated by the dioxygenase BPDO having the largest active site; the only acceptable monocyclic arene substrates for NDO and BPDO dioxygenases appeared to be the biaryl type *e.g.* biphenyl 1a.

The oxidative biotransformations of azaaromatic substrates can, in principle, yield enantiopure bioproducts that are of potential value as chiral building blocks in the synthesis of target molecules. <sup>1-11</sup> Surprisingly, few azaheterocyclic *cis*-dihydrodiols have been isolated, stereochemically assigned and applied as chiral precursors in synthesis. Different types of dioxygenase enzyme (NDO, BPDO and phenanthrene dioxygenase, PDO) were used as biocatalysts in earlier studies for the production of a small number of *cis*-dihydrodiols from di-, <sup>12,13</sup> tri-<sup>14,15</sup> and tetra-cyclic <sup>16</sup> azaarenes.

It was assumed that *cis*-dihydroxylation of an electron-rich pyrrole ring, *e.g.* indole, occurred readily to give the corresponding heterocyclic *cis*-dihydrodiol.<sup>17</sup> Unfortunately the pu-

tative indole *cis*-dihydrodiol, being an unstable hemiaminal, spontaneously dehydrated to yield indoxyl which in turn was autoxidised to yield indigo.<sup>18</sup> By contrast, the electron-poor pyridine ring has proved to be much more resistant to dioxygenase-catalysed *cis*-dihydroxylation. Thus, TDO-catalysed oxidation of substituents attached to a pyridine ring, *e.g.* sulfoxidation of SR groups,<sup>19</sup> monohydroxylation of alkyl groups,<sup>20</sup> or *cis*-dihydroxylation of benzofused rings,<sup>12-16</sup> all occur more readily than *cis*-dihydroxylation of a pyridine ring. Indirect evidence for the TDO-catalysed formation of unstable *cis*-dihydrodiols as minor metabolites of a pyridine ring was found when 2-chloro- and 2-methoxy quinolines were both converted to a 2-quinolone *cis*-diol<sup>13</sup> and hydroxypyridines were obtained from the corresponding 2- and 4-alkyl pyridines.<sup>20,21</sup>

As our earlier studies of the TDO-catalysed *cis*-dihydroxylation of azaarenes were mainly focussed on bicyclic substrates, *e.g.* quinoline, isoquinoline, quinoxaline and quinazoline rings, <sup>12,13</sup> the major emphasis of the current programme was on the regio- and stereo-selectivity of dioxygenase-catalysed *cis*-dihydroxylation of monocyclic azaarene analogues of biphenyl **1a** (Scheme 1) and of tricyclic azaarene analogues of phenanthrene **4a** containing a bay region (Scheme 2). These substrates were selected on the basis of similar steric requirements, *i.e.* the coplanar conformations of the monocyclic azaarenes **1b–1d** and the planar tricyclic azaarene analogues of phenanthrene **4a**, *e.g.* benzo[*h*]quinoline **4b**, benzo[*f*]quinoline **4c**, phenanthridine **4d** and benzo[*c*]cinnoline **4e**. It was, therefore, anticipated that all of these azaarenes would be similarly accommodated at the BPDO active site.

Supporting evidence for this presumption is now presented through the biotransformation of the monosubstituted benzene rings bearing pyridine (1b–1d), pyrrole (1e) or pyrazole (1f) ring substituents, to yield the corresponding carbocyclic *cis*-dihydrodiols 2b–2d as major metabolites and of fused benzene rings in the tricyclic azaarenes 4b–4e to give mainly the corresponding *cis*-dihydrodiols 5b–5e.

A further objective of this programme was to examine the comparative effect of different types of dioxygenase enzyme (TDO, NDO, and BPDO) on the regio- and stereo-selectivity

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of *cis*-dihydroxylation of monosubstituted benzene rings bearing an azarene substituent or of benzene rings fused directly to an azaarene ring. Evidence for the NDO-catalysed *cis*-dihydroxylation of the 3,4-bond of azaarene **1b** to yield the unusual *cis*-dihydrodiol **3b**, as a minor metabolite, has now been obtained. Furthermore, a change in regioselectivity from the preferred bay region has been observed using a site-directed mutant strain of NDO (NDO<sub>F352V</sub>) and the tricyclic azarene substrates **4b** and **4d** where the corresponding non-bay region *cis*-dihydrodiols **6b** and **6d** have been isolated as major metabolites. The final phase of this study was designed to show how a typical azaarene *cis*-dihydrodiol **2c** could be utilised in a synthesis of the corresponding *trans*-dihydrodiol **17**.

#### **Results and discussion**

# (a) *Normal* dioxygenase-catalysed *cis*-dihydroxylation at the 2,3 bond of the monosubstituted benzene substrates 1b–1f

At the beginning of this study, biphenyl **1a** was assumed to be unique among monosubstituted benzenes as the only acceptable substrate for all three types of dioxygenases used (TDO, NDO and BPDO). Each of these dioxygenases can catalyse oxidation at the 2,3 bond of biphenyl **1a**, to give enantiopure (1*S*,2*R*)-*cis*-dihydrodiol **2a** with yields increasing in accord with size of the dioxygenase active site, *i.e.* in the sequence TDO < NDO < BPDO.<sup>22,23</sup> The NDO-catalysed biotransformation of biphenyl **1a** was unusual, since *cis*-dihydroxylation occurred not only at the expected 2,3 bond to yield *cis*-dihydrodiol **2a** as the major

Scheme 2

bioproduct (87%), but also at the 3,4 bond, to yield the abnormal regioisomer **3a** as a minor metabolite (13%, Scheme 1).<sup>22,23</sup>

Addition of the azabiaryls 2-phenyl- (1b), 3-phenyl- (1c) and 4-phenyl- (1d) pyridines to whole cells of a constitutive mutant strain (UV4), of the bacterium *Pseudomonas putida* (expressing TDO) gave the corresponding *cis*-dihydrodiols **2b–2d**, only in low yields (ca.: 1%, Scheme 1). The cis-dihydrodiol metabolite 2b of 2-phenylpyridine 1b had not been found during earlier studies using TDO as biocatalyst.24 As the phenylpyridine substrates 1b-1d are of almost identical shape to the parent substrate biphenyl 1a, it was assumed that they would be more acceptable substrates for BPDO and would thus give higher yields of the corresponding cis-dihydrodiols. This premise was confirmed by their biotransformation using whole cells of an inducible mutant strain (B8/36), of Sphingomomas yanoikuyae (expressing BPDO). The corresponding cis-dihydrodiols 2b-2d, of identical structure and absolute configuration to those found using TDO, were isolated as the only identified bioproducts with yields in the range of 31-59%.

The *cis*-dihydrodiols **2b** ([ $\alpha$ ]<sub>D</sub> +173, MeOH; 59% yield), **2c** ([ $\alpha$ ]<sub>D</sub> +249, THF; 49% yield) and **2d** ([ $\alpha$ ]<sub>D</sub> +181, THF; 31% yield) were each shown to be single enantiomers (ee >98%) by formation of the corresponding boronate esters using (+)-(R) and (-)-(S)-2-(1-methoxyethyl)phenylboronic acid (MEBBA). H-NMR analysis of the MEBBA derivatives of *cis*-diol metabolites **2b–2d** also allowed their absolute configurations to be tentatively assigned as (1S,2R), employing methods successfully used for other *cis*-dihydrodiol

metabolites.  $^{25,26}$  The absolute configuration of (+)-cis-dihydrodiol **2c** was then rigorously established as (1S,2R) by hydrogenation of the 5,6-bond to yield the cis-tetrahydrodiol **2g** followed by X-ray crystallographic analysis using the anomalous dispersion method (Fig. 1). Compound **2g** in the solid state showed the carbocyclic ring having the half-chair conformation with the hydroxyl group proximate to the pyridine ring being pseudoaxial. The torsion angle along the inter-ring bond is  $+48^{\circ}$ . The (1S,2R) absolute configurations, assigned to compounds **2b**, **2c** and **2d** by the MEBBA method, were supported by comparison of their very similar circular dichroism (CD) spectra.

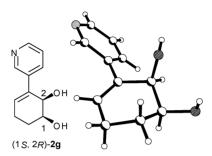


Fig. 1 X-Ray crystal structure of the cis-tetrahydrodiol 2g.

Biotransformations of 1-phenylpyrrole 1e and 1-phenylpyrazole 1f were carried out using *P. putida* UV4 to give the corresponding *cis*-dihydrodiols 2e and 2f (Scheme 1). These were obtained in higher yield (12%) compared with that of the phenyl pyridine substrates 1b–1d (*ca.*: 1%) using *P. putida* UV4. This improved *cis*-dihydroxylation of azaarenes 1e and 1f with *P. putida* UV4 could be due to their reduced size which allows them to fit more easily into the smaller active site of the TDO enzyme. It is also possible that dihydroxylation of the electron-rich azaarene rings (*cf.*: the *cis*-dihydroxylation of the pyrrole ring of indole)<sup>17</sup> may have occurred with the resultant unstable water-soluble *cis*-diols, or their ring-opened derivatives, not being isolated.

An X-ray crystal structure analysis of *cis*-dihydrodiol **2e** ( $[\alpha]_D$  +144, THF. Fig. 2), using the anomalous dispersion method, showed the presence of three crystallographically independent molecules in the solid state. All had the (1S,2R) configuration and the same conformation for the carbocyclic ring, with a pseudoaxial hydroxyl group adjacent to the pyrrole ring. This preference for the hydroxyl group nearest to the bulky (azaarene) substituent in compound **2e** to adopt a pseudoaxial conformation in the solid state has previously been observed for most other *cis*-dihydrodiol

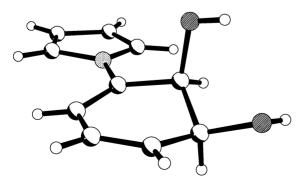


Fig. 2 X-Ray crystal structure view one of the three independent molecules of *cis*-dihydrodiol 2e.

metabolites of monosubstituted<sup>27</sup> and 1,4-disubstituted benzene substrates.<sup>26</sup> Similar preferred pseudoaxial conformations, resulting from reduced steric interactions between substituents R and proximate hydroxyl groups, would be expected to occur in solution. The three independent molecules of **2e** differed only in the conformation of the pyrrole ring, with torsion angles of  $+14^{\circ}$ ,  $+29^{\circ}$  and  $-30^{\circ}$ , respectively, along the inter-ring bond. This is most likely due to crystal packing factors as each of the six crystallographically independent hydroxyl groups is involved in intermolecular hydrogen-bonding, as both a hydrogen donor and a hydrogen acceptor. *cis*-Dihydrodiol metabolite **2f** was found to be enantiopure (ee >98%, MEBBA formation) and its CD spectrum was consistent with a (1S,2R) absolute configuration.

An earlier study had shown that 2-phenylpyridine **1b**, 1-phenylpyrrole **1e** and 1-phenylpyrazole **1f** were substrates for BPDO.<sup>24</sup> One of the resulting *cis*-dihydrodiols, **2f**, was not isolated as it appeared to spontaneously decompose *via* dehydration to yield the corresponding phenol bioproduct. Although the other *cis*-dihydrodiols **2b** and **2e** were isolated, their optical rotations, ee values and absolute configurations were not reported.<sup>24</sup> While it is probable that metabolites **2b** and **2e** isolated earlier have the same absolute configurations as of those obtained during this study, in the absence of chiroptical data from the previous study,<sup>24</sup> it was not possible to make a direct stereochemical comparison.

# (b) Normal dioxygenase-catalysed cis-dihydroxylation at a bay-region bond of the azaphenanthrene substrates 4b-4e

BPDO-catalysed biotransformation of azaphenanthrenes, benzo-[h]quinoline 4b, benzo[f]quinoline 4c, phenanthridine 4d and benzo[c]cinnoline 4e using S. vanoikuyae B8/36, was found in each case, to yield the corresponding bay-region cis-dihydrodiol as the only (or major) isolated bioproducts i.e. 5b, ( $[\alpha]_D$  +167, MeOH; 50% yield), **5c** ( $[\alpha]_D$  +153, MeOH; 67% yield), **5d** ( $[\alpha]_D$ +82, MeOH; 72% yield), and **5e** ( $[\alpha]_D$  –280, pyridine; 62% yield) (Scheme 2). The biotransformation of phenanthridine 4d, using an E. coli recombinant strain expressing the PDO gene, had earlier been reported to yield 5d as one of three metabolites.<sup>15</sup> As expected, no evidence of cis-dihydroxylation was found in the electron-poor pyridine rings in either this or the earlier study.<sup>15</sup> Using S. yanoikuyae B8/36 expressing BPDO as biocatalyst, benzo[h]quinoline substrate 4b was also transformed into the nonbay-region cis-diol **6b**, ( $[\alpha]_D$  +46, MeOH), as a minor metabolite (13% yield) which was separated from the major metabolite 5b by PLC. The pattern of a strong preference for BPDO-catalysed cis-dihydroxylation at the bay region, observed earlier when phenanthrene 4a was mainly biotransformed into cis-dihydrodiol 5a (>90%), <sup>28,29</sup> was thus also evident in the azaphenanthrene series. The structures and % ee values (>98%) of the cis-dihydrodiols 5b-5e and 6b were determined by analysis of the <sup>1</sup>H-NMR spectra of the diols and their MEBBA derivatives.

In the absence of X-ray crystallographic data, a stereochemical correlation sequence for *cis*-dihydrodiols **5b** and **5d**, involving hydrogenation (**5b**  $\rightarrow$  **7**; **5d**  $\rightarrow$  **11**), diacetylation (**7**  $\rightarrow$  **8**; **11**  $\rightarrow$  **12**), oxidative cleavage (**8**  $\rightarrow$  **9**; **12**  $\rightarrow$  **9**) and methylation (**9**  $\rightarrow$  **10**) to yield dimethyl (2,3-diacetoxy)adipate **10** ([ $\alpha$ ]<sub>D</sub> +153) of established (2*S*,3*S*) configuration, was used (Scheme 3). This provided an unequivocal method for the determination of the (9*S*,10*R*) absolute configuration and enantiopurity (>98% ee) of

(+)-cis-diols **5b** and **5d**. It also confirmed the validity of the method adopted earlier for determination of ee values where analysis of the <sup>1</sup>H-NMR spectra of the corresponding MEBBA derivatives was also used in the assignment of absolute configurations to *cis*-diols **5b–5e** (9*S*,10*R*) and **6b** (7*R*,8*S*). A modified Mosher's method was used earlier in assigning the (9*S*,10*R*) configuration to metabolite **5d** but its optical rotation value was not reported. <sup>15</sup>

# (c) Abnormal dioxygenase-catalysed cis-dihydroxylation at the 3,4 bond of the monosubstituted benzene substrate 1b and at non-bay region bonds in azaphenanthrenes 4b and 4d

It is noteworthy that both TDO and BPDO enzymes catalysed the *cis*-dihydroxylation of the biaryls **1a–1f**, exclusively, at the 2,3 bond to yield the corresponding diols 2a-2f (Scheme 1). Similar results have been reported for all other monosubstituted benzene substrates using TDO as biocatalyst. 1-11 Earlier studies 22,23 showed that cis-dihydroxylation of biphenyl 1a occurred mainly (87%) at the 2.3 bond to yield (1S,2R)-cis-dihydrodiol 2a (ee >98%) as the major bioproduct with P. putida (9816/4, a source of NDO). However, a significant proportion (13%), of (1S,2R)-dihydrodiol 3a (ee > 98%) was also isolated as a result of cis-dihydroxylation occurring at the 3,4 bond (Scheme 1). Regioselectivity for the 3,4 bond became more marked when a site-directed mutant strain of E. coli containing a modified form of NDO (NDO<sub>F352V</sub>) was employed. Use of this strain, formed by a Phe-352-Val mutation, occurring near the mononuclear non-heme iron atom in the  $\alpha$  subunit of the NDO active site, resulted in a remarkable change in both regio- and stereo-selectivity. Thus, cis-dihydroxylation occurred almost exclusively at the 3,4 bond (99%), to yield cis-dihydrodiol **3a** with an excess of the opposite (1R,2S) configuration (ee 77%).<sup>23</sup>

Evidence for *ortho*-xylene dioxygenase-catalysed *cis*-dihydroxylation of toluene **1h** occurring at the 2,3 and 3,4 bonds to give *cis*-dihydrodiols **2h** (R = Me, major) and **3h** (R = Me, minor) respectively, based on GC-MS analysis of

the corresponding boronate derivatives, was recently obtained (Scheme 1). $^{30,31}$  The minor *cis*-dihydrodiol metabolites  $3a^{22,23}$  and  $3h^{30,31}$  are therefore among the relatively few literature examples of the dioxygenase-catalysed *cis*-dihydroxylation of monosubstituted benzene substrates occurring at the 3,4-bond. In view of the difficulty of obtaining these abnormal *cis*-dihydrodiol regioisomers, *e.g.* 3h (R = Me) and 3i (R = F), from dioxygenase-catalysed *cis*-dihydroxylation at the 3,4-bonds of monosubstituted benzene substrates 1h (R = Me) and 1i (R = F), new chemoenzymatic methods for their synthesis from the normal isomers 2h (R = Me) and 2i (R = F) have now been developed. $^{32}$ 

Recent studies of the large-scale (>100 g) production of the normal *cis*-dihydrodiol metabolite (**2i**, R = F) of fluorobenzene (**1i**, R = F) from our laboratories have produced the first example of a TDO-catalysed oxidation at the 3,4 bond of a monosubstituted benzene (unpublished data). This unusual (1*S*,2*R*)-*cis*-dihydrodiol regioisomer (**3i**, R = F, [ $\alpha$ ]<sub>D</sub> -21, MeOH, ee 20%) (lit.<sup>32</sup> [ $\alpha$ ]<sub>D</sub> -101, c 0.5, MeOH, ee >98%) was only present as a minor metabolite (< 3%) that was isolated along with the normal *cis*-dihydrodiol (**2i**, R = F, >97%).

In order to investigate further the unusual regioselective *cis*-dihydroxylation of the 3,4 bond in monosubstituted benzenes, exemplified by the formation of *cis*-dihydrodiol **3a** catalysed by NDO,<sup>22,23</sup> a comparative metabolism study was carried out, using an inducible mutant strain of *P. putida* (9816/11, expressing NDO) and the monocyclic azaarene **1b** as substrate. Although *cis*-dihydroxylation of substrate **1b** using NDO again occurred at the 2,3-bond to give diol **2b** ([ $\alpha$ ]<sub>D</sub> +173, MeOH), the isolated yield (25%) was lower than that found earlier using BPDO (59%). <sup>1</sup>H-NMR analysis of the crude product mixture confirmed that *cis*-dihydrodiol **2b** was the dominant bioproduct ( $\geq$ 95% relative yield). Several very weak signals in the relevant baseline section of the <sup>1</sup>H-NMR spectrum of the crude extract suggested that a second *cis*-dihydrodiol, could be present as a very minor ( $\leq$ 5%) component.

More reliable evidence of the minor cis-dihydrodiol regioisomer of compound 2b was found by LC/MS analysis, using reverse phase chromatography (aqueous MeOH as eluent) and electrospray ionisation MS of the crude extract; it confirmed the presence of a major peak (≥95%) with an identical retention time (7.1 min) and an accurate mass corresponding to *cis*-dihydrodiol **2b** ([M + H]<sup>+</sup> 190.08546). However, a minor peak ( $\leq$  5%) eluting slightly later, at 7.8 min, with an almost identical mass ([M + H]<sup>+</sup> 190.08567) and fragmentation pattern as the earlier peak, supported the presence of a regioisomer. MS/MS analysis focussing on the molecular ion at m/z 190 throughout the analysis period provided further evidence of a regioisomeric cis-dihydrodiol. The accurate mass data (172.07503, 144.07786, 78.03393) of the main product ions, from fragmentation of the minor compound, were found to be in accordance with those obtained from the major cisdihydrodiol 2b. When collision energy of 10 eV was used, the main fragment ion observed for both the unknown minor metabolite and compound 2b, was at m/z 172. This facile loss of a molecule of water, in each case, through aromatisation is entirely consistent with the structures of compounds 2b and a regioisomer, e.g. 3b, where the hydroxyl groups are located on adjacent carbon atoms.

Based on the LC-MS results, the biotransformation was repeated several times to obtain a sufficient quantity of compound **3b** for recording <sup>1</sup>H-NMR (2D-COSY), EI MS, CD spectra and an

optical rotation. The data collected finally provided unequivocal evidence for the regioisomer structure 3b, resulting from cisdihydroxylation at the 3,4 bond of azaarene 1b. cis-Dihydrodiol 3b was found to be enantiopure (ee >98%) by formation and <sup>1</sup>H-NMR analysis of the corresponding MEBBA derivatives. The CD spectrum of cis-dihydrodiol 3b confirmed that it had the same (1S,2R) absolute configuration as for the other cis-dihydrodiols 2b, 2c and 2d.

The more sterically demanding tricyclic azaphenanthrenes 4b-4e were not substrates for the TDO expressed in P. putida UV4, but the larger active sites present in NDO and BPDO were able to accommodate them. Thus, when P. putida 9816/11 (expressing NDO) was used, the corresponding bay-region cisdihydrodiols **5b–5e** were isolated in low yields (7–17%). Using S. yanoikuyae B8/36 (expressing BPDO), these cis-dihydrodiols were obtained in higher yields (50-67%), with identical ee values (>98%) and absolute configurations i.e. 9S,10R (5b-5e) (Scheme 2, Table 1).

Despite the similar stereoselectivities, observed during NDOand BPDO-catalysed cis-dihydroxylations of azaphenanthrenes **4b–4e** to yield mainly the corresponding *cis*-dihydrodiol metabolites 5b-5e, some differences in regioselectivity were observed (Scheme 2, Table 1). Benzo[h]quinoline 4b metabolism, using NDO as biocatalyst, gave (9S,10R)-cis-diol 5b and (7R,8S) cisdiol 6b (60:40) compared with BPDO (80:20). A marked increase in regioselectivity for NDO-catalysed cis-dihydroxylation at the 7,8 bond in compound 4b was found when the site-directed mutant E. coli NDO-F352V strain was used. It gave the non-bay region cisdiol 6b as the sole metabolite (100%) but in low yield (11%) and of opposite (7S,8R) configuration (ee > 98%) compared with the normal NDO and BPDO enzymes. Similarly, biotransformation of phenanthridine 4d, using NDO (P. putida 9816/11) or BPDO (S. yanoikuyae B8/36), yielded the enantiopure (ee >98%) sole metabolite (9S,10R)-cis-diol 5d as the result of cis-dihydroxylation occurring exclusively at the bay-region (Scheme 2, Table 1). When this biotransformation was repeated using the E. coli NDO-F352V recombinant strain, the bay region cis-dihydrodiol 5d was again formed (10% yield) having an identical (9S,10R) absolute configuration but a much lower enantiopurity (51% ee). cis-Dihydrodiol **6d** was the major bioproduct (12% yield) having an excess (84%) of the (3R,4S) enantiomer.

The dramatic change in regioselectivity, obtained using the sitedirected mutant strain E. coli NDO-352V), is clearly evident compared with a preference for cis-dihydroxylation of the bay regions present in phenanthrene  $4a \rightarrow 5a$ , (90%),  $^{22,23}$ benzo[h]quinoline 4b  $\rightarrow$  5b, (60–80%) and phenanthridine 4d  $\rightarrow$ 5d, (100%), when using NDO and BPDO (Table 1). This metabolic profile was reversed using NDO<sub>F352V</sub> where a preference for the non-bay regions was found using phenanthrene  $4a \rightarrow 6a (83\%)$ , <sup>22,23</sup> benzo[h]quinoline  $4b \rightarrow 6b$  (100%) and phenanthridine  $4d \rightarrow 6d$ (56%).

cis-Diols 5a, 6a, 5b, 6b, 5c, 5d and 5e, obtained using NDO and BPDO, were consistently found to be enantiopure (>98% ee) and of allylic (S) configuration. By contrast, the enantioselectivity associated with cis-diols formed using NDO<sub>F352V</sub>, to give bay region cis-diols produced of the same (S) configuration but with lower % ee values e.g.  $5a (95\%)^{22,23}$  and 5d (51%) and non-bay region cisdiols having the opposite allylic (R) configuration e.g. 6a (91%),  $^{22,23}$ **6b** (>98%) and **6d** (84%) (Table 1). The structural changes induced by mutation of the Phe-352 amino acid in NDO has recently been shown to result in a different orientation of phenanthrene 4a and thus in an altered pattern of regio- and enantio-selectivity of cis-dihydroxylation.33 A similar change in orientation could also account for the observed change in regio- and enantioselectivity associated with NDO<sub>F352V</sub>-catalysed dihydroxylation of the azaarenes 4b and 4d.

# (d) Application of the azarene cis-dihydrodiol 2c in the chemoenzymatic synthesis of the corresponding trans-dihydrodiol 17

To date, few of the cis-dihydrodiol metabolites from azaarene substrates have been employed in synthesis. However *cis*-dihydrodiols from bicyclic azaarenes (e.g. quinoline) and tricyclic azaarenes (e.g. acridine) have been used in the synthesis of arene oxides and trans-dihydrodiols. 11,14 cis-Dihydrodiol metabolites of 2chloroquinolines have been used as precursors of 2,2'-bipyridine ligands in our laboratories (reference 11 and unpublished data). The synthetic potential of the monocyclic azaarene cis-dihydrodiol 2c was demonstrated by its conversion to the corresponding transdihydrodiol 17. The six-step synthetic sequence involved (i) partial hydrogenation ( $2c \rightarrow 2g$ ), (ii) Mitsunobu inversion ( $2g \rightarrow 13$ ),

Table 1 Relative (isolated) yields of *cis*-dihydrodiol products **5b–5e**, **6b** and **6d** enantiomeric excess values (% ee), and absolute configurations (ab.con.)

Substrate	Enzyme	Relative (isolated) product yield, % ee, ab.con.	Relative (isolated) product yield, % ee, ab.con
4b		5b	6b
	NDO	60(16) > 98, 9S, 10R	40(10) > 98, 7R, 8S
	BPDO	80(50) > 98, 95, 10R	20(13) > 98, 7R, 8S
	$NDO_{F352V}$	0	$100(11)^a > 98, 7S, 8R$
4c	* 2221	5c	( ) / /
	NDO	100(17) > 98,9S,10R	0
	BPDO	100(67) > 98, 9S, 10R	0
4d		5d	6d
	NDO	100(7) > 98, 9S, 10R	0
	BPDO	$100(72)^a > 98, 9S, 10R$	0
	$NDO_{F352V}$	44 (10) 51, 9S,10R	56 (12) 84, 3 <i>R</i> ,4 <i>S</i>
4e	* 2221	5e	
	NDO	100(16) > 98, 9S, 10R	0
	BPDO	100(62) > 98, 95, 10R	0

<sup>&</sup>lt;sup>a</sup> H-NMR analysis of the crude extract showed traces of a further cis-dihydrodiol regioisomer that was neither isolated nor identified.

(iii) diacetylation (13  $\rightarrow$  14), (iv) allylic bromination (14  $\rightarrow$  15), (v) dehydrobromination (15  $\rightarrow$  16) and (vi) hydrolysis (16  $\rightarrow$ 17) (Scheme 4). A similar method had recently been used to synthesise trans-dihydrodiols from the corresponding arene cisdihydrodiols.34

i S. yano ikuy ae B8/36, O2; ii Pd-C, H2, Me OH; iii DEAD, p-NBA, PPh3, C6H6; iv K2CO3, MeOH, H2O; v Ac2O, C5H5N; vi NBS, CCl4; vii Li2CO3, LiCl, HMPA

#### Scheme 4

# Conclusion

A strong preference for cis-dihydroxylation at the 2,3 bond of the carbocyclic ring of substrates 1b-1f and at a bay region bond of the tricyclic substrates 4b-4e was observed when NDO and BPDO enzymes were used. Thus, enantiopure cis-dihydrodiols 2b-2f and 5b-5e were isolated with an allylic (S) configuration, based on stereochemical correlation, X-ray crystallography, circular dichroism and NMR spectroscopy methods. The first example of a NDO-catalysed cis-dihydroxylation, occurring at the 3,4 bond of a monosubstituted azaarene (2b) to yield the corresponding (1S,2R)-cis-dihydrodiol (3b), was found. Both regioselectivity and enantioselectivity were found to be reversed using the modified NDO dioxygenase (NDO<sub>F352V</sub>), the non-bay region cis-dihydrodiols **6b** and **6d**, having an excess of the allylic (R) enantiomer, were found to be dominant. The general applicability of a chemoenzymatic route, from monocyclic cis-dihydrodiols to the corresponding trans-dihydrodiols has been demonstrated by the conversion of azaarene cis-diol 2c to trans-diol 17.

# **Expermental**

NMR (<sup>1</sup>H and <sup>13</sup>C) spectra were recorded on Bruker Avance DPX-300 and DPX-500 instruments and mass spectra were run at 70 eV, on a VG Autospec Mass Spectrometer, using a heated inlet system. Accurate molecular weights were determined by the peak matching method, with perfluorokerosene as the standard. Elemental microanalyses were carried out on a PerkinElmer 2400 CHN microanalyser. For optical rotation ( $[\alpha]_D$ ) measurements (ca. 20 °C, 10<sup>-1</sup> deg cm<sup>2</sup> g<sup>-1</sup>), a PerkinElmer 341 polarimeter was used. Electronic circular dichroism (ECD) spectra were recorded on a Jasco J-720 instrument in acetonitrile solvent.

Flash column chromatography and PLC were performed on Merck Kieselgel type 60 (250-400 mesh) and PF<sub>254/366</sub> respectively. Merck Kieselgel type 60F<sub>254</sub> analytical plates were used for TLC. Liquid chromatography/mass spectrometry (LC/MS) analyses were conducted using an Agilent 1100 series HPLC coupled to an Agilent 6510 Q-TOF (Agilent Technologies, USA). Separation was performed using a reverse phase column (Luna C18 (2) 5  $\mu$ m, 150  $\times$  2.0 mm, Phenomenex, UK) together with the corresponding guard column (C18, 4 × 2.0 mm, Phenomenex, UK). The mobile phase consisted of 95% methanol in channel A, and 5% methanol in channel B. The system was programmed to perform an analysis cycle consisting of 30% A for 1 min, followed by gradient elution from 30% to 95% A over a 11 min period, hold at 95% A for 5 min, return to initial conditions over 5 min and then hold these conditions for a further 5 min. The flow rate was 0.20 ml min<sup>-1</sup> and the injection volume was 5 µl. MS experiments were carried out using ESI in positive ion mode with the capillary voltage set at 4.0 kV. The desolvation gas was nitrogen set at a flow rate of 11 L min-1 and maintained at a temperature of 350 °C. Collision energy values of 10, 20, 30 and 40 eV were employed for MS/MS experiments and data were collected for 100 ms at each value.

The small scale (0.2–5.0 g) shake flask biotransformations and bioproduct isolations were carried out using whole cells of P. putida UV4 (TDO), P. putida 9816/11 (NDO), E. coli F352V (NDO<sub>F352V</sub>) and S. yanoikuyae B8/36 (BPDO) as sources of the dioxygenase enzymes, using methods described earlier. 12,13,16,22,23,34 The bioproducts were isolated by repeated extraction with EtOAc. The extracts were dried (Na<sub>2</sub>SO<sub>4</sub>), concentrated, and purified using either PLC or flash column chromatography on silica gel. The ee values of bioproducts were determined by analysis of the chemical shift values of methoxyl signals from <sup>1</sup>H-NMR spectra of (-)-(S) and (+)-(R)-2-(1-methoxyethyl)benzeneboronate esters (MEBBA esters).25,26

## (+)-(1S,2R)-1,2-Dihydroxy-3-(2'-pyridyl)cyclohexa-3,5-diene 2b

Substrate **1b**, S. yanoikuyae B8/36: colourless oil (0.72 g, 59%);  $R_{\rm f}$  0.34 (75% ethyl acetate-hexane);  $[\alpha]_{\rm D}$  +173 (c 1.2, MeOH) (Found: M<sup>+</sup>, 189.0798.  $C_{11}H_{11}NO_2$  requires 189.0789);  $v_{max}$  (neat) 3353 cm<sup>-1</sup> (O–H);  $\delta_{\rm H}$  (500 MHz, CDCl<sub>3</sub>) 4.43 (1 H, m,  $J_{1,2}$  6.2, H-1), 4.83 (1 H, d,  $J_{2.1}$  6.2, H-2), 6.07–6.11 (2 H, m,  $J_{5.4}$  5.4, H-5, H-6), 6.58 (1 H, d, J<sub>4,5</sub> 5.4, H-4), 7.01 (1 H, dd, J<sub>4',3'</sub> 8.2, J<sub>4',5'</sub> 3.7, H-4'), 7.54 (1 H, d,  $J_{3',4'}$  8.2, H-3'), 7.61 (1 H, dd,  $J_{5',4'}$  3.7,  $J_{5',6'}$  3.1, H-5'), 8.44 (1 H, d,  $J_{6'.5'}$  3.1, H-6');  $\delta_{\rm C}$  (125 MHz, CDCl<sub>3</sub>) 67.42, 68.99, 119.96, 122.07, 123.64, 124.65, 131.57, 136.91, 136.18, 148.15, 157.16; *m/z* (EI) 189 (M<sup>+</sup>, 41%), 171 (18), 160 (100), 93 (11); CD:  $\Delta \varepsilon 5.0$  (321 nm)  $\Delta \varepsilon -2.0$  (271 nm),  $\Delta \varepsilon -8.6$  (220 nm); ee >98% (MEBBA).

Substrate **1b**, *P. putida* 9816/11: colourless oil (0.030 g, 25%); >95% relative yield by LC-MS analysis;  $[\alpha]_D + 170$  (c 1.5, MeOH); ee > 98% (MEBBA).

#### (-)-(1S,2R)-1,2-Dihydroxy-4-(2'-pyridyl)cyclohexa-3,5-diene 3b

Substrate **1b**, *P. putida* 9816/11: light yellow oil (0.003 g, 2%); *R*<sub>f</sub>  $0.20 (5\% \text{ MeOH-CHCl}_3); [\alpha]_D -28.0 (c 0.32, \text{MeOH}) (\text{Found: M}^+,$ 189.0790.  $C_{11}H_{11}NO_2$  requires 189.0789);  $\delta_H$  (500 MHz, CDCl<sub>3</sub>) 4.32 (1 H, m, H-1), 4.48 (1 H, m, H-2), 6.20 (1 H, dd, J<sub>6.1</sub> 4.3, J<sub>6.5</sub>, 9.7, H-6), 6.56 (1 H, m,  $J_{3,2}$  3.7, H-3), 6.74 (1 H, d,  $J_{5,6}$ , 9.7, H-5), 7.21 (1 H, m, H-5'), 7.51 (1 H, d,  $J_{6,5'}$  8, H-6'), 7.70 (1 H, m,  $J_{5',6'}$  8,  $J_{5',3'}$  1.8, H-5'), 8.58 (1 H, dd,  $J_{3',4'}$  4.9,  $J_{3',5'}$  1.8, H-3'); CD:  $\Delta\varepsilon$  2.05 (290 nm),  $\Delta\varepsilon$  -4.42 (222 nm),  $\Delta\varepsilon$  -1.66 (206 nm) and  $\Delta\varepsilon$  -1.85 (204 nm); ee >98% (MEBBA).

#### (+)-(1S,2R)-1,2-Dihydroxy-3-(3'-pyridyl)cyclohexa-3,5-diene 2c

Substrate **1c**, *S. yanoikuyae* B8/36: light yellow oil (0.718 g, 49%);  $R_{\rm f}$  0.16 (50% ethyl acetate—hexane);  $[\alpha]_{\rm D}$  +249 (c 0.83, THF) (Found: M+, 189.0793.  $C_{11}H_{11}NO_2$  requires 189.0789);  $v_{\rm max}$  (neat) 3367 cm<sup>-1</sup> (O–H);  $\delta_{\rm H}$  (500 MHz, CDCl<sub>3</sub>) 4.50 (1 H, d,  $J_{2,1}$  5.9, H-2), 4.62 (1 H, dd,  $J_{1,2}$  5.9,  $J_{1,6}$  3.1, H-1), 5.99 (1 H, dd,  $J_{6,1}$  3.1,  $J_{6,5}$  9.6, H-6), 6.13 (1 H, m,  $J_{5,4}$  5.5,  $J_{5,6}$  9.6, H-5), 6.40 (1 H, d,  $J_{4,5}$  5.5, H-4), 7.30 (1 H, dd,  $J_{5,4'}$  7.9,  $J_{5,6'}$  4.8, H-5'), 7.87 (1 H, dd,  $J_{4',2'}$  2.0,  $J_{4',6'}$  7.9, H-4'), 8.48 (1 H, d,  $J_{6',4'}$  4.8, H-6'), 8.76 (1 H, d,  $J_{2',4'}$  2.0, H-2');  $\delta_{\rm C}$  (125 MHz, CDCl<sub>3</sub>) 67.13, 69.20, 122.32, 122.50, 122.65, 131.61, 132.31, 134.03, 134.11, 145.53, 146.84; m/z: (EI) 189 (M+, 30%), 171 (91), 160 (100), 93 (9); CD:  $\Delta\varepsilon$  6.0 (312 nm),  $\Delta\varepsilon$  –10.3 (229 nm); ee >98% (MEBBA).

## (+)-(1S,2R)-1,2-Dihydroxy-3-(4'-pyridyl)cyclohexa-3,5-diene 2d

Substrate **1d**, *S. yanoikuyae* B8/36: light yellow oil (0.381 g, 31%);  $R_{\rm f}$  0.11 (50% EtOAc–hexane);  $[\alpha]_{\rm D}$  +181 (c 0.85, THF) (Found: M+, 189.0793. C<sub>11</sub>H<sub>11</sub>NO<sub>2</sub> requires 189.0789);  $v_{\rm max}$  (neat) 3370 cm<sup>-1</sup> (O–H);  $\delta_{\rm H}$  (500 MHz, CDCl<sub>3</sub>) 4.40 (1 H, dd,  $J_{2,1}$  5.9,  $J_{2,4}$  1.3, H-2), 4.54 (1 H, dd,  $J_{1,2}$  5.9,  $J_{1,6}$  2.3, H-1), 5.94 (1 H, dd,  $J_{6,1}$  2.3,  $J_{6,5}$  9.5, H-6), 6.06 (1 H, dd,  $J_{5,4}$  5.6,  $J_{5,6}$  9.5, H-5), 6.47 (1 H, d,  $J_{4,5}$  5.6, H-4), 7.34 (2 H, d,  $J_{3',2'}$  5.9,  $J_{5',4'}$  5.9, H-3′, H-5′), 8.49 (2 H, d,  $J_{2',3'}$  5.9,  $J_{6',5'}$  5.9, H-2′, H-6′);  $\delta_{\rm C}$  (125 MHz, CDCl<sub>3</sub>) 68.21, 70.50, 119.75 (2 × C), 123.64, 124.82, 133.53, 135.74, 146.07, 150.13 (2 × C); m/z (EI) 189 (M+, 25%), 171 (61), 143 (100), 93 (9); CD:  $\Delta\varepsilon$  3.61 (317 nm),  $\Delta\varepsilon$  –6.13 (220 nm); ee >98% (MEBBA).

#### (+)-(1S,2R)-1,2-Dihydroxy-3-(1'-pyrrolyl)cyclohexa-3,5-diene 2e

Substrate 1e, *P. putida* UV4: crystalline solid (0.08 g, 12%); mp 102–104 °C (EtOAc–hexane); [α]<sub>D</sub> +144 (c 0.23, THF) (Found: C, 67.4; H, 6.2, C<sub>10</sub>H<sub>11</sub>NO<sub>2</sub> requires C, 67.8; H, 6.3%);  $v_{\text{max}}$  (KBr) 3347 cm<sup>-1</sup> (O–H);  $\delta_{\text{H}}$  (500 MHz, CDCl<sub>3</sub>) 4.46 (1 H, dd,  $J_{1,2}$  6.2,  $J_{1,6}$  5.9, H-1), 4.64 (1 H, dd,  $J_{2,1}$  6.2,  $J_{2,4}$  2.4, H-2), 5.74 (1 H, d,  $J_{5,4}$  2.6, H-5), 5.93 (1 H, d,  $J_{6,1}$  5.9, H-6), 6.00 (1 H, d,  $J_{4,2}$  2.4,  $J_{4,5}$  2.5, H-4), 6.28 (2 H, m,  $J_{2,3}$  4.4, H-2′, H-5′), 7.01 (2 H,  $J_{3,2}$  4.4, m, H-3′, H-4′);  $\delta_{\text{C}}$  (125 MHz, CDCl<sub>3</sub>) 68.73, 71.11, 110.04, 110.60 × 2, 118.95 × 2, 123.20, 128.35, 138.52; m/z (EI) 177 (M<sup>+</sup>, 24%), 159 (4), 83 (100); CD:  $\Delta\varepsilon$  4.65 (320 nm),  $\Delta\varepsilon$  –9.99 (229 nm); ee >98% (MEBBA).

Crystal data for 2e.  $C_{10}H_{11}NO_2$ , M=177.2, monoclinic, a=13.076(4), b=4.980(2), c=21.362(11) Å,  $\beta=103.18(3)$ , U=1354.5(10) ų, T=293(2) K, Cu-K $\alpha$  radiation,  $\lambda=1.5418$  Å, space group  $P2_1$  (no. 4), Z=6, F(000)=564,  $D_x=1.303$  g cm<sup>-3</sup>,  $\mu=0.75$  mm<sup>-1</sup>, Siemens P3 diffractometer,  $\omega$  scans, scan range 2°,  $4.0^\circ < 2\theta < 110.1^\circ$ , measured/independent reflections: 3971/3307,  $R_{int}=0.11$ , direct methods solution, full-matrix least squares refinement on  $F_o^2$ , anisotropic displacement parameters for non-hydrogen atoms; all hydrogen atoms located in a difference Fourier synthesis but included at positions calculated from the geometry of the molecules using the riding model, with

isotropic vibration parameters.  $R_1 = 0.074$  for 3210 data with  $F_o > 4\sigma(F_o)$ , 358 parameters,  $\omega R_2 = 0.206$  (all data), GoF = 1.03, Flack × parameter = -0.07(18),  $\Delta \rho_{\text{min,max}} = -0.25/0.31$  e Å<sup>-3</sup>. CCDC reference number 691141.

#### (+)-(1S,2R)-1,2-Dihydroxy-3-(1'-pyrazolyl)cyclohexa-3,5-diene 2f

Substrate **1f**, *P. putida* UV4: colourless oil (0.028 g, 12%); [*α*]<sub>D</sub> +144 (*c* 0.23, THF) (Found: M<sup>+</sup>, 178.0742. C<sub>9</sub>H<sub>10</sub>N<sub>2</sub>O<sub>2</sub> requires 178.0747);  $V_{\text{max}}$  (neat) 3356 cm<sup>-1</sup> (O–H);  $\delta_{\text{H}}$  (500 MHz, CD<sub>3</sub>OD) 4.84 (1 H, ddd,  $J_{1,2}$  6.27,  $J_{1,5}$  2.8,  $J_{1,6}$  2.4, H-1), 4.95 (1 H, d,  $J_{2,1}$  6.3, H-2), 6.07 (1 H, dd,  $J_{6,1}$  2.4,  $J_{6,5}$  7.2, H-6), 6.27 (1 H, ddd,  $J_{5,1}$  2.8,  $J_{5,4}$  5.9,  $J_{5,6}$  7.2, H-5), 6.63 (1 H, d,  $J_{4,5}$  5.9, H-4), 6.68 (1 H, dd,  $J_{3',2'}$  1.9,  $J_{3',4'}$  2.5, H-3'), 7.89 (1 H, d,  $J_{2',3'}$  1.9, H-2'), 8.29 (1 H, d,  $J_{4',3'}$  2.5, H-4');  $\delta_{\text{C}}$  (125 MHz, CD<sub>3</sub>OD) 70.20, 73.49, 109.53, 114.38, 124.38, 131.16, 132.72, 142.36, 143.97; m/z (EI) 178 (M<sup>+</sup>, 22%), 160 (36), 93 (11); CD:  $\Delta\varepsilon$  1.04 (316 nm),  $\Delta\varepsilon$  –3.37 (226 nm); ee >98% (MEBBA).

#### (+)-(9S,10R)-9,10-Dihydrobenzo[h]quinoline-9,10-diol 5b

Substrate **4b**, *S. yanoikuyae* B8/36: light yellow crystalline solid (3.0 g, 50%); mp 128–129 °C (from CHCl<sub>3</sub>);  $R_{\rm f}$  0.25 (7% MeOH/CHCl<sub>3</sub>);  $[\alpha]_{\rm D}$  +167 (c 0.3, MeOH) (Found: M<sup>+</sup>, 213.0781. C<sub>13</sub>H<sub>11</sub>NO<sub>2</sub> requires 213.0789);  $v_{\rm max}$  (KBr) 3408 cm<sup>-1</sup> (O–H);  $\delta_{\rm H}$  (500 MHz, CDCl<sub>3</sub>) 4.46 (1 H, dd,  $J_{9,10}$  5.0  $J_{9,8}$  5.4, 9-H), 5.57 (1 H, d,  $J_{10,9}$  5.0, 10-H), 6.38 (1 H, dd,  $J_{8,7}$  9.5,  $J_{8,9}$  5.4, 8-H), 6.72 (1 H, d,  $J_{7,8}$  9.6, 7-H), 7.26–7.42 (2 H, m, 5-H, 3-H), 7.73 (1 H, d,  $J_{6,5}$  8.2, 6-H), 8.16 (1 H, dd,  $J_{4,3}$  8.3,  $J_{4,2}$  1.8, 4-H), 8.81 (1H, dd,  $J_{2,3}$  8.2,  $J_{2,4}$  1.8, 2-H);  $\delta_{\rm C}$  (125 MHz, CDCl<sub>3</sub>) 65.25, 71.72, 120.63, 125.89, 126.78, 127.52, 128.26, 129.40, 129.89, 133.10, 137.23, 147.39, 148.31; m/z (EI) 213 (M<sup>+</sup>, 19%), 194 (61), 86 (100), 93 (9); CD:  $\Delta\varepsilon$  2.78 (344 nm),  $\Delta\varepsilon$  3.44 (330 nm),  $\Delta\varepsilon$  –0.92 (280 nm);  $\Delta\varepsilon$  5.29 (262 nm);  $\Delta\varepsilon$  6.86 (253 nm);  $\Delta\varepsilon$  1.64 (225 nm); ee >98% (MEBBA).

Substrate **4b**, *P. putida* 9816/11: (0.01 g, 16%)  $[\alpha]_D$  +167 (*c* 0.3, MeOH); ee >98% (MEBBA).

#### (+)-(7R,8S)-7,8-Dihydrobenzo[h]quinoline-7,8-diol 6b

Substrate **4b**, *S. yanoikuyae* B8/36: white crystalline solid (0.76 g, 13%); mp 125–126 °C (from CHCl<sub>3</sub>);  $R_{\rm f}$  0.32 (5% MeOH/CHCl<sub>3</sub>);  $[\alpha]_{\rm D}$  +46 (c 0.97, MeOH) (Found: M<sup>+</sup>, 213.0799. C<sub>13</sub>H<sub>11</sub>NO<sub>2</sub> requires 213.0789);  $\nu_{\rm max}$  (KBr) 3319 cm<sup>-1</sup> (O–H);  $\delta_{\rm H}$  (500 MHz, CDCl<sub>3</sub>) 4.48 (1 H, dd,  $J_{8,7} = J_{8,9}$  4.8, 8-H), 4.89 (1 H, d,  $J_{7,8}$  4.9, 7-H), 6.35 (1 H, dd,  $J_{9,10}$  9.9,  $J_{9,8}$  4.6, 9-H), 7.42 (1 H, dd,  $J_{3,4}$  8.2,  $J_{3,2}$  4.2, 3-H), 7.79 (1 H, d,  $J_{5,6}$  8.3, 5-H), 7.83 (1 H, d,  $J_{6,5}$  8.3, 6-H), 7.93 (1 H, d,  $J_{10,9}$  9.9, 10-H), 8.14 (1 H, dd,  $J_{4,3}$  8.3,  $J_{4,2}$  1.8, 4-H), 8.93 (1 H, dd,  $J_{2,3}$  4.2,  $J_{2,4}$  1.8, 2-H);  $\delta_{\rm C}$  (125 MHz, CDCl<sub>3</sub>) 67.71, 71.45, 121.59, 125.21, 125.98, 128.19, 128.40, 128.99, 129.06, 136.57, 137.10, 144.30, 150.55; m/z (EI) 213 (M<sup>+</sup>, 58%), 194 (60), 167 (100); CD:  $\Delta\varepsilon$  +0.17 (273 nm),  $\Delta\varepsilon$  –1.05 (247 nm),  $\Delta\varepsilon$  +1.59 (224 nm); ee >98% (MEBBA).

Substrate **4b**, *P. putida* 9816/11: (0.006 g, 10%);  $[\alpha]_D$  +43 (*c* 0.3, MeOH); ee >98% (MEBBA).

#### (-)-(7R,8S)-7,8-Dihydrobenzo[h]quinoline-7,8-diol 6b

Substrate **4b**, *E.*  $coli_{F352V}$ : (0.004 g, 11%); [ $\alpha$ ]<sub>D</sub> -46 (c 0.4, MeOH); CD:  $\Delta \varepsilon$  -0.09 (273 nm),  $\Delta \varepsilon$  +0.66 (247 nm),  $\Delta \varepsilon$  -1.043 (224 nm); ee >98%. (MEBBA).

#### (+)-(9S,10R)-9,10-Dihydrobenzo[f]quinoline-9,10-diol 5c

Substrate **4c**, *S. yanoikuyae* B8/36: light yellow crystalline solid (0.08 g, 67%);  $R_f$  0.25 (7% MeOH–CHCl<sub>3</sub>); mp 126–128 °C (from CHCl<sub>3</sub>);  $[\alpha]_D$  +153 (c 0.3, MeOH) (Found: M<sup>+</sup>, 213.0791. C<sub>13</sub>H<sub>11</sub>NO<sub>2</sub> requires 213.0789);  $v_{\text{max}}$  (KBr) 3408 cm<sup>-1</sup> (O–H);  $\delta_H$  (500 MHz, CDCl<sub>3</sub>) 4.74 (1 H, ddd,  $J_{9,10}$  5.5,  $J_{9,8}$  2.1,  $J_{9,7}$  2.5, 9-H), 5.26 (1 H, d,  $J_{10,9}$  5.5, 10-H), 6.07 (1 H, dd,  $J_{8,7}$  9.7,  $J_{8,9}$  2.1, 8-H), 6.57 (1 H, dd,  $J_{7,8}$  9.7,  $J_{7,9}$  2.5, 7-H), 7.45 (2 H, m, 5-H, 2-H), 8.01 (1 H, d,  $J_{6,5}$  8.5, 6-H), 8.51 (1 H, dd,  $J_{1,2}$  8.5,  $J_{1,3}$  1.4, 1-H), 8.82 (1H, dd,  $J_{3,2}$  5.6,  $J_{3,1}$  1.4, 3-H); m/z (EI) 213 (M<sup>+</sup>, 65%), 194 (33), 86 (100); CD:  $\Delta\varepsilon$  –1.74 (311 nm),  $\Delta\varepsilon$  +1.13 (257 nm);  $\Delta\varepsilon$  –4.82 (223 nm); ee >98% (MEBBA).

Substrate **4c**, *P. putida* 9816/11: (0.02 g, 17%);  $[\alpha]_D$  +153 (*c* 0.3, MeOH); ee >98% (MEBBA).

## (+)-(9S,10R)-9,10-Dihydrophenanthridine-9,10-diol 5d

Substrate **4d**, *S. yanoikuyae* B8/36: crystalline solid (0.21 g, 72%); mp 190–192 °C (from EtOAc);  $R_{\rm f}$  0.15 (5% MeOH–CHCl<sub>3</sub>);  $[\alpha]_{\rm D}$  +82 (c 0.5, MeOH) (Found: C, 72.7; H, 4.7; N, 6.3.  $C_{13}H_{11}NO_2$  requires C, 73.2; H, 5.2; N, 6.6%);  $v_{\rm max}$  (KBr) 3390 cm<sup>-1</sup> (O–H);  $\delta_{\rm H}$  (500 MHz, CDCl<sub>3</sub>) 4.75 (1 H, ddd,  $J_{9,10}$  5.3,  $J_{9,8}$  2.0,  $J_{9,7}$  2.6, 9-H), 5.34 (1 H, d,  $J_{10,9}$  5.4, 10-H), 6.13 (1 H, dd,  $J_{8,7}$  9.8,  $J_{8,9}$  2.0, 8-H), 6.60 (1 H, dd,  $J_{7,8}$  9.8,  $J_{7,9}$  2.6, 7-H), 7.57–7.70 (2 H, m, 2-H, 3-H), 8.05 (1 H, d,  $J_{4,3}$  7.5, 4-H), 8.18 (1H, d,  $J_{1,2}$  8.3, 1-H), 8.67 (1 H, s, 6-H);  $\delta_{\rm C}$  (125 MHz, CDCl<sub>3</sub>) 65.30, 69.73, 120.51, 125.12, 127.78, 127.95, 128.96, 129.40, 129.81, 133.10, 139.07, 146.93, 152.96; m/z (EI) 213 (M<sup>+</sup>, 55%), 194 (34), 141 (100); CD:  $\Delta\varepsilon$  –0.48 (305 nm),  $\Delta\varepsilon$  +1.99 (255 nm),  $\Delta\varepsilon$  –0.79 (227 nm); ee >98%. (MEBBA).

Substrate **4d**, *P. putida* 9816/11: (0.004 g, 7%);  $[\alpha]_D$  +83 (*c* 0.2, MeOH); ee >98% (MEBBA).

Substrate **4d**, *E. coli*<sub>F352V</sub>: (2.98 g, 10%);  $[\alpha]_D$  +42 (*c* 0.4, MeOH); ee 51% (MEBBA).

# (-)-(3R,4S)-3,4-Dihydrophenanthridine-3,4-diol 6d

Substrate **4d**, *E.*  $coli_{F352V}$ : (3.6 g, 12%); mp 168–170 °C (from EtOAc);  $R_{\rm f}$  0.20 (5% MeOH–CHCl<sub>3</sub>);  $[\alpha]_{\rm D}$  –26 (c 0.49, MeOH) (Found: M+, 213.0799.  $C_{13}H_{11}NO_2$  requires 213.0790);  $\delta_{\rm H}$  (500 MHz, CD<sub>3</sub>OD) 4.62 (1 H, ddd,  $J_{3,4}$  5.2,  $J_{3,2}$  3.2,  $J_{3,1}$  2.0, 3-H), 4.76 (1 H, dd,  $J_{4,3}$  5.2,  $J_{4,2}$  0.7, 4-H), 6.28 (1 H, ddd,  $J_{2,1}$  10.0,  $J_{2,3}$  3.2,  $J_{2,4}$  0.7, 2-H), 7.29 (1 H, dd,  $J_{1,2}$  10.0,  $J_{1,3}$  2.0, 1-H), 7.67 (1 H, ddd,  $J_{8,7}$  8.0,  $J_{8,9}$  7.0,  $J_{8,10}$  1.0, 8-H), 7.82 (1 H, ddd,  $J_{9,10}$  8.4,  $J_{9,8}$  7.0,  $J_{9,7}$  1.4, 9-H), 8.07 (1 H, d,  $J_{7,8}$  8.3, 7-H), 8.23 (1H, d,  $J_{10,9}$  8.6, 10-H), 9.10 (1 H, s, 6-H);  $\delta_{\rm C}$  (125 MHz, CD<sub>3</sub>OD) 68.69, 71.37, 120.53, 121.77, 121.82, 127.10, 127.93, 128.45, 130.88, 132.09, 132.54, 147.66, 150.21; m/z (EI) 213 (M+, 27%), 196 (9), 195 (29), 184 (48), 166 (29), 156 (15), 149 (22), 112 (38), 105 (64), 97 (45), 83 (50), 77 (33), 71 (55), 57 (100); CD:  $\Delta\varepsilon$  –0.29 (269 nm),  $\Delta\varepsilon$  –2.14 (236 nm),  $\Delta\varepsilon$  –0.24 (220 nm),  $\Delta\varepsilon$  –0.48 (212 nm); ee 84% (MEBBA).

## (-)-(9S,10R)-9,10-Dihydrobenzo[c]cinnoline-9,10-diol 5e

Substrate **4e**, *S. yanoikuyae* B8/36: (0.08 g, 62%);  $R_f$  0.35 (7% MeOH–CHCl<sub>3</sub>); mp 132–133 °C (from MeOH);  $[\alpha]_D$  –280 (*c* 1.3, pyridine) (Found: M<sup>+</sup>, 214.0760.  $C_{12}H_{10}N_2O_2$  requires 214.0784);  $V_{\text{max}}$  (KBr) 3408 cm<sup>-1</sup> (O–H);  $\delta_H$  (500 MHz, CDCl<sub>3</sub>) 4.73 (1 H, ddd,  $J_{9,10}$  5.3,  $J_{9,8}$  2.4,  $J_{9,7}$  2.0, 9-H), 5.38 (1 H, d,  $J_{10,9}$  5.3, 10-H),

6.40 (1 H, dd,  $J_{87}$  9.9,  $J_{8.9}$  2.4, 8-H), 7.14 (1 H, dd,  $J_{7.8}$  9.9,  $J_{7.9}$  2.0, 7-H), 7.74 (2 H, m, 3-H, 2-H), 8.34 (2 H, m, 1-H, 4-H); m/z: 214 (M<sup>+</sup>, 46%), 195 (29), 87 (100); CD:  $\Delta\varepsilon$  –0.26 (287 nm),  $\Delta\varepsilon$  –0.75 (263 nm);  $\Delta\varepsilon$  +1.26 (257 nm); ee >98% (MEBBA).

Substrate **4e**, *P. putida* 9816/11: (0.02 g, 16%);  $[\alpha]_D$  –280 (*c* 0.3, MeOH); ee >98% (MEBBA).

Stereochemical correlation sequence of (+)-(9S,10R)-9,10-dihydrobenzo[h]quinoline-9,10-diol 5b and (+)-(9S,10R)-9,10-dihydrophenanthridine-9,10-diol 5d with (-)-(2S,3S)-dimethyl (2,3-diacetoxy)adipate 10

(-)-(9*S*,10*R*)-7,8,9,10-Tetrahydrobenzo[*h*]quinoline-9,10-diol 7. To a solution of enantiopure *cis*-dihydrodiol metabolite 5b or 5d (0.2 g, 1.12 mmol) in MeOH (15 cm³) was added 10% Pd/C (0.010 g), and the mixture stirred (4 h) under an atmosphere of hydrogen at 1 atm pressure. The catalyst was removed by filtration and the filtrate concentrated under reduced pressure to give *cis*-tetrahydrodiol 7 or 11.

Colourless oil (0.192 g, 80%);  $[\alpha]_D$  –43 (c 0.5, MeOH) (Found: C, 72.3; H, 6.0; N, 6.3.  $C_{13}H_{13}NO_2$  requires C, 72.5; H, 6.1; N, 6.5%);  $v_{max}$  (neat) 3340 cm<sup>-1</sup> (O–H);  $\delta_H$  (500 MHz, CDCl<sub>3</sub>) 1.93–2.01 (1 H, m, 8-H), 2.25–2.35 (1 H, m, 8'-H), 2.77–2.86 (1 H, m, 7-H), 2.26–2.38 (1 H, m, 7'-H), 4.39 (1 H, m, 9-H), 5.48 (1 H, d,  $J_{10.9}$  3.5, 10-H), 7.34 (1 H, d,  $J_{6.5}$  8.4, 6-H), 7.40 (1 H, dd,  $J_{3.4}$  8.2,  $J_{3.2}$  6.1, 3-H), 7.67 (1 H, d,  $J_{5.6}$  8.4, 5-H), 8.16 (1 H, dd,  $J_{4.3}$  8.3,  $J_{4.2}$  1.8, 4-H), 8.83 (1 H, dd,  $J_{2.3}$  6.1,  $J_{2.4}$  1.8, 2-H);  $\delta_C$  (125 MHz, CDCl<sub>3</sub>) 25.40, 27.04, 65.18, 70.21, 123.67, 126.49, 127.77, 130.18, 131.66, 133.53, 138.35, 147.37, 148.29; m/z (EI) 215 (M<sup>+</sup>, 21%), 196 (14), 186 (69), 171 (43), 143 (100); CD:  $\Delta\varepsilon$  +4.84 (235 nm),  $\Delta\varepsilon$  –1.58 (218 nm),  $\Delta\varepsilon$  –2.22 (201 nm).

(-)-(9S,10R)-7,8,9,10-Tetrahydrophenanthridine-9,10-diol 11. Colourless oil (0.180 g, 75%);  $[\alpha]_D$  –72 (c 0.5, MeOH) (Found: C, 72.3; H, 5.9; N, 6.4  $C_{13}H_{13}NO_2$  requires C, 72.5; H, 6.1; N 6.5%);  $v_{max}$  (KBr) 3325 cm<sup>-1</sup> (O–H);  $\delta_H$  (500 MHz, CDCl<sub>3</sub>) 2.03–2.07 (1 H, m, 8-H), 2.09–2.15 (1 H, m, 8'-H), 2.95–2.97 (1 H, m, 7-H), 3.07–3.11 (1 H, m, 7'-H), 4.07 (1 H, m, 9-H), 5.36 (1 H, d,  $J_{10.9}$  4.0, 10-H), 7.51–7.68 (2 H, m, 2-H,3-H), 8.08 (1 H, d,  $J_{4.3}$  8.5, 4-H), 8.23 (1 H, d,  $J_{1.2}$  8.3, 1-H), 8.68 (1 H, s, 6-H);  $\delta_C$  (125 MHz, CDCl<sub>3</sub>) 25.42, 26.24, 65.13, 69.72, 123.36, 125.90, 127.33, 128.66, 129.81, 131.27, 139.17, 146.90, 151.74; m/z (EI) 215 (M<sup>+</sup>, 44%), 197 (29), 171 (74), 143 (100); CD:  $\Delta\varepsilon$  –0.63 (267 nm),  $\Delta\varepsilon$  –0.16 (241 nm),  $\Delta\varepsilon$  –5.60 (212 nm).

**Degradation of** *cis***-tetrahydrodiols 7 and 11.** *cis*-Tetrahydrodiol 7 (or 11, 0.1 g, 0.47 mmol) was converted into diacetate **8** (or 11) by treatment with  $Ac_2O$ -pyridine. After identification by infrared and  ${}^1H$  NMR spectroscopy, the crude diacetate **8** (or 11) (0.12 g) was dissolved in a mixture of  $CCl_4$  (2 cm³), MeCN (2 cm³) and water (3 cm³). Sodium periodate (3.26 g, 15 mmol) and ruthenium(II) oxide hydrate (0.005 g) were then added to the solution. The reaction mixture was stirred at room temperature for 4 days, a solution of HCl (20 cm³, 1.5 M) added, and the mixture saturated with NaCl. From the mixture, the product was extracted with EtOAc (3 × 20 cm³), dried (Na<sub>2</sub>SO<sub>4</sub>) and the solvent evaporated. The residue was dissolved in MeOH (1.5 cm³) and treated with an excess of diazomethane solution in Et<sub>2</sub>O (4 h, 0 °C). The solvents and excess diazomethane were removed under a stream of nitrogen. Purification of the residue by flash

chromatography on silica gel (hexane : Et<sub>2</sub>O, 90 :  $10 \rightarrow 50$  : 50) gave (-)-(2S,3S)-dimethyl (2,3-diacetoxy)adipate 10.

(-)-(9S,10R)-9,10-Diacetoxy-7,8,9,10-tetrahydrobenzo[h]quinoline 8. Colourless oil;  $v_{\text{max}}$  (neat) 1744 cm<sup>-1</sup> (C=O);  $\delta_{\text{H}}$  (500 MHz, CDCl<sub>3</sub>) 2.04 (3 H, s, Ac), 2.11 (3 H, s, Ac), 2.23–2.46 (2 H, m, 8-H, 8'-H), 3.08–3.19 (2 H, m, 7-H, 7'-H), 5.23 (1 H, m, 9-H), 7.23–7.39 (3 H, m, 3-H, 5-H, 10-H), 7.75 (1 H, d, J<sub>6.5</sub> 8.2, 6-H), 8.08 (1 H, d,  $J_{4,3}$  8.3, 4-H), 8.89 (1 H, d,  $J_{2,3}$  5.9, 2-H).

(-) - (9S,10R) - 9,10 - Diacetoxy - 7,8,9,10 - tetrahydrophenanthri **dine-9,10-diol 12.** Colourless oil;  $v_{\text{max}}$  (neat) 1740 cm<sup>-1</sup> (C=O);  $\delta_{\text{H}}$ (500 MHz, CDCl<sub>3</sub>) 2.10 (3 H, s, Ac), 2.12 (3 H, s, Ac), 2.25–2.38 (2 H, m, 8-H, 8'-H), 3.08-3.29 (2 H, m, 7-H, 7'-H), 5.25 (1 H, m, 9-H), 6.88 (1 H, d,  $J_{10.9}$  2.9, 10-H), 7.58–7.69 (2 H, m, 2-H, 3-H), 7.84 (1 H, d,  $J_{4,3}$  8.0, 4-H), 8.15 (1 H, d,  $J_{1,2}$  8.3, 1-H), 8.79 (1 H, s, 6-H).

(-)-(2S,3S)-Dimethyl (2,3-diacetoxy)adipate 10. Colourless oil (0.015 g, 13%),  $[\alpha]_D$  -14.0 (c 1.0, CHCl<sub>3</sub>) (lit.<sup>35</sup>  $[\alpha]_D$  -14.1, CHCl<sub>3</sub>);  $v_{\text{max}}$  (neat) 1736 cm<sup>-1</sup> (C=O);  $\delta_{\text{H}}$  (500 MHz, CDCl<sub>3</sub>) 1.97 (1 H, m, CHH), 2.07 (3 H, s, OAc), 2.06–2.15 (1 H, m, CHH), 2.18 (3 H, s, OAc), 2.37 (2 H, m, CH<sub>2</sub>), 3.68 (3 H, s, CO<sub>2</sub>Me), 3.79 (3 H, s, CO<sub>2</sub>Me), 5.30 (2 H, m, 2-H, 3-H).

# Synthesis of trans-(1S,2S)-1,2-Dihydroxy-3-(3'-pyridyl)cyclohexa-3,5-diene 17

(i) (1S,2R)-1,2-Dihydroxy-3-(3'-pyridyl)cyclohex-3-ene *cis*-Dihydrodiol **2c** (0.1 g, 0.53 mmol,  $[\alpha]_D$  +249, THF, ee >98%) in methanol solution (20 cm<sup>3</sup>) containing quinoline (50 µl) was catalytically hydrogenated (3% Pd/C) at room temperature and 1 atm pressure. Hydrogen absorption was complete after 4.5 h. The catalyst was removed by filtration and the filtrate evaporated. The hydrogenated product was purified by PLC to give yellow coloured crystalline solid (0.089 g, 88%); mp 86–88 °C (CHCl<sub>3</sub>-hexane);  $R_f$  0.16 (50% EtOAc-hexane);  $[\alpha]_D$  -50 (c 0.56, THF) (Found: C, 68.9; H, 7.0; N, 7.1. C<sub>11</sub>H<sub>13</sub>NO<sub>2</sub> requires C, 69.1; H, 6.9; N, 7.3%);  $v_{\text{max}}$  (KBr) 3344 cm<sup>-1</sup> (O–H);  $\delta_{\text{H}}$  (500 MHz, CDCl<sub>3</sub>) 1.77 (1 H, m, 6-H), 1.86 (1 H, m, 6'-H), 2.24 (1 H, m, 5-H), 2.35 (1 H, m, 5-H'), 3.82 (1 H, m,  $J_{1,2}$  3.7, 1-H), 4.48 (1 H, d,  $J_{2,1}$  3.7, 2-H), 6.18 (1 H, m, 4-H), 7.18 (1 H, dd,  $J_{5',4'}$  3.8,  $J_{5',6'}$ 4.9, 5'-H), 7.78 (1 H, dd,  $J_{4',2'}$  2.0,  $J_{4',5'}$  3.8, 4'-H), 8.33 (1 H, d,  $J_{6',5'}$  4.9, 6'-H), 8.61 (1 H, d,  $J_{2',4'}$  2.0, 2'-H);  $\delta_{\rm C}$  (125 MHz, CDCl<sub>3</sub>) 24.96, 25.50, 67.64, 69.94, 123.74, 130.60, 134.10, 135.06, 136.49, 147.28, 147.77; *m/z* (EI) 191 (M<sup>+</sup>, 13%), 173 (56), 147 (100).

Crystal data for 2g.  $C_{11}H_{13}NO_2$ , M = 191.2, monoclinic, a =15.561(6), b = 6.152(2), c = 11.984(6) Å,  $\beta = 122.08(3)$ , U =972.0(7) Å<sup>3</sup>, T = 293(2) K, Cu-K $\alpha$  radiation,  $\lambda = 1.5418$  Å, space group C2 (no. 5), Z = 4, F(000) = 408,  $D_x = 1.31$  g cm<sup>-3</sup>,  $\mu =$ 0.73 mm<sup>-1</sup>, Siemens P3 diffractometer,  $\omega$  scans, scan range 2°,  $4.0^{\circ} < 2\theta < 110.1^{\circ}$ , measured/independent reflections: 2214/997,  $R_{\rm int} = 0.037$ , direct methods solution, full-matrix least squares refinement on  $F_{o}^{2}$ , anisotropic displacement parameters for nonhydrogen atoms; all hydrogen atoms located in a difference Fourier synthesis but included at positions calculated from the geometry of the molecules using the riding model, with isotropic vibration parameters.  $R_1 = 0.032$  for 987 data with  $F_0 > 4\sigma(F_0)$ , 130 parameters,  $\omega R_2 = 0.085$  (all data), GoF = 1.14, Flack × parameter = -0.07(17),  $\Delta \rho_{\text{min,max}} = -0.12/0.11$  e Å<sup>-3</sup>. CCDC reference number 691142.

(ii) (1S,2S)-1,2-Dihydroxy-3-(3'-pyridyl)cyclohex-3-ene 13. To a stirring solution of (1S,2R)-1,2-dihydroxy-3-(3'-pyridyl)cyclohex-3-ene 7 (1.0 g, 5.24 mmol,  $[\alpha]_D$  –50, THF) in anhydrous benzene (20 cm<sup>3</sup>) were added dry 3 Å molecular sieves (1 g), triphenyl phosphine (1.51 g, 5.76 mmol) and diethyl azodicarboxylate (1.0 g, 5.76 mmol). The mixture was stirred for 30 min, pnitrobenzoic acid (0.875 g, 5.24 mmol) was then added and the stirring continued for another 30 min at room temperature. The mixture was refluxed at 90 °C until diol 7 had reacted completely (monitored by TLC). The reaction mixture was filtered and the filtrate evaporated under reduced pressure. The residue was dissolved in MeOH (15 cm<sup>3</sup>), a 10% aq solution of potassium carbonate (10 cm<sup>3</sup>) added to it and the mixture stirred (4 h) at room temperature. On completion of hydrolysis (3 h, monitored by TLC), the solvents were removed in vacuo and the crude product taken up in ethyl acetate (40 cm<sup>3</sup>). The extract was washed with saturated brine solution (10 cm<sup>3</sup>), dried (Na<sub>2</sub>SO<sub>4</sub>), and the solvent removed under reduced pressure. Purification of the residue by PLC (R<sub>f</sub> 0.19, 50% EtOAc-hexane) yielded trans-diol 13 as a yellow crystalline solid (0.62 g, 62%), mp 183 °C (CHCl<sub>3</sub>-hexane);  $[\alpha]_D$  +26 (c 1.25, D<sub>2</sub>O) (Found: M<sup>+</sup>, 191.0942. C<sub>11</sub>H<sub>13</sub>NO<sub>2</sub> requires 191.0946);  $v_{\text{max}}$  (KBr) 3355 cm<sup>-1</sup> (O–H);  $\delta_{\text{H}}$  (500 MHz, CD<sub>3</sub>OD):  $1.68(1 \text{ H}, \text{m}, 6\text{-H}), 1.88(1 \text{ H}, \text{m}, 6'\text{-H}), 2.18(1 \text{ H}, \text{m}, J_{5A,4} 4.0, 5\text{-H}),$ 2.29 (1 H, m, 5'-H), 3.83 (1 H, m,  $J_{1,2}$  4.7, 1-H), 4.28 (1 H, d,  $J_{2,1}$ 4.7, 2-H), 6.14 (1 H, t,  $J_{4,5A}$  4.0, 4-H), 7.28 (1 H, dd,  $J_{5',4'}$  4.1,  $J_{5',6'}$ 4.9, 5'-H), 7.83 (1 H, dd,  $J_{4',2'}$  2.1,  $J_{4',5'}$  4.1, 4'-H), 8.28 (1 H, d,  $J_{6',5'}$ 4.9, 6'-H), 8.53 (1 H, d,  $J_{2,4'}$  2.1, 2'-H);  $\delta_{\rm C}$  (125 MHz, CD<sub>3</sub>OD): 21.49, 24.33, 69.20, 70.99, 122.77, 129.27, 133.59, 133.90, 136.44, 145.99, 146.41; *m/z* (EI) 191 (M<sup>+</sup>, 19%), 173 (25), 147 (100).

(iii) trans-(1S,2S)-1,2-Diacetyloxy-3-(3'-pyridyl)cyclohex-3-ene 14. trans-(1S,2S)-1,2-Dihydroxy-3-(3-pyridyl)cyclohex-3-ene (13) (0.62 g, 3.25 mmol,  $[\alpha]_D$  +26) was acetylated using Ac<sub>2</sub>Opyridine. PLC yielded diacetate 14 as a light yellow oil (0.867 g, 97%);  $R_f$  0.01 (30% Et<sub>2</sub>O-hexane);  $[\alpha]_D$  +83 (c 1.32, CH<sub>3</sub>OH) (Found:  $M^+$ , 275.3011.  $C_{15}H_{17}NO_4$  requires 275.3020);  $v_{max}$  (neat) 1759 cm<sup>-1</sup> (C=O);  $\delta_{\rm H}$  (500 MHz, CDCl<sub>3</sub>) 1.91 (3 H, s, Ac), 1.99 (2 H, m, 6-H, H-6'-H), 2.01 (3 H, s, Ac), 2.39 (2 H, m, 5-H, 5-H'), 5.15 (1 H, m,  $J_{1,2}$  4.8,  $J_{1,6}$  6.5, 1-H), 5.91 (1 H, dd,  $J_{2,1}$  4.8,  $J_{2,4}$  0.5, 2-H), 6.31 (1 H, dd, J<sub>4.2</sub> 0.5, J<sub>4.5</sub> 2.4, 4-H), 7.24 (1 H, dd, J<sub>5',4'</sub> 7.9,  $J_{5',6'}$  5.1, 5'-H), 7.59 (1 H, dd,  $J_{4',2'}$  1.6,  $J_{4',5'}$  4.8, 4'-H), 8.51 (1 H, d,  $J_{6,5'}$  5.1, 6'-H), 8.59 (1 H, d,  $J_{2,4'}$  1.6, 2'-H);  $\delta_{\rm C}$  (125 MHz, CDCl<sub>3</sub>) 20.74, 21.04, 22.55, 23.53, 68.67, 70.92, 123.02, 131.86, 133.12, 134.47, 147.57, 148.56, 170.13; m/z (EI) 276 (M + 1, 2%), 275 (6), 215 (25), 155 (100).

(iv) trans-(1S,2S)-1,2-Diacetyloxy-3-(3'-pyridyl)-5-bromocyclohex-3-ene 15. Freshly crystallised N-bromosuccinimide (0.591 g, 3.32 mmol) and  $\alpha$ ,  $\alpha$ -azoisobisbutyronitrile (0.01 g) were added to a solution of trans-(1S,2S)-1,2-diacetyloxy-3-(3'-pyridyl)cyclohex-3-ene **14** (0.83 g, 3.02 mmol,  $[\alpha]_D$  +83, MeOH) in CCl<sub>4</sub> (10 cm<sup>3</sup>). The mixture was gently refluxed (~90 °C) using a heat lamp. When the reaction had gone to completion (1 h, <sup>1</sup>H-NMR analysis), the mixture was cooled to room temperature, succinimide filtered off, and the solvent removed in vacuo. The crude product, obtained as a yellow oil (0.997 g, 93%), was identified as the title compound by <sup>1</sup>H-NMR spectroscopy.  $\delta_{\rm H}$  (500 MHz, CDCl<sub>3</sub>) 1.90 (3 H, s, Ac), 2.02 (3 H, s, Ac), 2.50 (1 H, m, 6-H), 2.62 (1 H, m,  $J_{6B,1}$  4.9, 6′-H), 4.93 (1 H, m, 5-H), 5.45 (1 H, m,  $J_{1,6B}$  4.9,  $J_{1,2}$  3.6, 1-H), 6.06 (1 H, d,  $J_{2,1}$  3.6, 2-H), 6.37 (1 H, d, 4-H), 7.27 (1 H, dd,  $J_{5,4'}$  4.9,  $J_{5',6'}$  5.2, 5′-H), 7.61 (1 H, dd,  $J_{4',2'}$  1.6,  $J_{4',5'}$  4.9, 4′-H), 8.55 (1 H, d,  $J_{6',5'}$  5.2, H-6′), 8.60 (1H, s, 2′-H). Due to its unstable nature, it was used without further purification for the next step of the synthesis.

- (v) trans-(1S,2S)-1,2-Diacetyloxy-3-(3'-pyridyl)cyclohexa-3,5**diene 16.** A mixture of *trans-*(1*S*,2*S*)-1,2-diacetyloxy-3-(3'pyridyl)-5-bromocyclohex-3-ene 15 (0.97 g, 2.73 mmol), anhydrous lithium chloride (0.325 g, 7.64 mmol) and anhydrous lithium carbonate (0.505 g, 6.83 mmol) in freshly distilled hexamethylphosphoramide (3 cm<sup>3</sup>) was heated, with stirring at 95 °C, under nitrogen for 2 h. The ice cooled reaction mixture was diluted with diethyl ether (15 cm<sup>3</sup>) and then treated dropwise with stirring with HCl (13.7 cm<sup>3</sup>, 1 M solution). The ether layer was separated and the aqueous layer extracted with diethyl ether  $(2 \times 15 \text{ cm}^3)$ . The combined ether extract was washed with 2.5% NaHCO<sub>3</sub> solution (15 cm<sup>3</sup>), dried (Na<sub>2</sub>SO<sub>4</sub>) and the solvent evaporated. Purification of the residue by PLC ( $R_f$  0.27, Et<sub>2</sub>O) yielded trans-diacetate **16** as a white crystalline solid (0.708 g, 95%); mp 84 °C (Et<sub>2</sub>O–hexane);  $[\alpha]_D$  +570 (c 1.03, CHCl<sub>3</sub>) (Found: M<sup>+</sup>, 273.2867. C<sub>15</sub>H<sub>15</sub>NO<sub>4</sub> requires 273.2862);  $v_{\text{max}}$  (KBr) 1727 cm<sup>-1</sup> (C=O);  $\delta_{\text{H}}$  (500 MHz, CDCl<sub>3</sub>) 2.01 (3 H, s, Ac), 2.07 (3 H, s, Ac), 5.43 (1 H, dd, 1-H), 6.06–6.10 (2 H, m, 2-H, 6-H), 6.36 (1 H, dd,  $J_{54}$  5.9, 5-H), 6.60 (1 H, d,  $J_{4.5}$  5.9, 4-H), 7.28 (1 H, dd,  $J_{5'.4'}$  4.2,  $J_{5'.6'}$  4.8, 5'-H), 7.68 (1 H, dd,  $J_{4',5'}$  4.2,  $J_{4',2'}$  2.3, 4'-H), 8.53 (1 H, d,  $J_{6',5'}$  4.8, 6'-H), 8.70 (1 H, d,  $J_{2,4'}$  2.3, 2'-H);  $\delta_{\rm C}$  (125 MHz, CDCl<sub>3</sub>) 21.37, 21.46, 68.99, 69.56, 123.76, 124.25, 124.95, 127.45, 131.87, 133.13, 147.60, 149.49, 170.5; *m/z* (EI) 273 (10%), 213 (18%), 171 (100).
- (vi) trans-(1S,2S)-1,2-Dihydroxy-3-(3'-pyridyl)cyclohexa-3,5diene 17. To a solution of trans-(1S,2S)-1,2-diacetyloxy-3-(3'pyridyl)cyclohexa-3,5-diene **16** (0.75 g, 3.97 mmol,  $[\alpha]_D$  +570) in MeOH (10 cm<sup>3</sup>) were added water and K<sub>2</sub>CO<sub>3</sub> (1.24 g, 9 mmol). The mixture was stirred at room temperature. When the deacetylation was complete (3 h, by TLC), the inorganic salts were filtered off and the filtrate concentrated in vacuo. The crude product was extracted into EtOAc (25 cm<sup>3</sup>) and subsequently purified by PLC to yield the trans-dihydrodiol 17 as a light yellow crystalline solid (0.720 g, 96%); mp 157 °C (decomp.) (CHCl<sub>3</sub>-hexane);  $R_f$  0.2 (50% EtOAc-hexane);  $[\alpha]_D$  +189 (c 0.51, CHCl<sub>3</sub>) (Found: M<sup>+</sup>, 189.0791. C<sub>11</sub>H<sub>11</sub>NO<sub>2</sub> requires 189.0790);  $v_{\text{max}}$  (KBr) 3816 cm<sup>-1</sup> (O–H);  $\delta_{\text{H}}$  (500 MHz, CDCl<sub>3</sub>) 4.46 (1 H, dd,  $J_{1,2}$  6.2,  $J_{1,6}$  5.3, 1-H), 4.73 (1 H, d,  $J_{2,1}$  6.2, 2-H), 6.12 (1 H, dd,  $J_{6,1}$  5.3,  $J_{6,5}$  4.4, 6-H), 6.22 (1 H, dd,  $J_{5,4}$  5.4,  $J_{5,6}$  4.4, 5-H), 6.36 (1 H, d,  $J_{4,5}$  5.4, 4-H), 7.29 (1 H, dd,  $J_{5',4'}$  4.3,  $J_{5',6'}$  5.5, 5'-H), 7.85 (1 H, d,  $J_{4',5'}$  4.3, 4'-H), 8.50 (1 H, d,  $J_{6',5'}$  5.5, 6'-H), 8.75 (1 H, s, 2'-H);  $\delta_{\rm C}$  (125 MHz, CDCl<sub>3</sub>) 71.08, 71.77, 122.04, 123.62, 124.73, 128.02, 129.05, 133.07, 133.91, 146.98, 148.25; *m/z* (EI) 189 (M<sup>+</sup>, 11%), 171 (36), 43 (100); CD:  $\Delta \varepsilon$  4.45 (300 nm),  $\Delta \varepsilon$  3.49 (247 nm),  $\Delta \varepsilon 3.66 (227 \text{ nm}), \Delta \varepsilon -1.641(203 \text{ nm}).$

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