

Antimicrobial Activity of 3-O-Acyl-(–)-epicatechin and 3-O-Acyl-(+)-catechin derivatives

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Abstract

As an exploratory investigation of antimicrobial promoting compounds, 3-O-acyl-(–)-epicatechins and 3-O-acyl-(+)-catechins possessing various aromatic groups and aliphatic chains of varying length from C4 to C16 for increasing lipophilicity were synthesized and tested for antimicrobial activities against Gram-positive, Gram-negative bacteria and fungi. The (–)-epicatechin and (+)-catechin derivatives comprised of aromatic groups increased activity and derivatives with acyl chain groups of carbon atoms in the close vicinity of C8 to C10 showed strong antimicrobial activity (MIC = 2–8 µg/ml) against Gram-positive bacteria and weak activity against fungi. However, the activity decreased when the carbon chain length of the substituents was too short (C4 to C6) or too long (C16). These results suggest that the presence of lipophilic substituents with moderate sizes might be crucial for the optimal antimicrobial activity.

Tea, *Camellia sinensis*, has recently attracted much attention with respect to the beneficial biological activities of its compounds catechins, including antimutagenic, antibacterial, hypocholesterolemic, antioxidant, antitumor and cancer preventive properties [1], [2], [3], [4], [5], [6], [7], [8], [9]. Green tea contains many polyphenols such as the catechins, which include (–)-epigallocatechin 3-gallate [(–)-EGCG], (–)-epigallocatechin [(–)-EGC], (–)-epicatechin 3-gallate [(–)-ECG] (**1**), (–)-epicatechin [(–)-EC] (**2**) and (+)-catechin [(+)-C] (**3**) [10] (Fig. 1).

Using an antimicrobial assay, (–)-ECG and (–)-EGCG exhibited better activity than (–)-EC and (–)-EGC [11]. Since (–)-ECG and (–)-EGCG only differ structurally from (–)-EC and (–)-EGC by the presence of a gallic acid ester on the 3-hydroxy, the gallate group is perceived to be important for the enhanced ability of (–)-ECG and (–)-EGCG to have antimicrobial activity.

To determine whether structural changes to the lipophilic substituents in the gallate group could enhance activity, a series of (–)-EC derivatives and their epimer, (+)-C derivatives, were synthesized as presented in Fig. 2.

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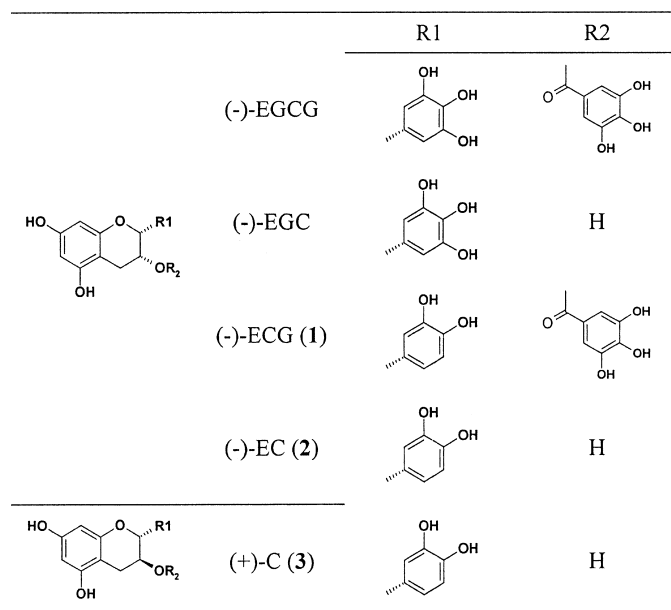


Fig. 1 Chemical structures of major catechins of tea.

The structures of new acyl derivatives (**4** – **29**) were determined by NMR and MS experiments. Full signal assignment of ^1H - and ^{13}C -NMR was carried out with various NMR techniques including DEPT, COSY, H,C-COSY, and long-range H,C-COSY. The complete assignment of ^1H -NMR chemical shifts and mass spectra for epicatechin derivatives with strong antimicrobial activity and catechin derivatives with various aromatic groups are described in Table 1.

The biological activities of the compounds against a panel of microorganisms are summarized in Table 2a, 2b and 3. The antibac-

terial activities of synthesized compounds **4** – **29** were compared with those of (-)-ECG (**1**), (-)-EC (**2**), (+)-C (**3**) and the positive control, kanamycin sulfate. Modification of the hydroxy groups of the gallate ester by replacement of gallic acid with various aromatic groups without phenolic groups improved the antibacterial activity against Gram-positive bacteria. The most significant structural change leading to enhanced activity was the introduction of an aliphatic acid ester in place of the gallic acid ester of (-)-ECG. Among the acylepicatechin derivatives, nonanoyl (**8**), decanoyl (**9**) and lauroyl (**10**) derivatives showed strong activity against Gram-positive bacteria. For Gram-negative bacteria, the synthesized compounds had little antibacterial activity. Additionally, the antifungal activity of derivatives was compared with ECG (**1**), EC (**2**), C (**3**) as well as the positive control, amphotericin B. Among the acylepicatechin derivatives, the octanoyl (**7**), nonanoyl (**8**) and decanoyl (**9**) derivatives displayed moderate activity against fungi. Acylcatechin derivatives showed similar results as acylepicatechin derivatives.

In conclusion, it had been observed that structural changes to the lipophilic substituents with moderate size on the 3-hydroxy substituent increased the antimicrobial activity, and 3-O-acyl(-)-epicatechin and 3-O-acyl(-)-catechin derivatives with C_8 – C_{12} acyl chains (**7** – **10**, **20** – **23**) exhibited potent antimicrobial activity and therefore could be considered as promising candidates for novel antimicrobial agents.

Material and Methods

(-)-Epicatechin gallate (**1**), (-)-epicatechin (**2**) and (+)-catechin (**3**) were purchased from Sigma-Aldrich Chemical Co (minimum 98%). ^1H - and ^{13}C -NMR spectra were recorded on a Varian Mercury (300 MHz) instrument using TMS as the internal standard

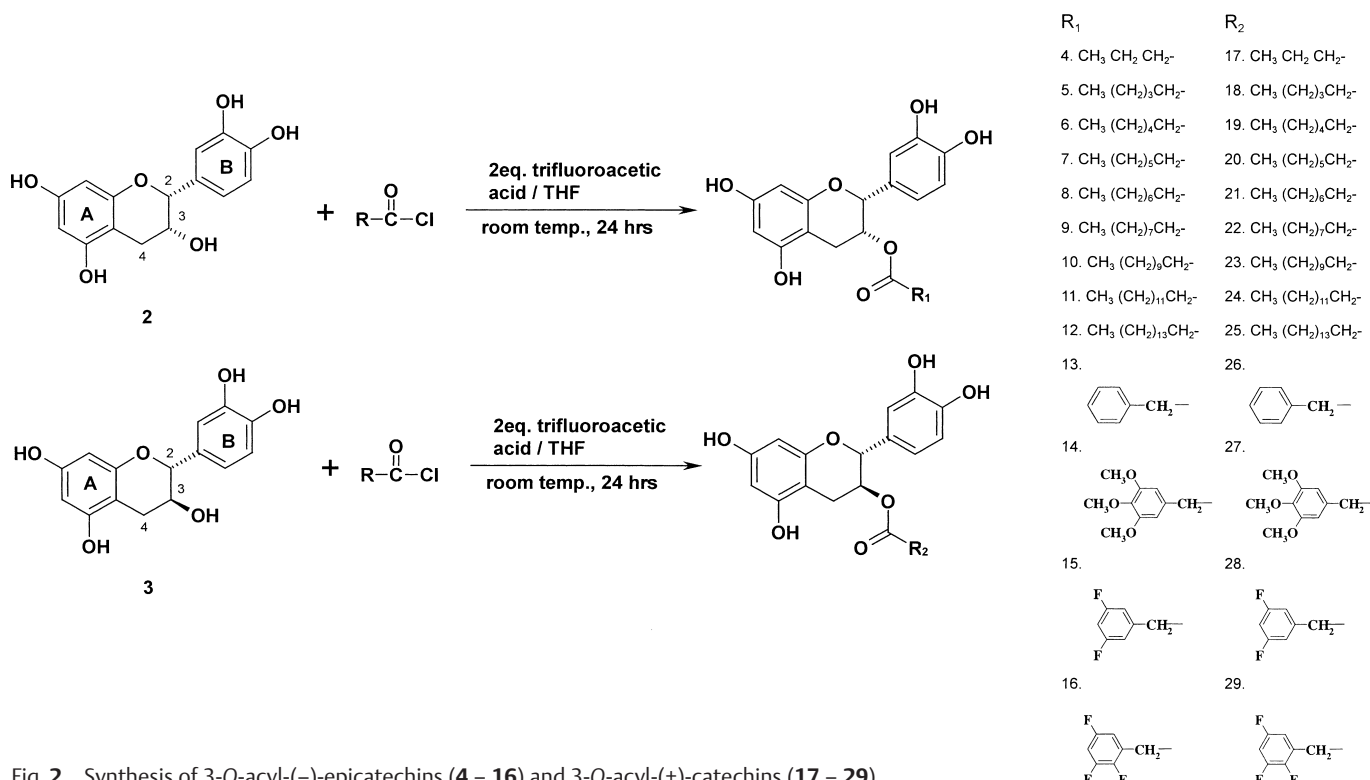


Fig. 2 Synthesis of 3-O-acyl(-)-epicatechins (**4** – **16**) and 3-O-acyl(+)-catechins (**17** – **29**).

Table 1 ¹H-NMR^a data and mass spectra of 3-O-acyl substituted derivatives of epicatechin (**8** – **10**) and derivatives of catechin (**26** – **28**)

| | $\text{CH}_3(\text{CH}_2)_n\text{CH}_2\text{-CO- or Ar-CO- at C}_3\text{-O}$ | | | | | | | | | | ESI MS ^b | |
|----|--|------------------------|---------------------------|--------------------------------------|---|-----------------------|-----------------------|------------------------|-----------------------|-----------------------|--|-----|
| | $\text{CH}_3\text{-}$ | $\text{-CH}_2\text{-}$ | $\text{-CH}_2\text{-CO-}$ | $\text{C}_4\text{-H}_{\text{axial}}$ | $\text{C}_4\text{-H}_{\text{equatorial}}$ | $\text{C}_2\text{-H}$ | $\text{C}_3\text{-H}$ | Ar-H (A-ring) | Ar-H (B-ring) | OH (A, B-ring) | formula | m/z |
| 7 | 0.83 (t,3H) | 1.15 ~1.37 (m,10H) | 2.12 (t,2H) | 2.58 (dd,1H) | 2.88 (dd,1H) | 4.95 (d,1H) | 5.21 (m,1H) | 5.75 – 5.94 (2d,2H) | 6.62 – 6.86 (m,3H) | 8.72 – 9.24 (m,4H) | $\text{C}_{23}\text{H}_{28}\text{O}_7$ | 416 |
| 8 | 0.83 (t,3H) | 1.15 ~1.38 (m,12H) | 2.13 (t,2H) | 2.59 (dd,1H) | 2.89 (dd,1H) | 4.94 (d,1H) | 5.21 (m,1H) | 5.75 – 5.95 (2d,2H) | 6.62 – 6.85 (m,3H) | 8.72 – 9.25 (m,4H) | $\text{C}_{24}\text{H}_{30}\text{O}_7$ | 430 |
| 9 | 0.84 (t,3H) | 1.17 ~1.39 (m,14H) | 2.15 (t,2H) | 2.61 (dd,1H) | 2.92 (dd,1H) | 4.93 (d,1H) | 5.22 (m,1H) | 5.70 – 5.92 (2d,2H) | 6.64 – 6.85 (m,3H) | 8.69 – 9.23 (m,4H) | $\text{C}_{25}\text{H}_{32}\text{O}_7$ | 444 |
| 10 | 0.84 (t,3H) | 1.15 ~1.40 (m,18H) | 2.13 (t,2H) | 2.61 (dd,1H) | 2.90 (dd,1H) | 4.94 (d,1H) | 5.18 (m,1H) | 5.74 – 5.95 (2d,2H) | 6.65 – 6.89 (m,3H) | 8.70 – 9.24 (m,4H) | $\text{C}_{27}\text{H}_{36}\text{O}_7$ | 472 |
| | Ar-H | | $\text{CH}_3\text{-O-Ar}$ | | | | | | | | | |
| 26 | 7.42 – 7.85 (m,5H) | | | 2.59 (dd,1H) | 2.89 (dd,1H) | 4.94 (d,1H) | 5.21 (m,1H) | 5.75 – 5.95 (2d,2H) | 6.62 – 6.85 (m,3H) | 8.72 – 9.25 (m,4H) | $\text{C}_{23}\text{H}_{18}\text{O}_7$ | 394 |
| 27 | 7.03 (s,2H) | | 3.77 (m, 9H) | 2.61 (dd,1H) | 2.92 (dd,1H) | 4.93 (d,1H) | 5.22 (m,1H) | 5.70 – 5.92 (2d,2H) | 6.64 – 6.85 (m,3H) | 8.69 – 9.23 (m,4H) | $\text{C}_{25}\text{H}_{24}\text{O}_{10}$ | 484 |
| 28 | 7.42 – 7.56 (m,3H) | | | 2.61 (dd,1H) | 2.90 (dd,1H) | 4.94 (d,1H) | 5.18 (m,1H) | 5.74 – 5.95 (2d,2H) | 6.65 – 6.89 (m,3H) | 8.70 – 9.24 (m,4H) | $\text{C}_{22}\text{H}_{16}\text{O}_7\text{F}_2$ | 430 |
| 29 | 7.43 – 7.52 (m,2H) | | | 2.60 (dd,1H) | 2.91 (dd,1H) | 4.94 (d,1H) | 5.18 (m,1H) | 5.74 – 5.95 (2d,2H) | 6.66 – 6.89 (m,3H) | 8.71 – 9.22 (m,4H) | $\text{C}_{22}\text{H}_{15}\text{O}_7\text{F}_3$ | 438 |

^a ¹H-NMR spectra were run in DMSO-*d*₆ on a Varian Mercury-300M Hz (δ from TMS).^b Mass spectra were determined on a Fisons-VG platform in the positive ESI (electron spray ionization) mode.Table 2a Antibacterial activities of 3-O-acylepicatechin derivatives (**5** – **16**), and 3-O-acylcatechin derivatives (**17** – **29**). Compounds **1** – **4** (MIC > 128) not shown.

| Test organisms | MIC (μg/mL) | | | | | | | | | | | | |
|--|-------------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 |
| <i>Enterococcus faecalis</i> ATCC 29212 | > 128 | 64 | 32 | 16 | 8 | 16 | 32 | 64 | 128 | > 128 | 32 | 32 | > 128 |
| <i>Staphylococcus aureus</i> ATCC 25923 | > 128 | 64 | 32 | 16 | 8 | 8 | 16 | 32 | 128 | > 128 | 32 | 32 | > 128 |
| <i>Micrococcus luteus</i> ATCC 10240 | 64 | 32 | 16 | 4 | 2 | 4 | 16 | 64 | 32 | 128 | 16 | 32 | 64 |
| <i>Staphylococcus epidermidis</i> ATCC 0155 | > 128 | 64 | 32 | 16 | 8 | 8 | 32 | 32 | 64 | 128 | 32 | 64 | > 128 |
| <i>Bacillus subtilis</i> ATCC 6633 | > 128 | 128 | 128 | 32 | 16 | 8 | 64 | 64 | 128 | 64 | 128 | 128 | > 128 |
| <i>Escherichia coli</i> ATCC 25922 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 |
| <i>Escherichia coli</i> ATCC 10536 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 |
| <i>Proteus mirabilis</i> ATCC 27853 | > 128 | 128 | 128 | 128 | > 128 | > 128 | > 128 | > 128 | 128 | > 128 | 32 | 32 | > 128 |
| <i>Klebsiella pneumoniae</i> ATCC 10031 | > 128 | > 128 | 128 | 64 | 64 | > 128 | > 128 | > 128 | 128 | 128 | 128 | 128 | > 128 |

Determined after 24 hours of incubation at 37. for the bacteria. All experiments were run in triplicate. Km = kanamycin sulfate.

and DMSO-*d*₆ as the solvent. The mass spectra were taken on a Fisons-VG platform in the positive ESI mode.

3-O-Acylepicatechins and 3-O-acylcatechins (4 – 29): (–)-Epicatechin and (+)-catechin were reacted with 1.1 equiv. of straight-chain acid chlorides of C₄ to C₁₆ carbon atoms, as well as benzoyl, 3,4,5-trimethoxybenzoyl, 3,5-difluorobenzoyl and 2,4,5-trifluorobenzoyl chlorides in the presence of 2 equivs. of trifluoroacetic

acid in tetrahydrofuran at room temperature for 24 h, respectively [2]. Evaporation and chromatography were performed with CHCl₃:MeOH (9:1) on a silica gel column and then with CHCl₃:MeOH (1:2) on Sephadex LH-20 to remove residual acid to give the final products **4** – **29**. The purities of compounds **4** – **29** were not less than 99% by ESI-MS.

Table 2b Antibacterial activities of 3-O-acylepicatechin derivatives (**5 – 16**), and 3-O-acylcatechin derivatives (**17 – 29**). Compounds **1 – 4** (MIC > 128) not shown.

| Test organisms | MIC (µg/mL) | | | | | | | | | | | | |
|--|-------------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|----|
| | 18 | 19 | 20 | 21 | 22 | 23 | 24 | 25 | 26 | 27 | 28 | 29 | km |
| <i>Enterococcus faecalis</i> ATCC 29212 | > 128 | 64 | 32 | 16 | 16 | 8 | 32 | 64 | 128 | > 128 | 64 | 32 | 32 |
| <i>Staphylococcus aureus</i> ATCC 25923 | 128 | 64 | 32 | 16 | 8 | 8 | 16 | 32 | 128 | > 128 | 64 | 32 | 16 |
| <i>Micrococcus luteus</i> ATCC 10240 | 32 | 32 | 16 | 4 | 2 | 2 | 16 | 16 | 64 | 128 | 32 | 32 | 8 |
| <i>Staphylococcus epidermidis</i> ATCC 0155 | 64 | 64 | 32 | 8 | 4 | 4 | 16 | 64 | 64 | > 128 | 64 | 64 | 4 |
| <i>Bacillus subtilis</i> ATCC 6633 | 128 | 128 | 64 | 32 | 32 | 32 | 32 | 32 | 128 | > 128 | 128 | 128 | 2 |
| <i>Escherichia coli</i> ATCC 25922 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 | 8 |
| <i>Escherichia coli</i> ATCC 10536 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 | > 128 | 8 |
| <i>Proteus mirabilis</i> ATCC 27853 | 128 | 128 | 64 | 32 | 32 | 64 | > 128 | > 128 | > 128 | > 128 | 64 | 64 | 32 |
| <i>Klebsiella pneumoniae</i> ATCC 10031 | 128 | 128 | 64 | 64 | 32 | 32 | > 64 | > 128 | > 128 | > 128 | 128 | 128 | 64 |

Determined after 24 hours of incubation at 37. for the bacteria. All experiments were run in triplicate. Km = kanamycin sulfate.

Table 3 Antifungal activities of 3-O-acylepicatechin derivatives (**5 – 9**), and 3-O-acylcatechin derivatives (**18 – 22**). Compounds **1 – 4**, **10 – 17**, and **23 – 29** (MIC > 128) not shown.

| Test organisms | MIC (µg/mL) | | | | | | | | | | |
|--|-------------|-----|-----|-------|-------|-------|-----|-----|-------|-------|-------|
| | 5 | 6 | 7 | 8 | 9 | 18 | 19 | 20 | 21 | 22 | AmpB |
| <i>Candida krusei</i> IFO 1664 | 128 | 128 | 128 | > 128 | > 128 | 128 | 128 | 128 | > 128 | > 128 | 0.5 |
| <i>Candida lusitanae</i> ATCC 42720 | > 128 | 128 | 64 | 16 | 64 | > 128 | 128 | 64 | 16 | 64 | 0.5 |
| <i>Candida albicans</i> ATCC 10231 | > 128 | 128 | 64 | 16 | 32 | > 128 | 128 | 64 | 8 | 32 | 0.125 |
| <i>Candida tropicalis</i> IFO 10241 | > 128 | 128 | 32 | 16 | 16 | > 128 | 128 | 32 | 32 | 16 | 0.25 |

Determined after 24 – 72 hours of incubation at 28 – 30. for the fungi. All experiments were run in triplicate. AmpB = Amphotericin B.

The test compounds were dissolved in H₂O containing 2.5% DMSO and their antibacterial activities were measured by the broth dilution method in 96-well titer plates. After incubation for 24 h, the microbial growth was examined by measuring the optical density at 650 nm with a Model Emax Microplate Reader (Molecular Devices) [12]. The concentrations of compound were examined in the range of 0.125 – 128 µg/mL. The MIC of the test compounds was defined as the lowest concentration at which there was no visible growth. Antifungal activities of the test compounds were examined by means of the broth dilution method in Sabouraud medium for fungi [13]. The concentrations of compound were examined in the range of 0.125 – 128 µg/mL.

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