

Published on Web 09/28/2009

## Organocatalytic Asymmetric Vinylogous $\alpha$ -Ketol Rearrangement: Enantioselective Construction of Chiral All-Carbon Quaternary Stereocenters in Spirocyclic Diketones via Semipinacol-Type 1,2-Carbon Migration

En Zhang, Chun-An Fan, Yong-Qiang Tu,\* Fu-Min Zhang, and Yan-Lin Song

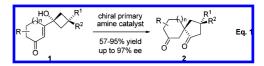
State Key Laboratory of Applied Organic Chemistry and Department of Chemistry, Lanzhou University, Lanzhou 730000, P. R. China

Received July 27, 2009; E-mail: tuyq@lzu.edu.cn

Asymmetric construction of chiral quaternary carbon stereocenters is one of the most challenging subjects in modern organic synthesis, and the development of synthetic methodologies in this area of research has always been in high demand. Over the past several decades, tremendous efforts have been made in the asymmetric formation of quaternary carbon centers;<sup>2</sup> however, catalytic enantioselective synthesis, especially of stereogenic allcarbon quaternary centers, still remains a major challenge for synthetic chemists. In the context of our interest in the stereoselective construction of 1,3-diheteroatom units with 2-quaternary carbon centers, a series of synthetic protocols based on the semipinacol rearrangement has been developed in our group to effectively achieve the quaternary carbon stereocenters.<sup>3</sup> However, the asymmetric versions of our previous protocols using the semipinacol rearrangement strategy were limited to utilizing kinetic resolution<sup>3b</sup> or stoichiometric chiral reagents,<sup>3c</sup> and the catalytic enantioselective semipinacol rearrangement still remains elusive. 4,5 Encompassing the development of catalytic asymmetric synthesis of all-carbon quaternary stereogenic centers, we envisioned that the 1,2-sigmatropic migration in the semipinacol rearrangement might be extended to vinylogous α-hydroxy ketones, which could analogously result in an electron-deficient electrophilic center next to the tertiary hydroxyl moiety (Scheme 1), leading to the generation

**Scheme 1.** Semipinacol and Related Rearrangements for the Synthesis of All-Carbon Quaternary Stereocenters

of an all-carbon quaternary stereogenic center. In light of the aforementioned semipinacol-type 1,2-carbon migration and inspired by the chiral iminium catalysis of enones,<sup>6</sup> we recently discovered the first organocatalytic asymmetric vinylogous  $\alpha$ -ketol rearrangement via semipinacol-type 1,2-carbon migration for the construction of chiral all-carbon quaternary stereocenters in spirocyclic diketones,<sup>7</sup> which are versatile building blocks in the synthesis of natural products and pharmaceutical molecules (eq 1). To our knowledge, there is no precedent for the catalytic enantioselective vinylogous  $\alpha$ -ketol rearrangement, and the current protocol provides an



alternative asymmetric access to chiral all-carbon quaternary centers through semipinacol-type 1,2-carbon migration.<sup>5</sup> Herein, we present our preliminary results on this topic.

During the initial elaboration of standard conditions, cinchona-based primary amine **3a** was examined as a promising catalyst. As indicated in Table 1, the hydroxy enone **1a** as a model substrate was subjected

Table 1. Optimization Studies on Asymmetric Rearrangement<sup>a</sup>

Entry	Cat.	Acid <sup>b</sup>	Solvent	t (h)	Yield (%)c	ee (%) <sup>d</sup>
1	3a	CF <sub>3</sub> CO <sub>2</sub> H	THF	18	75	34
2	3a	o-NBA	THF	48	60	39
3	3a	o-NBA	$CCl_4$	3	59	53
4	3a	D-CSA	$CCl_4$	59	62	31
5	3a	L-CSA	$CCl_4$	59	65	24
6	3a	PTS	$CCl_4$	39	91	14
7	3a	$CF_3CO_2H$	$CCl_4$	5	55	38
8	3a	AcOH	$CCl_4$	21	67	48
9	3a	NBLP	$CCl_4$	15	52	62
10	3a	NBDP	$CCl_4$	6	55	43
11	3b	NBLP	$CCl_4$	4	41	75
$12^e$	3b	NBLP	CCl <sub>4</sub>	32	84	77

 $^a$  All reactions were performed with 0.1 mmol of **1a**, 0.02 mmol of catalyst, and 0.04 mmol of acid in 2 mL of solvent at 40 °C.  $^b$  Abbreviations: o-NBA, 2-nitrobenzoic acid; CSA, camphor-10-sulfonic acid; NBLP, N-Boc-L-phenylglycine; NBDP = N-Boc-D-phenylglycine; PTS = p-Toluenesulfonic acid.  $^c$  Isolated yield.  $^d$  Determined by chiral HPLC.  $^e$  With additon of 4 Å molecular sieves.

to the combination of 3a and  $CF_3CO_2H$  in THF at 40 °C, and the spirocyclic diketone 2a was obtained in good yield but with a low ee of 34% (entry 1). By variation of the solvents and acids (entries 2-10), it was found that the combination of N-Boc-L-phenylglycine (NBLP) as the acid<sup>9a</sup> and  $CCl_4$  as the solvent could increase the reaction enantioselectivity to 62% ee (entry 9). Gratifyingly, when further optimizations were conducted with multifunctional cinchona-derived catalyst  $3b^{9b}$  (entries 11 and 12), the enantiocontrol of this vinylogous  $\alpha$ -ketol rearrangement was ultimately improved to 77% ee in the presence of 4 Å molecular sieves (entry 12).

With the optimal conditions established above, the scope and generality of this reaction were then investigated with various cyclic hydroxy enones (1b-k) bearing a substituted cyclobutanol motif. From Table 2, it can be seen that all-carbon quaternary stereogenic

Table 2. Enantioselective Vinylogous  $\alpha$ -Ketol Rearrangement Catalyzed by the 3b/NBLP Catalyst System<sup>a</sup>

Entry	Substrate <sup>b</sup>	Product <sup>c</sup>	<i>t</i> (b)	Yield (%) <sup>d</sup>	ee (%) <sup>e</sup>
1	1a	2a	32	84	77
	HO,	) <sub>n</sub>			
2	<b>1b</b> (n=1)	<b>2</b> b	36	76	86
3	1c (n=2)	2c	22	66	92
4	<b>1d</b> (n=3)	2d	57	87	93
5	HO,	2e	190	57 (99) <sup>f</sup>	82
6	If	2f	36	95	88
7	1g HO,103,R1 R2	2g	24	83	87
	, and a second				
8	cis-1h/trans-1h (7:1 dr)	2h	48	80 (17:1) <sup>g</sup>	$91^{ij}$
9	cis- <b>1h</b> ( $R^1 = Ph, R^2 = H$ )	2h	24	92 (29:1) <sup>g</sup>	97 <sup>i,k</sup>
10	trans-1h ( $R^1 = H, R^2 = Ph$ ) $HO_2$ $HO_2$ $HO_3$ $HO_4$ $HO_$	2h	17	80 (2.3:1) <sup>g</sup>	48 <sup>i,l</sup>
11	1i (6:1 dr)	2i	21	87 (8:1) <sup>h</sup>	94 <sup>i</sup>
12	1j ( $R^1 = Ph, 25:1 dr$ )	2j	41	$80(17:1)^h$	$97^i$
13	$1k (R^1 = 4-BrC_6H_4, 7:1 dr)$	2k	65	80 (26:1) <sup>h</sup>	96 <sup>i</sup>

<sup>a</sup> For experimental details, see the Supporting Information. <sup>b</sup> For entries 11-13, the major cis isomer is described. <sup>c</sup> For entries 8-13, the absolute configuration of major diastereoisomer is given. d Isolated yield. <sup>e</sup> Determined by chiral HPLC. <sup>f</sup> The yield in parentheses was based on the recovered starting material.  $^g$  The dr value in parentheses was determined by chiral HPLC.  $^h$  The dr value in parentheses was determined by  $^1$ H NMR. <sup>1</sup> The ee value of the major isomer. <sup>1</sup> 57% ee for the minor isomer. <sup>k</sup> 41% ee for the minor isomer. <sup>1</sup> 77% ee for the minor isomer.

centers in spirocycles could be effectively constructed by this semipinacol-type 1,2-carbon migration in the enantioselective vinylogous α-ketol rearrangement, and a wide range of spirocyclic 1,4-diketones 2b-k were obtained with good to excellent enantioselectivities (82–97% ee) in moderate to high yields (57–95% yield). Compared with the model substrate 1a (entry 1), hydroxy enones disubstituted at the C3 position of the cyclobutanol moiety (1b−d, entries 2−4) underwent the molecular rearrangement with increased asymmetric induction. When substrates disubstituted at the  $\alpha'$  and  $\beta'$  positions of the cyclohexenone moiety (1e and 1f, respectively, entries 5 and 6) were used, slightly decreased enantiocontrol was observed, and  $\alpha'$ -substitution caused a dramatic decrease in the reactivity of this rearrangement reaction (entry 5). One substrate with a cycloheptenone motif instead of the cyclohexenone unit (1g, entry 7) was also explored and certainly showed good ee and yield. In addition, some C3-monosubstituted examples (1h-k, entries 8 and 11-13), in which cis-1,1,3-trisubstituted cyclobutanes were the major or predominant components of the starting material, were examined in this catalytic system. Interestingly, highly enantioselective rearrangement reactions were carried out with 91-97% ee and 80-87% yield (entries 8 and 11-13), and good diastereoselectivities (8:1 to 26:1 dr) in the products were also demonstrated. Notably, control experiments with decreased catalyst loading (1j) and enlarged reaction scale (cis/trans-1h)<sup>10a</sup> indicated little influence on the stereoselectivity and yields, but some effect on the reactivity was found in the former case. To further clarify the influence of the diastereomeric ratio of the reactants on the reaction diastereo- and enantioselectivity, cis-1h (entry 9) and trans-1h (entry 10) were independently subjected to the current standard conditions. Interestingly, improved and decreased stereoselectivity, respectively, for the major isomer product were observed. This fact clearly demonstrates that the cis isomer is the matched substrate for the diastereo- and enantioselectivity of this asymmetric vinylogous α-ketol rearrangement under the current organocatalysis, while the trans isomer is the unmatched one. It should be noted that the absolute configuration of the product 2k was unambiguously assigned by X-ray crystallography. 10b

In conclusion, an unprecedented organocatalytic enantioselective vinylogous α-ketol rearrangement reaction via a semipinacol-type 1,2-carbon migration was discovered for the first time, and chiral all-carbon quaternary stereocenters in spirocyclic diketones were constructed with good to excellent enantiocontrol. Our current methodology for the asymmetric synthesis of quaternary stereogenic centers constitutes an alternative strategy to classical semipinacol rearrangement. Further studies of the detailed mechanism and synthetic applications are under investigation.

**Acknowledgment.** We gratefully acknowledge financial support from the NSFC (20621091, 20672048, and 20732002) and the Chang Jiang Scholars Program.

Supporting Information Available: Experimental details, compound characterization, and X-ray crystallographic data (CIF) for 1j and 2k. This material is available free of charge via the Internet at http://pubs.acs.org.

## References

- (1) Quaternary Stereocenters: Challenges and Solutions for Organic Synthesis;
- Christoffers, J., Baro, A., Eds.; Wiley-VCH: Weinheim, Germany, 2005.

  (2) For recent reviews, see: (a) Douglas, C. J.; Overman, L. E. *Proc. Natl. Acad. Sci. U.S.A* **2004**, *101*, 5363. (b) Trost, B. M.; Jiang, C. *Synthesis* **2006**, 369. (c) Cozzi, P. G.; Hilgraf, R.; Zimmermann, N. *Eur. J. Org.*
- Chem. 2007, 5969. (d) Bella, M.; Gasperi, T. Synthesis 2009, 1583. (3) (a) Zhang, E.; Tu, Y.-Q.; Fan, C.-A.; Zhao, X.; Jiang, Y.-J.; Zhang, S.-Y. Org. Lett. 2008, 10, 4943, and references therein. (b) Wang, F.; Tu, Y. Q.; Fan, C. A.; Wang, S. H.; Zhang, F. M. *Tetrahedron: Asymmetry* **2002**, *13*, 395. (c) Wang, M.; Wang, B. M.; Shi, L.; Tu, Y. Q.; Fan, C.-A.; Wang, S. H.; Hu, X. D.; Zhang, S. Y. *Chem. Commun.* **2005**, 5580. (4) For transition-metal-catalyzed asymmetric 1,2-migrations for the synthesis
- of all-carbon quaternary centers, see: (a) Trost, B. M.; Xie, J. J. Am. Chem. Soc. 2008, 130, 6231, and references therein. (b) Kleinbeck, F.; Toste, F. D. J. Am. Chem. Soc. 2009, 131, 9178.
- (5) For an excellent review of the α-ketol rearrangement, see: (a) Paquette, L. A.; Hofferberth, J. E. Org. React. 2003, 62, 477. For Ni- and Al-catalyzed asymmetric versions, see: (b) Brunner, H.; Stöhr, F. Eur. J. Org. Chem. 2000, 2777. (c) Brunner, H.; Kagan, H. B.; Kreutzer, G. Tetrahedron: Asymmetry 2001, 12, 497. (d) Brunner, H.; Kagan, H. B.; Kreutzer, G. Tetrahedron: Asymmetry 2003, 14, 2177. (e) Ooi, T.; Ohmatsu, K.; Maruoka, K. J. Am. Chem. Soc. 2007, 129, 2410.
- (6) For recent reviews of chiral iminium catalysis, see: (a) Lelais, G.; MacMillan, D. W. C. *Aldrichimica Acta* **2006**, *39*, 79. (b) Erkkilae, A.; Majander, I.; Pihko, P. M. *Chem. Rev.* **2007**, *107*, 5416.
- (7) For reviews of the synthesis of spirocyclic compounds, see: (a) Sannigrahi, M. Tetrahedron 1999, 55, 9007. (b) Pradhan, R.; Patra, M.; Behera, A. K.; Mishra, B. K.; Behera, R. K. Tetrahedron 2006, 62, 779. (c) Kotha, S.; Deb, A. C.; Lahiri, K.; Manivannan, E. Synthesis 2009, 165.
- (8) For reviews of chiral primary amine catalysis, see: (a) Bartoli, G.; Melchiorre, P. Synlett 2008, 1759. (b) Chen, Y.-C. Synlett 2008, 1919.
- (a) Bartoli, G.; Bosco, M.; Carlone, A.; Pesciaioli, F.; Sambri, L.; Melchiorre, P. Org. Lett. 2007, 9, 1403. (b) Chen, W.; Du, W.; Duan, Y.-Z.; Wu, Y.; Yang, S.-Y.; Chen, Y.-C. Angew. Chem., Int. Ed. 2007, 46, 7667.
  (10) (a) For details, see p S-16 in the SI. (b) For details, see p S-18 in the SI.

JA906291N