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Unexpected lability of the [Ru^{III}(phtpy)Cl₃] complex

Ru(II)-phtpv

Paola A. Benavides,^a Tiago A. Matias^a and Koiti Araki^{*a}

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Ruthenium(III) complexes are known for their high stability and inertness. To the best of out knowledge, the only well characterized example of labile Ru(III) complex is $[Ru^{III}(edta)(H_2O)]$ as consequence of an intramolecular hydrogen bonding leading to the formation of a large opening in the molecule front, thus changing the mechanism from dissociative to associative. Compeling experimental evidences are presented demonstrating that the $[Ru^{III}(phtpy)Cl_3]$ complex is labile

also indicating that the Ru(III)-phtpy bond is much weaker than expected, in contrast with the strongly π -back-bonding

Introduction

The concept of lability/inertness and thermodynamic stability of transition metal complexes are fundamental in coordination chemistry, particularly when considering the catalytic/electrocatalytic activity of such compounds, since the binding of a molecular substrate to the active site followed by the reaction and release of the product are the key steps controlling their efficiency.^{1,2} Accordingly, the mechanism and rate of substitution reactions in transition metal complexes were extensively studied in the last century and are well established.^{3,4} For example, it is known that representative elements cations and first row transition metal elements in 2+ oxidation state tend to generate labile coordination compounds with high ionic character metal-ligand bonds. The covalent character and stability of those complexes are enhanced in the 3+ oxidation state species, but the ligand exchange continue to be fast except for the Cr(III) and Co(III) complexes in which the ligand field stabilization energy is maximized.5-9 Nevertheless, the covalent character and stability of second row transition metal complexes are much larger and are all low spin and quite inert.^{10–13} particularly in the case of Ru(II) d⁶ complexes with π -acceptor polypyridyl ligands and respective Ru(III) complexes.^{14–16} Hence, except for the $[Ru^{III}(edta)(H_2O)]^2$ complex in which the aqua ligand can be easily substituted by associative or interchange mechanism,¹⁷⁻ ¹⁹ the complexes are inert and the ligand exchange by dissociation mechanism tend to be slow.

stabilized

Recently, we showed the enhancement by 20 times of the catalytic activity for water oxidation of the weakly coupled

Surprisingly, the main reaction product was always the $[Ru^{II}(phtpy)_2]^{2+}$ complex suggesting that the terdentated Nheterocyclic ligand phtpy is actually dissociating from that Ru(III) complex and reacting with reduced $[Ru^{II}(phtpy)Cl_3]$ species. Interestingly enough, such mind bogging result was reproducible even when changing reaction parameters such as solvent, reaction time, and temperature, as well as in the presence of weak reducing species, precluding the preparation of the desired $[Ru^{II}(phtpy)(LL)(H_2O)]^{2+}$ complexes, where LL= dpimbH₂ and bpy, with suitable yields. Accordingly, we

dpimbH₂ and bpy, with suitable yields. Accordingly, we decided to carefully investigate that reaction, in order to shed light on the possible reasons for the formation of the $[Ru^{II}(phtpy)_2]^{2+}$ complex as main product. Therefore, herein described are the experimental evidences demonstrating the lability of the $[Ru^{III}(phtpy)Cl_3]$ complex while reporting the attempts to solve such an improbable synthetic problem.

bond.

binuclear complex $[{Ru}^{II}(bpy)(H_2O)]_2(tpy_2ph)](PF_6)_4$ (where bpy

is 2,2'-bipyridine and tpy₂ph is 1,3-bis(4'-2,2':6',2''-terpyridin-4-yl)benzene), when compared with the mononuclear

counterpart [Ru^{II}(phtpy)(H₂O)(bpy)](PF₆)₂ (where phtpy is 4'-

phenyl-2,2':6',2"-terpyridine) evidencing significant synergic

effects involving the metal sites.²⁰ This fact has encouraged us

to investigate binuclear complexes for catalytic water

oxidation such as the $[{Ru}^{II}(phtpy)(H_2O)]_2(dpimbH_2)]^{4+1}$

complex, where dpimbH₂ is the bis(2-pyridyl)benzodiimidazole

ligand. However, unexpected difficulties were faced in the

preparation of the respective chloro complex precursor

 $[{Ru}^{\parallel}(phtpy)Cl}_{2}(dpimbH_{2})]^{2+}$ based on the well-established

reaction of $[Ru^{III}(phtpy)Cl_3]$ (1) complex with bidentated

ligands in the presence of a weak reducing agent.²⁰

Results and discussion

In a typical reaction, dpimbH₂ ligand, [Ru^{III}(phtpy)Cl₃] (2 equiv.) and LiCl (10 equiv.) were added to a DMF/H₂O 3:1 (v/v) mixture in a two-necked round bottom flask (Scheme 1). Then

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^a Department of Chemistry, Institute of Chemistry, University of São Paulo, Av. Lineu Prestes 748, Butantã, São Paulo, SP 05508-000, Brazil. *E-mail:* koiaraki@iq.usp.br

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4-ethylmorpholine (NEM) was added and the reaction mixture refluxed for 3 h while monitoring by UV-vis spectroscopy and thin layer chromatography (TLC). NEM is added to facilitate the reduction of the final substitution product, or the reduction of the [Ru^{III}(phtpy)Cl₃] complex to the respective Ru(II) complex, thus facilitating the dissociation of chloro ligands and the binding of dpimbH₂, whereas LiCl assures the formation of the desired monochloro complexes 3 and 4 (Scheme 1).

The UV-Vis spectrum of the reaction mixture after 3 h of reflux in DMF (Fig. 1a), showed that the phtpy ligand absorption bands were bathochromically shifted respectively from 253 and 290 nm (free ligand) to 290 and 320 nm, whereas the bands of the free dpimbH₂ bridging ligand were shifted from 351 and 368 nm to 382 and 406 nm. In addition, the ruthenium complex generated in the reaction exhibited broad overlapping bands spanning the whole visible range, that can be attributed to metal-to-ligand charge-transfer transitions characteristic of ruthenium(II) polypyridine species.²⁰



Scheme 1. Reaction scheme for the synthesis of the binuclear $[{Ru}^{II}(phtpy)(CI)]_2(dpimbH_2)]^{2+}$ species (two of 6 possible isomers are shown) from the $[{Ru}^{III}(phtpy)CI_3]$ complex and dpimbH₂ ligand.



Figure 1. a) UV-Vis spectra of the crude reaction mixture of $[Ru^{III}(phtpy)Cl_3]$ with dpimbH₂ ligand after 3 h reflux in DMF, compared with the authentic free dpimbH₂ and phtpy ligands in MeOH and b) UV-Vis spectrum of the five representative fractions isolated by silica gel column chromatography.

As shown in Figure 1b, the spectroscopic profiles of fractions C, D and E obtained by column chromatography are

very similar, exhibiting the phtpy and dpimH₂ intraligand bands as well as the metal-to-ligand charge-transfer (MLCT) bands in the visible region, as expected for the $[{Ru}^{"}(phtpy)Cl}_{2}(dpimH_{2})]^{2+}$ complex. However, the main fraction labelled as A, corresponding to 54% yield, displayed a contrasting spectral profile characterized by the absence of the band belonging to the bridging ligand and the presence of a strong MLCT band centred at 490 nm. Analysis of this fraction by UV-Vis and ¹H-NMR spectroscopy (see SI Fig. S2) showed that, under these experimental conditions, the major reaction product is the [Ru^{II}(phtpy)₂]²⁺ complex. This outcome was completely unexpected since dpimbH₂ is a strong σ -donor and π -acceptor bis-bidentated bridging ligand, known to stabilize binuclear complexes generated upon reaction with two equivalents of [Co(bpy)₂Cl₂], [Rh(bpy)₂Cl₂], and [Ru(bpy)₂Cl₂] complexes.^{21,22}

Similar results were obtained in reactions carried out in ethanol and methanol even in the absence of NEM. Thus, the possible reduction of Ru(III) to Ru(II) in methanol solution was confirmed by dispersing solid [Ru^{III}(phtpy)Cl₃] in MeOH at 50 °C under stirring, monitoring the spectral evolution as a function of time (Fig. 2a), and then reacting with hydrogen peroxide (Fig. 2b). The absorption at 440 nm decayed with the concomitant rise of the bands at 379, 490 and 573 nm, while the colour changed from pale brown to purple and the scattering contribution decreased, as confirmed visually by the decrease of turbidity. Such spectroscopic behaviour was attributed to the formation of soluble reduced $[Ru''(phtpy)L_1L_2L_3]$ derivatives (where L_n = solvent or Cl) and the $[Ru^{II}(phtpy)_2]^{2+}$ complex. In order to confirm this hypothesis, diluted hydrogen peroxide was added into the purple solution and the mixture monitored spectrophotometrically. The bands at 379 and 573 nm disappeared rapidly after the addition of H₂O₂ concomitantly with the rise of the band at 440 nm, suggesting the regeneration of the initial Ru(III) species (Fig. 2b). Interestingly, the final spectrum of the solution, except for the absorption at 440 nm, matched that of the bis-terpyridine complex indicating that the redox potential of $[Ru^{II}(phtpy)_2]^{2+}$ is more positive than that of H₂O₂, thus remaining unchanged in solution

In a parallel experiment, [Ru^{III}(phtpy)Cl₃] was reduced with zinc almagam, Zn(Hg), (see SI Fig. S3a) a strong reducing agent, in MeOH at 50 °C under N₂ atmosphere, in order to evaluate the stability of the Ru(II)-phtpy complex and its eventual contribution to the formation of the bis-phtpy complex. After addition of Zn(Hg) into the solution, strong absorption bands, characteristic of the MLCT bands of reduced $[Ru^{II}(phtpy)L_1L_2L_3]$ species, started to appear in the 450 to 750 nm range as the band of [Ru^{III}(phtpy)Cl₃] at 440 nm fade. After 30 min, the reduction reaction and consequent solubilisation process was complete. The Zn(Hg) was removed and the solution monitored spectrophotometrically for up to 2.5 h with no significant spectral change. Then H₂O₂ was added leading to the immediate disappearance of the MLCT bands and regeneration of the spectral pattern of the Ru(III) complex, with no evidence of formation of the $[Ru(phtpy)_2]^{2+}$ species Published on 16 October 2017. Downloaded by University of Newcastle on 17/10/2017 20:04:20

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exhibiting a sharp MLCT band at 490 nm (Fig. S3b). This result clearly indicates that phtpy ligand does not dissociate from the reduced ruthenium complex, in agreement with the behaviour reported for cis/trans-[Ru^{II}(DMSO)₄Cl₂] that promptly react with tpy generating the respective cis/trans-[Ru^{II}(tpy)(DMSO)Cl₂] (tpy= 2,2':6',2''-terpyridine) complexes in high yields (>95%) without formation of [Ru^{II}(tpy)₂]²⁺ species, as expected for the high stability of Ru^{II}-tpy bond.²³

Considering that there is no significant amount of free phtpy ligand as impurity of complex 1 (as shown below by ¹H-NMR) to explain the formation of $[Ru^{II}(phtpy)_2]^{2+}$ complex as major product, the reaction conditions (solvents, temperature and stoichiometry of reagents) were varied in an attempt to avoid the formation of that complex (see SI Table 1). However, since all reactions gave similar results, we accepted the possibility that the $[Ru^{III}(phtpy)Cl_3]$ precursor complex is in fact releasing the coordinated phtpy ligand to generate the homoleptic complex, as well as solvated RuCl₃.



Figure 2. a) Evolution of the UV-Vis spectrum of a $[Ru^{III}(phtpy)Cl_3]$ complex suspension in methanol at 50 °C, under stirring, as a function of time up to 129 min; and photo of the initial solution and final solution; b) spectroscopic behaviour of the final purple solution as a function of time after addition of diluted H_2O_2 solution, at room temperature.

Similar experimental fact was previously reported by Ziegler et. al.²⁴ for a Ru^{III} complex containing a more sterically hindered 'pineno'-fused terpyridyl (L1) ligand which dissociates releasing Ru^{III} and free L1 in dilute solutions of polar solvents, that in the presence of terpyridine ligand yielded statistical mixtures of hetero and homoleptic ruthenium complexes.²⁵ Although the authors did not give additional evidences to confirm that behaviour, it is supportive of the fact that the terdentated terpyridine ligand may be dissociating from our precursor leading to the formation of $[Ru^{II}(phtpy)_2]^{2+}$, a quite unusual hypothesis considering the well-known high stability of chelate species and the supposed inertness of ruthenium(III) complexes.

In an effort to get stronger evidences, the supernatant solution of a $[Ru^{III}(phtpy)Cl_3]$ complex suspension in methanol, under stirring at room temperature, was monitored by

transmission UV-Vis and by TLC as a function of time. In this case, the evolution of the reaction was monitored by UV-Vis reflectance spectroscopy directly at the spots in the chromatoplates (Fig. 3). The spectral profile of the supernatant solution changed continuously, as seen by the rise of the absorption bands at 390 and 490 nm, suggesting the build-up of $[Ru(phtpy)_2]^{2+}$ complex in solution. However, although the absorption band at 490 nm increased in the first 15 min, the formation of that homoleptic species was confirmed only after 2 h at room temperature (Fig. 3a). In fact, the spot in the chromatoplate with spectrum matching the authentic compound increased in size for longer reaction times, indicating that phtpy ligand is dissociating from **1** at room temperature and possibly reacting with $[Ru^{II}(phtpy)Cl_3]$ complex or solvated derivatives.



Figure 3. a) Series of UV-Vis spectra collected while monitoring a suspension of $[Ru^{III}(phtpy)Cl_3]$ complex in MeOH as a function of time for up to 2 h. Note that there is almost no $[Ru^{II}(phtpy)_2]^{2+}$ complex MLCT band at 490 nm at t = 0 min. b) UV-Vis reflectance spectrum of solid $[Ru^{III}(phtpy)Cl_3]$ precursor (brown line), of authentic $[Ru^{III}(phtpy)_2]^{2+}$ complex (red line), and the spots in the chromatoplate after TLC analysis of the supernatant solution of a $[Ru^{III}(phtpy)Cl_3]$ complex suspension in MeOH after 2 h (orange line).

Considering the well stablished inertness and stability of ruthenium(III) terpyridine derivatives, the only other possible explanation for the results described above was the presence of significant amounts of free phtpy ligand as impurity of complex **1**, thus leading to the formation of $[Ru^{II}(phtpy)_2]^{2+}$ as major product. To verify this hypothesis, we performed a careful characterization of the $[Ru^{III}(phtpy)Cl_3]$ complex used as precursor by ¹H-NMR spectroscopy in search of organic impurities, particularly free phtpy ligand.

The ¹H-RMN spectrum of complex **1** in DMSO-d₆ is characterized by the presence of signals spanning from -37 ppm to 10 ppm (see SI Fig. S4). All signals in the high field region below 1 ppm, and at 7.31 ppm and 9.70 ppm were assigned to the $[Ru^{III}(phtpy)Cl_3]$ complex, whereas the five peaks indicated with stars can be attributed to the respective reduced Ru(II) species. As expected, the paramagnetic effect

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due to the presence of S=1/2 low spin Ru(III) ion is changing the local magnetic field and strongly shifting most of the phtpy ligand signals to higher fields. It is also evident the broadening of the peaks corresponding to the oxidized species such as the peaks at 7.31 ppm and -35.81 ppm, respectively attributed to the H_{3'} and H₆ (see Figure S4), as expected for the shortening of the nuclear spin relaxation time of the coordinated ligand protons²⁶. Notice also the presence of four signals in the 7.5-9.0 ppm range (labelled with dots) assigned to the phtpy ligand coordinated to a diamagnetic center.²³



Figure 4. ¹H-RMN in DMSO- d_6 of the [Ru^{III}(phtpy)Cl₃] complex contaminated with the respective reduced species (t=0h, brown line), the [Ru^{II}(phtpy)₂]²⁺ complex (orange line), free phtpy ligand (purple line) and the solution obtained after heating a suspension of 1 in EtOH for 8 h (red line).

Accordingly, a typical sample of the [Ru(phtpy)Cl₃] complex used as precursor in the preparation of ruthenium terpyridine derivatives is a mixture of the Ru(III) and Ru(II) species formed during its preparation, where the solvent methanol acts as a weak reducing agent.^{25,27} The weak signals labelled with dots perfectly matched the spectrum of $[Ru^{II}(phtpy)_2]^{2+}$, a complex formed as byproduct in the synthesis of $[Ru^{III}(phtpy)_2]^{2+}$, a complex formed as byproduct in the synthesis of $[Ru^{III}(phtpy)_2]_3]$.^{26,28} It is important to remember that the amount of this impurity (about 4%) is much smaller than that found after reaction of $[Ru(phtpy)Cl_3]$ complex with the dpimbH₂ ligand (can reach up to 54% under conditions shown in scheme 1), thus not being enough to explain its presence as major reaction product.

Finally, a dispersion of 1 in methanol under stirring for eight hours at 60 °C was dried in a flash evaporator, and its ¹H-NMR spectrum in DMSO-d₆ (Figure 4) compared with the spectrum of phtpy ligand, the $[Ru^{II}(phtpy)_2]^{2+}$ and freshly prepared solution of the $[Ru^{III}(phtpy)Cl_3]$ complex. This was found to contain a mixture of 1 and its respective reduced species, as well as a small amount of $[Ru^{II}(phtpy)_2]^{2+}$ complex as impurity, as discussed above. After 8 h at 60°C in methanol, however, the amount of this homoleptic species increased to 14.5% and the signals assigned to the reduced $[Ru^{III}(phtpy)Cl_3]$ species decreased, while the weak signals of the free phtpy ligand (indicated in light purple) increased concomitantly.

This result clearly demonstrates that the oxidized species dissociates releasing free phtpy ligand, which in turn is reacting with reduced [Ru^{II}(phtpy)L₁L₂L₃] species generating the homoleptic complex, while more compound 1 reduced species is slowly generated in solution. Notice that there is no significant amount of reduced ruthenium precursor left, yet the peaks of initially absent free phtpy ligand can now be observed in the spectrum of the solution after 8 h (Fig. 4), strongly indicating that chelating ligand is in fact dissociating from the [Ru^{III}(phtpy)Cl₃] complex. This seems to be the driving force pushing the reaction towards the formation of $[Ru^{II}(phtpy)_2]^{2+}$ as the major reaction product. In fact, reactions of the bis-bidentated ligand dpimbH₂ with the [Ru^{II}(phtpy)Cl₃] complex, prepared by previous reduction of 1 with zinc amalgam, successfully lead to the formation of the desired binuclear complexes in high yield, indicating that the backbonding interactions in the reduced species strengthen the Ru^{II}-phtpy bonds and weakens the Ru^{II}-Cl bonds facilitating the chloro ligand substitution reactions. The expected binuclear complex was also obtained upon reaction of dpimbH₂ with $[Ru(bpy)_2Cl_2]$ in suitable conditions. This behaviour seems to parallel that of Fe(III/II) complexes with bidentated polypyridyl ligands such as bpy, phen and tpy,^{29,30} whose Fe(II) complex formation constants are significantly much larger than that of the respective Fe(III) complex because of the major contribution of π -backbonding. Thus, Ru(III)-phtpy bond should have much higher ionic character than expected and suggest to be weaker than Ru(III)-Cl bonds because of the favourable electrostatic contribution absent in the first one, despite of its low spin nature, in contrast with the highly covalent Ru(II)-phtpy bond. In fact, the bidentated polypyridyl ligands of the [Ru^{II}(bpy)₂Cl₂] and [Ru^{II}(phen)₂Cl₂] complexes have no tendency to dissociate, in contrast with the chloro ligands that tend to dissociate more promptly.

Conclusions

Summarizing, the improbable dissociation of the phtpy ligand from the [Ru^{III}(phtpy)Cl₃] complex was demonstrated by the espontaneous formation of the [Ru(phtpy)₂]²⁺ complex in methanol, ethanol and DMF solution, as confirmed by UV-vis and ¹H-NMR spectroscopy, as well as by TLC and column chromatography. In fact, its reduction with a strong reducing agent (zinc amalgam) completely shut down that process indicating that the Ru(III)-tpy bond is highly stabilized by π -back-bonding, whilst the Ru(III)-tpy bond has much higher than expected ionic character and lower bond strength as confirmed by the unexpected lability of that terdentated polypyridyl ligand from a Ru(III) complex.

Experimental

Instrumentation and methods.

Journal Name

Journal Name

The ¹H-NMR spectra were acquired in a Bruker AIII 300 MHz spectrometer using samples dissolved in pure deuterated solvents or mixtures of deuterated solvents, in order to generate 2-3 mg/500 µL solutions, using TMS as internal reference. ESI-MS spectra were recorded in a Bruker Daltonics Esquire 3000 plus mass spectrometer using samples dissolved in N,N-dimethylformamide diluted in methanol just before injection, setting the capillary voltage to 4 kV. Elemental analyses of the samples were recorded in a Perkin Elmer 2400 series II elemental analyzer equipped with a thermal conductivity detector. The electronic spectra of the compounds in the UV-Vis region (190 nm to 1100 nm) were recorded in a Hewlett Packard 8453A spectrophotometer. The UV-vis absorption and reflectance spectra were registered respectively in a HP8453A diode-array spectrophotometer (190-1100 nm range), employing 1.00 cm optical path length quartz cuvettes, and in a FieldSpect 3 fiber optic spectroradiometer from Analytical Spectral Devices (350-2500 nm range). Thin layer chromatography was carried out using silica gel 60 F₂₅₄ TLC chromatoplates employing acetone:methanol:KNO₃(saturated solution) 3:2:1 as eluent mixture.

The percentage yields calculations by ¹H-NMR were realized by comparing the peak areas normalized with respect to the H_3 ' proton peak, which has a integration value corresponding to 2 protons in the phtpy complexes, to determine their relative amounts in the mixtures. The experiment shown in figure 2 were carried out using a solution of 1 mg of [Ru(phtpy)Cl₃] dispersed in 25 mL of MeOH, whereas the experiments shown in figure 3 used a suspension of 2 mg of [Ru(phtpy)Cl₃] in 4 mL of MeOH.

Synthetic Details

All solvents and reactants were of analytical grade and employed as received, without further purification.

4-phenyl-2,2':6',2''-terpyridine (phtpy) ligand. This polypyridyl ligand was prepared by following a modified Kröhnke reaction for the synthesis of substituted terpyridines.^{20, 31} 1g (9.4 mmol) of benzaldehyde was transferred to a 250 mL round-bottom flask containing 40 mL of a 0.3 M KOH solution in ethanol. After 10 minutes of stirring at room temperature, 2.2 g (19 mmol) of 4-acetylpyridine and 27 mL of NH₄OH 28% were added into. Four hours later the precipitate dispersed in a yellow-green solution was collected, washed with ethanol and water, and dried under vacuum to obtain a greenish solid, which yielded a white solid after two times recrystallization from ethanol. Yield: 60%. CHN Analysis %found (%calc) for C₂₁H₁₅N₃·4H₂O: C 81.76 (81.53); H 4.99 (4.89); N 13.53 (13.58).

[Ru^{III}(phtpy)Cl₃] precursor complex.²⁰ 0.27 g (1 mmol) of RuCl₃·3H₂O was transferred into a two-necked round-bottom flask of 100 mL and dissolved with 70 mL of ethanol. Then, a saturated solution of the phtpy ligand in chloroform was slowly added into the ruthenium complex solution employing an addition funnel, while keeping the temperature constant at 70 °C. After three hours of reflux, the reaction mixture was

cooled to room temperature and the brown precipitate filtered out and washed with water and ethanol. The solid was dispersed in hot ethanol, filtered and washed with hot ethanol to get the final product. Yield 60%. CHN Analysis %found (%calc) for $C_{21}H_{15}Cl_3N_3$ ·4H₂O: C 45.00 (45.62); H 3.25 (3.46); N 7.28 (7.60).

Reduction of the $[Ru^{III}(phtpy) Cl_3]$ complex with Zn amalgam.

Zinc amalgam was prepared by transferring granulated Zn pellets into a 250 mL erlenmeyer, activating them by washing tree times with a 50% v/v aqueous HCl solution, and reacting with HgCl₂ powder under manual shaking for five minutes. The bright amalgamated Zn pellets were carefully washed with distilled water, then with methanol, and immediately added into a suspension of the [Ru^{III}(phtpy)Cl₃] complex in methanol, previously purged with nitrogen gas. The solution color changed from brown to bluish-violet and no precipitate remained after 30 min, indicating the complete reduction of the ruthenium(III) complex, as confirmed spectrophotometrically (no further spectral change). The pellets were removed while N₂ gas was continuously bubbled in the solution to keep an inert atmosphere, thus avoiding reoxidation by O_2 in air.

Conflicts of interest

There are no conflicts to declare

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6 | J. Name., 2012, 00, 1-3