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A Mild and Sequentially Pd/Cu-catalyzed Domino Synthesis of Acidochromic Indolo[3,2*a*]carbazoles – Free Bases of Apocyanine Dyes

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ABSTRACT

A sequentially Pd(II)/Cu(II)-catalyzed dimerization of indoles with subsequent oxidative cycloaromatization with alkynes give rise to the formation of strongly violet to blue solution and solid state emissive indolo[3,2-*a*]carbazoles in a domino fashion under mild conditions and in moderate to good yields. Upon protonation the absorption bands are significantly red-shifted with concomitant quenching of the fluorescence. The site of protonation was scrutinized by NMR studies of the protonated species and confirmed by DFT calculations. The obtained chromophores of the acidochromicity of the title compounds are rarely described apocyanine dyes. The relevant absorption bands can be unambiguously assigned by TDDFT calculations.

1. Introduction

The steadily growing quest for novel functional organic materials, such as chromophores, fluorophores and electrophores [1], with heterocyclic core structures is an ongoing challenge for synthetic chemistry and in recent years the concepts of multicomponent processes [2] and domino reactions [3] have opened new opportunities for modular syntheses of these targets. These functional π -systems are underlying molecular entities in molecule based electronics such as organic light-emitting diodes (OLEDs) [4], dye-sensitized solar cells (DSSCs) [5], and organic photovoltaics (OPVs) [6], or bio and environmental analytics [7]. Particularly interesting are sensitive dyes which undergo changes in their absorption and/or emission properties by external stimuli such as light [8], heat [9], current [10], mechanical pressure [11], solvent polarity [12] or pH changes [13]. The latter phenomenon is called halo- or acidochromism founding the principle of pH indicators [14] and smart inks [15]. In addition, fluorohalochromic dyes, which are both fluorescent and halochromic have as dual readout chromophores advantages such as high sensitivity and fast read-outs using relatively simple and inexpensive instruments, for instance by ratiometric intensity analysis at a certain wavelengths [16]. With respect to intensity, for instance fluorescent chemosensors, in particular fused nitrogen heterocycles, are either quenched (turn OFF) or induced (turn ON) upon protonation.

First indolocarbazoles [17] were first mentioned in the late 1950s [18], but received attention only 30 years later, when biologically active natural products such as the alkaloids K-252a [19] or staurosporine [20] were isolated. Ever since this scaffold has not only been used for the development of potential novel drugs [21] but increasingly for conceptualizing functional materials [22]. Out of five possible isomers, indolo[3,2-*a*]carbazoles have scarcely been studied, but in the last few years some methods have been developed starting from hydrazines [23], 2,3-biindolyls [24], and indoles [25]. Very recently, Kumar's group has disclosed a Pd-catalyzed [2 + 2 + 2] annulation of indoles or azaindoles with alkynes, starting with indoles dimerization to give 2,3-biindolyls, which subsequently cyclizes with alkynes giving indolo[3,2-*a*]carbazoles (Scheme 1a), however, substrates with strongly electron withdrawing groups were not converted [26]. About the same time, motivated by our interest in sequentially bimetallically Pd/Cu-catalyzed processes [27] as a rapid entry to multicomponent syntheses of heterocycles in a one-pot fashion [28], we probed the reaction of indoles and alkynes at

lower temperatures in the presence of Pd(II) and Cu(II) catalysts to give intensively luminescent indolo[3,2-*a*]carbazoles (Scheme 1b).

a) Kumar's work



b) Our modification



Scheme 1. Approaches to the domino synthesis of indolo[3,2-*a*]carbazoles.

As part of our program to explore diversity oriented one-pot syntheses of functional chromophores by multicomponent [1] and domino reactions [3], we have reported domino syntheses of protochromic "ON–OFF–ON" luminescent 2-styryl quinolines [29] and "OFF–ON" cation-induced fluorescent 2,4-diarylpyrano[2,3-*b*]indoles [30]. Herein, we report a modified pseudo-three-component synthesis of electronically interesting indolo[3,2-*a*]carbazoles, a class of tetracyclic fused indoles and discuss their hitherto unexplored photophysical properties and acidochromicity.

2. Results and discussion

2.1. Synthesis

The reaction of 1-methylindole (**1a**) ($\mathbb{R}^1 = \mathbb{H}$) and tolane (**2a**) ($\mathbb{R}^2 = \mathbb{R}^3 = \mathbb{Ph}$) in DMSO in the presence of catalytic amounts of Pd(OAc)₂ and Cu(OAc)₂ · H₂O as an oxidant under oxygen atmosphere was selected as a model system and optimized (for the optimization, see Supp Inf Table S1). Unlike Kumar's work, whose optimization was initiated at 80 °C by variation of the cooxidant, we started the domino reaction at room temp with a stoichiometric amount of Cu(OAc)₂ · H₂O and then successively increased the reaction temperature and reduced the amount of copper oxidant to find that at 50 ° C and

in the presence of catalytic amounts of Cu(II) salts, the best result was achieved, whereas without cooxidant as well as at 80 °C significantly less yield was observed. Kumar, on the other hand, who had also experimented with Cu(OAc)₂, found out that omitting the cooxidant at 80 °C led to more product but could not reproduce the yield at lower temperatures [26]. As such, we decided to synthesize and discuss only electronically interesting indolo[3,2-*a*]carbazole with our conditions, a modified, milder variant of Kumar's method, and therefore the preparative studies were performed with electron-rich and electron-poor 1-methyl indoles 1 and alkynes 2 to give 5,12-dimethyl-indolo[3,2-*a*]carbazoles 3 in moderate to good yields in the sense of a domino reaction representing a quadruple CH-functionalization (Scheme 2). All title compounds 3 were isolated as colorless solids which fluoresce violet to blue both in solution and in the solid state. The structural assignment was unambiguously corroborated by extensive ¹H and ¹³C NMR and IR spectroscopy, and mass spectrometry, and the molecular composition was verified by combustion analyses.



Scheme 2. Pseudo-three-component domino synthesis of indolo[3,2-*a*]carbazoles **3** by oxidative Pd/Cu-catalyzed quadruple CH-functionalization of indoles **1** with alkynes **2**.

With this protocol electron-donating methoxy substituents can be introduced either via the indole or the alkyne (Table 1, entries 2 and 3), as well as the strongly electron withdrawing cyano groups after a prolonged reaction time of 24 h (Table 1, entries 5 and 6), in contrast to Kumar's method [26]. While the methoxy substituent can be simultaneously incorporated via both starting materials (Table 1, entry 4), this transformation does not work with the cyano group.

In addition to Kumar's work, the triisopropyl-protected alkyne **2d** was selectively transformed into the simply phenylated indolocarbazole **3g** (Table 1, entry 7). To the best of our knowledge, only one method has been developed for 7-phenylindolo[3,2-*a*]carbazoles to date, starting from indoles and β -nitrostyrenes that are reacted with tin and manganese salts for prolonged reaction times to give only

low yields [31]. Here, the selectivity is very likely affected by the steric hindrance of the TIPS substituent which is cleaved off in the course of the reaction. Kumar [26] proposed a mechanistic rationale that commences with an electrophilic palladation, followed by subsequent migration [32], insertion of the second indole and reductive elimination [33] to form 2,3-biindolyls. The subsequent palladium-catalyzed oxidative cycloaromatization of the intermediate with alkyne via dual CH-activation leads to the title compounds 3 [24]. In our approach, the addition of catalytic amounts of copper acetate allows to lower the reaction temperature, presumably due to a more efficient reoxidation of the palladium catalyst, comparable to Wacker oxidations [34].

Table 1. Pseudo-three-component domino synthesis of indolo[3,2-*a*]carbazoles 3.

entry	indole 1	alkyne 2	indolo[3,2- <i>a</i>]carbazole 3 (yield) ^[b]
1 ^[c]	$\mathbf{R}^{1}=\mathbf{H}\left(\mathbf{1a}\right)$	$R^2 = R^3 = Ph(2a)$	Ph Ph N Me Me 3a (72%)
2 ^[c]	$\mathbf{R}^1 = \mathbf{OMe} \ (\mathbf{1b})$	2a	MeO N Me
3 ^[c]	1a	$R^2 = R^3 = p - MeOC_6H_4$ (2b)	MeO 3b (73%) MeO OMe N Me 3c (68%)
4 ^[c]	1b	2b	MeO MeO N MeO MeO MeO MeO MeO MeO MeO MeO



[a] Reaction conditions: indole **1** (0.50 mmol), alkyne **2** (0.25 mmol), $Pd(OAc)_2$ (0.05 mmol), $Cu(OAc)_2 \cdot H_2O$ (0.125 mmol), DMSO (5 mL), 50 °C under O₂.[b] Isolated yield. [c] Reaction time: 8 h. [d] Reaction time: 24 h. [e] 0.50 mmol of **2d**.

2.2. Photophysical properties

Already previous studies on substituted indolo[3,2-*a*]carbazoles indicated interesting photophysical properties [24]. With a small library of 5,12-dimethyl-indolo[3,2-*a*]carbazoles in hand we decided to assess the substitution effects on the optical properties. All derivatives were characterized by quantitative absorption and emission spectroscopy as well as relative fluorescence quantum yields Φ_F were determined (Table 2).

Table 2.	Selected	photophysical	properties	of indol	o[3,2- <i>a</i>]car	rbazoles 3	3 (recorded	in (CH ₂ Cl ₂ at	T =
293 K).										

entry	compound	$\lambda_{max,abs} [nm] (\varepsilon [L \cdot mol^{-1} \cdot cm^{-1}])^{[a]}$	$\lambda_{max,em} [\operatorname{nm}]^{[b]} \ (\mathcal{P}_{f})^{[c]}$	Stokes shift $\Delta \tilde{\nu}$ [cm ⁻¹] ^[d]
1	3 a	250 (41100), 295 (53600), 354 (10100), 370 (16000)	390 (0.50)	1400
2	3b	253 (47400), 282 (44700), 311 (41200), 349 (11400), 365 (14900)	395 (0.45)	2100
3	3c	253 (46100), 296 (59100), 354 (11000), 370 (17600)	389 (0.52)	1300
4	3d	249 (44900), 303 (50700), 365 (10500), 383 (16900)	403 (0.48)	1300
5	3e	298 (80900), 358 (10300), 375 (17100)	391 (0.52)	2400
6	3f	244 (55500), 292 (44400), 355 (11400), 372 (15200)	436 (0.29)	4000
7	3g	251 (39300), 295 (45200), 350 (9200), 366	407 (0.54)	2800

[a] $c(\mathbf{3}) = 10^{-5}$ M. [b] $c(\mathbf{3}) = 10^{-7}$ M, $\lambda_{\text{exc}} = 280$ nm. [c] Recorded at $\lambda_{\text{exc}} = 280$ nm, $c(\mathbf{3}) = 10^{-7}$ M, determined with 2,5-diphenyloxazole as a standard in cyclohexane, ($\boldsymbol{\Phi}_{F}=1.00$ [35]). [d] $\Delta \tilde{\boldsymbol{\nu}} = \lambda_{max(abs)}^{-1} - \lambda_{max(am)}^{-1}$.

Generally, the absorption characteristics of all compounds are quite similar, whereby the longest wavelength absorption band appears at around 370 nm. The compounds **3a-g** show a strong blue luminescence in solution (dichloromethane) (Figure 1), with Stokes shifts in a range from 1300 to 4000 cm⁻¹. The emission maximum of the derivatives **3a-c** and **3e** can all be found at around 390 nm (Figure 2). The tetramethoxy substituted indolo[3,2-*a*]carbazole **3d** is slightly bathochromic shifted emission maximum at 403 nm, also the unsymmetrically substituted indolo[3,2-*a*]carbazole **3g** displays a slight red-shift to 407 nm in comparison to compound **3a**. For the cyano-substituted derivative **3f** substantial bathochromic shift to 436 nm is determined.



Figure 1. Visual impression of indolocarbazoles **3** under daylight (top) and hand-held UV lamp (bottom) in dichloromethane ($c(3) = 10^{-5}$ M, $\lambda_{exc} = 365$ nm).



Figure 2. Normalized UV/Vis absorption (recorded in CH₂Cl₂, T = 293 K, $c(3) = 10^{-5}$, bold line) and emission bands (recorded in CH₂Cl₂, T = 293 K, $c(3) = 10^{-7}$, $\lambda_{exc}(3\mathbf{a}) = 294$ nm, $\lambda_{exc}(3\mathbf{c},\mathbf{f},\mathbf{g}) = 280$ nm, dashed line) of indolocarbazoles **3a,c,f,g**.

Furthermore, relative fluorescence quantum yields [36] were measured with 2,5-diphenyloxazole as a standard in cyclohexane ($\Phi_F = 1.00$ [35]). The compounds **3a-e** and **3g** show a similar distinctive fluorescence in dichloromethane with quantum yields ranging from 45 to 54%. Interestingly, only the *p*-cyanophenyl substitution in positions R² and R³ (**3f**) leads to a significant decrease in fluorescence ($\Phi_F = 29\%$).

Most remarkably, the addition of trifluoroacetic acid clearly changes the absorption and emission spectra of the indolocarbazoles **3**, which is a consequence of a selective protonation of the carbazole core (vide infra). This acidochromism was studied by absorption spectroscopy for all indolo[3,2-a]carbazoles **3** (Table 3).

ontru	compound	$\lambda_{max,abs}$ [nm] (\mathcal{E} [L·	$mol^{-1} \cdot cm^{-1}])^{[a]}$	acidochromicity shift [cm ⁻¹] ^[c]
enu y	compound	3	3-H ^{+[b]}	actuoentonnerty sint [em]
1	3 a	250 (41100), 295 (53600), 354 (10100), 370 (16000)	277 (31400), 297 (30100), 367 (6300), 479 (12200)	6200
2	3b	253 (47400), 282 (44700), 311 (41200), 349 (11400), 365 (14900)	297 (40500), 363sh (8000), 499 (8300)	7400
3	3c	253 (46100), 296 (59100), 354 (11000), 370 (17600)	278 (27800), 300 (23400), 399sh (5800), 482 (10200)	6300
4	3d	249 (44900), 303 (50700), 365 (10500), 383 (16900)	287sh (25500), 301 (28500), 506 (9000)	6300
5	3e	298 (80900), 358 (10300), 375 (17100)	301 (68700), 358 (10400), 375 (15600)	0
6	3f	244 (55500), 292 (44400), 355 (11400), 372 (15200)	280 (38200), 289 (38500), 372 (11600)	0
7	3g	251 (39300), 295 (45200), 350 (9200), 366 (11800)	277 (21400), 295 (22400), 368 (4300), 466 (9300)	5900

Table 3. Comparison of absorption maxima of non-protonated **3** and protonated indolo[3,2-a]carbazoles **3-H**⁺.

[a] Recorded in CH₂Cl₂, T = 293 K, $c = 10^{-5}$ M. [b] Transferred into the cell (3 mL), addition of 200 μ L trifluoroacetic acid (TFA). [c] $\Delta \tilde{\nu} = \lambda_{max(abs[3])}^{-1} - \lambda_{max(abs[3-H+])}^{-1}$.

All free bases give colorless solutions, whereby after addition of trifluoroacetic acid (TFA) the methoxy and phenyl derivates **3a-d** and **3g** became yellow to red solutions (Figure 3). Interestingly, in the protonation studies with cyano-substituted indolo[3,2-*a*]carbazoles **3e** and **3f** no significant change in the absorption spectra was detected. Presumably by strongly electron withdrawing cyano groups their basicity is too low.



Figure 3. Visual color impression of indolocarbazoles **3** under daylight (top) and hand-held UV lamp (bottom) after the addition of TFA (recorded in CH₂Cl₂, $c(3) = 10^{-4}$ M, $\lambda_{exc} = 365$ nm).

In all other absorption spectra of the conjugated indolocarbazole salts of **3a-d** and **3g**, a new redshifted absorption maximum forms between 466 and 506 nm. Thereby the tetramethoxy substituted indolo[3,2-*a*]carbazole **3d** shows the largest bathochromic shift with an absorption maximum at 506 nm. Moreover, the acidochromic shifts of compounds **3a-d** and **3g** range from 5900 (**3g**) to 7400 cm⁻¹ (**3b**). The protonation has also an interesting effect on the emission properties of the indolo[3,2*a*]carbazoles. The fluorescence of all compounds, including the cyano-substituted derivatives, is noticeably quenched after addition of an excess amount of TFA. The process can be reversed by adding triethylamine and all solutions are colorless again as prior to the treatment with TFA, and they fluoresce violet to blue under the hand-held UV lamp.

In addition, we photometrically determined the pK_a value of the conjugated base of **3a**. Therefore, trifluoroacetic acid was chosen as a suitable acid because it is completely dissociated in dichloromethane. For the pK_a determination by absorption spectroscopy, the pH-value was

successively lowered from 1.58 to 0.28 and the corresponding absorption spectra were recorded (Figure 4). With successive addition of TFA, the maxima at 354 and 370 nm of **3a** disappear and a new red-shifted maximum at 480 nm was detected for the protonated species **3a-H**⁺. From these titrations a p K_a value of 0.75 for **3a-H**⁺ was determined (for experimental details, see Supp Inf).



Figure 4. Absorption spectra of **3a** in the presence of increasing amounts of TFA (recorded in CH₂Cl₂, $c(3a) = 10^{-5}$ m, T = 293 K).

Furthermore, the fluorescence quenching of **3a** after addition of trifluoroacetic acid was quantitatively monitored (Figure 5).



Figure 5. Emission spectra of **3a** in the presence of increasing aliquots of TFA (recorded in CH₂Cl₂, $c(\mathbf{3a}) = 10^{-7}$ m, T = 293 K, $\lambda_{exc} = 294$ nm).

The Stern-Volmer plot of F_0/F against the concentration of TFA reveals a linear correlation (Figure 6) and the Stern-Volmer constant K_{sv} was determined to 49.940 Lmol⁻¹. The Stern-Volmer constant K_{sv} correlates by definition of steady-state quenching to the p K_a value of **3a**. The p K_a is calculated therefrom to 1.97, which is in reasonably good agreement with p K_a value determined by absorption spectroscopy.



Figure 6. Stern-Volmer plot of **3a** ($c_0(3\mathbf{a}) = 10^{-7}$ m in CH₂Cl₂, T = 293 K, $\lambda_{max(em)} = 390$ nm; $F_0/F = 0.52932 + 49.904[\text{H}^+]$; $r^2 = 0.97826$).

2.3. NMR protonation studies

Intrigued by the observed acidochromocity of the title compounds **3**, NMR studies were performed to localize the protonation site of indolo[3,2-*a*]carbazoles **3**. The ¹H NMR spectra of **3a** and **3a-H**⁺ reveal that upon protonation only a single new species was formed, supported by the appearance of a single set of signals, accompanied by an additional singlet at δ 5.37 arising from the trifluoroacetic acid proton (Figure 7). 2D NMR experiments, such as NOESY and HMBC, allowed the unambiguous assignment of position 6 as the site of protonation (for details and spectra, see Supp Inf). In principle, most of the signals of the protonated species **3a-H**⁺ are shifted to lower field, where increased deshielding indicates the formation of a resonance stabilized cation. For instance, the methyl protons at position 5 are shifted from δ 3.26 (**3a**) to 3.73 (**3a-H**⁺). The protonation of **3a** to **3a-H**⁺ also causes a significant change in the molecular geometry supported by the splitting the phenyl proton resonances. The resonances of 6-Ph (blue circles, Figure 7) split into a doublet and two triplets, which is indicative of the free rotation of the phenyl ring, whereas for 7-Ph (orange circles, Figure 7) split into five

broaden signals that appear between δ 6.59 and 7.72. This phenyl ring obviously is considerably restricted in its rotational freedom and therefore splits into five chemically inequivalent signals.



Figure 7. Partial ¹H NMR spectra (600 MHz, 293 K, CD_2Cl_2) of **3a** before (a) and after (b) the addition of 75 equiv of CF_3CO_2H .

2.4. Calculated electronic structure

Ground state geometries of all structures **3** and **3a-H**⁺ were optimized using the Gaussian09 program package [<u>37</u>], the PBE0 hybrid-functional by Perdew, Burke and Ernzerhof [<u>38</u>] and the 6-311G(d,p) basis set [<u>39</u>]. The optimized geometries were confirmed as minima by analytical frequency analyses.

Excitation energies were calculated with TDDFT [40] methods implemented in the Gaussian09 program package [37], PBE0 [38], and the 6-311+G(d,p) basis set [39]. The polarizable continuum model (PCM) with dichloromethane as a solvent was applied for the calculations each [41]. The Mulliken population analysis was extracted from the Gaussian09 calculation outputs by the help of the Multiwfn software [42].

The site of protonation in structure **3a-H**⁺, experimentally assigned by NMR experiments, was further corroborated by DFT calculations also to gain a deeper insight into the observed acidochromism. All ground state geometries and excitation energies of the protonated species **3a-H**⁺ and **3a** were calculated (for details, see Supp Inf). We hypothesized that in addition to the two indolyl nitrogen atoms, the three β -positions of the indoles are potential sites of protonation, i.e. a total of five different protonation sites have to be considered (Figure 8). The calculations on the different protonated species **3a-H**⁺ reveal in agreement with the NMR spectroscopic study that protonation at position 6 forms the thermodynamically most stable cation (Table 4). Moreover, the position bearing the largest HOMO coefficient in the non-protonated compound **3a** was protonated (Table 4).



Figure 8. Potential protonation sites of **3a**.

Table 4. Relative free enthalpies (PBE0/6-311G(d,p) PCM CH_2Cl_2) of **3a-H**⁺ protonated in all tested positions and the corresponding HOMO-coefficients of non-protonated **3a**.

position i	5	6	7a	12	12b
$\Delta\Delta G$ (position i \rightarrow position 6) [kcal/mol]	+8.81	0	+9.11	+6.00	+13.30
HOMO coefficients of 3a [%]	13.28	15.52	13.64	5.63	0.26

For the structure **3a-H**⁺ with protonation at position 6 the UV/Vis spectrum was calculated on the TDDFT level of theory. The first states S_1 , S_2 , and S_3 nicely reproduce the experimentally observed absorption bands (Table 5). While the longest wavelength absorption band (S_1) can be described as a HOMO \rightarrow LUMO transition (99%), the following bands consist of HOMO-2 \rightarrow LUMO (S_2 , 94 %) and HOMO \rightarrow LUMO+1 transitions (S_3 , 84%) (for full details, see Supp Inf).

Table 5. Comparison of calculated UV/Vis-absorption of $3a-H^+$ (PBE0/6-311+G(d,p) PCM CH₂Cl₂), protonated in position 6, and experimental UV/Vis-absorption of $3a-H^+$.

$\lambda_{max, exp}$ ^[a] [nm]	$\lambda_{max, \ calc}{}^{[b]}[nm]$	oscillator strength	FMO contribution
479	458	0.245	HOMO \rightarrow LUMO (99 %)
367	360	0 162	HOMO-2 \rightarrow LUMO (94 %)
307	500	0.102	HOMO→LUMO+1 (3 %)
			HOMO-7 \rightarrow LUMO (7 %)
297	288	0.544	HOMO-2 \rightarrow LUMO (3 %)
			HOMO \rightarrow LUMO+1 (84 %)
			HOMO-8 \rightarrow LUMO (20 %)
			HOMO-5 \rightarrow LUMO+1 (2 %)
			HOMO-1 \rightarrow LUMO+1 (47 %)
277	256	0.387	HOMO-1 \rightarrow LUMO+2 (9 %)
			HOMO \rightarrow LUMO+2 (8 %)
			HOMO \rightarrow LUMO+7 (3 %)
			HOMO \rightarrow LUMO+8 (3 %)

[a] Recorded in CH₂Cl₂, $c(3a) = 10^{-5}$ M, pH = 0.25, T = 293 K. [b] PBE0/6-311+G(d,p) with PCM (CH₂Cl₂).

Based upon the spectroscopic and computational studies supporting the protonation of structure **3a** at position 6 resonance structures of **3a-H**⁺ can be assigned, suggesting that the formed chromophore is an apocyanine (Figure 9). An apocyanine is a rare type of cyanine where the two terminal nitrogen atoms are conjugatively linked without methine groups, i.e. only quaternary sp^2 -hybridized carbon centers [43]. This special topology rationalizes the bathochromic shift upon protonation (Figure 10). As seen from the NMR studies both phenyl groups are no longer parallel to each other and the substituent at position 7 planarizes with the indolocarbazole and participates in the conjugation with the apocyanine system.



Figure 9. Resonance structures of a closed apocyanine system (red) arising from protonation of compound **3a**.



Figure 10. Jablonski diagram before (left) and after (right) protonation of **3a** and assignment of the FMO-transitions in the longest wavelength absorption bands (PBE0/6-311+G(d,p) PCM CH_2Cl_2 , isosurface value at 0.04 a.u.).

3. Conclusion

In summary starting from indoles and alkynes we have disclosed an efficient and mild sequentially Pd(II)/Cu(II)-catalyzed pseudo-three-component domino reaction to give indolo[3,2-*a*]carbazoles even with strongly electron withdrawing groups. In addition, with a TIPS-protected alkyne as a substrate, it was possible to selectively obtain the mono-phenylated 7-phenylindolo[3,2-*a*]carbazole via in situ desilylation. The study of the electronic properties of the indolocarbazoles revealed in addition to the known fluorescence, to the best of our knowledge a hitherto unknown acidochromism of this class of compounds, indicating that the title compounds are reversibly pH-sensitive. The protonation site was unambiguously localized by NMR spectroscopy and confirmed by quantum chemical calculations. Protonation generates a rarely described intensively orange to red apocyanine system. Syntheses and applications of these novel electronically interesting apocyanine dyes are currently underway.

4. Experimental

4.1. General considerations

All reactions were carried out in flame-dried Schlenk tubes by using syringes under an oxygen atmosphere. Oxygen was used from a bottle of Air Liquide (ALPHAGAZTM 1 O₂, 99.998 %). Indoles **1b** [44] and **1c** [32], as well as alkynes **2b** [45], **2c** [45], and **2d** [46] were synthesized according to literature procedures as indicated. Commercial grade reagents were purchased from Sigma Aldrich, Alfa Aesar, ABCR, Fluorochem and ACROS and used as supplied without further purification. Crude mixtures were adsorbed on Celite® 545 (0.02-0.20 mm) from Carl Roth GmbH Co.KG. The purification of products was performed on silica gel 60 M (0.04–0.063 mm) from Macherey–Nagel by using the flash technique under a pressure of 2 bar. For TLC silica gel coated aluminium plates (60, F_{254}) from Merck were employed. The spots were detected with UV light at 254 or 365 nm. ¹H, ¹³C, and DEPT NMR spectra were recorded at 293 K on 300 MHz (Bruker AVIII) or 600 MHz (BrukerAvance III-600) and the resonances of the residues of non-deuterated CD₂Cl₂ were locked as internal standards (CD₂Cl₂: ¹H δ = 5.32 ppm, ¹³C δ = 54.00 ppm). The multiplicities of signals are abbreviated as follows: s = singlet, d = doublet, t = triplet, dd = doublet of doublets, ddd = doublet of

doublets of doublets and m = multiplet. The assignments of C_{quat} , CH, CH₂ and CH₃ nuclei are based on DEPT spectra. IR spectra were recorded on a Shimadzu IR Affinity-1 with ATR technique. The intensities of IR signals are abbreviated as s (strong), m (medium) and w (weak). EI mass spectra were recorded on Triple-Quadrupole mass spectrometer TSQ 7000 (Finnigan MAT). Absorption spectra were recorded in CH₂Cl₂ high performance liquid chromatography (HPLC) grade at 293 K on Perkin Elmer UV/VIS/ NIR Lambda 19 spectrometer. Emission spectra were recorded in CH₂Cl₂ HPLC grade at 293 K on a Perkin Elmer LS55 spectrometer. The melting points (uncorrected) were measured on a Büchi Melting Point B-540. The elemental analyses were carried out on a Perkin Elmer Series II Analyser 2400 at the Institute for Pharmaceutical and Medicinal Chemistry at Heinrich-Heine-University Düsseldorf.

4.2. General procedure (GP) for the domino synthesis of indolo[3,2-a]carbazoles 3

Palladium(II)acetate (11.2 mg, 50.0 μ mol, 10 mol%), copper(II)acetate monohydrate (24.9 mg, 125 μ mol, 25 mol%), indole **1** (500 μ mol, 1.00 equiv) and/or alkyne **2** (250 μ mol, 0.50 equivs), if solid, were placed in a Schlenk tube, which was evacuated and flushed with oxygen three times. Then, indole **1** (500 μ mol, 1.00 eq.) and/or alkyne **2** (250 μ mol, 0.50 equivs), if present as a liquid, and DMSO (5 mL) were added. The reaction mixture was stirred until complete conversion (8 or 24 h, monitored by TLC, eluent: *n*-hexane/ethyl acetate) at 50 °C under an oxygen atmosphere. After cooling to room temp, the solvent was removed under reduced pressure, the product mixture adsorbed on Celite[®] and purified by column chromatography on silica gel (eluent: *n*-hexane/dichloromethane). Table 6. Experimental details of the domino synthesis of indolo[3,2-*a*]carbazoles **3**.

entry	indole 1	alkyne 2	indolo[3,2- <i>a</i>]carbazoles 3 (yield)
1	65.6 mg (0.50 mmol) of 1- methylindole (1a)	44.6 mg (0.25 mmol) of tolane (2a)	78.7 mg (72%) of 3a
2	80.6 mg (0.50 mmol) of 5- methoxy-1-methylindole (1b)	44.6 mg (0.25 mmol) of 2a	90.8 mg (73%) of 3b
3	65.6 mg (0.50 mmol) of 1a	57.1 mg (0.25 mmol) of 1,2-bis(4- methoxyphenyl)ethyne (2b)	85.0 mg (68%) of 3c
4	80.6 mg (0.50 mmol) of 1b 78.1 mg (0.50 mmol) of 1-	57.1 mg (0.25 mmol) of 2b	83.3 mg (60%) of 3d
5	methyl-indole-5-carbonitrile (2c)	44.6 mg (0.25 mmol) of 2a	70.0 mg (58%) of 3e
6	65.6 mg (0.50 mmol) of 1a	57.1 mg (0.25 mmol) of 4,4'-(ethyne- 1.2-divl)dibenzonitrile (2c)	62.5 mg (51%) of 3f

		Journal Pre-proof	
7	65.6 mg (0.50 mmol) of 1a	129 mg (0.50 mmol) of triisopropyl(phenylethynyl)silane (2d)	61.5 mg (68%) of 3 g

4.2.1. 5,12-Dimethyl-6,7-diphenyl-5,12-dihydroindolo[3,2-a]carbazole (3a)

According to the GP compound **3a** (78.7 mg, 72%) was obtained as a colorless solid. Mp 141–142 °C (lit. 140–142 °C [26]). ¹H NMR (CD₂Cl₂, 600 MHz): δ 3.14 (s, 3 H), 4.39 (s, 3 H), 6.32 (d, *J* = 7.9 Hz, 1 H), 6.76 (t, *J* = 7.5 Hz, 1 H), 7.10–7.15 (m, 5 H), 7.15–7.25 (m, 7 H), 7.32 (d, *J* = 8.1 Hz, 1 H), 7.37 (t, *J* = 7.6 Hz, 2 H), 8.54 (d, *J* = 8.1 Hz, 1 H). ¹³C NMR (CD₂Cl₂, 151 MHz): δ 33.3 (CH₃), 36.0 (CH₃), 107.6 (C_{quat}), 109.5 (CH), 109.7 (CH), 115.5 (C_{quat}), 118.9 (C_{quat}), 119.7 (2xCH), 121.3 (C_{quat}), 121.5 (CH), 123.2 (CH), 124.3 (CH), 124.9 (CH), 124.9 (C_{quat}), 127.2 (CH), 127.2 (CH), 127.8 (CH), 128.4 (CH), 130.9 (CH), 133.0 (CH), 136.1 (C_{quat}), 137.4 (C_{quat}), 139.4 (C_{quat}), 139.8 (C_{quat}), 140.9 (C_{quat}), 142.4 (C_{quat}), 142.9 (C_{quat}). EI-MS (70 eV. *m/z* (%)): 436 ([M]⁺, 100), 421 ([M-CH₃]⁺, 15), 406 ([M-2x(CH₃)]⁺, 9), 203 (16), 202 (17), 201 (11), 196 (11). IR (ATR): $\tilde{\nu}$ [cm⁻¹] 3044 (w), 1580 (m), 1570 (m), 1558 (m), 1441 (m), 1329 (m), 1315 (m), 1256 (m), 1024 (m), 968 (m), 918 (m), 768 (m), 752 (m), 729 (s), 718 (s). Anal. calcd. for C₃₂H₂₄N₂ (436.6): C 88.04, H 5.54, N 6.42; Found: C 88.11, H 5.55, N 6.20.

4.2.2. 2,9-Dimethoxy-5,12-dimethyl-6,7-diphenyl-5,12-dihydroindolo[3,2-a]carbazole (3b)

According to the GP compound **3b** (90.8 mg, 73%) was obtained as a colorless solid. Mp 197–198 °C (lit. 160–165 °C [26]). ¹H NMR (CD₂Cl₂, 600 MHz): δ 3.19 (s, 3 H), 3.88 (s, 3 H), 3.94 (s, 3 H), 4.41 (s, 3 H), 6.29 (d, J = 8.2 Hz, 1 H), 6.48 (dd, J = 8.6, 2.1 Hz, 1 H), 6.86 (s, 1 H), 6.91–6.94 (m, 1 H), 6.95 (d, J = 2.1 Hz, 1 H), 7.20–7.25 (m, 5 H), 7.26–7.33 (m, 5 H), 8.47 (d, J = 8.8 Hz, 1 H). ¹³C NMR (CD₂Cl₂, 151 MHz): δ 33.4 (CH₃), 35.9 (CH₃), 56.1 (CH₃), 56.1 (CH₃), 93.7 (CH), 94.2 (CH), 107.6 (CH), 107.9 (C_{quat}), 108.1 (CH), 115.1 (C_{quat}), 115.8 (C_{quat}), 118.8 (C_{quat}), 119.0 (C_{quat}), 122.1 (CH), 123.9 (CH), 125.4 (CH), 127.7 (CH), 128.4 (CH), 131.1 (CH), 132.9 (CH), 134.1 (C_{quat}), 141.0 (C_{quat}), 143.9 (C_{quat}), 144.2 (C_{quat}), 158.4 (C_{quat}), 158.6 (C_{quat}). EI-MS (70 eV, m/z (%)): 497 ([MH]⁺, 100), 482 ([M-CH₃]⁺, 14), 453 (12), 438 (11), 395 (11), 248 (22), 196 (11), 189 (12), 97 (13), 85 (10), 83 (12), 71 (13), 69 (12), 57 (18), 55 (12). IR (ATR): $\tilde{\nu}$ [cm⁻¹] 3019 (w), 1585 (w), 1393 (m), 1219 (m), 1086 (s), 1032 (m), 982 (m), 802 (s), 706 (s). Anal. calcd. for C₃₄H₂₈N₂O₂ (496.6): C 82.23, H 5.68, N 5.64; Found: C 81.97, H 5.53, N 5.62.

4.2.3. 6,7-Bis(4-methoxyphenyl)-5,12-dimethyl-5,12-dihydroindolo[3,2-a]carbazole (3c)

According to the GP compound **3c** (85.0 mg, 68%) was obtained as a colorless solid. Mp 334–335 °C (dec.). ¹H NMR (CD₂Cl₂, 300 MHz): δ 3.28 (s, 3 H), 3.80 (s, 3 H), 3.84 (s, 3 H), 4.51 (s, 3 H), 6.53 (d, *J* = 7.9 Hz, 1 H), 6.76–6.81 (m, 2 H), 6.84–6.92 (m, 2 H), 7.11–7.15 (m, 2 H), 7.16–7.20 (m, 2 H), 7.29–7.36 (m, 2 H), 7.42–7.51 (m, 3 H), 8.64 (d, *J* = 8.1 Hz, 1 H). ¹³C NMR (CD₂Cl₂, 75 MHz): δ 33.4 (CH₃), 36.1 (CH₃), 55.8 (CH₃), 55.8 (CH₃), 107.7 (C_{quat}), 109.5 (CH), 109.8 (CH), 113.4 (CH), 114.0 (CH), 116.1 (C_{quat}), 119.2 (C_{quat}), 119.7 (CH), 119.8 (CH), 121.5 (C_{quat}), 121.7 (CH), 123.2 (CH), 124.3 (CH), 124.9 (CH), 125.3 (C_{quat}), 131.8 (C_{quat}), 132.0 (CH), 133.4 (C_{quat}), 133.9 (CH), 136.4 (C_{quat}), 137.5 (C_{quat}), 140.4 (C_{quat}), 142.6 (C_{quat}), 143.1 (C_{quat}), 159.1 (C_{quat}). EI-MS (70 eV, *m*/*z* (%)): 496 ([M]⁺, 100), 196 (12), 189 (12). IR (ATR): $\tilde{\nu}$ [cm⁻¹] 2951 (w), 2932 (w), 2900 (w), 1518 (m), 1449 (m), 1315 (m), 1282 (m), 1240 (s), 1177 (m), 1030 (m), 826 (m), 781 (m), 739 (s). Anal. calcd. for C₃₄H₂₈N₂O₂ (496.6): C 82.23, H 5.68, N 5.64; Found: C 82.29, H 5.55, N 5.59.

4.2.4. 2,9-Dimethoxy-6,7-bis(4-methoxyphenyl)-5,12-dimethyl-5,12-dihydroindolo[3,2*a*]carbazole (3d)

According to the GP compound **3d** (83.3 mg, 60%) was obtained as a colorless solid. Mp 238–239 °C. ¹H NMR (CD₂Cl₂, 300 MHz): δ 3.23 (s, 3 H), 3.50 (s, 3 H), 3.78 (s, 3 H), 3.79 (s, 3 H), 3.97 (s, 3 H), 4.44 (s, 3 H), 5.92 (d, J = 2.6 Hz, 1 H), 6.77 (d, J = 8.6 Hz, 2 H), 6.86 (d, J = 8.6 Hz, 2 H), 6.92 (dd, J = 8.8, 2.6 Hz, 1 H), 7.08–7.20 (m, 5 H), 7.34 (t, J = 8.7 Hz, 2 H), 8.11 (d, J = 2.4 Hz, 1 H). ¹³C NMR (CD₂Cl₂, 75 MHz): δ 33.4 (CH₃), 36.2 (CH₃), 55.7 (CH₃), 55.9 (CH₃), 55.9 (CH₃), 56.8 (CH₃), 104.9 (CH), 107.4 (CH), 107.7, 110.0 (CH), 110.2 (CH), 112.9 (CH), 113.3 (CH), 113.4 (CH), 114.0 (CH), 118.9 (C_{quat}), 121.8 (C_{quat}), 125.9 (C_{quat}), 131.7 (C_{quat}), 132.2 (CH), 133.4 (C_{quat}), 133.9 (CH), 136.4 (C_{quat}), 137.8 (C_{quat}), 138.1 (C_{quat}), 138.3 (C_{quat}), 141.0 (C_{quat}), 154.3 (C_{quat}), 154.4 (C_{quat}), 159.1 (C_{quat}), 159.2 (C_{quat}). EI-MS (70 eV, m/z (%)): 556 ([M]⁺, 100), 541 ([M-CH₃]⁺, 21), 278 (15), 247 (9), 196 (8). IR (ATR): $\tilde{\nu}$ [cm⁻¹] 3063 (w), 2830 (w), 2359 (w), 2342 (w), 1518 (m), 1508 (m), 1487 (m), 1448 (m), 1375 (w), 1302 (m), 1244 (s), 1233 (s), 1213 (s), 1175 (s), 1150 (s), 1105 (m), 1030 (s), 978 (m), 935 (m), 874 (m), 849 (m), 831 (m), 808 (m), 781 (s), 756 (m), 729 (m), 687 (m). Anal. calcd. for C₃₆H₃₂N₂O₄ (556.7): C 77.68, H 5.79, N 5.03. Found: C 77.39, H 5.87, N 4.96.

4.2.5. 5,12-Dimethyl-6,7-diphenyl-5,12-dihydroindolo[3,2-a]carbazole-2,9-dicarbonitrile (3e)

According to the GP compound **3e** (70.0 mg, 58%) was obtained as a colorless solid. Mp 381–383 °C (dec.). ¹H NMR (CD₂Cl₂, 300 MHz): δ 3.31 (s, 3 H), 4.55 (s, 3 H), 6.64–6.67 (m, 1 H), 7.19–7.23 (m, 2 H), 7.25–7.30 (m, 5 H), 7.34–7.41 (m, 3 H), 7.51 (d, *J* = 8.6 Hz, 1 H), 7.55–7.59 (m, 1 H), 7.61 (dd, *J* = 8.5, 1.5 Hz, 1 H), 7.75 (dd, *J* = 8.6, 1.5 Hz, 1 H), 8.93 (d, *J* = 1.2 Hz, 1 H). ¹³C NMR (CD₂Cl₂, 75 MHz): δ 33.9 (CH₃), 36.2 (CH₃), 103.1 (C_{quat}), 103.1 (C_{quat}), 107.4 (C_{quat}), 110.4 (CH), 110.8 (CH), 116.0 (C_{quat}), 120.7 (C_{quat}), 120.9 (C_{quat}), 121.1 (C_{quat}), 121.3 (C_{quat}), 124.9 (C_{quat}), 126.6 (CH), 127.9 (CH), 128.0 (CH), 128.1 (CH), 128.2 (CH), 128.5 (CH), 129.0 (CH), 130.6 (CH), 132.8 (CH), 137.8 (C_{quat}), 138.0 (C_{quat}), 138.3 (C_{quat}), 139.7 (C_{quat}), 141.2 (C_{quat}), 144.3 (C_{quat}). EI-MS (70 eV, *m*/*z* (%)): 486 ([M]⁺, 100), 471 ([M-CH₃]⁺, 11), 236 (15), 228 (11), 227 (12), 214 (10). IR (ATR): $\tilde{\nu}$ [cm⁻¹] 3065 (w), 2916 (w), 2802 (w), 2214 (m), 1576 (m), 1489 (m), 1441 (m), 1300 (m), 1261 (m), 976 (w), 935 (w), 820 (s), 704 (s). Anal. calcd. for C₃₄H₂₂N₄ (486.6): C 83.93, H 4.56, N 11.51; Found: C 83.85, 4.40, N 11.34.

4.2.6. 4,4'-(5,12-Dimethyl-5,12-dihydroindolo[3,2-a]carbazole-6,7-diyl)dibenzonitrile (3f)

According to the GP compound **3f** (62.5 mg, 51%) was obtained as a colorless solid. Mp 323–324 °C. ¹H NMR (CD₂Cl₂, 300 MHz): δ 3.27 (s, 3 H), 4.52 (s, 3 H), 6.46 (d, *J* = 7.9 Hz, 1 H), 6.92 (ddd, *J* = 8.1, 7.1, 1.0 Hz, 1 H), 7.31–7.41 (m, 6 H), 7.45–7.56 (m, 5 H), 7.62 (d, *J* = 8.3 Hz, 2 H), 8.67 (d, *J* = 8.1 Hz, 1 H). ¹³C NMR (CD₂Cl₂, 75 MHz): δ 34.0 (CH₃), 36.1 (CH₃), 108.6 (C_{quat}), 109.9 (CH), 110.1 (CH), 111.7 (C_{quat}), 111.9 (C_{quat}), 115.0 (C_{quat}), 116.8 (C_{quat}), 119.2 (C_{quat}), 119.4 (C_{quat}), 120.3 (CH), 120.3 (CH), 121.2 (CH), 123.5 (CH), 124.3 (C_{quat}), 125.0 (CH), 125.6 (CH), 131.9 (CH), 132.0 (CH), 132.7 (CH), 133.6 (CH), 133.9 (C_{quat}), 138.2, (C_{quat}) 139.4 (C_{quat}), 142.7 (C_{quat}), 143.1 (C_{quat}), 144.4 (C_{quat}), 145.5 (C_{quat}). EI-MS (70 eV, m/z (%)): 486 ([M]⁺, 100), 471 ([M-CH₃]⁺, 14), 236 (15). IR (ATR): $\tilde{\nu}$ [cm⁻¹] 2990 (w), 2899 (w), 2365 (w), 2361 (w), 2231 (w), 1589 (m), 1585 (m), 1474 (m), 1389 (m), 1319 (m), 1258 (m), 1179 (m), 1092 (m), 1016 (m), 995 (m), 974 (m), 864 (m), 781 (m), 746 (m), 731 (s), 689 (m). Anal. calcd. for C₃₄H₂₂N₄ (486.6): C 83.93, H 4.56, N 11.51. Found: C 83.98, H 4.52, N 11.53.

4.2.7. 5,12-Dimethyl-7-phenyl-5,12-dihydroindolo[3,2-a]carbazol (3g)

According to the GP compound **3g** (61.5 mg, 68%) was obtained as a colorless solid. Mp 192–194 °C. ¹H NMR (CD₂Cl₂, 300 MHz): δ 3.94 (s, 3H), 4.53 (s, 3 H), 6.98 (ddd, J = 8.0, 7.0, 1.1 Hz, 1 H), 7.19 (s, 1 H), 7.26 (d, J = 7.9 Hz, 1 H), 7.29–7.40 (m, 2 H), 7.48–7.61 (m, 6 H), 7.66–7.70 (m, 2 H), 8.63 (d, J = 8.2 Hz, 1 H). ¹³C NMR (CD₂Cl₂, 75 MHz): δ 30.2 (CH₃), 35.6, (CH₃) 104.1 (C_{quat}), 104.1 (C_{quat}), 106.6 (C_{quat}), 109.4 (CH), 109.5 (CH), 119.6 (CH), 119.7 (CH), 121.6 (CH), 121.7 (C_{quat}), 123.4 (CH), 124.3 (CH), 124.6 (C_{quat}), 124.9 (CH), 125.6 (C_{quat}), 128.2 (CH), 129.0 (CH), 130.1 (CH), 138.7 (C_{quat}), 141.5 (C_{quat}), 142.0 (C_{quat}), 142.2 (C_{quat}), 142.8 (C_{quat}). EI-MS (70 eV, m/z (%))): 360 ([M]⁺, 100), 345 ([M-CH₃]⁺, 20), 330 ([M-2x(CH₃)]⁺, 10), 180 (12), 172 (32), 165 (18). IR (ATR): $\tilde{\nu}$ [cm⁻¹] 2984 (w), 2970 (w), 2901 (w), 1591 (w), 1558 (m), 1479 (m), 1448 (m), 1435 (m), 1408 (m), 1348 (m), 1317 (s), 1250 (m), 1231 (m), 1190 (m), 1119 (m),1057 (m), 1024 (m), 972 (m), 960 (m), 921 (w), 885 (m), 841 (m), 820 (m), 773 (m), 756 (m), 742 (s), 727 (s), 708 (s), 696 (s), 650 (m). Anal. calcd. for C₂₆H₂₀N₂ (360.5): C 86.64, H 5.59, N 7.77. Found: C 86.93, H 5.74, N 7.87.

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Graphical abstract



Highlights

The urgency and relevance of our contribution for a broad readership of organic, dye and materials chemists is justified by the following aspects:

- Our general approach is the concept of modular one-pot syntheses of functional dyes, predominantly fluorophores. Indolo[3,2-a]carbazoles are accessible by a Pd/Cu-catalyzed oxidative four-fold CH-activation that proceeds in a pseudothreecomponent domino reaction.
- 2) During our methodological studies we not only noticed that the title compounds are intensively violet to blue emissive in solution and in the solid state, but also acidochromic, i.e. a significant red shift of the absorption bands with concomitant fluorescence quenching.
- By comprehensive NMR studies the site of protonation as assessed, showing that the protonated species falls into the rarely occurring class of apocyanines. The site of protonation was additionally corroborated by DFT calculations on various conceivable isomers.
- 4) The isosbestic points in the protonated absorption spectra as well as the static fluorescence quenching (Stern-Vollmer plot) furthermore allows **determining the p***K*_a of conjugated acids of the title compounds, i.e. apocyanines.
- 5) The elucidation of the electronic structure by **DFT and TD DFT calculations** using the PBEh1PBE functional reveals that the longest wavelength chromogenic absorption band can be indeed assigned to dominant cyanine like **HOMO-LUMO transitions**.