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Regio- and Enantioselective Synthesis of Azole Hemiaminal Esters by Lewis-Base-Catalyzed Dynamic Kinetic Resolution

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ABSTRACT: We report a modular three-component dynamic kinetic resolution (DKR) that affords enantiomerically enriched hemiaminal esters derived from azoles and aldehydes. The novel and scalable reaction can be used to synthesize valuable substituted azoles in a regioselective manner by capping (e.g. acylation) of the equilibrating azole-aldehyde adduct. Using a prolinol-derived DMAP catalyst as the chiral Lewis base, the products can be obtained in high chemical yield and with high enantiomeric excess. The DKR was performed on a multi-kilogram scale to produce a tetrazole prodrug fragment for a leading clinical candidate that posed formidable synthesis challenges.

INTRODUCTION

Dynamic kinetic resolutions (DKRs) continue to garner considerable attention as highly efficient means to introduce new stereogenic centers in active pharmaceutical ingredients and in the synthesis of natural products.¹ Enzymatic methods offer a partial solution to this problem, but such transformations remain limited in scope.² Novori's ground-breaking DKR of β-ketoesters by catalytic asymmetric hydrogenation opened the door to a range of transition-metal-catalyzed and organocatalyzed dynamic processes. One such conversion, the nonenzymatic DKR of secondary alcohols, remains a formidable challenge. Recently, Fu developed a dual catalyst system by combining a Ru-based racemization catalyst with a planar-chiral DMAP to achieve the first nonenzymatic DKR of secondary alcohols by acylation.³ We hypothesized that we could take advantage of the underexploited dynamic equilibrium between mildly acidic nucleophiles and aldehydes to enantioselectively acylate non-isolable hemiaminal species. Outside of the special cases of aldehydes that form stable hydrates (e.g. formaldehyde, chloral), reports of stable hemiaminal adducts are rare. Non-isolable hemiaminals formed by reversible addition of triazole to aldehydes followed by capping was reported by Smith in 1990.4 More recently, Banert disclosed the reversible addition of azide to aldehydes followed by azide trapping.⁵ Cyclic hemiaminals derived from N-unsubstituted azole aldehydes⁶ or imide aldehydes have been reported. Of note, Yamada described the first DKR of a cyclic hemiaminal derived from an imide aldehyde by Lewis-base-assisted acylation.^{7,8} Herein, we describe a new process that takes advantage of a dynamic equilibrium to afford substituted tetrazoles and related azoles in a regio- and enantioselective manner by the use of a sole Lewis base catalyst.^{9,10,11,12}



Figure 1: Strategies for alkylation of tetrazoles

Substituted tetrazoles are valuable heterocycles to medicinal chemistry. Most notably, tetrazoles appear in the multi-billion dollar angiotensin II receptor antagonist class of blood pressure agents (e.g., losartan and valsartan among others, Figure 1).¹³ However, the synthesis of tetrazoles, particularly disubstituted variants, introduces problems of regio- and enantiocontrol. There are several ways to synthesize disubstituted tetrazoles in an unselec-

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tive manner, but selective methods to access 2,5disubstituted variants typically employ harsh conditions and are limited in scope.14,15 Hemiaminal-estersubstituted tetrazoles have been synthesized by alkylation to afford mixtures of the 1,5- and 2,5-disubstituted products.¹⁶ The use of hemiaminal esters such as methyl substituted pivaloyloxymethyl (MePOM)^{11a} results in further complexity by introduction of a new stereogenic center. Methodology to synthesize hemiaminal esters of tetrazoles with the ability to alter the acyl and alkyl groups in a regioselective and enantioselective manner could prove extremely valuable to the development of new biologically active tetrazoles. Furthermore, the ability to control the regiochemistry and stereochemistry of 2,5disubstituted tetrazoles under mild conditions can expand access to other valuable tetrazole derivatives. Mild methods to address both of these issues do not exist.

RESULTS AND DISCUSSION

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Regioselective Synthesis of 2,5-Disubstituted Tetrazoles. Direct alkylation of 5-phenyl tetrazole (1) with excess 1-choroethyl ethyl carbonate afforded a mixture of regioisomers **2a** and **2b** favoring the 2,5-disubstituted tetrazole (Scheme 1, top arrow). The assigned structures were confirmed by NOE and X-ray crystallographic studies (see Supporting Information). On the other hand treatment of 5-phenyl tetrazole with acetaldehyde and ethyl chloroformate in the presence of catalytic DMAP showed striking regioselectivily for the 2,5-disubstituted tetrazoles (Scheme 1, bottom arrow). NMR analysis of crude reaction material did not show any evidence of 1,5disubstituted tetrazole formation.

Scheme 1: Synthesis of 1,5- and 2,5-Disubstituted Tetrazoles



Our observations are consistent with previous literature descriptions of DMAP-catalyzed acylations of alcohols¹⁷ and are summarized below. Uncatalyzed reactions formed product in the absence of catalyst after 64–96 h, while DMAP-catalyzed reactions proceed to completion in just 14–16 h. When acetaldehyde-d₄ was employed, full incorporation of deuterium was noted with no evidence of scrambling, lending support to the proposed mechanistic scenario depicted in Figure 2.¹⁸ For reactions conducted for >48 h, no evidence of regioisomeric scrambling of 2,5-isomer **2a** was detected. Furthermore, 1,5-isomer **2b**

did not isomerize or decompose in the presence of catalytic DMAP (up to 20 mol%) after long reaction times (>10 d).



Figure 2: Proposed mechanistic scenario

The regioselective synthesis of 2,5-disubstituted tetrazoles was found to be highly modular with respect to the three reacting components. A number of 5substituted tetrazoles underwent the reaction with aliphatic and aromatic aldehydes and could be capped by various acylating reagents including chloroformates and anhydrides (Table 1). Most ketones were not competent reaction partners presumably because of an unfavorable equilibrium leading to a tetrazole-ketone hemiaminal. However, a hemiaminal ester **8** derived from a strained cyclobutanone could be isolated in good yield.

Table 1: Lewis-base-catalyzed regioselective synthesis 2,5-disubstituted tetrazoles.^a



^{*a*}Reactions conducted at [0.3–0.4M] tetrazole. Isolated yield and ratio of 2,5- to 1,5-tetrazole regioisomers. ^{*b*}Reaction was also conducted with 20 mol% PBu₃ and ethyl pyrocarbonate to provide 70% of the 2,5-isomer as the sole product. Acylation reagents: ^{*c*}ethyl chloroformate, ^{*d*}trimethylacetic anhydride, ^{*e*}isobutyric anhydride, ^{*f*}*p*-toluenesulfonyl chloride,

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the related methanesulfonate was also synthesized, see Supporting Information **S-1**.

Synthesis of Sulfonate Esters and Further Synthetic Elaboration. The transient hemiaminal species can not only be trapped as esters or carbonates, but also as sulfonate esters (Table 1, 10).¹⁹ Preliminary results have demonstrated that these racemic sulfonate esters can be displaced with a range of nucleophiles (Table 2). For example, tosylate 10 can react with LiBEt₃H or AlMe₃ to afford 2-ethyl-5-phenyl-2*H*-tetrazole (11) and 2-isopropyl-5phenyl-2*H*-tetrazole (12), respectively. The combination of the two reactions is formally a completely regioselective alkylation of a tetrazole with primary and secondary alkane electrophiles. Additionally, 10 could be transformed into alkyl fluoride 13 with TBAF or a benzylated tetrazole 14 with AlPh₃.

Table 2: Reactions of sulfonate esters derived from tetrazole hemiaminals.

N-N Ph-VNN		-N N N	various conditions Ph N N-N N N	
	entry	Reagent	Rı	Yield (%)
	1	LiBEt ₃ H	Н (11)	75
	2	AlMe ₃	Me (12)	64
	3	TBAF	F (13)	59
	4	AlPh ₃	Ph (14)	55

Kinetic Analysis of Hemiaminal Adducts. We were intrigued by the highly regioselective nature of the reaction and wanted to probe whether or not the 1,5disubstituted tetrazole isomer of the tetrazole/aldehyde adduct was forming under the reaction conditions. Variable temperature NMR spectroscopic studies in acetonitrile-d, in which a 5-phenyltetrazole was treated with an aldehyde under pseudo-first order conditions (ca. 20 equiv. aldehyde) showed a preponderance of the 2,5adduct.²⁰ There was no evidence of formation of any 1,5adduct for 5-phenyltetrazole and acetaldehyde (see Supporting Information). However, for the more sterically demanding 5-(biphenyl-2-yl)tetrazole 15 and isobutyraldehyde, the 2,5-adduct 16 was present in an approximately 4.5:1 ratio along with the 1,5-adduct 17 (Scheme 2). Kinetic analysis of the two isomers showed that formation of the 2,5-adduct is favored by approximately 0.8 kcal/mol at 25 °C. The reaction showed negligible temperature dependence within the temperature window (-15 to 35° C) of the experiment. In this latter case, the greater steric demand of the ortho-substituted phenyl may twist it out of conjugation with the tetrazole making the 1-position more accessible (see Supporting Information for more detail). In a separate acylation experiment with 15, isobutyraldehyde, and isobutyric anhydride (vide infra, Table

4), 2,5-disubstituted product **26** was obtained as the sole product. Given these results, we surmised that it is the rate of acylation of the products of the dynamic aldehyde-azole equilibrium that ultimately produces the high regioselectivity.

Scheme 2: Kinetic analysis of tetrazole and aldehyde.



Enantioselective Synthesis of 2,5-Disubstituted Tetrazoles via Dynamic Kinetic Resolution. With a good understanding of the regioselective formation of 2,5disubstituted tetrazoles in hand, we turned our attention toward the formation of the hemiaminal stereogenic center in an enantioselective fashion. It is now widely accepted that the rate-determining step in the kinetic resolution of secondary alcohols with chiral Lewis base catalysts is the addition of the alcohol to acylated catalyst. The difference in energy between diastereomeric transition states gives rise to reaction selectivity.^{21,22} In the case of a tetrazole hemiaminal, selective acylation has additional challenges, because it requires fast reaction of only one of the four possible isomers to ensure a successful selective outcome (Figure 3).



Figure 3: General dynamic kinetic resolution of tetrazoles (regio- and enantioselective).

As DMAP was a competent catalyst in the achiral reaction variant, we surveyed known chiral DMAP catalysts from Fu (**A**, **B**),²³ Yamada (**C**, **D**)²⁴ and Connon (**E**, **F**)²⁵ for enantioinduction (Table 3).^{26,27} For the preliminary investigation, 5-phenyltetrazole, acetaldehyde, and isobutyric anhydride were chosen as the reaction components. Although all chiral DMAP catalysts evaluated yielded product, enantioselectivity varied. We found that catalyst **E** performed best with our model system, affording product with an enantiomeric ratio (*er*) 90:10.

Table 3: Survey of chiral DMAP derivatives.



We were able to further optimize the reaction conditions using catalyst E. Enantioselectivity increased most dramatically when the solvent was changed from THF to less polar solvents such as diethyl ether or toluene. Altering the base did not improve the reaction. As expected, lowering the temperature of the reaction did increase the enantioselectivity, but not significantly. Consequently, ambient conditions were chosen to explore the scope of the dynamic kinetic resolution (see Supporting Information for additional optimization details).

The three-component modularity of the DKR is shown in Table 4. Aliphatic aldehydes provided the highest *er*, but aromatic aldehydes were also suitable reaction partners. The acylation reagent could be varied away from isobutyric anhydride to propionic anhydride and diethylpyrocarbonate with only a slight to moderate drop in *er*. The chemistry could also be applied to other azoles such as pyrazoles and an imidazole to afford (regioselectively) the corresponding hemiaminal esters in high yield and good *er*. Most interestingly, for product **26**, catalyst **E** was able to predominantly acylate a single regioisomeric and enantiomeric hemiaminal from the observed mixture in the NMR study (*vide supra*). Finally, we were able to assign the stereochemistry of the products by analogy after the absolute configuration of enantiopure **25** was obtained by X-ray crystallography. The stereochemistry of aromatic-aldehyde-derived **21** and **24** were assigned in a similar manner based on the absolute configuration of **S-2** (see Supporting Information).

Table 4: Chiral-DMAP-catalyzed DKR of tetrazolederived hemiaminal esters and carbonates.



^{*a*}Reactions conducted at [0.1M] azole. ^{*b*}Reaction conducted in toluene. Acylation reagents: ^{*c*}isobutyric anhydride, ^{*d*}propionic anhydride, ^{*e*}diethylpyrocarbonate.

In contrast to the facile chiral DMAP catalyzed acylations, sulfonylation reactions using catalytic amounts of a number of chiral Lewis bases furnished racemic products in modest yield. A reaction conducted with a stoichiometric amount of catalyst E produced sulfonate **10** in low yield and low but quantifiable enantioenrichment (see Supporting Information).²⁸

Multi-kilogram Synthesis of a Tetrazole Prodrug Fragment via Dynamic Kinetic Resolution. As noted previously, tetrazoles are valuable heterocycles in drug discovery and can serve as carboxylic acid isosteres.^{29,30} However, the physical properties of tetrazoles can lead to molecules with low permeability and consequently low

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oral bioavailability.³¹ One way to overcome this limitation is to mask the tetrazole as a prodrug. While prodrugs of carboxylic acids are well known,³² tetrazole prodrugs are significantly less common.¹⁶ Although a regio- and enantiomeric mixture of prodrugs will ultimately be cleaved *in vivo* to the same parent compound, the relative rates of enzymatic cleavage of the isomers will differ.³³ Dosing a single isomer is therefore preferred. Furthermore the inability to control regio- and stereochemistry of the prodrug can hinder the identification and scalability of a compound with suitable biopharmaceutical properties as well as the crystalline solid form.³⁴

We were interested in fine-tuning the properties of a small molecule tetrazole prodrug for a medicinal chemistry program. The prodrug would, by design, be enzymatically cleaved in vivo to provide the parent tetrazole as the active drug species. The modularity of this DKR allowed us to probe the substitution pattern and properties of the enzymatically labile prodrug moiety. Ultimately, tetrazole prodrug 35, derived from acetaldehyde and isobutyric anhydride, possessed the desired properties and was selected for advanced in vivo and toxicology studies. Multi-gram quantities of the prodrug 35 was required. Conversion of commercially available acid **30** by a two-step amidation/dehydration sequence provided nitrile 31 in 71% yield. With due caution, cycloaddition of nitrile 31 with hydrazoic acid, formed in situ, gave tetrazole 32.35 On a 2.5 kg scale, tetrazole 32 was converted into 33 in a regioselective and enantioselective manner using catalyst E (189 g, 3 mol%) and slightly modified conditions compared to Table 4. The solvent was changed to methyl tert-butyl ether, and the equivalents of aldehyde were increased to drive the reaction to completion. The prodrug fragment 33 was obtained in quantitative yield and er 97:3 before subsequent processing. After removal of the minor enantiomer by chiral preparative chromatography, 33 was treated with iPrMgCl to effect magnesiation at -40 °C followed by transmetalation with ZnCl₂. Negishi coupling with 4-bromobenzamide 34 and deprotection provided multi-gram quantities of **35** (Scheme 3).³⁶

Scheme 3: Large-scale application of the tetrazolebased DKR.



Reagents and conditions: (a) CDI 1.05 equiv, CH_2Cl_2 , then NH₄Cl 3 equiv, Et₃N 3 equiv, 75 % (b) TFAA 2 equiv, 2,6-lutidine 3 equiv, CH_2Cl_2 , o °C, 95 % (c) NaN3 3 equiv, NH4Cl 3 equiv, 2.3:1 DMF/H2O, 100 °C, 16 h, 90 % (d) CH₃CHO 2 equiv, 3 mol% catalyst E, Et₃N 1.5 equiv, isobutyric anhydride 1.5 equiv, MTBE [0.07M tetrazole], o °C. 100% (d) (*i*) *i*PrMgCl 1.3 equiv, [2.0M in THF], THF, - 49 °C, 35 min, (*ii*) ZnCl₂, 0.69 equiv [1.9M in 2-MeTHF], - 49°C – RT, (*iii*) Pd-117 0.66 mol %, THF, 50 °C, 78 % (g) HCl 7 equiv [2.0M in Et₂O], CH₃CN, 1.5 h, 25 °C, 89%.

CONCLUSIONS

A modular, operationally simple and mild method for the regioselective formation of 2,5-disubstituted tetrazole hemiaminal esters was developed. The chemistry was extended to sulfonate esters, which upon reaction with nucleophiles, provided a formal, regioselective alkylation of a tetrazole with primary and secondary alkane electrophiles. An azole/aldehyde dynamic equilibrium was exploited to resolve a transient hemiaminal via a chiral DMAP-catalyzed enantioselective acylation. This new methodology was applied on multi-kilogram scale to facilitate the synthesis of a compound for a medicinal chemistry program. Extension of this DKR to sulfonate and phosphate esters, additional heterocycles and other classes of Lewis base catalysts is underway.

ASSOCIATED CONTENT

SUPPORTING INFORMATION

Experimental details and characterization data. This material is available free of charge via the Internet at http://pubs.acs.org.

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Notes

The authors declare the following competing financial interest(s): All authors were employed by Pfizer Inc at the time this work was done.

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